

The λ -Designs with $e_1 = 4$

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Abstract. A λ -design is an $n \times n$ (0,1)-matrix A satisfying $A^t A = \lambda J + \text{diag}[k_1 - \lambda, \dots, k_n - \lambda]$, where A^t is the transpose of A , J is the $n \times n$ matrix of ones, $k_j > \lambda > 0$ ($1 \leq j \leq n$), and not all k_j 's are equal. Ryser [4] and Woodall [6] showed that such an A has precisely two row sums r_1 and r_2 ($r_1 > r_2$) with $r_1 + r_2 = n + 1$. Let e_1 be the number of the rows of A with sum r_1 . It is shown that if $e_1 = 4$, then $\lambda = 3$.

1. Introduction

A λ -design is a family of subsets S_1, S_2, \dots, S_n of $\{1, 2, \dots, n\}$ such that $|S_i| = k_i > \lambda > 0$ ($1 \leq i \leq n$), $|S_i \cap S_j| = \lambda$, ($1 \leq i \neq j \leq n$), and not all k_i 's are equal. In terms of the point-block incidence matrix, it can be viewed as an $n \times n$ (0,1)-matrix A such that

$$A^t A = \lambda J + \text{diag} [k_1 - \lambda, \dots, k_n - \lambda] \quad (1.1)$$

where A^t is the transpose of A , J is the $n \times n$ matrix of ones. The fundamental structure of λ -designs, established by Ryser [4] and Woodall [6], is that A has precisely two row sums: e_1 rows with sum r_1 ; e_2 rows with sum r_2 , where $r_1 > r_2$ and $r_1 + r_2 = n + 1$. More properties of λ -designs are discussed in [1, 3, 4, 6].

To complement a (0,1)-matrix with respect to a fixed column is to subtract the fixed column from all the other columns and identify -1 's with 1 's. Complementing the incidence matrix of a symmetric (v, k, λ') -design (not of the form $(4\lambda - 1, 2\lambda, \lambda)$) with respect to a fixed column gives a λ -design with $\lambda = k - \lambda'$. (If the symmetric design is of the form $(4\lambda - 1, 2\lambda, \lambda)$, the result is again a symmetric $(4\lambda - 1, 2\lambda, \lambda)$ -design. cf. Theorem 2 of [3].) All the known examples of λ -designs are obtained in this way. Such λ -designs are called type-1 λ -designs according to [1]. The " λ -design conjecture" says that all λ -designs are of type-1. The conjecture has been verified for $1 \leq \lambda \leq 9$ ([2]) and for all prime values of λ ([5]). It is easily seen that $\lambda \leq e_1$ if the conjecture is true. On the other hand, the proof of " $\lambda \leq e_1$ " would be a considerable step towards the proof of the " λ -design conjecture". It was proved that $e_1 = 1$ implies $\lambda = 1$, that $e_1 = 2$ is impossible ([1]), and that $e_1 = 3$ implies $\lambda = 2$ ([7]). Here we prove that if $e_1 = 4$, then $\lambda = 3$. Hence all the λ -designs with $e_1 \leq 4$ are of type-1.

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By a suitable permutation, we can always assume that a λ -design A is in the form

$$A = \begin{bmatrix} \underbrace{A_0}_{f_0} & \underbrace{A_1}_{f_1} & \cdots & \underbrace{A_{e_1}}_{f_{e_1}} \end{bmatrix}_{e_1} \quad (1.2)$$

where $[A_0 A_1 \dots A_{e_1}]$ has row sum r_1 , $[B_0 B_1 \dots B_{e_1}]$ has row sum r_2 , A_i has column sum i ($0 \leq i \leq e_1$). (B_i has constant column sum by (1.3) below.) Let k'_j, k^*_j be the column sums of the j^{th} columns of $[A_0 A_1 \dots A_{e_1}]$ and $[B_0 B_1 \dots B_{e_1}]$ respectively. Then $k'_j + k^*_j = k_j$. Let $\rho = \frac{r_1 - 1}{r_2 - 1} > 1$. The following facts ((1.3)–(1.10)) are from [1], [4] and [6]:

$$k^*_j = \lambda - \rho(k'_j - \lambda) \quad (1.3)$$

$$\sum_{j=1}^n \frac{1}{k_j - \lambda} = \frac{\lambda(1 + \rho)^2 - \rho}{\lambda\rho} \quad (1.4)$$

$$e_1 = \frac{\lambda(1 + \rho)^2 - (\rho + n)}{\rho^2 - 1} \quad (1.5)$$

$$r_1 = \frac{n\rho + 1}{\rho + 1}, \quad r_2 = \frac{n + \rho}{\rho + 1} \quad (1.6)$$

$$(\det A)^2 = \frac{\lambda(1 + \rho)^2}{\rho} \prod_{j=1}^n (k_j - \lambda) \quad (1.7)$$

$$\rho \leq \lambda, \text{ if } e_1 > 1 \quad (1.8)$$

$$A \begin{bmatrix} \frac{1}{k_1 - \lambda} & & \\ & \ddots & \\ & & \frac{1}{k_n - \lambda} \end{bmatrix} A^t = I + \begin{bmatrix} \rho J_{e_1} & J \\ J & \frac{1}{\rho} J_{e_2} \end{bmatrix}. \quad (1.9)$$

In (1.9), J_{e_1}, J_{e_2} are the square matrices of ones of orders e_1 and e_2 , the remaining two J 's are the matrices of ones of suitable sizes.

If A has two column sums, namely $A = \begin{bmatrix} A_{i_1} & A_{i_2} \\ B_{i_1} & B_{i_2} \end{bmatrix}$, then

$$A_{i_1}, A_{i_2}, B_{i_1}, B_{i_2} \text{ all have constant row sums.} \quad (1.10)$$

We define the balanced inner product (BIP) of two vectors (a_1, a_2, \dots, a_n) and (b_1, b_2, \dots, b_n) to be $\sum_{j=1}^n \frac{a_j b_j}{k_j - \lambda}$. For $1 \leq i, j \leq n$, let $\text{BIP}(i, j)$ be the BIP of the i^{th} and the j^{th} rows of A , $\text{BIP}(i, \underline{j})$ the BIP of the i^{th} row of A and the complement

of the j^{th} row of A , $\text{BIP}(\underline{i}, \underline{j})$ the BIP of the complements of the i^{th} and the j^{th} rows of A . All these BIP's can be found using (1.9) and (1.4) (cf. [3]). We list the ones to be applied in the following section:

$$\text{BIP}(i, i) = 1 + \rho, \quad 1 \leq i \leq e_1 \quad (1.11)$$

$$\text{BIP}(i, j) = \rho, \quad 1 \leq i, j \leq e_1, \quad i \neq j \quad (1.12)$$

$$\text{BIP}(i, \underline{j}) = \frac{1}{\rho}, \quad e_1 < i \leq n, \quad 1 \leq j \leq e_1 \quad (1.13)$$

$$\text{BIP}(\underline{i}, \underline{j}) = \frac{\lambda - \rho}{\lambda \rho}, \quad 1 \leq i, j \leq e_1, \quad i \neq j \quad (1.14)$$

$$\text{BIP}(\underline{i}, \underline{j}) = \rho - \frac{1}{\lambda}, \quad e_1 < i, j \leq n, \quad i \neq j \quad (1.15)$$

2. $\lambda = 3$ when $e_1 = 4$

The aim of this section is to prove that $\lambda = 3$ when $e_1 = 4$ (Theorem 2.4). We assume $e_1 = 4$ from now on. It follows from (1.5) and (1.6) that

$$n = (\lambda - 4)\rho^2 + (2\lambda - 1)\rho + (\lambda + 4) \quad (2.1)$$

$$r_1 = (\lambda - 4)\rho^2 + (\lambda + 3)\rho + 1 \quad (2.2)$$

$$r_2 = (\lambda - 4)\rho + (\lambda + 4) \quad (2.3)$$

Recall that in (1.2), A_i has column sum i ($0 \leq i \leq 4$). Let ℓ_i^* and ℓ_i be the column sums of B_i and $\begin{bmatrix} A_i \\ B_i \end{bmatrix}$ respectively ($0 \leq i \leq 4$). Using (1.3), we have the following table:

i	0	1	2	3	4
ℓ_i^*	$\lambda + \lambda\rho$	$\lambda + \lambda\rho - \rho$	$\lambda + \lambda\rho - 2\rho$	$\lambda + \lambda\rho - 3\rho$	$\lambda + \lambda\rho - 4\rho$
ℓ_i	$\lambda + \lambda\rho$	$\lambda + \lambda\rho - \rho + 1$	$\lambda + \lambda\rho - 2\rho + 2$	$\lambda + \lambda\rho - 3\rho + 3$	$\lambda + \lambda\rho - 4\rho + 4$
No. of columns of A_i	f_0	f_1	f_2	f_3	f_4

Table 2.1. Values of ℓ_i^* and ℓ_i

Let Z be the set of integers.

Lemma 2.1. $\rho \in Z$.

Proof: First suppose $\exists 0 \leq i \leq 3$ such that $f_i \neq 0$ and $f_{i+1} \neq 0$. Since $f_i \neq 0$ implies $\ell_i^* \in Z$, we have $\ell_i^* - \ell_{i+1}^* = \rho \in Z$. Now it is sufficient to consider the following cases: (i) $f_0 = f_2 = f_3 = 0$; (ii) $f_0 = f_2 = f_4 = 0$; (iii) $f_1 = f_2 = f_4 = 0$; (iv) $f_1 = f_3 = 0$.

(i) $f_0 = f_2 = f_3 = 0$. $A = \begin{bmatrix} A_1 & A_4 \\ B_1 & B_4 \end{bmatrix}$. By (1.10)

$$A_1 = \begin{bmatrix} \overbrace{1 \dots 1}^{\frac{1}{4}f_1} & & & \\ & \overbrace{1 \dots 1}^{\frac{1}{4}f_1} & & \\ & & \overbrace{1 \dots 1}^{\frac{1}{4}f_1} & \\ & & & \overbrace{1 \dots 1}^{\frac{1}{4}f_1} \end{bmatrix}$$

Hence (1.11) and (1.12) give

$$\begin{aligned} \frac{1}{4} \frac{f_1}{\lambda\rho - \rho + 1} + \frac{f_4}{\lambda\rho - 4\rho + 4} &= \text{BIP}(1, 1) = 1 + \rho \\ \frac{f_4}{\lambda\rho - 4\rho + 4} &= \text{BIP}(1, 2) = \rho \end{aligned}$$

So, $\frac{f_1}{\lambda\rho - \rho + 1} = 4$. By (1.4)

$$\frac{\lambda(1 + \rho)^2 - \rho}{\lambda\rho} = \sum_{j=1}^n \frac{1}{k_j - \lambda} = \frac{f_1}{\lambda\rho - \rho + 1} + \frac{f_4}{\lambda\rho - 4\rho + 4} = \rho + 4$$

which implies $\lambda - 2\lambda\rho - \rho = 0$. Contradiction since $\rho > 1$.

(ii) $f_0 = f_2 = f_4 = 0$. Since a λ -design has at least two column sums, we must have $f_1 > 0$, $f_3 > 0$. Hence $\ell_1^* - \ell_3^* = 2\rho \in Z$. Then $\lambda\rho \in Z$ since $r_2 = (\lambda - 4)\rho + (\lambda + 4) \in Z$. Therefore $\rho \in Z$ since $\ell_1^* = \lambda + \lambda\rho - \rho \in Z$.

(iii) $f_1 = f_2 = f_4 = 0$. $A = \begin{bmatrix} A_0 & A_3 \\ B_0 & B_3 \end{bmatrix}$. By (1.10)

$$A_3 = \begin{bmatrix} \overbrace{0 \dots 0}^{\frac{1}{4}f_3} & \overbrace{1 \dots 1}^{\frac{1}{4}f_3} & \overbrace{1 \dots 1}^{\frac{1}{4}f_3} & \overbrace{1 \dots 1}^{\frac{1}{4}f_3} \\ \overbrace{1 \dots 1}^{\frac{1}{4}f_3} & \overbrace{0 \dots 0}^{\frac{1}{4}f_3} & \overbrace{1 \dots 1}^{\frac{1}{4}f_3} & \overbrace{1 \dots 1}^{\frac{1}{4}f_3} \\ \overbrace{1 \dots 1}^{\frac{1}{4}f_3} & \overbrace{1 \dots 1}^{\frac{1}{4}f_3} & \overbrace{0 \dots 0}^{\frac{1}{4}f_3} & \overbrace{1 \dots 1}^{\frac{1}{4}f_3} \\ \overbrace{1 \dots 1}^{\frac{1}{4}f_3} & \overbrace{1 \dots 1}^{\frac{1}{4}f_3} & \overbrace{1 \dots 1}^{\frac{1}{4}f_3} & \overbrace{0 \dots 0}^{\frac{1}{4}f_3} \end{bmatrix}$$

As in (i), we have

$$\begin{aligned} \frac{3}{4} \frac{f_3}{\lambda\rho - 3\rho + 3} &= \text{BIP}(1, 1) = 1 + \rho \\ \frac{1}{2} \frac{f_3}{\lambda\rho - 3\rho + 3} &= \text{BIP}(1, 2) = \rho \end{aligned}$$

Hence $\rho = 2$.

(iv) $f_1 = f_3 = 0$. $A = \begin{bmatrix} A_0 & A_2 & A_4 \\ B_0 & B_2 & B_4 \end{bmatrix}$. We have

$$f_0 + f_2 + f_4 = n \tag{2.4}$$

$$2f_2 + 4f_4 = 4r_1 \tag{2.5}$$

$$\frac{f_0}{\lambda\rho} + \frac{f_2}{\lambda\rho - 2\rho + 2} + \frac{f_4}{\lambda\rho - 4\rho + 4} = \frac{\lambda(1 + \rho)^2 - \rho}{\lambda\rho} \tag{2.6}$$

where (2.5) comes by counting the 1's in $[A_0 A_2 A_4]$, (2.6) is (1.4). Solve the above system to get

$$f_0 = \left(1 - \frac{\rho}{2}\right) \lambda - \rho \tag{2.7}$$

$$f_2 = 3(\lambda\rho - 2\rho + 2) > 0 \tag{2.8}$$

$$f_4 = \left(\rho - \frac{1}{2}\right) (\lambda\rho - 4\rho + 4) \tag{2.9}$$

In (2.8), $f_2 > 0$ since $\lambda \geq 2$ ($\lambda \geq \rho > 1$). At least one of f_0 and f_4 is nonzero since a λ -design has at least two column sums. Hence $\ell_2^* - \ell_4^*$ (or $\ell_0^* - \ell_2^*$) = $2\rho \in \mathcal{Z}$. Then $\rho = \frac{t}{2}$ where $t \geq 3$ is an integer. Putting $\rho = \frac{t}{2}$ in (2.7) and noticing $f_0 \geq 0$, we see that $t = 3$, namely $\rho = \frac{3}{2}$. $\forall 1 \leq i \leq 4$, $1 \leq j \leq n - 4$, by (1.3)

$$\frac{a(j)}{\lambda\rho} + \frac{b(i, j)}{\lambda\rho - 2(\rho - 1)} = \text{BIP}(j + 4, i) = \frac{1}{\rho} \tag{2.10}$$

where $a(j)$ is the j^{th} row sum of B_0 , $b(i, j)$ is the inner product of the j^{th} row of B_2 and the complement of the i^{th} row of A_2 . Multiplying (2.10) by $\lambda\rho$, we have $\frac{\lambda\rho}{\lambda\rho - 2(\rho - 1)} b(i, j) \in \mathcal{Z}$, or $\frac{2(\rho - 1)}{\lambda\rho - 2(\rho - 1)} b(i, j) \in \mathcal{Z}$. Hence

$$\frac{b(i, j)}{\lambda\rho - 2(\rho - 1)} = \frac{k}{2(\rho - 1)}, \quad k \in \mathcal{Z} \tag{2.11}$$

Now $\frac{k}{2(\rho - 1)} \leq \frac{1}{\rho}$ (by (2.10) and (2.11)) and $\rho = \frac{3}{2}$ forces $k = 0$. Hence $b(i, j) = 0$ for all $1 \leq i \leq 4$, $1 \leq j \leq n - 4$. Then $B_2 = 0$. Noticing that B_2 must occur (since $f_2 > 0$), we have

$$\ell_2^* = \lambda + \lambda\rho - 2\rho = 0$$

which implies $\lambda = \frac{6}{5}$. Contradiction. ■

Lemma 2.2. If $a, b, c, a_1, b_1, c_1, \frac{a_1}{a} + \frac{b_1}{b} + \frac{c_1}{c} \in Z$, then

$$\frac{b_1}{b} = \frac{k}{d} \quad (2.12)$$

where $d = [(a, b), (b, c)]$ and $k \in Z$. ((\dots) denotes the greatest common divisor, $[\dots]$ denotes the least common multiple.)

Proof: Let $d_1 = (a, b)$, $d_2 = (b, c)$, then

$$\begin{aligned} a &= sd_1, & b &= td_1, & (s, t) &= 1 \\ b &= t'd_2, & c &= s'd_2, & (s', t') &= 1 \end{aligned}$$

Multiplying $\frac{a_1}{a} + \frac{b_1}{b} + \frac{c_1}{c}$ by $ss'd$, we have $\frac{ss'db_1}{td_1} \in Z$. Hence $\frac{s'db_1}{td_1} \in Z$, namely, $\frac{s'db_1}{t'd_2} \in Z$. So, $\frac{db_1}{t'd_2} = \frac{db_1}{b} \in Z$. ■

Lemma 2.3. $f_1 = f_2 = 0$.

Proof: $\forall 1 \leq i \leq j \leq 4$, by (1.14)

$$\frac{f_0}{\lambda\rho} + \frac{a(i, j)}{\lambda\rho - (\rho - 1)} + \frac{b(i, j)}{\lambda\rho - 2(\rho - 1)} = \text{BIP}(i, j) = \frac{\lambda - \rho}{\lambda\rho} \quad (2.13)$$

where $a(i, j)$ is the number of common zeros of the i^{th} and the j^{th} rows of A_1 , $b(i, j)$ is the same number for A_2 . Rewrite (2.13) as

$$\frac{f_0 - (\lambda - \rho)}{\lambda\rho} + \frac{a(i, j)}{\lambda\rho - (\rho - 1)} + \frac{b(i, j)}{\lambda\rho - 2(\rho - 1)} = 0 \in Z \quad (2.14)$$

and apply Lemma 2.2, we have

$$\frac{a(i, j)}{\lambda\rho - (\rho - 1)} = \frac{k}{\rho - 1}, \quad k \in Z \quad (2.15)$$

$$\frac{b(i, j)}{\lambda\rho - 2(\rho - 1)} = \frac{m}{2(\rho - 1)}, \quad m \in Z \quad (2.16)$$

(In obtaining (2.15), notice that $[(\lambda\rho, \lambda\rho - (\rho - 1)), (\lambda\rho - (\rho - 1), \lambda - 2(\rho - 1))] \mid (\rho - 1)$. Similar for (2.16).) From (2.14) and (2.15), we have $\frac{k}{\rho - 1} \leq \frac{\lambda - \rho}{\lambda\rho}$. This forces $k = 0$. Hence $a(i, j) = 0$ for all $1 \leq i < j \leq 4$. So $f_1 = 0$. From (2.14), $b(i, j) = b$ is independent of i and j . Hence A_2 must be of the form

$$A_2 = \begin{bmatrix} \overbrace{0 \dots 0}^b & \overbrace{0 \dots 0}^b & \overbrace{0 \dots 0}^b & \overbrace{1 \dots 1}^b & \overbrace{1 \dots 1}^b & \overbrace{1 \dots 1}^b \\ \overbrace{0 \dots 0}^b & \overbrace{1 \dots 1}^b & \overbrace{1 \dots 1}^b & \overbrace{0 \dots 0}^b & \overbrace{0 \dots 0}^b & \overbrace{1 \dots 1}^b \\ \overbrace{1 \dots 1}^b & \overbrace{0 \dots 0}^b & \overbrace{1 \dots 1}^b & \overbrace{0 \dots 0}^b & \overbrace{1 \dots 1}^b & \overbrace{0 \dots 0}^b \\ \overbrace{1 \dots 1}^b & \overbrace{1 \dots 1}^b & \overbrace{0 \dots 0}^b & \overbrace{1 \dots 1}^b & \overbrace{0 \dots 0}^b & \overbrace{0 \dots 0}^b \end{bmatrix}$$

Hence

$$b = \frac{f_2}{6} \tag{2.17}$$

By (2.14) and (2.16), $\frac{m}{2(\rho-1)} \leq \frac{\lambda-\rho}{\lambda\rho}$. This forces $m = 0$ or 1 . If $m = 0$, then $b = 0$ and $f_2 = 0$. We are done.

Now assume $m = 1$. Then one can find that

$$f_0 = \lambda - \rho - \frac{\lambda\rho}{2(\rho-1)} \tag{2.18}$$

$$f_2 = \frac{3}{\rho-1} [\lambda\rho - 2(\rho-1)] > 0 \tag{2.19}$$

$$f_3 = 4 \frac{\rho-2}{\rho-1} [\lambda\rho - 3(\rho-1)] \tag{2.20}$$

$$f_4 = \frac{1}{2(\rho-1)} [2(\lambda-4)\rho^3 + (-6\lambda+32)\rho^2 + (7\lambda-52)\rho + 28] \tag{2.21}$$

((2.18) comes from (2.13) and (2.16); (2.19) comes from (2.17) and (2.16); (2.20) and (2.21) come from $f_0 + f_2 + f_3 + f_4 = n$ and $2f_2 + 3f_3 + 4f_4 = 4r_1$.) $\forall 1 \leq i \leq 4, 1 \leq j \leq n-4$, let $u(j), v(j)$ be the j^{th} row sums of B_0 and B_2 , $w(i, j)$ be the inner product of the j^{th} row of B_2 and the complement of the i^{th} row of A_2 , $x(i, j)$ be the same inner product for B_3 and A_3 . By (1.13)

$$\frac{u(j)}{\lambda\rho} + \frac{w(i, j)}{\lambda\rho - 2(\rho-1)} + \frac{x(i, j)}{\lambda\rho - 3(\rho-1)} = \text{BIP}(j+4, \underline{i}) = \frac{1}{\rho} \tag{2.22}$$

By (2.22) and Lemma 2.2,

$$\frac{w(i, j)}{\lambda\rho - 2(\rho-1)} = \frac{p}{2(\rho-1)}, \quad p \in \mathbb{Z} \tag{2.23}$$

$$\frac{x(i, j)}{\lambda\rho - 3(\rho-1)} = \frac{q}{3(\rho-1)}, \quad q \in \mathbb{Z} \tag{2.24}$$

$\frac{p}{2(\rho-1)} \leq \frac{1}{\rho}$ forces $p = 0$ or 1 . If $v(j) = 0$, then $w(i, j) = 0$. Hence $p = 0$. By (2.22), (2.23) and (2.24),

$$u(j) = \lambda - q \frac{\lambda\rho}{3(\rho-1)}$$

$0 \leq u(j) \leq f_0 = \lambda - \rho - \frac{\lambda\rho}{2(\rho-1)}$ forces $q = 2$. Hence

$$u(j) = \lambda \frac{\rho - 3}{3(\rho - 1)} = u_1, \quad \text{if } v(j) = 0 \quad (2.25)$$

If $v(j) \neq 0$, then $w(i, j) \neq 0$ for some i . Hence $p = 1$ in (2.23). By (2.22), (2.23), and (2.24),

$$u(j) = \lambda - \frac{\lambda\rho}{2(\rho - 1)} - q \frac{\lambda\rho}{3(\rho - 1)}.$$

$0 \leq u(j) \leq f_0 = \lambda - \rho - \frac{\lambda\rho}{2(\rho-1)}$ forces $q = 1$. Hence

$$u(j) = \lambda \frac{\rho - 6}{6(\rho - 1)} = u_2, \quad \text{if } v(j) \neq 0 \quad (2.26)$$

Write A as

$$\left[\begin{array}{c|c|c|c} A_0 & A_2 & A_3 & A_4 \\ \hline B_0^{(1)} & 0 & & \\ \hline B_0^{(2)} & B_2^{(2)} & & \end{array} \right]$$

where $B_0^{(1)}$ has row sum u_1 , $B_0^{(2)}$ has row sum u_2 . B_2 must occur since $f_2 > 0$ by (2.19). If $B_2 = 0$, then $\ell_2^* = \lambda + \lambda\rho - 2\rho = 0$, namely, $\lambda = \frac{2\rho}{1+\rho} < 2$. Contradiction. So, $B_2 \neq 0$. Hence u_2 must occur, and $\rho \geq 6$ by (2.26). Counting the 1's of the submatrix of $B_0^{(2)}$ corresponding to the 1's of a column of $B_2^{(2)}$, we have

$$(\lambda + \lambda\rho - 2\rho)u_2 = f_0\lambda$$

which yields

$$(8 - \rho)\lambda = 4\rho + 6 \quad (2.27)$$

Hence $\rho \leq 7$. If $\rho = 7$, $\lambda = 34$ by (2.27). Then by (2.26)

$$u_2 = \lambda \frac{\rho - 6}{6(\rho - 1)} = \frac{34}{36} \notin Z$$

Contradiction. If $\rho = 6$, $\lambda = 15$ by (2.27). From (2.18) through (2.21), $f_0 = 0$; f_2 and f_3 are even; f_4 is odd. By (1.7)

$$\begin{aligned} (\det A)^2 &= \frac{\lambda(1+\rho)^2}{\rho} \prod_{j=1}^n (k_j - \lambda) \\ &= \frac{\lambda(1+\rho)^2}{\rho} (\ell_2 - \lambda)^{f_2} (\ell_3 - \lambda)^{f_3} (\ell_4 - \lambda)^{f_4} \end{aligned}$$

Hence

$$\frac{\lambda}{\rho} (\ell_4 - \lambda) = \frac{\lambda}{\rho} [\lambda\rho - 4(\rho - 1)] = 5^2 \times 7$$

must be a square of a rational number. Contradiction. ■

Theorem 2.4. $\lambda = 3$.

Proof: Since $f_1 = f_2 = 0$, we can find that

$$f_0 = \lambda - \rho \tag{2.28}$$

$$f_3 = 4[\lambda\rho - 3(\rho - 1)] > 0 \tag{2.29}$$

$$f_4 = (\lambda - 4)\rho^2 + (-2\lambda + 12)\rho - 8 \tag{2.30}$$

(2.28) comes from (1.14); (2.29) and (2.30) come from $f_0 + f_3 + f_4 = n$ and $3f_3 + 4f_4 = 4r_1$. In (2.29), $f_3 > 0$ since $\rho \leq \lambda$. $\forall 1 \leq i \leq 4, 1 \leq j \leq n-4$, let $u(j), v(j)$ be the j^{th} row sums of B_0 and B_3 , $w(i, j)$ be the inner product of the j^{th} row of B_3 and the complement of the i^{th} row of A_3 . By (1.13)

$$\frac{u(j)}{\lambda\rho} + \frac{w(i, j)}{\lambda\rho - 3(\rho - 1)} = \text{BIP}(j + 4, i) = \frac{1}{\rho}. \tag{2.31}$$

Hence $w(i, j) = w(j)$ is independent of i . But

$$v(j) = w(1, j) + w(2, j) + w(3, j) + w(4, j) = 4w(j).$$

So $w(j) = \frac{v(j)}{4}$. Now (2.31) becomes

$$\frac{u(j)}{\lambda\rho} + \frac{\frac{v(j)}{4}}{\lambda\rho - 3(\rho - 1)} = \frac{1}{\rho} \tag{2.32}$$

where $\frac{v(j)}{4} \in \mathbb{Z}$. In the same way as (2.11) was obtained from (2.10), we have

$$\frac{\frac{v(j)}{4}}{\lambda\rho - 3(\rho - 1)} = \frac{t}{3(\rho - 1)}, \quad t \in \mathbb{Z}. \tag{2.33}$$

From (2.32) and (2.33), we have $\frac{1}{\rho} \geq \frac{t}{3(\rho-1)} \geq \frac{1}{\rho} - \frac{f_0}{\lambda\rho} = \frac{1}{\rho} - \frac{\lambda-\rho}{\lambda\rho} = \frac{1}{\lambda} > 0$. This forces $t = 1$ or 2 . When $t = 1$,

$$u(j) = \lambda \frac{2\rho - 3}{3(\rho - 1)} = u_1 \tag{2.34}$$

$$v(j) = 4 \frac{\lambda\rho - 3(\rho - 1)}{3(\rho - 1)} = v_1. \tag{2.35}$$

When $t = 2$,

$$u(j) = \lambda \frac{\rho - 3}{3(\rho - 1)} = u_2 \tag{2.34'}$$

$$v(j) = 8 \frac{\lambda\rho - 3(\rho - 1)}{3(\rho - 1)} = v_2. \tag{2.35'}$$

Write A as

$$s \left\{ \begin{array}{c|c|c} A_0 & A_3 & A_4 \\ \hline B_0^{(1)} & B_3^{(1)} & \\ \hline B_0^{(2)} & B_3^{(2)} & \end{array} \right\}$$

where $B_0^{(1)}, B_3^{(1)}$ have row sums with $t = 1$, $B_0^{(2)}, B_3^{(2)}$ have row sums with $t = 2$. Counting the 1's of $\begin{bmatrix} B_0^{(1)} \\ B_0^{(2)} \end{bmatrix}$, we have

$$su_1 + (n - 4 - s)u_2 = f_0(\rho + 1)\lambda.$$

Solve to get

$$s = (5 - \rho)(\rho + 1)\lambda + \rho^2 - 11\rho. \quad (2.36)$$

B_3 must occur since $f_3 > 0$ by (2.29). Let x be the sum of any column of $B_3^{(1)}$. Counting the 1's of the submatrix of $\begin{bmatrix} B_0^{(1)} \\ B_0^{(2)} \end{bmatrix}$ corresponding to the 1's of the column of $\begin{bmatrix} B_3^{(1)} \\ B_3^{(2)} \end{bmatrix}$, we have

$$xu_1 + (\lambda + \lambda\rho - 3\rho - x)u_2 = f_0\lambda$$

which yields

$$x = 5\lambda - \lambda\rho - 6. \quad (2.37)$$

Now counting the 1's of $B_3^{(1)}$, we have

$$xf_3 = sv_1$$

which leads to

$$2(\rho - 2)(\rho - 5)\lambda = -(\rho - 2)(\rho + 9). \quad (2.38)$$

By (2.38), $\rho \leq 4$. Hence $\rho = 2, 3, 4$. When $\rho = 4$, (2.38) gives $\lambda = \frac{13}{2}$. Contradiction. When $\rho = 3$, $\lambda = 3$ by (2.38), and we are done. When $\rho = 2$, $f_4 = 0$ by (2.30). $\forall 1 \leq i < j \leq n - 4$, by (1.15)

$$\frac{\alpha(i, j)}{2\lambda} + \frac{\beta(i, j)}{2\lambda - 3} = \text{BIP}(i + 4, j + 4) = 2 - \frac{1}{\lambda}$$

where $\alpha(i, j)$ is the number of common zeros of the i^{th} and the j^{th} rows of B_0 , $\beta(i, j)$ is the same number for B_3 . From the above equation,

$$\frac{\alpha(i, j) + 2}{2\lambda} + \frac{\beta(i, j)}{2\lambda - 3} = 2.$$

Hence $\frac{\alpha(i,j)+2}{2\lambda} = \frac{k}{3}$, $k \in Z$, or $\alpha(i,j) = \frac{2k\lambda}{3} - 2$. Now $0 \leq \alpha(i,j) \leq f_0 = \lambda - 2$ forces $k = 1$. So

$$\alpha(i,j) = \alpha = \frac{2}{3}\lambda - 2. \tag{2.39}$$

Since $u_2 < 0$ (by (2.34')) cannot occur,

$$u(j) = u_1 = \frac{1}{3}\lambda \text{ for all } 1 \leq j \leq n - 4. \tag{2.40}$$

From (2.39), (2.40) and (2.28),

$$\alpha(i,j) = f_0 - u(j) \text{ for all } 1 \leq i < j \leq n - 4. \tag{2.41}$$

Recalling the definitions of $\alpha(i,j)$ and $u(j)$, one can see that for (2.41) to be true, B_0 has to be of the form:

$$\begin{bmatrix} 1 \dots 1 & 0 \dots 0 \\ 1 \dots 1 & 0 \dots 0 \\ \dots & \dots \\ 1 \dots 1 & 0 \dots 0 \end{bmatrix}$$

$\underbrace{\hspace{10em}}_{u_1} \quad \underbrace{\hspace{10em}}_{\alpha}$

So $f_0 \leq 1$ since the incidence matrix of a λ -design is nonsingular. Also $f_0 > 0$ since a λ -design has at least two column sums. So $f_0 = 1$; and $\lambda = 3$ by (2.28). ■

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