

## Domination Sequences of Graphs

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**Abstract.** A dominating set  $X$  of a graph  $G$  is a  $k$ -minimal dominating set of  $G$  iff the removal of any  $\ell \leq k$  vertices from  $X$  followed by the addition of any  $\ell - 1$  vertices of  $G$  results in a set which does not dominate  $G$ . The  $k$ -minimal domination number  $\Gamma_k(G)$  of  $G$  is the largest number of vertices in a  $k$ -minimal dominating set of  $G$ . The sequence  $R : m_1 \geq m_2 \geq \dots \geq m_k \geq \dots \geq n$  of positive integers is a domination sequence iff there exists a graph  $G$  such that  $\Gamma_1(G) = m_1, \Gamma_2(G) = m_2, \dots, \Gamma_k(G) = m_k, \dots$ , and  $\gamma(G) = n$ , where  $\gamma(G)$  denotes the domination number of  $G$ . We give sufficient conditions for  $R$  to be a domination sequence.

### 1. Introduction

A set  $X$  of vertices of a graph  $G = (V, E)$  is a *dominating set* of  $G$  iff each vertex in  $V - X$  is adjacent to at least one vertex in  $X$ . The *domination number*  $\gamma(G)$  (*upper domination number*  $\Gamma(G)$ ) of  $G$  is the smallest (largest) number of vertices in a minimal dominating set of  $G$ .

The concepts of minimality and maximality in general were extended in [1]. The application of this generalisation of minimality to domination parameters in particular results in the following definitions: A dominating set  $X \subseteq V$  is a  *$k$ -minimal dominating set* of  $G$  iff for all  $\ell \in \{1, \dots, k\}$  for all  $\ell$ -subsets  $Q$  of  $X$  and all  $(\ell - 1)$  subsets  $R$  of  $V$ ,  $(X - Q) \cup R$  is not a dominating set of  $G$ . The  *$k$ -minimal domination number*  $\Gamma_k(G)$  of  $G$  is the largest cardinality of a  $k$ -minimal dominating set of  $G$ . The  $k$ -minimal domination numbers of all paths and cycles are determined in [1] and [3] respectively, while the product of  $k$ -minimal domination numbers of a graph and its complement is discussed in [2].

It is clear that for any graph  $G$ ,

$$\Gamma(G) = \Gamma_1(G) \geq \Gamma_2(G) \geq \dots \geq \Gamma_k(G) \geq \dots \geq \gamma(G).$$

The sequence

$$A : \Gamma_1(G) \geq \Gamma_2(G) \geq \dots \geq \Gamma_k(G) \geq \dots \geq \gamma(G)$$

is called the *domination sequence* of  $G$ . Further, the sequence

$$R : m_1 \geq m_2 \geq \dots \geq m_k \geq \dots \geq n$$

of positive integers is a *domination sequence* iff there exists a graph  $G$  such that

$$\Gamma_1(G) = m_1, \Gamma_2(G) = m_2, \dots, \Gamma_k(G) = m_k, \dots, \gamma(G) = n.$$

In this paper we begin the study of domination sequences of graphs. We first deduce a simple necessary condition for a sequence  $R$  to be a domination sequence and then find a sufficient condition for  $R$  to be such a sequence by explicitly constructing a graph having a given  $R$  as domination sequence. This supplies a partial solution to the problem of characterising domination sequences, which seems to be a very difficult problem in general.

## 2. Preliminary Results

We begin by stating a classical result of Ore [4, p. 206] which provides us with a useful method of determining when a dominating set is a minimal dominating set. Let  $N_G(U)$  ( $N_G[U]$ ) denote the neighbourhood (closed neighbourhood) in a graph  $G$  of a subset  $U$  of  $V(G)$ . If  $U = \{u\}$ , we also write  $N_G(u)$  for  $N_G(\{u\})$  and  $N_G[u]$  for  $N_G[\{u\}]$ .

**Proposition 1.** *A dominating set  $X$  of a graph  $G = (V, E)$  is a minimal dominating set of  $G$  iff for each  $x \in X$  one of the following two conditions hold:*

- (i)  $x$  is an isolated vertex of  $\langle X \rangle$ ;
- (ii) there exists a vertex  $y \in V - X$  such that  $N_G(y) \cap X = \{x\}$ .

Although  $\Gamma_k(G)$  is defined for all positive integers  $k$  it is clear from the finiteness of  $G$  that the sequence  $A$  above can contain only finitely many distinct integers. In our next result we show that the maximum number of distinct integers in  $A$  depends on the value of  $\gamma(G)$ .

**Proposition 2.** *If  $R : m_1 \geq m_2 \geq \dots \geq m_k \geq \dots \geq n$  is a domination sequence, then  $m_i = n$  for all  $i \geq n + 1$ .*

**Proof:** Let  $G$  be a graph having  $R$  as its domination sequence and let  $X$  be a dominating set of  $G$  with  $|X| = n$ . If  $Y$  is a dominating set of  $G$  with  $|Y| \geq n + 1$  and  $Y'$  is any  $(n + 1)$ -subset of  $Y$ , then  $(Y - Y') \cup X$  dominates  $G$ , showing that  $Y$  is not  $(n + 1)$ -minimal and consequently not  $i$ -minimal for  $i \geq n + 1$ . It follows that  $\Gamma_i(G) \leq n$  for all  $i \geq n + 1$  and since  $\gamma(G) = n$ ,  $\Gamma_i(G) = n$  for all  $i \geq n + 1$ . ■

We now devote the rest of this paper to the following question: *For which positive integers  $k$  and  $m_1, \dots, m_k, m_{k+1}$  with  $m_1 \geq \dots \geq m_k \geq m_{k+1} = k$  does there exist a graph  $G$  such that*

$$\gamma(G) = k \text{ and } \Gamma_i(G) = m_i, \quad i = 1, \dots, k?$$

We shall also need the following definition: An  $\ell$ -subset  $Q$  of a dominating set  $X$  of a graph  $G$  is said to be *stable (unstable)* iff there does not exist (there exists) an  $(\ell - 1)$ -subset  $R$  of  $V - X$  such that  $(X - Q) \cup R$  is dominating. Notice that a dominating set is  $k$ -minimal iff for each  $1 \leq \ell \leq k$ , all  $\ell$ -subsets of  $X$  are stable.

### 3. Main Construction

Consider the sequence  $R : m_1 \geq \dots \geq m_k \geq m_{k+1} = k$  as above. Let  $K = \{1, \dots, k\}$  and  $I' = \{i \in K - \{1\} : m_i > m_{i+1}\}$ . If  $I' = \emptyset$  define  $I$  as

$$I = \begin{cases} \emptyset & \text{if } m_1 = m_2 \\ \{1\} & \text{otherwise.} \end{cases}$$

If  $I' \neq \emptyset$ , let  $a' = \max_{i \in I'} \{i\}$ ; we assume  $m_1 \geq m_2 + a' - 2$  since this is required by the sufficiency condition, and define  $I$  as

$$I = \begin{cases} I' & \text{if } m_1 = m_2 + a' - 2 \\ I' \cup \{1\} & \text{otherwise.} \end{cases}$$

Suppose that  $|I| = q$  and  $I = \{a_1, \dots, a_q\}$  with  $a_1 \leq \dots \leq a_q = a'$  and define  $Q = \{1, \dots, q\}$ . For each  $i \in Q$  for which  $a_i \geq 2$ , let  $n_i = m_{a_i} - m_{a_i+1} + a_i - a_{i-1}$ , where  $a_0$  is defined to be 0. If  $a_1 = 1$  let  $n_1 = m_1 - m_2 - a_q + 3$  if  $a_q \geq 2$ , and  $n_1 = m_1 - m_2 + 1$  if  $a_q = 1$ . Note that  $m_{a_i+1} = m_{a_i+2} = \dots = m_{a_{i+1}}$  (where  $m_{a_{q+1}}$  denotes  $m_{k+1} = k$ ), and that  $n_i \geq 2$  for all  $a_i \in I$ .

For each  $i \in Q$  define  $H_i = \overline{K}_{n_i}$  and construct  $\tilde{H}_i$  as follows: Take  $a_i$  copies  $H_{i1}, \dots, H_{ia_i}$  of  $H_i$  with  $V(H_{ij}) = \{v_{ij\lambda} | \lambda = 1, \dots, n_i\}$  and join the vertices of each  $H_{ij}$  to the vertices of each  $H_{i\ell}$ ,  $j \neq \ell$ ;  $j, \ell = 1, \dots, a_i$ , by the 1-factor  $\{v_{ijr}v_{i\ell r} | r = 1, \dots, n_i\}$ . Note that in this way  $n_i$  disjoint copies of  $K_{a_i}$  are formed. Add a set  $S_i = \{s_{i1}, \dots, s_{ia_i}\}$  of  $a_i$  independent vertices and join each  $s_{ij}$  to all vertices of  $H_{ij}$  as well as to each vertex  $v_{i\ell 1}$ ,  $\ell \neq j$ ;  $\ell, j = 1, \dots, a_i$ . The resulting graph is  $\tilde{H}_i$ .

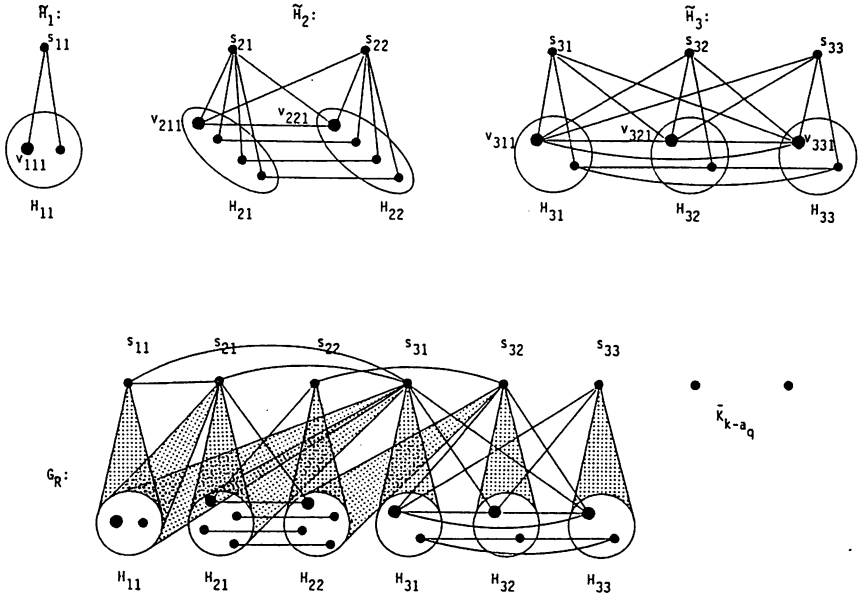
Now form  $G_R$  by joining the graphs  $\tilde{H}_i$  recursively as follows: Let  $G_1 = \tilde{H}_1$  and let  $G_i$  be the graph obtained by joining  $\tilde{H}_i$  to  $G_{i-1}$  with the edges  $s_{i\tau}s_{j\tau}$  where  $j < i$  and  $\tau \leq a_j$ , and all edges from  $s_{i\tau}$  to  $H_{j\tau}$  where  $j < i$  and  $\tau \leq a_j$ . Then

$$G_R = \begin{cases} G_q & \text{if } a_q = k \\ G_q \cup \overline{K}_{k-a_q} & \text{if } a_q < k \\ \overline{K}_k & \text{if } I = \emptyset. \end{cases}$$

The construction of  $G_R$  is illustrated in Figure 1.

### 4. Lower Bounds for $\Gamma_i(G_R)$

Our purpose is to impose suitable conditions on  $R$  so that it will be the domination sequence of  $G_R$ . In this section we formulate and prove various lemmas concerning dominating sets of  $G_R$  and its subgraphs and finally establish that  $\Gamma_i(G_R) \geq m_i$  for all  $i \geq 2$ . It is significant that no restrictions on the  $m_i$ 's are necessary to obtain this result.



**Figure 1:** Illustration of construction with  $m_1 = 11$ ,  $m_2 = 9$ ,  $m_3 = 6$ ,  $m_4 = m_5 = m_6 = k = 5$

**Lemma 1.** For any  $i \in Q$  and any  $j = 1, \dots, a_i$ ,  $V(H_{ij})$  is an  $a_i$ -minimal, but, if  $n_i > a_i$ , not an  $(a_i + 1)$ -minimal dominating set of  $\tilde{H}_i$ .

**Proof:** It is clear that  $D = V(H_{ij})$  dominates  $V(H_{i\ell})$ ,  $\ell = 1, \dots, a_i$  as well as  $S_i$ . Moreover, if  $|D| > a_i$ , then, since  $|S_i| = a_i$  and  $S_i$  dominates  $\tilde{H}_i$ , no  $(a_i + 1)$ -subset of  $D$  is stable. We now show that  $D$  is  $a_i$ -minimal.

For  $r \leq a_i$ , let  $X$  be any  $r$ -subset of  $D$  and suppose, contrary to the required result, that there exists an  $(r - 1)$ -subset  $Y \cup Y'$  of  $V(\tilde{H}_i)$  such that

$$\begin{aligned} Y &\subseteq S_i, \\ Y' &\subseteq \bigcup_{\ell=1}^{a_i} V(H_{i\ell}), \\ Y \cup Y' \cup (D - X) &\text{ dominates } \tilde{H}_i. \end{aligned}$$

If  $Y = \emptyset$ , in order to dominate  $\bigcup_{\ell=1}^{a_i} V(H_{i\ell})$  we must have  $|Y'| \geq r$ , a contradiction. If  $Y \neq \emptyset$  then at least  $r - 1$  vertices of at least one  $H_{ij}$  are undominated by  $Y \cup (D - X)$ . Therefore  $|Y'| \geq r - 1$  and  $|Y \cup Y'| \geq r$ , a contradiction. ■

It is important to realise that a dominating set  $X \subseteq \bigcup_{j=1}^{a_i} V(H_{ij})$  of  $\tilde{H}_i$  is not necessarily contained in  $V(H_{ij})$  for some  $j$ . Some properties of such dominating sets are given in Lemma 2 while further properties are discussed in Lemma 4(ii).

**Lemma 2.** For any  $i \in Q$  and any dominating set  $X$  of  $\tilde{H}_i$  with  $X \subseteq \cup_{j=1}^{a_i} V(H_{ij})$ , the following conditions hold:

- (i)  $|X| \geq n_i$ ;
- (ii)  $X$  is  $a_i$ -minimal if and only if  $|X| = n_i$ ;
- (iii) if  $|X| > n_i$  then  $X$  is not a minimal dominating set.

**Proof:** Clearly, (i) and (iii) follow directly from the construction of  $\tilde{H}_i$ ; the sufficiency of (ii) can be proved similarly to Lemma 1 while (iii) implies the necessity of (ii). ■

In order to find lower bounds for the upper domination numbers of  $G_R$ , we first prove that  $\Gamma_{a_i}(G_i) \geq m_{a_i} - m_{a_{i+1}} + a_i$ .

**Lemma 3.** For any  $i \in \{2, \dots, q\}$  and any  $j = 1, \dots, a_{i-1}$ , let  $D = V(H_{ij})$  and  $D_i = D \cup S_{i-1}$ . Further, let  $D_1 = V(H_{1j})$  for any  $j = 1, \dots, a_1$ . Then each  $D_i$  is an  $a_i$ -minimal but not  $(a_i + 1)$ -minimal dominating set of  $G_i$  containing, if  $a_i \geq 2$ , precisely  $m_{a_i} - m_{a_{i+1}} + a_i$  vertices.

**Proof:** It is easy to see that  $|D_i| = m_{a_i} - m_{a_{i+1}} + a_i$  if  $a_i \geq 2$ . Also, referring to the construction of  $G_R$ , it is clear that  $S_{i-1}$  dominates  $G_{i-1}$  and hence  $D_i$  dominates  $G_i$ . Since  $S_i$  dominates  $G_i$  and  $|D_i| \geq a_i + 1$ , the removal of any  $a_i + 1$  vertices from  $D_i$  followed by the addition of  $S_i$  yields a dominating set  $D'$  of  $G_i$  with  $|D'| = |D_i| - 1$ , showing that  $D_i$  is not  $(a_i + 1)$ -minimal. We now show by induction over  $i$  that  $D_i$  is an  $a_i$ -minimal dominating set of  $G_i$ .

If  $i = 1$ , then  $S_{i-1} = \phi$  and  $D_1$  is  $a_1$ -minimal by Lemma 1. For  $i \geq 2$  suppose that  $D_{i-1}$  is an  $a_{i-1}$ -minimal dominating set of  $G_{i-1}$  for any set  $D_{i-1}$  satisfying the hypothesis of the lemma and consider any dominating set of  $G_i$  of the form  $D_i = D \cup S_{i-1}$ . For  $p \leq a_i$  let  $X$  be any  $p$ -subset of  $D_i$  and suppose  $|X \cap D| = r$ ,  $|X \cap S_{i-1}| = t$ . The following vertices are not dominated by  $Z = D_i - X$ :

- (i)  $r$  vertices of  $H_{ij}$  for each  $j = 1, \dots, a_i$ , and possibly vertices of  $S_i$ ;
- (ii) all vertices of  $t$  copies of  $H_{i-1}$  if  $t = a_{i-1}$ , or the vertices other than  $v_{(i-1)j1}$  of  $t$  copies of  $H_{i-1}$  if  $t < a_{i-1}$ ;
- (iii) the vertices in at least  $a_{i-\ell} - a_{i-1} + t$  copies of  $H_{i-\ell}$ ,  $\ell \geq 2$ , (since  $a_{i-1} - t$  vertices of  $S_{i-1}$  remain in  $D_i$  and they dominate at most  $a_{i-1} - t$  copies of  $H_{i-\ell}$ );
- (iv)  $t$  vertices of  $S_{i-1}$  and at least  $a_{i-\ell} - a_{i-1} + t$  vertices of  $S_{i-\ell}$ ,  $\ell \geq 2$ .

Let  $Y \subseteq V(G_i)$  be such that  $Z \cup Y$  dominates the vertices in (ii)-(iv) above and such that  $Y$  is minimal w.r.t. this property. Then  $Y \cap (\cup_{j=1}^{a_i} V(H_{ij})) = \phi$ ,  $Y \cap \{s_{i(a_i+1)}, \dots, s_{ia_i}\} = \phi$  and for each  $j = 1, \dots, a_{i-1}$ , at most one of  $s_{ij}$  and  $s_{(i-1)j}$  is contained in  $Y$ . We now consider two cases.

**Case 1:**  $|Y \cap (S_i \cup S_{i-1})| = c \geq t$ .

Then  $|Y \cap S_i| = b$ ,  $|Y \cap S_{i-1}| = b_1$  with  $b + b_1 = c$ . In order to dominate the vertices in (i) above with as few vertices as possible, a set  $Y'$  has to be added

to  $Z \cup Y$ , where  $Y'$  contains  $d$  vertices of  $S_i$ ,  $d \in \{0, \dots, a_i - b\}$  and 0, at least  $r - 1$ , or  $r$  vertices in  $\cup_{j=1}^{a_i} V(H_{ij})$  depending on whether  $b + d = a_i$ ,  $1 \leq b + d < a_i$  or  $b + d = 0$  respectively. Clearly, if  $b + d = 0$  or  $b + d = a_i$ , then  $|Y \cup Y'| = |Y| + |Y'| \geq t + r = p$ . If  $1 \leq b + d < a_i$  and  $d \neq 0$ , then  $|Y \cup Y'| \geq t + d + r - 1 \geq t + r$ . Further, if  $d = 0$  and  $1 \leq b < a_i$ , then, since  $r \leq a_i - t$  and  $D = V(H_{ij})$  for some  $j = 1, \dots, a_{i-1}$ , at least one vertex  $s_{i\ell}$  with  $\ell \in \{a_{i-1} + 1, \dots, a_i\}$  or a vertex of  $\cup_{j=1}^{a_i} V(H_{ij})$  is not dominated by  $Z \cup Y \cup Y'$  if  $|Y' \cap (\cup_{j=1}^{a_i} V(H_{ij}))| = r - 1$ . Hence in this case  $Y'$  needs to contain  $r$  vertices in  $\cup_{j=1}^{a_i} V(H_{ij})$  so that  $|Y \cup Y'| \geq t + r = p$ . It follows that  $X$  is stable, thus settling this case.

**Case 2:**  $|Y \cap (S_i \cup S_{i-1})| = c < t$ .

Then  $Y$  contains at least  $n_{i-1} - 1$  vertices of  $\cup_{j=1}^{a_{i-1}} V(H_{(i-1)j})$ . Let  $Y'$  be the set obtained by substituting each vertex  $s_{ij}$  of  $Y$  with  $s_{(i-1)j}$ ; note that  $|Y'| = |Y|$ . Furthermore, let  $Y''$  be the set obtained by substituting  $n_{i-1} - 1$  vertices of  $Y' \cap (\cup_{j=1}^{a_{i-1}} V(H_{(i-1)j}))$  with  $n_{i-1} - 1$  corresponding vertices of  $H_{(i-1)1}$ . Again  $|Y''| = |Y'| = |Y|$ . Note that  $(Z \cap S_{i-1}) \cup Y'' = B$  is a dominating set of  $G_{i-1}$ . Let  $D_{i-1} = V(H_{(i-1)1}) \cup S_{i-2}$ , where  $S_0 = \phi$ . By the induction hypothesis,  $D_{i-1}$  is an  $a_{i-1}$ -minimal dominating set of  $G_{i-1}$ . But then  $|B| \geq |D_{i-1}|$ , for if  $|B| < |D_{i-1}|$ , then  $B$  contains at most  $a_{i-2}$  vertices not in  $D_{i-1}$  and can be obtained by removing  $a_{i-2} + 1 \leq a_{i-1}$  vertices from  $D_{i-1}$  and adding  $a_{i-2}$  vertices, contradicting the  $a_{i-1}$ -minimality of  $D_{i-1}$ . But

$$|B| = a_{i-1} - t + |Y''|$$

and

$$|D_{i-1}| = m_{a_{i-1}} - m_{a_{i-1}+1} + a_{i-1}.$$

It follows that

$$|Y''| \geq m_{a_{i-1}} - m_{a_{i-1}+1} + t \geq t + 1.$$

Hence  $|Y| \geq t + 1$  and as in Case 1, if  $Y^*$  is a set such that  $Z \cup Y \cup Y^*$  dominates  $G_i$ , then  $|Y \cup Y^*| \geq t + r = p$  so that  $X$  is stable. This completes the proof of the lemma. ■

If we take  $t = p \leq a_{i-1}$  in the proof of the above lemma and define  $D_{q+1}$  to be  $S_q$ , we get the following result:

**Corollary 1.** *For any  $i \in Q$ , the set  $S_i$  is an  $a_i$ -minimal dominating set of  $G_i$ .* ■

**Corollary 2.**  $\gamma(G_R) = k$ .

**Proof:** By Corollary 1,  $S_q$  is an  $a_q$ -minimal dominating set of  $G_q$  and it contains  $a_q$  vertices. Hence  $\gamma(G_q) = a_q$ . Clearly then,  $S_q$  together with the  $k - a_q$  isolated

vertices of  $G_R$  is a  $k$ -minimal dominating set of  $G_R$  containing  $k$  vertices so that no set with fewer than  $k$  vertices can dominate  $G_R$ . ■

We are now ready to establish lower bounds for the upper domination numbers of  $G_R$ . Let  $L = V(\overline{K}_{k-a_q})$  if  $k > a_q$  and  $L = \phi$  if  $k = a_q$ .

**Theorem 1.** For any  $i \in Q$ , the set

$$D_i^* = V(H_{q1}) \cup V(H_{(q-1)1}) \cup \dots \cup V(H_{i1}) \cup S_{i-1} \cup L$$

is an  $a_i$ -minimal but not  $(a_i + 1)$ -minimal dominating set of  $G_R$  containing, if  $a_i \geq 2$ , precisely  $k + \sum_{j=i}^q (m_{a_j} - m_{a_j+1})$  vertices.

**Proof:** It is easy to see that  $D_i^*$  dominates  $G_R$ , and simple arithmetic shows that  $|D_i^*| = k + \sum_{j=i}^q (m_{a_j} - m_{a_j+1})$  if  $a_i \geq 2$ . In Lemma 3 it is shown that  $D_i$  is not an  $(a_i + 1)$ -minimal dominating set of  $G_i$  and it follows that  $D_i^*$  is not an  $(a_i + 1)$ -minimal dominating set of  $G_R$ . Furthermore, by extending the techniques employed in the proof of Lemma 3, it can be shown that  $D_i^*$  is  $a_i$ -minimal. ■

**Corollary 3.** For each  $i \geq 2$ ,  $\Gamma_i(G_R) \geq m_i$ .

**Proof:** Let  $i \geq 2$ . For each  $i = a_q + 1, \dots, k$ ,  $S_q \cup L$  is an  $i$ -minimal dominating set of  $G_R$  with  $k = m_{a_q+1} = \dots = m_k$  vertices and therefore  $\Gamma_i(G_R) \geq m_i$ .

For each  $j = 1, \dots, q$  and each  $i = a_{j-1} + 1, \dots, a_j$ ,  $D_j^*$  is an  $i$ -minimal dominating set of  $G_R$  with

$$\begin{aligned} |D_j^*| &= k + \sum_{\ell=j}^q (m_{a_\ell} - m_{a_\ell+1}) \\ &= k + m_{a_j} - m_{a_j+1} + \dots + m_{a_q} - m_{a_q+1} \\ &= k + m_{a_j} - m_{a_j+1} + \dots + m_{a_q} - k \\ &= m_{a_j}. \end{aligned}$$

Hence  $\Gamma_i(G_R) \geq m_i = m_{a_j}$  for each  $i = a_{j-1} + 1, \dots, a_j$  and each  $j = 1, \dots, q$ . ■

### 5. Upper Bounds for $\Gamma_i(G_R)$

In this section we show that subject to certain conditions,  $\Gamma_i(G_R) \leq m_i$  for each  $i = 2, \dots, k$ . We begin by considering, for each  $i \in Q$ , all possible types of minimal dominating sets of  $\tilde{H}_i$  as listed below; although tedious it is not hard to see that these cases exhaust all possibilities. An example of each type of dominating set is given in Figure 2. Note that here  $D_1, \dots, D_4$  denote different sets than in Section 4.

**Lemma 4.** For each  $i \in Q$  the minimal dominating set of  $\tilde{H}_i$  can be divided into the following types:

- (i)  $S_i$ ;
- (ii)  $D_1 \subseteq \cup_{j=1}^{\alpha_i} V(H_{ij})$  with  $|D_1| = n_i$  — note that in this case  $D_1$  consists of exactly one vertex of each of  $n_i$  copies of  $K_{\alpha_i}$  and is  $\alpha_i$ -minimal (Lemma 2(ii)) but not necessarily  $(\alpha_i + 1)$ -minimal (as in Lemma 1);
- (iii)  $D_2$  consisting of  $n_i - 1$  vertices of  $M_i = \cup_{j=1}^{\alpha_i} (V(H_{ij}) - \{v_{ij1}\})$  such that  $D_2$  contains exactly one vertex of each of  $n_i - 1$  copies of  $K_{\alpha_i}$  and  $D_2 \cap V(H_{ij}) \neq \phi$  for at least  $\alpha_i - 1$   $j$ 's, together with one vertex from  $S_i$  (representing the copy, if necessary, of  $H_i$  not already represented) — in this case  $D_2$  is  $(\alpha_i - 1)$ -minimal but not necessarily  $\alpha_i$ -minimal (similar to the proof of lemma 1);
- (iv)  $D_3$  satisfying the same conditions as  $D_2$  above except that  $D_3 \cap V(H_{ij}) / = \phi$  for exactly  $\alpha_i - t$   $j$ 's,  $t = 2, \dots, \alpha_i - 1$ , and that  $D_3$  contains  $t$  vertices from  $S_i$  corresponding to copies of  $H_i$  not yet represented — note that  $|D_3| = n_i - 1 + t$  and  $D_3$  is not 2-minimal.
- (v)  $D_4 = S'_i \cup M'_i \cup M''_i$  (disjoint union), where  $S'_i$  is a  $t$ -subset of  $S_i$  for some  $t \in \{1, \dots, \alpha_i - 1\}$  and  $M'_i$  is an  $(\alpha_i - t)$ -subset of  $M_i$  chosen from  $\ell$  different copies of  $K_{\alpha_i}$ ,  $\ell \leq \alpha_i - t$ , and such that exactly one element of  $M'_i$  is in each copy of  $H_i$  which corresponds to a vertex of  $S_i - S'_i$ .

Finally,  $M''_i$  is an  $(n_i - 1 - \ell)$ -subset of  $M_i$  containing one vertex from each of the remaining  $(n_i - 1 - \ell)$  copies of  $K_{\alpha_i}$ . Further, each vertex of  $M''_i$  must be from a copy  $H_{ij}$  of  $H_i$  such that

- (a)  $s_{ij} \in S_i - S'_i$  and the vertex already elected in  $H_{ij} \cap M'_i$  is the only vertex of  $M'_i$  in its copy of  $K_{\alpha_i}$ , or
- (b)  $t = 1$  and  $H_{ij}$  is the unique copy of  $H_i$  corresponding to the vertex of  $S'_i$ .

Here  $|D_4| = \alpha_i + n_i - 1 - \ell \leq \alpha_i + n_i - 2$ , and  $D_4$  is not 2-minimal if  $t \geq 2$  or if  $|D_4 \cap M_i| > n_i - 1$ . ■

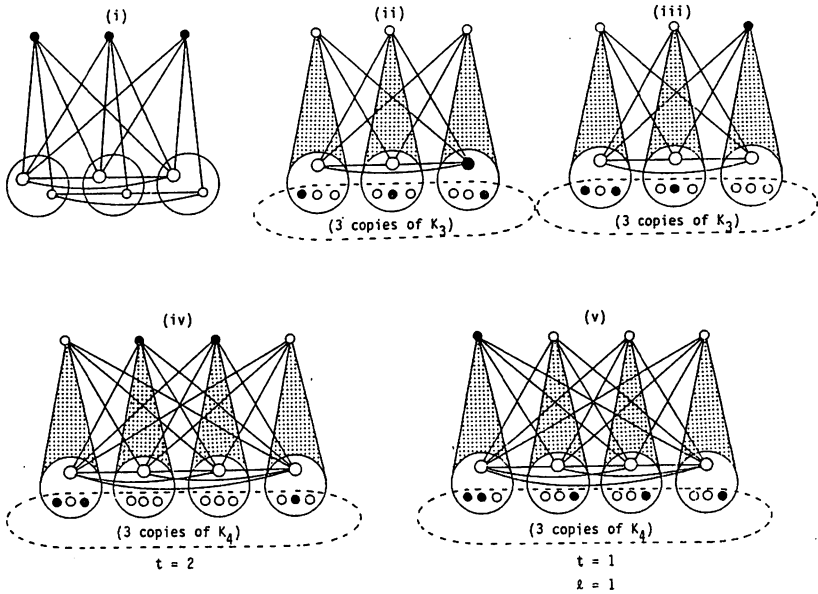
Note that the choices of  $M'_i$  and  $M''_i$  are interdependent, i.e. depending upon the choice of  $M'_i$  it may be impossible to choose a set  $M''_i$  satisfying the conditions of  $D_4$ .

The following two lemmas and their corollaries contain information on the structure of dominating and especially minimal dominating sets of  $G_R$ .

**Lemma 5.** Let  $X$  be a minimal dominating set of  $G_R$ . Let  $W = \{1, \dots, \alpha_i\}$  and let  $T \subseteq W$ ,  $|T| = t$ . If there exists, for each  $\ell \in W - T$ , an integer  $j \geq i$  such that  $s_{j\ell} \in X$ , and  $|X \cap (V(G_i) - S_i)| > t$ , then  $X$  is not  $(t + 1)$ -minimal.

**Proof:** Suppose  $X$  satisfies the conditions above and let  $Y \subseteq X \cap (V(G_i) - S_i)$  with  $|Y| > t$ . Let  $Y' = \{s_{i\ell} | \ell \in T\}$  and consider  $Z = (X - Y) \cup Y'$ . Then,





**Figure 2:** Minimal dominating sets (indicated by black vertices) of  $\tilde{H}_i$

for each  $\ell \in W$  there exists an integer  $j \geq i$  such that  $s_{j\ell} \in Z$ . We show that  $Z$  dominates  $G_R$ .

Firstly, let  $v \in V(G_i) - S_i$ . If  $v = s_{j'\ell}$  for some  $j' < i$ , then  $\ell < a_i$  and there exists a  $j \geq i$  such that  $u = s_{j\ell} \in Z$ ; note that  $u$  and  $v$  are adjacent. If  $v = v_{j'\ell r}$  for some  $j' \leq i$  and some  $\ell$  and  $r$ , then  $\ell \leq a_i$  and  $u = s_{j\ell} \in Z$  for some  $j \geq i$  dominates  $v$ . Hence each  $v \in V(G_i) - S_i$  is dominated by  $Z$ . Now let  $v \in (V(G_R) - V(G_i)) \cup S_i$  be adjacent to a vertex in  $Y$ . Then  $v = s_{j'\ell}$  for some  $j' \geq i$  and some  $\ell \leq a_i$ . But then  $u = s_{j\ell} \in Z$  for some  $j \geq i$  and either  $v = u$  or  $v$  is adjacent to  $u$ . Hence each  $v \in V(G_R)$  is dominated by  $Z$ . But  $|Z| \leq |X| - |Y| + |Y'| \leq |X| - t - 1 + t < |X|$ , proving that  $X$  is not  $(t + 1)$  minimal. ■

The following result is a direct corollary of Lemma 5 using  $t = 0$ , whereas Corollary 5 follows from the proof of Lemma 5.

**Corollary 4.** *Let  $X$  be a minimal dominating set of  $G_R$ . If, for some  $i \in Q$ , there exists for each  $\ell = 1, \dots, a_i$  an integer  $j \geq i$  such that  $s_{j\ell} \in X$ , then  $X \cap (V(G_i) - S_i) = \phi$ .* ■

**Corollary 5.** *If  $X$  is a dominating set of  $G_R$  which contains more than  $a_i$  vertices of  $G_i$  for some  $i \in Q$ , then  $X$  is not  $(a_i + 1)$ -minimal.*

**Proof:** Let  $Y \subseteq X \cap V(G_i)$  with  $|Y| = a_i + 1$  and let  $Z = (X - Y) \cup S_i$ . As

in the proof of Lemma 5,  $Z$  dominates  $G_R$  and since  $|Z| = |X| - 1$ ,  $X$  is not  $(a_i + 1)$ -minimal. ■

Let  $S = \cup_{i=1}^q S_i$ . In Lemma 6 we show that a minimal dominating set  $X$  of  $G_R$  can contain only certain subsets of  $S$ . Using this we obtain the upper bounds for  $|X \cap S|$  in Corollary 6.

For each  $v \in X$ , a dominating set of a graph  $G$ , define  $U_v$  by  $U_v = \{u \in V(G) - X | N_G(u) \cap X = \{v\}\}$ .

**Lemma 6.** *Let  $X$  be a minimal dominating set of  $G_R$ .*

- (i) *If  $v = s_{i\ell} \in X$  and  $u = v_{ir\ell} \in U_v$  for some  $r$ , then  $X \cap S_i = \{s_{i\ell}\}$  and  $s_{jr} \notin X$  for all  $j > i$ .*
- (ii) *If  $s_{j\ell}, s_{j'\ell} \in X$  for  $j \neq j'$ , then each vertex  $v \in X$  with  $v = s_{i\ell}, i \in Q$ , is a non-isolate of  $\langle X \rangle$  such that  $U_v \subseteq \{v_{i11}, \dots, v_{ia_11}\}$  and  $U_v - \{v_{i\ell 1}\} \neq \phi$ .*

**Proof:**

- (i) If  $u = v_{ir\ell}$  is not dominated by  $X - \{v\}$  then, by the construction of  $G_R$ ,  $(X - \{v\}) \cap S_i = \phi$  and  $s_{jr} \notin X$  for all  $j > i$ .
- (ii) We prove the contrapositive of (ii). Let  $v = s_{i\ell} \in X$ . If  $v$  is an isolated vertex of  $\langle X \rangle$  then clearly  $s_{j\ell} \notin X$  for all  $j \neq i$ . If  $v$  is a non-isolate of  $\langle X \rangle$  then (by assumption) there exists a vertex  $u \in (U_v - \{v_{i11}, \dots, v_{ia_11}\}) \cup \{v_{i\ell 1}\}$ . If  $u \in S$ , then  $u = s_{r\ell}$  for some  $r \neq i$ , implying that  $s_{j\ell} \notin X$  for each  $j = 1, \dots, q, j \neq i$ . If  $u \notin S$ , then  $u = v_{r\ell t}$  for some  $r \leq i$ . Clearly,  $s_{j\ell} \notin X$  for each  $j = r, \dots, q, j \neq i$ . Since  $u$  is not dominated by  $X - \{v\}$ ,  $v_{rpt} \notin X$  for each  $p = 1, \dots, a_r$ . But each  $v_{rpt}, p \neq \ell$ , is dominated by  $X - \{v\}$  and therefore for each such  $p$  there exists an integer  $p' \geq r$  such that  $s_{p'p} \in X$ . By Corollary 4,  $X \cap (V(G_r) - S_r) = \phi$  so that  $s_{j\ell} \notin X$  for each  $j = 1, \dots, r - 1$  and the result follows. ■

**Corollary 6.** *If  $X$  is minimal dominating set of  $G_R$  and there exists an integer  $\ell$  such that  $s_{i\ell}, s_{j\ell} \in X$  for  $i \neq j$ , then  $|X \cap S| \leq a_q + q - 2$  for  $a_q \geq 2$ ; otherwise  $|X \cap S| \leq a_q$ .*

**Proof:** If there is no integer  $\ell$  such that  $s_{i\ell}, s_{j\ell} \in X$  for  $i \neq j$ , then obviously  $|X \cap S| \leq a_q$ . Suppose there are  $r \geq 1$  such integers  $\ell$ . If  $s_{i\ell}, s_{j\ell} \in X$  for  $i \neq j$ , then by Lemma 6(ii),  $v_{ix1} \in U_v$  (where  $v = s_{i\ell}$ , say) for some  $x$ . By Lemma 6(i),  $X \cap S_i = \{s_{i\ell}\}$ . It therefore follows that  $|X \cap S_i| = 1$  for at least  $r$   $i$ 's and hence  $r \leq q$ . We now consider two cases.

**Case 1:**  $|X \cap S_i| = 1$  for  $q$   $i$ 's.

Then  $|X \cap S| = q \leq a_q + q - 2$  if  $a_q \geq 2$ .

**Case 2:**  $|X \cap S_i| = 1$  for  $t$   $i$ 's where  $r \leq t \leq q - 1$ .

In this case there is a non-empty set  $J = \{i \in Q | |X \cap S_i| \neq 1\}$  such that  $|X \cap (\cup_{i \in J} S_i)| \leq a_q - r$ . Hence  $|X \cap S| \leq t + a_q - r \leq q + a_q - 2$ . ■

We are now ready to formulate conditions that will ensure that  $\Gamma_i(G_R) \leq m_i$  for all  $i = 2, \dots, k$ .

**Theorem 2.** *Let  $a_i \geq 2$  and suppose  $m_{a_j} > m_{a_{j+1}} + a_{j-1}$  for all  $2 \leq a_j \in I - \{a_q\}$ . If  $X$  is a minimal domination set of  $G_R$  containing more than  $m_{a_i}$  vertices, then  $X$  is not  $d$ -minimal, where  $d = \max\{2, a_{i-1} + 1\}$ .*

**Proof:** Let  $X$  be a minimal dominating set of  $G_R$  with more than  $m_{a_i}$  vertices and let  $Y = X \cap V(G_{i-1})$  (where  $V(G_{i-1}) = \phi$  per definition if  $i = 1$ ). If  $|Y| > a_{i-1}$  then, by Corollary 5,  $X$  is not  $(a_{i-1} + 1)$ -minimal. Hence we may assume that  $|Y| = a_{i-1} - r$ , where  $0 \leq r \leq a_{i-1}$ , so that

$$\begin{aligned} |X - Y| &\geq m_{a_i} - a_{i-1} + r + 1 \\ &= \sum_{j=i}^q n_j + (k - a_q) + r + 1. \end{aligned} \tag{1}$$

Assume first that  $Y \neq \phi$  and suppose there is no  $r$ -subset  $W$  of  $X - Y$  such that  $W \cup Y$  dominates  $G_{i-1}$ . Let  $W' \subseteq X - Y$  with  $|W'| = r' > r$ , be a minimal subset of  $X - Y$  such that  $W' \cup Y$  dominates  $G_{i-1}$ . (Note that in this case,  $r < a_{i-1}$ .) Then  $W'$  consists of vertices  $s_{j\ell}$ , where  $j \geq i$  and  $1 \leq \ell \leq a_{i-1}$ . Let  $Y \cap S_{i-1} = W''$  with  $|W''| = r''$ ,  $0 \leq r'' \leq a_{i-1} - r$ . By the minimality of  $W'$ , at most one of  $s_{j\ell}$  and  $s_{j'\ell}$ ,  $j \neq j'$  ( $j, j' \geq i - 1$ ) is in  $W' \cup W''$  and it follows that  $r' + r'' \leq a_{i-1}$ . We may now apply Lemma 5 with  $t = a_{i-1} - r' - r''$ . Note that

$$|X \cap (V(G_{i-1}) - S_{i-1})| = a_{i-1} - r - r'' > t,$$

so that  $X$  is not  $(t + 1)$ -minimal. But

$$\begin{aligned} t + 1 &= a_{i-1} - r' - r'' + 1 \\ &\leq a_{i-1} - r - r'' \\ &\leq a_{i-1}, \end{aligned}$$

hence  $X$  is not  $a_{i-1}$ -minimal. We may therefore assume that there exists an  $r$ -subset  $W$  of  $(X - Y) \cap S$  such that  $W \cup Y$  dominates  $G_{i-1}$ .

Next, if  $Y = \phi$ , let  $i'$  be the largest integer with the property that for each  $\ell = 1, \dots, a_{i'}$ , there exists an integer  $\ell' \geq i'$  such that  $s_{\ell\ell'} \in X$ . Note that  $i - 1$  has this property and therefore  $i - 1 \leq i' \leq q$ . By Corollary 4,  $X \cap (V(G_{i'}) - S_{i'}) = \phi$  and if  $i' = i - 1$ , then  $X \cap V(G_{i-1}) = \phi$ . If  $i' = q$  then  $X = S_q$ . But then  $|X| = a_q \leq k \leq m_{a_i}$  for all  $i \in Q$ , contrary to assumption. Consequently  $i - 1 \leq i' < q$ . Let  $T$  with  $|T| = a_{i'}$  denote the set of vertices  $s_{\ell\ell'} \in X$  as defined

above. Now, from (1),

$$\begin{aligned}
 |X| &\geq \sum_{j=i}^q n_j + (k - a_q) + a_{i-1} + 1 \\
 &\geq \sum_{j=i'+1}^q n_j + \sum_{j=i}^{i'} n_j + a_{i-1} + 1 \\
 &\geq \sum_{j=i'+1}^q n_j + a_{i'} + 1 \text{ (by hypothesis and the definition of the } n_j \text{'s)}.
 \end{aligned} \tag{2}$$

We now define  $Z$  as

$$Z = \begin{cases} W \cup Y & \text{if } Y \neq \phi \\ T & \text{if } Y = \phi, \end{cases}$$

and, for  $j = i, \dots, q$ , we let  $X_j = (X - Z) \cap V(\tilde{H}_j)$ . Note that

$$|X| = \begin{cases} \sum_{j=i}^q |X_j| + a_{i-1} & \text{if } Y \neq \phi \\ \sum_{j=i'+1}^q |X_j| + a_{i'} & \text{if } Y = \phi. \end{cases} \tag{3}$$

Since  $|X| = |X - Y| + |Y|$ , it now follows from (1), (2) and (3) that there exists an integer  $j \geq i$  if  $Y \neq \phi$  ( $j \geq i' + 1$  if  $Y = \phi$ ) such that  $|X_j| > n_j$ .

Now suppose that for each integer  $\ell$  with  $1 \leq \ell \leq a_j$ , a vertex of the form  $s_{j'\ell}$  for some  $j' \geq j$  is contained in  $X$ . If  $Y = \phi$ , this contradicts the choice of  $i'$  since  $j \geq i' + 1$ . If  $Y \neq \phi$ , then this implies that  $X - Y$  dominates  $G_R$  since  $j \geq i$  and  $Y \subseteq V(G_{i-1})$ , contradicting the minimality of  $X$ . Hence there exists an integer  $\ell$  with  $1 \leq \ell \leq a_j$  such that  $s_{j'\ell} \notin X$  for all  $j' \geq j$ . Since  $V(H_{j\ell})$  is dominated,  $X_j$  contains at least  $n_j - 1$  vertices of  $M_j = \cup_{x=1}^{a_j} (V(H_{jx}) - \{v_{jx1}\})$  (i.e., at least one vertex of each of  $n_j - 1$  copies of  $K_{a_j}$ ). We consider two cases, depending on whether  $v_{jx1} \in X_j$  for some  $x = 1, \dots, a_j$  or not.

**Case 1:**  $v_{jx1} \in X_j$  for some  $x = 1, \dots, a_j$ .

Then  $X_j$  contains a dominating set  $D$  of  $\tilde{H}_j$  of the form  $D_1$  as described in Lemma 4(ii). Since  $|X_j| > n_j$ , there exists a vertex  $v \in X_j - D$ .

**Subcase 1(a):**  $v = s_{jb}$  for some  $b = 1, \dots, a_j$ ,  $b \neq \ell$ .

If  $b > a_{q-1}$  (which is only possible if  $j = q$ ), then  $X$  is not minimal since  $\tilde{H}_q$  is dominated by  $D$  and  $s_{qa_q}$  is not adjacent to any vertex of  $G_{q-1}$ ; hence  $b \leq a_{q-1}$ .  
(4)

As before, let  $U_v = \{u \in V(G_R) - X \mid N_{G_R}(u) \cap X = \{v\}\}$ . Since  $D$  dominates  $\tilde{H}_j$ ,  $U_v \cap V(\tilde{H}_j) = \phi$ , and since  $Z$  dominates  $G_{i-1}$  if  $Y \neq \phi$  ( $G_{i'}$  if  $Y = \phi$ ),  $U_v \cap V(G_{i-1}) = \phi$  if  $Y \neq \phi$  ( $U_v \cap V(G_{i'}) = \phi$  if  $Y = \phi$ ).  
(5)

Now suppose  $u = v_{\alpha\beta\gamma} \in U_v$  for some  $\alpha = 1, \dots, q; \beta = 1, \dots, a_\alpha$  and  $\gamma = 1, \dots, n_\alpha$ . Then  $\alpha < j$  and  $\beta = b$  by the construction of  $G_R$ . But then  $v_{\alpha\beta\gamma} \notin X$  for all  $\beta' = 1, \dots, a_\alpha$  so that for each  $\beta' = 1, \dots, a_\alpha$  there exists an  $\alpha' \geq \alpha$  such that  $s_{\alpha'\beta'} \in X$ . But since (by (5))  $\alpha > i - 1$  if  $Y \neq \phi$  ( $\alpha > i'$  if  $Y = \phi$ ), this contradicts the minimality of  $X$  if  $Y \neq \phi$  (the choice of  $i'$  if  $Y = \phi$ ) and hence  $U_v$  consists entirely of vertices of the form  $s_{yb}, y = 1, \dots, q, y \neq j$ . Let  $g$  be the smallest integer larger than  $i - 1$  if  $Y \neq \phi$  ( $i'$  if  $Y = \phi$ ) for which  $s_{gb}$  is defined. If  $g = q$ , then by (4),  $i - 1 = q - 1$  if  $Y \neq \phi$  ( $i' = q - 1$  if  $Y = \phi$ ) and so by (5),  $U_v = \phi$  in which case  $X$  is not minimal. Therefore  $g \leq q - 1$ . By the minimality of  $X$  or the choice of  $i'$  there exists at least one integer  $c = 1, \dots, a_g, b \neq c$ , such that  $s_{g'c} \notin X$  for all  $g' \geq g$ . Since  $V(H_{gc})$  is dominated,  $X$  contains at least  $n_g - 1$  vertices of  $M_g$ , and if  $X \cap S_g = \phi$  then  $X$  also contains  $v_{gf1}$  for some  $f = 1, \dots, a_g$ . By the choice of  $g$  and the hypothesis of the theorem,  $2 \leq a_g \in I - \{a_q\}$  and hence

$$m_{a_g} > m_{a_{g+1}} + a_{g-1},$$

i.e.,

$$n_g > a_g.$$

Therefore,  $|X \cap V(H_{gg'})| \geq 2$  for at least one  $g'$ , or  $|X \cap V(H_{gg'})| = 1$  for all  $g'$  and  $s_{gf'} \in X$  for at least one  $f'$ . In the former case, if  $v_{gg'x'} \in X \cap V(H_{gg'})$ , then  $(X - \{v, v_{gg'x'}\}) \cup \{v_{gbx'}\}$  dominates  $G_R$ , proving that  $X$  is not 2-minimal. In the latter case,  $(X - \{v, v_{gf'x'}\}) \cup \{v_{gbx'}\}$  dominates  $G_R$ .

**Subcase 1(b):**  $v = v_{jbc}$  for some  $b = 1, \dots, a_j$  and some  $c = 1, \dots, n_j$ .

As in Subcase 1(a),  $U_v \cap V(\tilde{H}_j) = \phi$  and so by the construction of  $G_R$ ,  $U_v$  consists of vertices  $s_{yb}, y = j + 1, \dots, q$ . Again, if  $j = q$  then  $U_v = \phi$ , hence  $j \leq q - 1$ . By the choice of  $j$  and the hypothesis of the theorem,  $n_j > a_j$  so that  $|X \cap V(H_{jj'})| \geq 2$  for at least one  $j'$ . Say  $v_{jj'x'} \in X \cap V(H_{jj'})$ . Then  $(X - \{v, v_{jj'x'}\}) \cup \{v_{jbx'}\}$  dominates  $G_R$ .

**Case 2:**  $v_{jx1} \notin X_j$  for all  $x = 1, \dots, a_j$ .

Then  $s_{jx} \in X_j$  for at least one  $x = 1, \dots, a_j, x \neq \ell$ , since  $v_{j\ell 1}$  is dominated and  $s_{j'\ell} \notin X$  for all  $j' \geq j$ . Let  $D$  consist of  $s_{jx}$  together with the  $n_j - 1$  vertices of  $M_j$  as described above. (Note that  $D$  is a dominating set of  $\tilde{H}_j$  of the form  $D_2$  of Lemma 4(iii).) Since  $|X_j| > n_j$  there exists a vertex  $v \in X_j - D$ .

**Subcase 2(a):**  $v = s_{jb}$  for some  $b = 1, \dots, a_j, b \neq x, \ell$ .

Note that  $D$  dominates  $\tilde{H}_j - S_j$  and that  $\langle S_j \rangle = \overline{K}_{a_j}$ , hence  $U_v \cap V(\tilde{H}_j) = \phi$ . We now proceed exactly as in Subcase 1(a) to show that  $X$  is not 2-minimal.

**Subcase 2(b):**  $v = v_{jbc}$  for some  $b = 1, \dots, a_j$  and some  $c = 2, \dots, n_j$ .

Here  $U_v$  consists of vertices  $s_{yb}, y = j, \dots, q$  and as in Subcase 1(b),  $X$  is not 2-minimal.

We therefore conclude that  $X$  is not  $d$ -minimal, where  $d = \max\{2, a_{i-1} + 1\}$ .

■

**Corollary 7.** *Let  $i \geq 2$  and suppose  $m_{a_j} > m_{a_{j+1}} + a_{j-1}$  for all  $2 \leq a_j \in I - \{a_q\}$ . Then  $\Gamma_i(G_R) \leq m_i$  for all  $i = 2, \dots, k$ .*

**Proof:** By definition,  $\Gamma_{j+1}(G_R) \leq \Gamma_j(G_R)$  for all  $j \geq 1$ . By Theorem 2,

$$\Gamma_{a_{j-1}+1}(G_R) \leq m_{a_j} \text{ for } a_j \geq 2.$$

Hence

$$\Gamma_{a_j}(G_R) \leq \Gamma_{a_{j-1}}(G_R) \leq \dots \leq \Gamma_{a_{j-1}+1}(G_R) \leq m_{a_j} = m_{a_{j-1}} = \dots = m_{a_{j-1}+1}$$

so that for each  $i = a_{j-1} + 1, \dots, a_j$  and each  $j$  such that  $a_j \geq 2$ ,

$$\Gamma_i(G_R) \leq m_i.$$

It follows that  $\Gamma_i(G_R) \leq m_i$  for all  $i = 2, \dots, k$ .

■

Observe that it now follows from Corollaries 3 and 7 that  $\Gamma_i(G) = m_i$  for all  $i = 2, \dots, k$ .

## 6. The Upper Domination Number of $G_R$

It is evident from Lemma 4(iv)-(v) that  $G_R$  has minimal dominating sets of larger cardinality than the set  $D_1^*$  defined in Theorem 1 and we therefore still need to determine  $\Gamma_1(G)$ . We begin by remarking that if  $a_q = 1$ , then  $q = 1$  and  $m_1 > m_2 = \dots = m_k = k$ , while  $n_1 = m_1 - m_2 + 1$  by definition. In this case  $G_R$  consists of  $K_{1, n_1}$  together with  $k - 1$  isolated vertices and it is obvious that

$$\begin{aligned} \Gamma_1(G_R) &= k - 1 + n_1 \\ &= k - 1 + m_1 - m_2 + 1 \\ &= m_1. \end{aligned}$$

In what follows we therefore assume that  $a_q \geq 2$ . We now find an expression for  $m_1$  in terms of the  $n_j$ 's,  $j = 1, \dots, q$ .

**Proposition 3.** *If  $a_q \geq 2$ , then*

$$m_1 = k - 2 + \sum_{j=1}^q n_j.$$

**Proof:** Note that

$$n_1 = \begin{cases} m_1 - m_2 - a_q + 3 & \text{if } a_1 = 1 \\ m_{a_1} - m_{a_1+1} + a_1 & \text{if } a_1 \geq 2. \end{cases}$$

Now,

$$\begin{aligned}
 k - 2 + \sum_{j=1}^q n_j &= k - 2 + n_1 + (m_{a_2} - m_{a_3} + a_2 - a_1) + \dots \\
 &\quad + (m_{a_q} - m_{a_{q+1}} + a_q - a_{q-1}) \\
 &= k - 2 + n_1 + m_{a_2} - a_1 - m_{a_{q+1}} + a_q \quad (\text{since } m_{a_i+1} = m_{a_{i+1}}) \\
 &= n_1 + m_{a_2} - a_1 + a_q - 2 \quad (\text{since } m_{a_{q+1}} = k).
 \end{aligned}$$

If  $a_1 = 1$ , then  $m_2 = m_{a_2}$  so that by the definition of  $n_1$ ,

$$k - 2 + \sum_{j=1}^q n_j = m_1.$$

If  $a_1 \geq 2$ , then  $m_2 = m_{a_1}$  and  $m_{a_1+1} = m_{a_2}$  so that it follows from the definition of  $n_1$  and the fact that  $m_1 = m_2 + a_q - 2$  in this case that,

$$k - 2 + \sum_{j=1}^q n_j = m_1.$$

We now find a minimal dominating set of  $G_R$  with  $m_1$  vertices. (Recall that  $L = V(\overline{K}_{k-a_q})$  ( $L = \phi$ ) if  $k > a_q$  ( $k = a_q$ )).

**Proposition 4.** *The set*

$$\widehat{D}_1 = L \cup (S_q - \{s_{q1}\}) \cup (\cup_{j=1}^q V(H_{j1})) - \{v_{q11}\}$$

*is a minimal dominating set of  $G_R$  containing  $m_1$  vertices.*

**Proof:** It is clear that  $\widehat{D}_1$  dominates  $G_R$  and that

$$|\widehat{D}_1| = k - a_q + a_q - 1 + \sum_{j=1}^q n_j - 1 = k - 2 + \sum_{j=1}^q n_j = m_1 \quad (\text{by Proposition 3}).$$

Also each vertex in  $\widehat{D}_1$  is an isolated vertex of  $\langle \widehat{D}_1 \rangle = \overline{K}_{m_1}$  which means that  $\widehat{D}_1$  is a minimal dominating set of  $G_R$ . ■

**Corollary 8.**  $\Gamma_1(G_R) \geq m_1$ . ■

**Theorem 3.** *If  $X$  is a minimal dominating set of  $G_R$ , then  $|X| \leq m_1$ .*

**Proof:** Suppose, to the contrary, that  $X$  with  $|X| > m_1$  is a minimal dominating set of  $G_R$ . If there exists an integer  $i$  satisfying the conditions of Corollary 4, let

$i'$  be the largest such integer; otherwise, let  $i' = 0$ . If  $i' \geq 1$  then, by Corollary 4,  $X \cap (V(G_{i'}) - S_{i'}) = \phi$ . Let  $X_j = X \cap V(\tilde{H}_j)$  and  $X_j^* = X_j - S_j$  for each  $j \in Q$ . Note that  $|X_j^*| \geq n_j - 1$  for each  $j > i'$  and by Corollary 4,  $|X_j^*| = 0$  for each  $j \leq i'$ . Let  $R_j \subseteq X_j^*$  be any set of  $n_j - 1$  vertices of  $M_j$  (as defined in Theorem 2) such that  $R_j$  consists of exactly one vertex of each of  $n_j - 1$  copies of  $K_{a_j}$ ,  $j > i'$ , and let  $R_j = \phi$  for  $j \leq i'$ .

If we let  $r = |X - \cup_{j=1}^q R_j|$ , then

$$\begin{aligned}
 r &= \sum_{j=1}^q (|X_j| - |R_j|) \\
 &= \sum_{j=1}^q |X_j| - \sum_{j=1}^q |R_j| \\
 &\geq |X| - \sum_{j=1}^q (n_j - 1) \tag{6} \\
 &\geq m_1 + 1 + q - \sum_{j=1}^q n_j \\
 &= k - 2 + 1 + q \quad (\text{by Proposition 3}) \\
 &\geq a_q + q - 1.
 \end{aligned}$$

We now proceed to count the number of vertices in  $X - \cup_{j=1}^q R_j$  in a different way and show that this number does not exceed  $a_q + q - 2$ , hence finding a contradiction to (6).

For each  $j$  such that  $[\cup_{\ell=1}^{a_j} \{v_{j\ell}\}] \cap (X_j^* - R_j) \neq \phi$ , choose a vertex  $v \in [\cup_{\ell=1}^{a_j} \{v_{j\ell}\}] \cap (X_j^* - R_j)$  and let  $F_j = R_j \cup \{v\}$ . Then  $F_j \subseteq X$  and by the definitions of  $R_j$  and  $F_j$ ,  $F_j$  is a dominating set of  $\tilde{H}_j$  of the form  $D_1$  of Lemma 4(ii). If  $s_{j\ell} \in X$  for some  $\ell' = 1, \dots, a_j$ , then since  $X \cap [\cup_{\ell=1}^{a_j} \{v_{j\ell}\}] \neq \phi$ , the contrapositive of Lemma 6 (ii) implies that  $s_{j'\ell} \notin X$  for all  $j' \neq j$ . Let there be exactly  $t_1$  distinct integers  $j$  for which  $F_j$  is defined, with  $J$  the set of these integers  $j$ . Let  $t_2$  denote the number of distinct integers  $\ell'$  such that  $s_{j\ell'} \in X$  for some  $j \in J$ . Note that  $0 \leq t_1 \leq q$  and  $0 \leq t_2 \leq a_q$ .

Next, suppose  $v = v_{j\ell x} \in X_j^* - R_j$  for some  $\ell = 1, \dots, a_j$  and some  $x = 2, \dots, n_j$ , or  $v = v_{j\ell x} \in X_j^* - F_j$  if  $x = 1$  and  $F_j$  is defined. Since  $v_{j\ell x} \in X$  ( $x = 1, \dots, n_j$ ) for some  $\ell' \neq \ell$ , it is clear that  $v$  is a non-isolate of  $\langle X \rangle$  and that  $U_v$  consists of vertices of the form  $s_{j'\ell}$ ,  $j' = j, \dots, q$ . Consequently, for  $\ell$  fixed,

$$X \cap \{s_{i\ell} | a_i \geq \ell\} = \phi \text{ and } X \cap V(H_{j\ell}) = \{v\}. \tag{7}$$

Furthermore, if  $v' = v_{\eta\ell y}$ ,  $\eta \neq j$  has the same property as  $v$ , suppose without losing generality that  $j < \eta$  and let  $s_{\eta\ell} \in U_{v'}$ . But then  $j < \eta'$  and  $v$  is adjacent



to  $s_{\eta\ell}$  (by the construction of  $G_R$ ), contradicting the fact that  $s_{\eta\ell} \in U_{\nu}$ . Hence if there are  $t_3$  such vertices  $v$ , then  $t_3 \leq a_q$ . It is also important to note that if  $v_{j\ell x}$  is such a vertex, then for some  $\ell' \neq \ell$ ,  $v_{j\ell'x} \in R_j$  or  $v_{j\ell'x} \in F_j$  if  $F_j$  is defined. By letting  $R'_j = (R_j - \{v_{j\ell x}\}) \cup \{v_{j\ell'x}\}$  if  $x \neq 1$  ( $F'_j = (F_j - \{v_{j\ell x}\}) \cup \{v_{j\ell'x}\}$  if  $x = 1$ ) and interchanging the roles of  $v_{j\ell x}$  and  $v_{j\ell'x}$ , we see that for  $\ell$  fixed,

$$X \cap \{s_{i\ell} | a_i \geq \ell\} = \phi, \quad X \cap V(H_{j\ell}) = \{v_{j\ell x}\} \tag{8}$$

and no  $v_{\eta\ell y}$  has the same property as  $v_{j\ell x}$ . Hence for  $\ell \neq \ell'$ , at most one of  $v_{j\ell x}$  and  $v_{j\ell'x}$  has the required property.

Suppose further that  $X$  contains  $t_4$  vertices  $s_{j\ell}$  where  $j \notin J$  and  $s_{j\ell} \notin X$  for all  $j' \neq j$ , and  $t_5$  vertices  $s_{j'\ell}$  where  $j \notin J$  and  $s_{j'\ell} \in X$  for some  $j' \notin J \cup \{j\}$ . Suppose in the latter case that there are  $t_6$  distinct values of  $\ell$  such that  $s_{j\ell} \in X$ .

Note that  $r = |X - \bigcup_{j=1}^q R_j| = \sum_{i=1}^5 t_i$ .

It is also immediately obvious that

$$0 \leq t_1 + t_4 + t_5 \leq q \tag{9}$$

and we claim that

$$0 \leq t_2 + t_3 + t_4 + t_6 \leq \begin{cases} a_q - 1 & \text{if } t_3 > 0 \\ a_q & \text{otherwise.} \end{cases} \tag{10}$$

Firstly, it is obvious that  $t_2 + t_4 + t_6 \leq a_q$ ; hence (10) holds if  $t_3 = 0$ . If  $t_3 > 0$ , it follows from the first parts of (7) and (8) that  $t_2 + t_3 + t_4 + t_6 \leq a_q - 1$  and so (10) also holds in this case.

We now prove that  $\sum_{i=1}^5 t_i \leq a_q + q - 2$ . If  $t_1 = q$ , then  $\bigcup_{j=1}^q F_j \subseteq X$  dominates  $G_q$  and hence  $t_2 = \dots = t_6 = 0$  by the minimality of  $X$ . Thus  $\sum_{i=1}^5 t_i = q \leq a_q + q - 2$  if  $a_q \geq 2$ . Suppose  $t_1 = q - 1$ . Since  $t_5 \geq 2$  if  $t_5 \neq 0$ , it follows from (9) that  $t_5 = 0$  (and hence  $t_6 = 0$ ). But if  $t_1 = q - 1$ , then  $t_2 + t_3 + t_4 + t_6 \leq a_q - 1$  even if  $t_3 = 0$ , for suppose  $t_3 = 0$  and  $t_2 + t_4 + t_6 = a_q$ . By Corollary 4,  $X \cap (V(G_1) - S_1) = \phi$ . Hence  $F_j$  is defined for each  $j = 2, \dots, q$  (since  $t_1 = q - 1$ ). But  $s_{qa_q} \in X$  if  $t_2 + t_4 + t_6 = a_q$  and  $N[s_{qa_q}] \subseteq N[F_q]$  so that  $X - \{s_{qa_q}\}$  dominates  $G_R$ , contradicting the minimality of  $X$ . Since  $t_5 = t_6 = 0$ , it now follows that  $t_1 + t_2 + t_3 + t_4 \leq q - 1 + a_q - 1$ , i.e.,  $r \leq a_q + q - 2$ .

Finally, suppose  $t_1 \leq q - 2$ . If  $t_5 = 0$  then by (10),  $r \leq a_q + q - 2$ . If  $t_5 \neq 0$  (and thus  $t_6 \neq 0$ ) and  $t_3 \neq 0$ , then (9) and (10) imply that

$$\sum_{i=1}^5 t_i \leq q + t_2 + t_3 \leq q + a_q - 1 - t_4 - t_6 \leq a_q + q - 2.$$

Thus, suppose  $t_3 = 0$  and  $t_5, t_6 \neq 0$ . If  $t_1 + t_5 \leq q - 1$ , then  $r \leq a_q + q - 2$  follows from (9) and (10). If  $t_1 + t_5 = q$  then  $t_4 = 0$ . We prove that  $t_2 + t_6 \leq a_q - 1$ .

If, to the contrary,  $t_2 + t_6 = a_q$ , then for each integer  $\ell = 1, \dots, a_q$  there exists an integer  $j$  such that  $s_{j\ell} \in X$ . In particular,  $s_{qa_q} \in X$ . If  $F_q$  is defined, then  $N[s_{qa_q}] \subseteq N[F_q]$ , contradicting the minimality of  $X$ . If  $F_q$  is not defined, then  $q \notin J$ , and since  $t_1 + t_5 = q$  and  $t_4 = 0$ , this implies that  $s_{ja_q} \in X$  for  $j \neq q$  which is impossible by the construction of  $G_R$ . Hence  $t_2 + t_6 \leq a_q - 1$ , implying that  $t_2 \leq a_q - 2$  because  $t_6 > 0$ . Once again

$$r = t_1 + t_2 + t_5 \leq a_q + q - 2.$$

We have now shown for all possible values of  $t_1$  that  $r \leq a_q + q - 2$ , contradicting (6). We thus conclude that  $|X| \leq m_1$ , whereby the theorem is proved. ■

**Corollary 9.**  $\Gamma_1(G_R) = m_1$ . ■

We summarise the preceding results as follows:

**Theorem 4.** Consider the sequence  $R : m_1 \geq \dots \geq m_k \geq m_{k+1} = k$ . Let  $K = \{1, \dots, k\}$  and  $I' = \{i \in K - \{1\} \mid m_i > m_{i+1}\}$ . If  $I' = \phi$  define  $I$  as

$$I = \begin{cases} \phi & \text{if } m_1 = m_2 \\ \{1\} & \text{otherwise.} \end{cases}$$

If  $I' \neq \phi$ , let  $a' = \max_{i \in I'} \{i\}$ ; in this case we require that  $m_1 \geq m_2 + a' - 2$ . Define  $I$  as

$$I = \begin{cases} I' & \text{if } m_1 = m_2 + a' - 2 \\ I' \cup \{1\} & \text{otherwise;} \end{cases}$$

say  $I = \{a_1, \dots, a_q\}$  where  $|I| = q$  and  $a_1 \leq \dots \leq a_q = a'$ . If  $m_{a_j} > m_{a_{j+1}} + a_{j-1}$  for all  $2 \leq a_j \in I - \{a_q\}$ , then  $R$  is a domination sequence; in fact, if  $G_R$  is constructed as described above then  $\Gamma_i(G_R) = m_i$  for all  $i = 1, \dots, k$  and  $\gamma(G_R) = k$ . ■

**Corollary 10.** Any sequence  $R$  of the form  $m_1 \geq m_2 \geq m_3 = \dots = k$  is a domination sequence.

**Proof:** The result follows immediately since  $R$  trivially satisfies the conditions of Theorem 5. ■

## 7. Concluding Remarks

That the result obtained in Theorem 4 is not the best possible can be seen by considering the sequence  $R$  given by

$$m_1 = 6, \quad m_2 = m_3 = m_4 = 5, \quad m_5 = k = 4.$$

Here  $I' = \{4\}$  and hence  $a_q = 4$ , and  $m_1 = 6 < m_2 + a_q - 2 = 7$ . Therefore  $R$  does not satisfy the conditions of Theorem 4. Nevertheless,  $R$  is the domination sequence of the path  $P_{12}$  (see [1] for the calculation of  $\Gamma_i(P_n)$ ).

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