

# The seven graphs whose H-transformations are uniquely determined

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**ABSTRACT.** H-transformation on a simple 3-connected cubic planar graph  $G$  is the dual operation of flip flop on the triangulation  $G^*$  of the plane, where  $G^*$  denotes the dual graph of  $G$ . We determine the seven 3-connected cubic planar graphs whose H-transformations are uniquely determined up to isomorphism.

## 1 Introduction

In this paper all graphs are simple and planar. Let  $G$  be a graph. Let  $V(G)$  and  $E(G)$  denote the vertex set and the edge set of  $G$ , respectively. For a vertex  $x$  of  $G$ , we denote the neighbourhood of  $x$  by  $N_G(x)$ . The number of edges incident to  $x$  is called the degree of  $x$ , and is denoted by  $\deg(x)$ . A graph  $G$  is said to be cubic if  $\deg(x) = 3$  for all  $x \in V(G)$ . An edge  $e$  of a 3-connected graph  $G$  is said to be *contractible* if the contraction of  $e$  results in a 3-connected graph.

A plane graph is an embedding of a planar graph into the sphere. Let  $G$  be a plane graph and let  $F$  be a face of  $G$ . We denote the boundary of  $F$  by  $\partial F$ . We write  $V(F)$  and  $E(F)$  for  $V(\partial F)$  and  $E(\partial F)$ , respectively. Let  $F$  and  $F'$  be two distinct faces of  $G$ . If  $e \in E(F) \cap E(F')$  we say that  $F$  is adjacent to  $F'$  along  $e$ . An edge  $e \in E(G)$  is said to be a triangle edge if there is a triangle  $T$  whose boundary contains  $e$ , otherwise we call it a non-triangle edge. We denote the set of non-triangle edges of  $G$  by  $\tilde{E}(G)$ .

Let  $G$  be a 3-connected cubic planar graph. We denote the face set of  $G$  by  $\mathcal{F}(G)$ . Then Euler's formula  $|V(G)| - |E(G)| + |\mathcal{F}(G)| = 2$  holds. Since  $G$  is cubic,  $3|V(G)| = 2|E(G)|$ . Then, from the Euler's formula together with this equality, we get  $-|E(G)| + 3|\mathcal{F}(G)| = 6$ .

By Whitney's theorem, the embedding of a 3-connected planar graph into the sphere is unique and we can regard  $G$  as a plane graph. Let  $e = xy$  be a non-triangle edge of  $G$  and let  $F_1$  and  $F_2$  be two adjacent faces

which share the edge  $e$  in common. Let  $R_1$  and  $R_2$  be the faces such that  $V(R_1) \cap V(e) = \{x\}$  and  $V(R_2) \cap V(e) = \{y\}$ . Write  $N_G(x) = \{x_1, x_2, y\}$  and write  $N_G(y) = \{y_1, y_2, x\}$ . Since neither  $F_1$  nor  $F_2$  is a triangle, all six vertices  $x, x_1, x_2, y, y_1$  and  $y_2$  are distinct. We may assume  $x_1, y_1 \in V(F_1)$  and  $x_2, y_2 \in V(F_2)$ . Now we define a local operation on  $G$  as follows (see Figure 1.1):

- (I) In the interior of  $F_1$  and  $F_2$ , add new vertices  $u$  and  $v$ , respectively.
- (II) From  $G$ , delete the vertices  $x, y$  and all five edges incident to  $x$  or  $y$ .
- (III) Add new edges  $x_1u, y_1u, x_2v, y_2v$  and  $uv$ .

We note that the faces  $F_1, F_2, R_1$  and  $R_2$  are distinct because  $G$  is 3-connected.

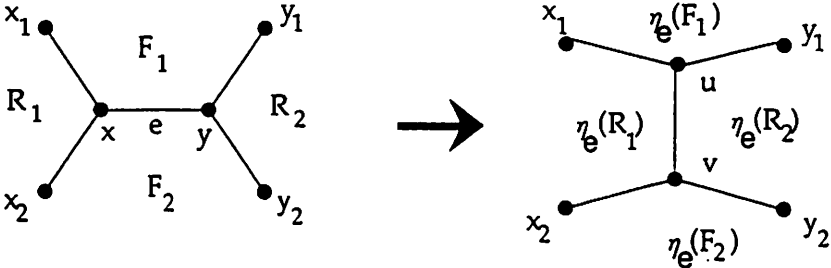


Figure 1.1

We call this local operation on  $G$ , an *H-transformation* around  $e = xy$  and we denote the resulting graph by  $\eta_e(G)$ . The resulting graph  $\eta_e(G)$  is said to be an H-transform of  $G$ . We denote the face of  $\eta_e(G)$  corresponding to  $F$  by  $\eta_e(F)$ . “H-transformations” were originally introduced by Tsukui [3] as a local operation on cubic graphs which are not necessarily 3-connected nor planar. Because we deal with 3-connected cubic planar graphs in this paper, we adopt the definition (1.1) which is slightly different from the original one. By the definition (1.1), an H-transform of a 3-connected cubic planar graph is cubic and planar, but not necessary 3-connected. But lemma 2.2 in the next section assures us that  $\eta_e(G)$  remains 3-connected if  $e$  is contractible in  $G$ . We note that there is no H-transformation around a triangle edge.

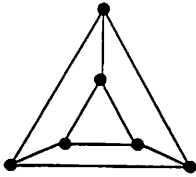
The dual graph  $G^*$  of a 3-connected cubic planar graph  $G$  is a triangulation of the plane. We note that an H-transformation of  $G$  around a contractible edge is just the dual operation of a diagonal flip on the triangulation  $G^*$ .

It was shown that each triangulation can be transformed to any other triangulation of the same order by finite number of diagonal flips (Wagner[4]). In other words, each 3-connected cubic planar graph can be transformed

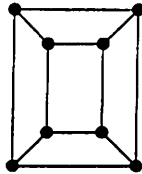
to any other 3-connected cubic planar graph of the same order by finite number of H-transformations.

If  $G$  is 3-connected cubic planar and  $|V(G)| \geq 6$ , then  $\tilde{E}(G) \neq \phi$ . Thus there are  $|\tilde{E}(G)|$  H-transforms of  $G$ . In general they are not mutually isomorphic.  $G$  is said to be *HU-graph* if all H-transforms of it are mutually isomorphic, i.e.,  $\eta_e(G) \cong \eta_{e'}(G)$  for all  $e, e' \in \tilde{E}(G)$ . The complete graph with 4 vertices,  $K_4$  is the *trivial* HU-graph. In this paper, we give the complete list of non-trivial HU-graphs. Our result is the following.

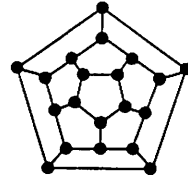
**Main Theorem** A non-trivial HU-graph is one of the following seven graphs:



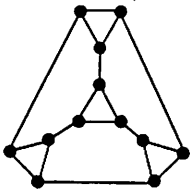
P:prism



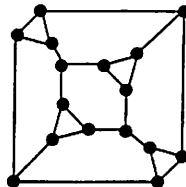
Q:cube



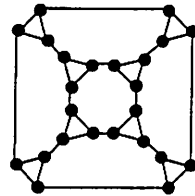
D:dodecahedron



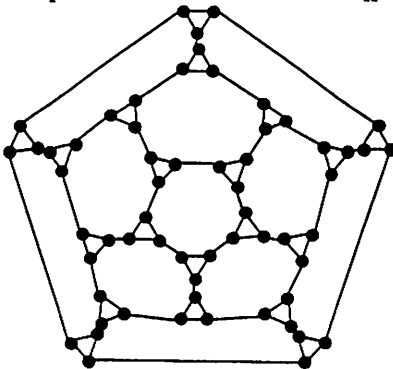
$G_I$



$G_{II}$



$G_{III}$



$G_{IV}$

## 2 Preliminaries

In this section we give some notations and preliminary results. Let  $G$  be a 3-connected graph and  $S$  be a vertex 3-cut of  $G$ . A non-empty set  $A$  of components of  $G - S$  is said to be a *fragment* of  $G - S$  if  $V(G) - S - A \neq \emptyset$ . On the number of contractible edges in a 3-connected graph, the following fundamental result is known.

**Lemma 2.1.** (Ando et al. [1]) *Let  $G$  be a 3-connected graph with  $|V(G)| \geq 5$ . Then,  $G$  has at least  $|V(G)|/2$  contractible edges.*  $\square$

**Lemma 2.2.** *Let  $G$  be a 3-connected cubic planar graph and let  $e = xy$  be an edge of  $G$ . Then,  $\eta_e(G)$  is 3-connected if and only if  $e$  is contractible in  $G$ .*

**Proof:** We consider H-transformation around  $e$ .

We show that  $e$  is non-contractible if and only if  $\eta_e(G)$  has 2-cut. We first assume that  $e$  is non-contractible, then there is a 3-cut  $S$  of  $G$  which includes  $V(e) = \{x, y\}$ . Write  $S = \{x, y, z\}$ , and in the notation of Fig.2.1,  $S' = \{u, z\}$  is a 2-cut of  $\eta_e(G)$ . (see Figure 2.1)

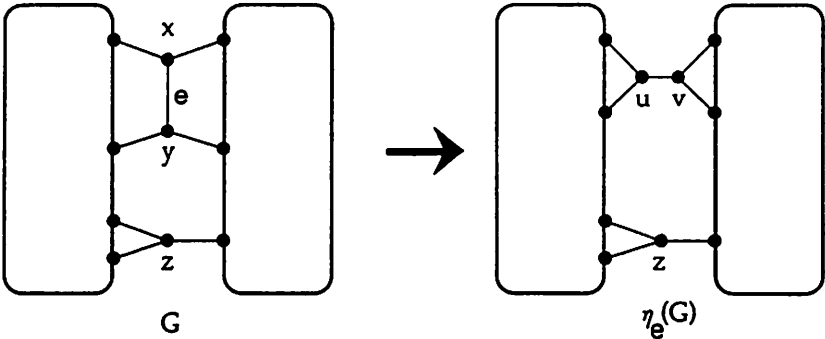


Figure 2.1

Next we prove that if  $\eta_e(G)$  has a 2-cut then  $e$  is non-contractible. Assume that  $\eta_e(G)$  has a 2-cut  $S'$ . If  $S' \cap \{u, v\} = \emptyset$ , then  $S'$  can be regarded as a subset of  $V(G)$ . Since  $\eta_e(G) - S'$  is disconnected,  $G - S'$  is also disconnected, which contradicts the fact that  $G$  is 3-connected. Hence  $S' \cap \{u, v\} \neq \emptyset$ . By the definition (1.1), we get  $G - \{x, y\} \cong \eta_e(G) - \{u, v\}$ . Since  $G$  is 3-connected,  $G - \{x, y\} \cong \eta_e(G) - \{u, v\}$  is connected, and this implies that  $S' - \{u, v\} \neq \emptyset$ .

Write  $S' - \{u, v\} = \{z\}$ . Then, since  $S' \cap \{u, v\} \neq \emptyset$ ,  $z$  is a cut vertex of  $G - \{x, y\} \cong \eta_e(G) - \{u, v\}$ , which implies that  $G - \{x, y, z\}$  is disconnected. Thus, we get a 3-cut  $\{x, y, z\}$  of  $G$  which includes  $\{x, y\}$ , and this means that the edge  $e = xy$  is non-contractible. Now lemma 2.2 is proved.  $\square$

**Corollary 2.3.** *Let  $G$  be a 3-connected cubic planar graph. If  $G$  is an HU-graph, then each non-triangle edge of  $G$  is contractible.*

**Proof:** If  $|V(G)| = 4$ , then  $G$  is isomorphic to  $K_4$  which has no non-triangle edge. Hence we may assume that  $|V(G)| \geq 6$ . Then, by lemma 2.1, there is a contractible edge  $e$  of  $G$ . By way of contradiction, suppose that there is a non-triangle edge  $e'$  which is not contractible. Then  $\eta_{e'}(G)$  is not 3-connected, however,  $\eta_e(G)$  is 3-connected which means that  $\eta_{e'}(G)$  and  $\eta_e(G)$  are not isomorphic, a contradiction.  $\square$

We note that if  $G$  is an HU-graph, then  $R_1$  and  $R_2$  in Fig 1.1 are not adjacent. Because if  $R_1$  and  $R_2$  are adjacent, then  $xy$  is non-contractible in  $G$ , which contradicts corollary 2.3.

Let  $G$  be a plane graph. Let  $N_G[x] = N_G(G) \cup \{x\}$  and we call it the closed neighbourhood of  $x \in V(G)$ . For disjoint subsets  $S$  and  $S'$  of  $V(G)$ , let  $E_G(S, S')$  denote the set of edges between  $S$  and  $S'$ , namely,  $E_G(S, S') = \{xy \in E(G) \mid x \in S \text{ and } y \in S'\}$ . Furthermore, let  $e_G(S, S') = |E_G(S, S')|$ . A face  $F$  of  $G$  is said to be an  $i$ -face if  $|V(F)| = i$ . We denote the set of  $i$ -faces of  $G$  by  $\mathcal{F}_i(G)$ . Let  $f_i(G) = |\mathcal{F}_i(G)|$ , i.e.,  $f_i(G)$  denotes the number of  $i$ -faces of  $G$ . If there is no ambiguity, we write  $\mathcal{F}_i$  and  $f_i$  for  $\mathcal{F}_i(G)$  and  $f_i(G)$ , respectively. We denote the maximum value of  $|V(F)|$  by  $M(G)$ , i.e.,  $M(G) = \max\{i \mid \mathcal{F}_i(G) \neq \emptyset\}$ . For a face  $F$  of  $G$ , let  $g_G(F; i)$  denote the number of  $i$ -faces of  $G$  which are adjacent to  $F$ , i.e.,  $g_G(F; i) = |\{F' \in \mathcal{F}_i(G) \mid E(F) \cap E(F') \neq \emptyset\}|$ . A vertex  $x \in V(G)$  is said to be a triangle vertex if there is a triangle  $T \in \mathcal{F}_3(G)$  whose boundary contains  $x$ , otherwise we call it a non-triangle vertex. We denote the set of triangle vertices of  $G$  and the set of non-triangle vertices of  $G$  by  $W(G)$  and  $\bar{W}(G)$ , respectively. Furthermore, let  $w(G) = |W(G)|$  and  $\bar{w}(G) = |\bar{W}(G)|$ .

Let  $G$  be a 3-connected cubic planar graph. Then, for adjacent distinct edges  $e, e' \in G$ , there exists just one face of  $G$  whose boundary contains both  $e$  and  $e'$ . For adjacent distinct edges  $e, e' \in G$ , we denote  $F(e, e')$  the face of  $G$  uniquely determined by  $e$  and  $e'$ . For a non-triangle edge  $e$  of  $G$  and a given integer  $i$ , we define

$$\varphi_i(e) = f_i(\eta_e(G)) - f_i(G), \tag{2.1}$$

i.e.,  $\varphi_i(e)$  denotes the difference between the number of  $i$ -faces of  $G$  and the number of  $i$ -faces of  $\eta_e(G)$ . Let  $G$  be an HU-graph, then, by definition for any  $e, e' \in \bar{E}(G)$ ,  $\varphi_i(e) = \varphi_i(e')$ , i.e.,  $\varphi_i(e)$  is independent from the choice of  $e$ . We denote this constant by  $\varphi_i(G)$ . Let  $F$  be a face of  $G$  and let  $i$  be an integer. We define  $\sigma_i(F)$  and  $\rho_i(F)$  as follows:

$$\sigma_i(F) = \begin{cases} 1 & \text{if } F \in \mathcal{F}_{i-1} \\ -1 & \text{if } F \in \mathcal{F}_i \\ 0 & \text{otherwise} \end{cases} \quad \rho_i(F) = \begin{cases} 1 & \text{if } F \in \mathcal{F}_{i+1} \\ -1 & \text{if } F \in \mathcal{F}_i \\ 0 & \text{otherwise} \end{cases} \tag{2.2}$$

By definition, if  $i \geq M + 2$ , then  $\sigma_i(F) = \rho_i(F) = 0$  for each  $F \in \mathcal{F}(G)$ . Furthermore, we observe that  $\rho_{M+1}(F) = 0$ ,  $\sigma_{M+1}(F) \neq -1$  and  $\rho_M(F) \neq 1$  for each  $F \in \mathcal{F}(G)$ . If we do not specify the integer  $i$ , we write  $\varphi_*(e)$ ,  $\sigma_*(F)$  and  $\rho_*(F)$  for  $\varphi_i(e)$ ,  $\sigma_i(F)$  and  $\rho_i(F)$ , respectively.

Let  $e = xy$  be a non-triangle edge of a 3-connected cubic planar graph and  $F_1$  and  $F_2$  be the faces which share  $e$  in common. Furthermore let  $R_1$  and  $R_2$  be the faces such that  $V(R_1) \cap V(e) = \{x\}$  and  $V(R_2) \cap V(e) = \{y\}$  (see Fig 1.1). In this situation, from (1.1), (2.1) and (2.2), we get

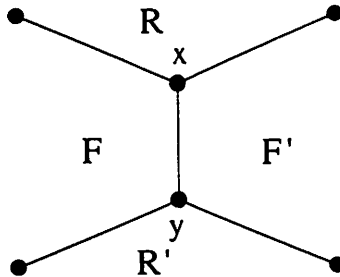
$$\varphi_i(e) = \sigma_i(R_1) + \sigma_i(R_2) + \rho_i(F_1) + \rho_i(F_2) \tag{2.3}$$

**Lemma 2.4.** *Let  $G$  be an HU-graph. Then,*

- (i) *No two triangles in  $G$  have an edge in common.*
- (ii) *If a triangle is adjacent to a quadrangle in  $G$ , then  $G \cong P$ .*

**Proof:** (i) Immediately follows from the fact that  $G$  is 3-connected.

We show (ii). Assume that a triangle  $T$  is adjacent to a quadrangle  $F_1$ . Write  $V(T) = \{x_1, x_2, x_3\}$  and write  $V(F_1) = \{x_1, x_2, y_2, y_1\}$ . Moreover write  $N_G(x_3) = \{x_1, x_2, y_3\}$ . From (i), these six vertices are distinct. If  $V(G) = \{x_1, x_2, x_3, y_1, y_2, y_3\}$ , then since  $G$  is cubic, both  $y_1$  and  $y_2$  are adjacent to  $y_3$ , and hence, we get  $G \cong P$ . By way of contradiction, suppose that  $|V(G)| \geq 7$ . If  $y_1y_3 \in E(G)$ , then  $\{y_2, y_3\}$  is a two-cut of  $G$  since  $|V(G)| \geq 7$ , and this contradicts the fact that  $G$  is 3-connected, and hence, we get  $y_1y_3 \notin E(G)$ . By symmetry, we get  $y_2y_3 \notin E(G)$ . Write  $N_G(y_1) = \{x_1, y_2, w\}$  and write  $N_G(y_3) = \{x_3, z_1, z_2\}$ . Let  $F_2 = F(x_1x_3, x_3y_3)$ ,  $F_3 = F(x_2x_3, x_3y_3)$ ,  $F_4 = F(wy_1, y_1y_2)$  and  $F_5 = F(z_1y_3, y_3z_2)$  (see Figure 2.2).



**Figure 2.2**

Since neither  $y_1$  nor  $y_2$  is adjacent to  $y_3$ ,  $|V(F_2)|, |V(F_3)| \geq 5$ , and hence we get  $\rho_3(F_2) = \rho_3(F_3) = 0$ . Hence, from (2.3), we get

$$\begin{aligned} \varphi_3(x_1y_1) &= \sigma_3(T) + \sigma_3(F_4) + \rho_3(F_1) + \rho_3(F_2) \\ &= -1 + \sigma_3(F_4) + 1 + 0 = \sigma_3(F_4) \end{aligned} \tag{2.4}$$

Also, from (2.3), we get

$$\begin{aligned} \varphi_3(x_3y_3) &= \sigma_3(T) + \sigma_3(F_5) + \rho_3(F_2) + \rho_3(F_3) \\ &= -1 + \sigma_3(F_5) + 0 + 0 = \sigma_3(F_5) - 1 \end{aligned} \quad (2.5)$$

From (2.4) and (2.5), we obtain  $\sigma_3(F_4) = \sigma_3(F_5) - 1$ . This together with the fact that  $\sigma_3(F) = 0$  or  $-1$  for each face  $F \in \mathcal{F}(G)$  implies that  $\sigma_3(F_4) = -1$ , which means that  $F_4$  is a triangle. Thus  $y_2w \in E(G)$  and we see that  $\{x_3, w\}$  is a two-cut of  $G$  which contradicts the fact that  $G$  is 3-connected. This contradiction completes the proof of lemma 2.4.  $\square$

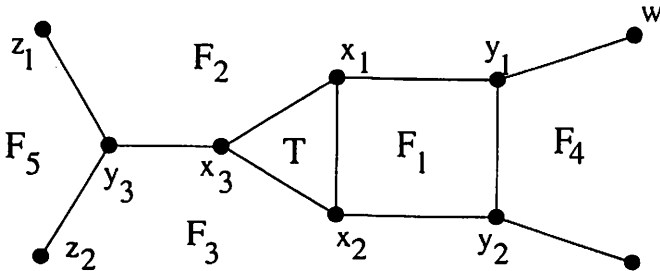
Recall that  $W(G)$  denotes the set of triangle vertices of  $G$ . An HU-graph  $G$  is called *triangle-type* if  $V(G) = W(G)$  and called *triangle-free* if  $W(G) = \phi$ . If  $G$  is neither triangle-type nor triangle-free, then it is called *mixed-type*.

**Lemma 2.5.** *Let  $G$  be a mixed-type HU-graph. Then*

$$\tilde{E}(G) = E_G(W(G), \tilde{W}(G)).$$

**Proof:** Let  $e = xy \in E(G)$ . If either  $x$  or  $y$  is a non-triangle vertex, then  $xy$  is non-triangle, and hence  $\tilde{E}(G) \supset E_G(W(G), \tilde{W}(G))$ .

We show that  $\tilde{E}(G) \subset E_G(W(G), \tilde{W}(G))$ . Note that  $G$  is not isomorphic to the prism  $P$  which is not mixed-type. Firstly we show that  $\varphi_3(G) = -1$ . Take an edge  $e = x_1y_1 \in E_G(W(G), \tilde{W}(G))$  such that  $x_1 \in W(G)$  and  $y_1 \in \tilde{W}(G)$ . By lemma 2.4 (i), there is just one triangle containing  $x_1$ , say  $T$ . Write  $V(T) = \{x_1, x_2, x_3\}$  and write  $N_G(y_1) = \{x_1, z_1, z_2\}$ . From lemma 2.4 (i), these six vertices are distinct. Let  $F_1 = F(y_1x_1, x_1x_2)$ ,  $F_2 = F(y_1x_1, x_1x_3)$  and  $F_3 = F(z_1y_1, y_1z_2)$  (see Figure 2.3).



**Figure 2.3**

From the choice of  $y_1$ ,  $F_3$  is not triangle. By lemma 2.4(i), (ii) together with the fact that  $G$  is not isomorphic to  $P$ , neither a triangle nor a quadrangle is adjacent to  $T$  and this implies that  $\rho_3(F_1) = \rho_3(F_2) = 0$ . Thus,

from (2.3), we get

$$\begin{aligned}\varphi_3(G) &= \varphi_3(x_1y_1) \\ &= \sigma_3(T) + \sigma_3(F_3) + \rho_3(F_1) + \rho_3(F_2) \\ &= -1 + 0 + 0 + 0 = -1.\end{aligned}$$

Now we show that each non-triangle edge belongs to  $E_G(W(G), \tilde{W}(G))$ . By way of contradiction, suppose that there is a non-triangle edge  $uv \notin E_G(W(G), \tilde{W}(G))$ . Then, either  $u, v \in W(G)$  or  $u, v \in \tilde{W}(G)$ . We first assume that  $u, v \in W(G)$ . By the definition of  $W(G)$ , there are triangles  $T_u$  and  $T_v$  such that  $u \in V(T_u)$  and  $v \in V(T_v)$ . Because the edge  $uv$  is non-triangle,  $T_u$  and  $T_v$  are distinct. Let  $F_1$  and  $F_2$  be the faces which share the edge  $uv$  in common. By lemma 2.4 (ii) together with the fact that  $G$  is not isomorphic to  $P$ , neither  $F_1$  nor  $F_2$  is a quadrangle and this implies that  $\rho_3(F_1) = \rho_3(F_2) = 0$ . Thus, from (2.3), we get

$$\begin{aligned}\varphi_3(uv) &= \sigma_3(T_u) + \sigma_3(T_v) + \rho_3(F_1) + \rho_3(F_2) \\ &= (-1) + (-1) + 0 + 0 = -2,\end{aligned}$$

and this contradicts the fact that  $\varphi_3(G) = -1$ . If both  $u$  and  $v$  belong to  $\tilde{W}(G)$ , then we clearly get  $\varphi_3(uv) \geq 0$  which again contradicts the fact that  $\varphi_3(G) = -1$ . These contradictions complete the proof of lemma 2.5.  $\square$

**Lemma 2.6.** *Let  $G$  be a triangle-type HU-graph. Then*

$$\mathcal{F}(G) = \mathcal{F}_3(G) \cup \mathcal{F}_{M(G)}(G).$$

**Proof:** We write  $M$  for  $M(G)$ . By way of contradiction, suppose  $\mathcal{F}(G) \neq \mathcal{F}_3(G) \cup \mathcal{F}_M(G)$ . Then, since  $\mathcal{F}(P) = \mathcal{F}_3(P) \cup \mathcal{F}_4(P)$ ,  $G$  is not isomorphic to  $P$ . If  $G$  has a quadrangle, then, since  $G$  is triangle-type,  $G$  has a triangle which is adjacent to the quadrangle, and hence, by lemma 2.4 (ii),  $G$  is isomorphic to  $P$ , a contradiction. Consequently,  $G$  has no quadrangle, and which implies that  $M \geq 6$ . Take a face  $F_1 \in \mathcal{F}(G) - (\mathcal{F}_3(G) \cup \mathcal{F}_M(G))$  so that  $F_1$  is adjacent to a face  $F_2 \in \mathcal{F}_M(G)$  along an edge  $x_1y_1$ . Since  $G$  is triangle-type, there is the triangle  $T$  containing the vertex  $x_1$ . Write  $V(T) = \{x_1, x_2, x_3\}$ . Moreover write  $N_G[x_i] = \{x_1, x_2, x_3, y_i\}$  ( $1 \leq i \leq 3$ ). Then, by lemma 2.4 (i), both  $x_2y_2$  and  $x_3y_3$  are non-triangle. We may assume  $F(y_1x_1, x_1x_3) = F_1$  and  $F(y_1x_1, x_1x_2) = F_2$ . We denote the face  $F(y_3x_3, x_3x_2)$  by  $F_3$ . Let  $T_i$  be the triangle containing the vertex  $y_i$  ( $1 \leq i \leq 3$ ) (see Figure 2.4).



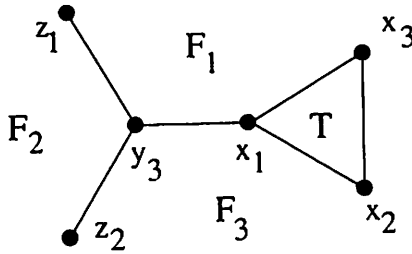


Figure 2.4

By lemma 2.4 (i), the six vertices  $x_1, x_2, x_3, y_1, y_2$  and  $y_3$  are distinct, and hence, the faces  $T, F_1, F_2$  and  $F_3$  are distinct. Furthermore, since each  $F_i$  is not quadrangle,  $T_1, T_2$  and  $T_3$  are also distinct. Since  $M \geq 6$ ,  $\sigma_M(T) = \sigma_M(T_2) = \sigma_M(T_3) = 0$ , and since  $|V(F_1)| < M$  and  $|V(F_2)| = M$ ,  $\rho_M(F_1) = 0$  and  $\rho_M(F_2) = -1$ . Consequently, we get

$$\begin{aligned} \varphi_M(G) &= \varphi_M(x_2y_2) \\ &= \sigma_M(T) + \sigma_M(T_2) + \rho_M(F_2) + \rho_M(F_3), \quad (2.6) \\ &= 0 + 0 + (-1) + \rho_M(F_3) = \rho_M(F_3) - 1 \end{aligned}$$

and

$$\begin{aligned} \varphi_M(G) &= \varphi_M(x_3y_3) \\ &= \sigma_M(T) + \sigma_M(T_3) + \rho_M(F_1) + \rho_M(F_3). \quad (2.7) \\ &= 0 + 0 + 0 + \rho_M(F_3) = \rho_M(F_3) \end{aligned}$$

Then (2.6) and (2.7) contradict each other and this contradiction completes the proof of lemma 2.6.  $\square$

**Lemma 2.7.** *Let  $G$  be a mixed-type HU-graph. Then*

$$\mathcal{F}(G) = \mathcal{F}_3(G) \cup \mathcal{F}_{M(G)}(G).$$

**Proof:** We write  $M$  for  $M(G)$ . By way of contradiction, suppose  $\mathcal{F}(G) \neq \mathcal{F}_3(G) \cup \mathcal{F}_M(G)$ . If  $G$  has a quadrangle, then, by lemma 2.5, there is a triangle which is adjacent to the quadrangle, and hence, by lemma 2.4 (ii),  $G$  is isomorphic to  $P$  which is not mixed-type. Hence,  $G$  has no quadrangle, and which implies that  $M \geq 6$ . Take a face  $F_1 \in \mathcal{F}(G) - (\mathcal{F}_3(G) \cup \mathcal{F}_M(G))$  so that  $F_1$  is adjacent to a face  $F_2 \in \mathcal{F}_M(G)$  along an edge  $xx_1$ . The edge  $xx_1$  is non-triangle, and hence, by lemma 2.5, we see  $xx_1 \in E_G(W(G), \tilde{W}(G))$ . Without loss of generality, we assume that  $x \in \tilde{W}(G)$ . Write  $N_G(x) = \{x_1, x_2, x_3\}$ . Then  $xx_1, xx_2$  and  $xx_3$  are non-triangle and, again by lemma 2.5,  $x_1, x_2, x_3 \in W(G)$ . Let  $T_i$  be the triangle which contains  $x_i$  and write  $V(T_i) = \{x_i, y_i, z_i\}$  ( $1 \leq i \leq 3$ ). We may assume that  $y_1x_1, x_1x_2, x_2x_3, x_3z_3 \in$

$E(F_1)$  and  $z_1x_1, x_1x, xx_2, x_2y_2 \in E(F_2)$ . Let  $F_3 = F(x_2x, xx_3)$  (see Figure 2.5).

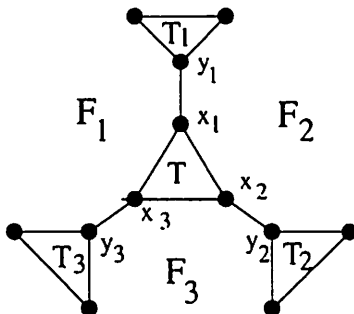


Figure 2.5

By the definition,  $\rho_{M+1}(F) = 0$  for each  $F \in \mathcal{F}(G)$  and, since  $M \geq 6$ ,  $\sigma_{M+1}(T) = 0$ . Furthermore, since  $|V(F_1)| < M$ , we get  $\sigma_{M+1}(F_1) = 0$ . Consequently, we get

$$\begin{aligned} \varphi_{M+1}(G) &= \varphi_{M+1}(x_3x) \\ &= \sigma_{M+1}(T_3) + \sigma_{M+1}(F_2) + \rho_{M+1}(F_1) + \rho_{M+1}(F_3). \quad (2.8) \\ &= 0 + 1 + 0 + 0 = 1 \end{aligned}$$

and

$$\begin{aligned} \varphi_{M+1}(G) &= \varphi_{M+1}(x_2x) \\ &= \sigma_{M+1}(T_2) + \sigma_{M+1}(F_1) + \rho_{M+1}(F_2) + \rho_{M+1}(F_3). \quad (2.9) \\ &= 0 + 0 + 0 + 0 = 0 \end{aligned}$$

(2.8) and (2.9) contradict each other and this contradiction completes the proof of lemma 2.7.  $\square$

A 3-connected planar graph  $G$  is said to be regular polyhedron graph if all faces of  $G$  have the same size. There are two cubic triangle-free regular polyhedron graphs, the cube  $Q$  and the dodecahedron  $D[2]$ .

**Lemma 2.8.** *A triangle-free HU-graph  $G$  is a regular polyhedron graph.*

**Proof:** We write  $M$  for  $M(G)$ . We show that  $\mathcal{F}(G) = \mathcal{F}_M(G)$ . By way of contradiction, suppose  $\mathcal{F}(G) - \mathcal{F}_M(G) \neq \emptyset$ . Then  $M \geq 5$ . Let  $\tilde{\mathcal{F}}$  be the set of faces which are not  $M$ -faces and adjacent to an  $M$ -face, i.e.,  $\tilde{\mathcal{F}} = \{F \in \mathcal{F}(G) - \mathcal{F}_M(G) \mid E(F) \cap E(F') \neq \emptyset \text{ for some } F' \in \mathcal{F}_M(G)\}$ . Take a face  $F_1 \in \tilde{\mathcal{F}}$  with minimum size and let  $F_1$  be adjacent to a face  $F_2 \in \mathcal{F}_M(G)$  along an edge  $xx_1$ . Let  $|V(F_1)| = M'$ . Write  $N_G(x) = \{x_1, x_2, x_3\}$  and write  $N_G(x_i) = \{x, y_i, z_i\}$  ( $1 \leq i \leq 3$ ). Without loss of generality, we may assume that  $y_1x_1, x_1x, xx_3, x_3z_3 \in E(F_1)$  and  $z_1x_1, x_1x, xx_2, x_2y_2 \in E(F_2)$ .

Let  $F_3 = F(x_2x, xx_3)$  and let  $R_i = F(y_i x_i, x_i z_i)$  ( $1 \leq i \leq 3$ ) (see Figure 2.6).

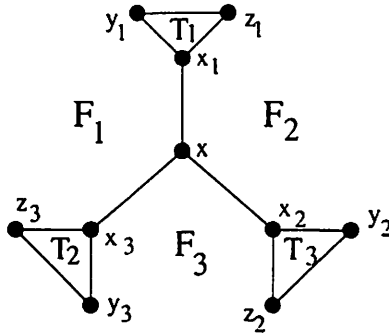


Figure 2.6

Clearly  $F_1$ ,  $F_2$  and  $F_3$  are distinct. From  $\varphi_*(x_1x) = \sigma_*(R_1) + \sigma_*(F_3) + \rho_*(F_1) + \rho_*(F_2)$ ,  $\varphi_*(x_2x) = \sigma_*(R_2) + \sigma_*(F_1) + \rho_*(F_2) + \rho_*(F_3)$  and  $\varphi_*(x_3x) = \sigma_*(R_3) + \sigma_*(F_2) + \rho_*(F_1) + \rho_*(F_3)$  we get

$$\sigma_*(R_1) + \sigma_*(F_3) + \rho_*(F_1) = \sigma_*(R_2) + \sigma_*(F_1) + \rho_*(F_3). \quad (2.10)$$

and

$$\sigma_*(R_2) + \sigma_*(F_1) + \rho_*(F_2) = \sigma_*(R_3) + \sigma_*(F_2) + \rho_*(F_1). \quad (2.11)$$

Firstly we show that  $R_2 \in \mathcal{F}_M(G)$  and

$$\sigma_*(F_1) + \rho_*(F_2) = \sigma_*(R_3) + \rho_*(F_1). \quad (2.12)$$

If  $R_2 \in \mathcal{F}_M(G)$ , then (2.12) follows from (2.11). Therefore it is enough to show that  $R_2 \in \mathcal{F}_M(G)$ . From (2.11), since  $\sigma_{M+1}(F_1) = 0$ ,  $\sigma_{M+1}(F_2) = 1$  and  $\rho_{M+1}(F) = 0$  for each  $F \in \mathcal{F}(G)$ , we get  $\sigma_{M+1}(R_3) + 1 = \sigma_{M+1}(R_2)$ , and this equality together with the fact that  $\sigma_{M+1}(F) = 0$  or 1 for each  $F \in \mathcal{F}(G)$  implies that  $\sigma_{M+1}(R_3) = 0$  and  $\sigma_{M+1}(R_2) = 1$ , and the latter equality means that  $R_2 \in \mathcal{F}_M(G)$ .

Next we show that  $R_3 \in \mathcal{F}_{M'-1}(G)$ . Since  $M' < M$ , we get  $\rho_{M'-1}(F_2) = 0$ . Hence, from (2.12), we have  $\sigma_{M'-1}(F_1) = \sigma_{M'-1}(R_3) + \rho_{M'-1}(F_1)$ . Since  $F_1 \in \mathcal{F}_{M'}(G)$ ,  $\sigma_{M'-1}(F_1) = 0$  and  $\rho_{M'-1}(F_1) = 1$ . Hence, we get  $\sigma_{M'-1}(R_3) = -1$  which means that  $R_3 \in \mathcal{F}_{M'-1}(G)$ .

If  $F_3$  is  $M$ -face, then the fact that  $F_3$  is adjacent to  $R_3$  which is  $(M'-1)$ -face contradicts the choice of  $F_1$ . Therefore  $F_3$  is not  $M$ -face and we get  $\sigma_{M+1}(F_3) = 0$ , and since  $\rho_{M+1}(F_1) = \rho_{M+1}(F_3) = \sigma_{M+1}(F_1) = 0$ , from (2.10), we get  $\sigma_{M+1}(R_1) = \sigma_{M+1}(R_2) = 1$ . This implies that  $R_1$  is  $M$ -face. Since both  $F_2$  and  $R_1$  are  $M$ -faces, the (2.10) implies

$$\sigma_*(F_3) + \rho_*(F_1) = \sigma_*(F_1) + \rho_*(F_3). \quad (2.13)$$

The equality (2.13) implies that two faces  $F_1$  and  $F_3$  have the same size,  $M'$ . Now we get all sizes of the six faces;  $F_2, R_2, R_1 \in \mathcal{F}_M(G)$ ,  $F_1, F_3 \in \mathcal{F}_{M'}(G)$  and  $R_3 \in \mathcal{F}_{M'-1}(G)$ .

Let  $e = x_1x$  and  $e' = x_3x$  and we consider H-transformations around  $e$  and  $e'$ . Let  $H = \eta_e(G)$  and  $H' = \eta_{e'}(G)$ . In view of the assumption that  $G$  is an HU-graph,  $H$  is isomorphic to  $H'$ . Recall that  $g_G(F; i)$  denotes the number of  $i$ -faces of  $G$  which are adjacent to  $F$ , and observe that  $\eta_e(R_1)$  is the only  $(M+1)$ -face of  $H$  and  $\eta_{e'}(F_2)$  is the only  $(M+1)$ -face of  $H'$ . Hence, the equality

$$g_H(\eta_e(R_1); i) = g_{H'}(\eta_{e'}(F_2); i) \tag{2.14}$$

holds for each integer  $i$ . Now we count the numbers of  $(M' - 1)$ -faces around  $\eta_e(R_1)$  and  $\eta_{e'}(F_2)$ . By the choice of  $F_1$ , there is no  $(M' - 1)$ -face around  $R_1$  in  $G$ , i.e.,  $g_G(R_1; M' - 1) = 0$ . By the same reason, we get  $g_G(F_2; M' - 1) = 0$ . Since  $\eta_e(F_3) \in \mathcal{F}_{M'}(H)$ ,  $\eta_e(F_1) \in \mathcal{F}_{M'-1}(H)$  and  $\eta_e(F_2) \in \mathcal{F}_{M-1}(H)$ , there is one  $(M' - 1)$ -face among  $\eta_e(F_3)$ ,  $\eta_e(F_1)$  and  $\eta_e(F_2)$ . This implies that

$$g_H(\eta_e(R_1); M' - 1) = g_G(R_1; M' - 1) + 1 = 1. \tag{2.15}$$

However, since both  $\eta_{e'}(F_1)$  and  $\eta_{e'}(F_3)$  are  $(M'-1)$ -faces of  $H'$ , we get

$$g_{H'}(\eta_{e'}(F_2); M' - 1) = g_G(F_2; M' - 1) + 2 = 2. \tag{2.16}$$

(see Figure 2.7). (2.15) and (2.16) contradict each other.

This is the final contradiction and the proof of lemma 2.8 is completed.  $\square$

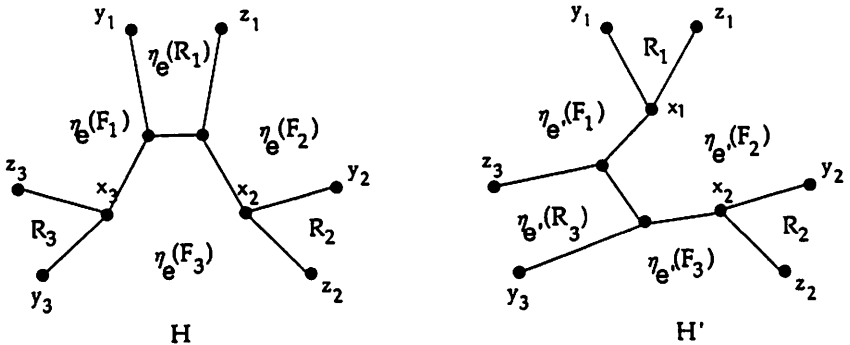


Figure 2.7

### 3 Proof of main theorem

In this section we give a proof of the theorem. Let  $G$  be an HU-graph. If  $G$  is triangle-free, then, by lemma 2.8, either  $G \cong Q$  or  $G \cong D$ . Therefore we

may assume that  $G$  is either mixed-type or triangle-type. Let  $n = |V(G)|$ ,  $m = |E(G)|$  and  $f = |\mathcal{F}(G)|$ . We write  $M$  for  $M(G)$ .

**Claim 1.** If  $G$  is either mixed-type or triangle-type, then

$$3f_3 + (6 - M)f_M = 12 \quad (3 - 1)$$

**Proof:** Recall  $-m + 3f = 6$ . Since  $G$  is either mixed-type or triangle-type, lemmas 2.6 and 2.7 assure us that  $f = f_3 + f_M$ , and hence, we have  $-m + 3f_3 + 3f_M = 6$ . Since each edge belongs to two faces,  $2m = 3f_3 + Mf_M$ . From these two equalities, we obtain the desired equality  $3f_3 + (6 - M)f_M = 12$ .  $\square$

**Claim 2.** A mixed-type HU-graph is isomorphic to  $G_{II}$ .

**Proof:** Let  $G$  be a mixed-type HU-graph.

Firstly we show that  $M \equiv 0 \pmod{3}$ . Let  $F$  be an  $M$ -face of  $G$ . Then  $\partial F$ , the boundary of  $F$ , is isomorphic to  $M$ -cycle. Let  $V_T(F)$  and  $V_N(F)$  be the set of triangle vertices of  $V(F)$  and the set of non-triangle vertices of  $V(F)$ , respectively. Then lemma 2.5 assures us that  $G[V_T(F)]$  is a one-factor of  $\partial F$  and  $V_N(F)$  is an independent set of  $\partial F$ . Consequently,  $|V_T(F)| = 2|V_N(F)|$ , and hence,  $M = |V_T(F)| + |V_N(F)| = 3|V_N(F)| \equiv 0 \pmod{3}$ .

Next we prove that  $M = 6$ . Recall that  $w(G)$  and  $\tilde{w}(G)$  are the number of triangle vertices and the number of non-triangle vertices of  $G$ . We write  $w$  and  $\tilde{w}$  for  $w(G)$  and  $\tilde{w}(G)$ , respectively. By lemma 2.4(i), we get  $w = 3f_3$ . By lemma 2.5,  $\tilde{W}(G)$  is an independent set of  $G$ , and this implies that  $e_G(\tilde{W}(G), W(G)) = 3|\tilde{W}(G)| = 3\tilde{w}$ . On the other hand, since  $G[W(G)]$  is a union of triangles, we observe that  $e_G(W(G), \tilde{W}(G)) = |W(G)| = w$ , and hence  $w = 3\tilde{w}$ . Since each triangle vertex belongs to two  $M$ -faces and each non-triangle vertex belongs to three  $M$ -faces,  $Mf_M = 2w + 3\tilde{w}$ . From  $w = 3\tilde{w}$ ,  $w = 3f_3$  and  $Mf_M = 2w + 3\tilde{w}$ , we get  $Mf_M = 9f_3$ . From this equality together with (3-1), we obtain  $(9 - M)f_M = 18$ , which implies that  $5 \leq M \leq 8$ . This inequality together with the fact that  $M \equiv 0 \pmod{3}$  implies that  $M = 6$ .

From the above argument, we get  $f_M = 18/(9 - M) = 6$ ,  $f_3 = Mf_M/9 = 4$ ,  $\tilde{w} = f_3 = 4$ ,  $w = 3\tilde{w} = 12$  and  $n = w + \tilde{w} = 16$ . Let  $\tilde{G}$  be the graph obtained from  $G$  by contracting all four triangles of it. Then  $\tilde{G}$  is cubic planar and  $|V(\tilde{G})| = n - 2f_3 = 8$ . Furthermore, since each 6-face of  $G$  has two triangle edges, the size of each face of  $\tilde{G}$  is  $M - 2 = 4$ , and hence,  $\tilde{G} \cong Q$ . The four vertices of  $\tilde{G}$  corresponding to the four triangles of  $G$  are independent in  $\tilde{G}$ . Hence the set of these four vertices is a maximum independent set of  $\tilde{G} \cong Q$ . Since a maximum independent set of  $Q$  is unique, we can conclude that  $G \cong G_{II}$ . Now Claim 2 is proved.  $\square$

**Claim 3.** A triangle-type HU-graph is isomorphic to one of  $G_I$ ,  $G_{III}$  and  $G_{IV}$ .

**Proof:** Let  $G$  be a triangle-type HU-graph.

We show that  $M = 6, 8$  or  $10$ . Let  $F$  be an  $M$ -face of  $G$ . Then, lemma 2.4(i) assures us that the number of triangle edges and the number of non-triangle edges in the boundary of  $F$  are the same, and this means that  $M$  is an even integer. Since each vertex belongs to one triangle and to two  $M$ -faces, we get  $3f_3 = n$  and  $Mf_M = 2n$ , and which imply that  $Mf_M = 6f_3$ . From this equality together with (3-1), we obtain  $(12 - M)f_M = 24$ , which implies that  $5 \leq M \leq 11$ . From this inequality together with the fact that  $M$  is even, we obtain that  $M = 6, 8$  or  $10$ .

Let  $G^{(M)}$  be a triangle-type HU-graph with  $M(G) = M$ . Then, by the above argument, we get the following table.

	$M$	$f_M = 24/(12 - M)$	$f_3 = Mf_M/6$	$n = 3f_3$
$G^{(6)}$	6	4	4	12
$G^{(8)}$	8	6	8	24
$G^{(10)}$	10	12	20	60

Let  $\tilde{G}^{(M)}$  be the graph obtained from  $G^{(M)}$  by contracting all their triangles. Then  $\tilde{G}^{(M)}$  is cubic planar and  $|V(\tilde{G}^{(M)})| = n/3$ . Furthermore, since each  $M$ -face of  $G^{(M)}$  has  $M/2$  triangle edges, the size of each face of  $\tilde{G}^{(M)}$  is  $M/2$ , i.e.,  $G^{(M)}$  is the regular polyhedron graph with the face size =  $M/2$ . Hence, we have  $\tilde{G}^{(6)} \cong K_4$ ,  $\tilde{G}^{(8)} \cong Q$  and  $\tilde{G}^{(10)} \cong D$ . Consequently, we can conclude that  $G^{(6)} \cong G_I$ ,  $G^{(8)} \cong G_{III}$  and  $G^{(10)} \cong G_{IV}$ . Now Claim 3 is proved and the proof of the Theorem is completed.

**Acknowledgements.** We sincerely thank the referees for helpful comments.

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