# All c-Bhaskar Rao Designs With Block Size 3 and $c \ge -1$ Exist

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Abstract: It is shown that the necessary conditions are sufficient for the existence of c-BRD(v, 3,  $\lambda$ ) for all  $c \ge -1$ . This was previously known for c = 0 and for c = 1.

**Keywords:** Bhaskar Rao Designs, BIBD, c-BRD, balanced orthogonal matrices.

#### 1. Introduction

A Bhaskar Rao design (BRD) is the incidence matrix of a BIBD(v, b, r, k,  $\lambda$ ) when the one's are assigned a plus or minus sign in such a way that the rows are orthogonal under the standard inner product. We consider designs with an assignment of plus/minus signs which yield a constant inner product c, but c is not necessarily zero. Such matrices were introduced by Dey and Midha [1976], who referred to them as GBM's or Generalized Balanced Matrices, but, to be more consistent with present terminology, we choose to call them c-BRD's. In Hurd and Sarvate [1999] it was shown that the necessary conditions were sufficient for the existence of 1-BRD(v, 3,  $\lambda$ ). Here we first solve the case for c = -1. The results are then extended to all  $c \ge -1$ .

Originally 0-BRD's were introduced in [1] and [2]. Such matrices and their generalizations have been studied by numerous authors, e.g., see [3], [4], [5], [9], [11], [12], [13] and the references therein.

As usual, we do not distinguish between the incidence matrix of a BIBD and the BIBD. The incidence matrix of a BIBD(v, k,  $\lambda$ ) with no minus signs is a  $\lambda$ -BRD. Recall that all BIBD's satisfy (1) vr = bk and (2)  $\lambda(v - 1) = r(k - 1)$ .

## 2. New Necessary Conditions.

In [8] it was shown that:

**Lemma 1**: (A) For every c-BRD(3, 3,  $\lambda$ ),  $c \equiv \lambda \pmod{4}$ ; this extends the well-known condition that  $c \equiv \lambda \pmod{2}$  for every c-BRD.

- (B) For every 1-BRD(v, 3, 3),  $v \equiv 1 \pmod{4}$ .
- (C) For k odd, every c-BRD satisfies  $b(k-1) + cv(v-1) \equiv 0 \pmod{8}$ .

The proof of (C) established that, if  $s_i$  is the  $i^{th}$  column sum of the c-BRD, then

$$\sum_{i=1}^{b} s_i^2 = vr + cv(v - 1).$$

But this implies a new condition, namely, that

**Theorem 2:** (A) For every c-BRD,  $vr + cv(v - 1) \ge 0$ .

(B) For 
$$k = 3$$
,  $c = -1$ ,  $2b \equiv v(v - 1) \pmod{8}$ .

We now apply these ideas to the case c=-1 seeking a condition analogous to (B). Suppose  $\lambda=3$ . As k=3, and as  $\lambda(v-1)=r(k-1)$ , we have r=3(v-1)/2. But from vr=bk, we see b=vr/k=v(v-1)/2. From Lemma 1(C), and as c=-1, we have, for some t, 8t=2b-v(v-1)=0. But this is no restriction at all. Thus, for all odd v, a (-1)-BRD(v, 3, 3) should exist! This is in striking contrast to the c=1 case.

We next explore the main result of this section, a rather important hidden connection between the parameters c and  $\boldsymbol{\lambda}.$ 

**Theorem 3.** Suppose  $v(v-1) \neq 0 \pmod{12}$ . Then, for any c-BRD $(v, 3, \lambda)$ :

- (A) If c = 2s and  $\lambda = 2t$ , then  $s \equiv t \pmod{2}$ .
- (B) Suppose x = 1 or 5. If c = 2s + 1 > 0 and  $\lambda = 6t + x$ , then  $s \equiv t \pmod{2}$ . If c = -2s 1 < 0 and  $\lambda = 6t + x$ , then  $s \not\equiv t \pmod{2}$ .
- (C) Suppose  $\lambda=6t+3$ . If c=2s+1>0, then  $s\not\equiv t\pmod 2$ . If c=-2s-1<0, then  $t\equiv s\pmod 2$ . In particular, if c=-1, i.e., s=0, then t must be even.

**Proof:** As k = 3, we get  $6b = \lambda v(v - 1)$ . Since by hypothesis, v(v - 1) is not 0 mod 12, it follows that v(v - 1) = 2n for some necessarily odd n. Now from

Lemma 1(C),

$$2b + cv(v - 1) \equiv 0 \pmod{8}$$

$$\Rightarrow \lambda v(v - 1)/3 + cv(v - 1) \equiv 0 \pmod{8}$$

$$\Rightarrow 2n\lambda + 6nc \equiv 0 \pmod{8}$$

 $\Rightarrow \lambda + 3c \equiv 0 \pmod{4}$ , as n is odd.

If c=2s and  $\lambda=2t$ , the last congruence reduces to  $t+s\equiv 0 \pmod 2$ . This proves (A).

Now suppose 
$$c = -2s - 1 < 0$$
 and  $\lambda = 6t + x$ . Then  $\lambda + 3c \equiv 6t + x - 6s - 3 \equiv 2(t - s - 1) \equiv 0 \pmod{4}$   $\Rightarrow t - s - 1 \equiv 0 \pmod{2}$ .

But this means exactly one of s and t must be odd and the other even. If c = 2s + 1 > 0, the conditions reduce to  $t + s \equiv 0 \pmod{2}$ . Thus,  $s \equiv t \pmod{2}$ . This proves (B).

We prove half of part (C), the other half being similar. Suppose  $\lambda=6t+3$  and c=-2s-1. From  $\lambda+3c\equiv 0 \pmod 4$  we get

$$6t + 3 + 3(-2s - 1) \equiv 0 \pmod{4}$$

$$\Rightarrow 6(t - s) \equiv 0 \pmod{4}$$

$$\Rightarrow 2(t - s) \equiv 0 \pmod{4}$$

$$\Rightarrow$$
 t - s  $\equiv$  0 (mod 2)

Corollary. Suppose  $v(v-1) \not\equiv 0 \pmod{12}$ . Then for any c-BRD(v, 3,  $\lambda$ ),  $c \equiv \lambda \pmod{4}$ .

**Lemma 4:** [8] Suppose there exists a BIBD(v, k',  $\lambda$ ) and a c-BRD(k', k,  $\mu$ ). Then there exists a  $c\lambda$ -BRD(v, k,  $\lambda\mu$ ).

Table 1 below is used explicitely throughout the rest of the paper. Parts A-D are taken from [10] and the rest from [12]. The examples in Tables 2 and 3 will be referred to later.

# Necessary and Sufficient Conditions for $\lambda$ -fold Triple Systems and 0-BRD's

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A.  $0 \mod 6$  all  $v \neq 2$ 

B. 1, 5 mod 6  $v \equiv 1, 3 \mod 6$ C. 2, 4 mod 6  $v \equiv 0, 1 \mod 3$ 

D. 3 mod 6 all odd v

E. 0-BRD(v, 3, 2) exist if and only if  $v(v-1) \equiv 0 \pmod{12}$ .

F. 0-BRD(v, 3, 4) exist if and only if  $v(v-1) \equiv 0 \pmod{3}$ .

G. 0-BRD(v, 3, 6) exist if and only if  $v(v-1) \equiv 0 \pmod{4}$ .

H. 0-BRD(v, 3, 2t) exists if and only if  $2tv(v-1) \equiv 0 \pmod{24}$ .

Table 1

2-BRD(3, 3, 6)								
1	1	1	1	1	1			
1	1	1	1	- 1	- 1			
1	1	1	- 1	- 1	1			

Table 2

	1-BRD(5, 3, 3)										
1	1	0	0	- 1	1	0	1	1	0		
- 1	1	1	0	0	0	1	0	1	1		
0	- 1	1	1	0	1	0	1	0	1		
0	0	-	1	1	1	1	0	1	0		
1	0	0	- 1	1	0	1	1	0	1		

Table 3

### Section 3. The Cases c = 2 and c = -1.

**Theorem 5.** 2-BRD(v, 3, 6) exist for all  $v \ge 3$ .

**Proof:** From Table 2, we have a 2-BRD(3, 3, 6). We construct a 2-BRD(4, 3, 6) by juxtaposition of 0-BRD(4, 3, 2) and two copies of 2-BRD(4, 3, 2). These exist by Table 1. A 2-BRD(5, 3, 6) is formed from two copies of 1-BRD(5, 3, 3), from Table 3. Juxtapose a 0-BRD(6, 3, 4) and a 2-BRD(6, 3, 2) to make a 2-BRD(6, 3, 6). When v = 8, in Table 4 we have a signing for 2-BRD(8, 3, 6). Thus, we have constructed a 2-BRD(v, 3, 6) for v = 3, 4, 5, 6, and 8. The theorem now follows by Lemma 4 above and the Hanani's Lemma 5.3 [7, p.289] which states for every integer  $v \ge 3$ ,  $v \in B(K_3, 1)$  holds, where  $K_3 = \{3, 4, 5, 6, 8\}$ .

Theorem 5 stands in contrast to the case for 0-BRD(v, 3, 6) many of which do not exist (Table 1).

**Theorem 6**: A(-1)-BRD(v, 3, 3) exists for all odd v.

**Proof**: From [10, p.49], let  $(Q, \circ)$  be an idempotent commutative semigroup of order v, for v odd. Then

$$\{\{a, b, a \circ b\} \mid a < b \in Q\}$$

forms a BIBD(v, 3, 3), (or actually a 3-BRD(v, 3, 3)). We sign  $a \circ b$  with -1 in the incidence matrix. This forms a (-1)-BRD(v, 3, 3) since all pairs (a, b) with a < b occur only once as the first two entries in a block.

**Theorem 7.** If  $\lambda \equiv 3 \pmod{6}$ , then the necessary conditions are sufficient for (-1)-BRD $(v, 3, \lambda)$  to exist.

**Proof:** First suppose  $v(v-1) \equiv 0 \pmod{12}$ . Then certainly  $v(v-1) \equiv 0 \pmod{4}$ . Hence a 0-BRD(v, 3, 6) exists (Table 1). But a (-1)-BRD(v, 3, 3) exists by Theorem 6. By juxtaposition of the t-copies of the first matrix with the second, we get a (-1)-BRD(v, 3, 6t + 3). Now suppose  $v(v-1) \not\equiv 0 \pmod{12}$ . By Theorem 3(C), t must be even. Hence,  $\lambda = 12y + 3$  for some y. But a 0-BRD(v, 3, 12) exists. Hence, we can juxtapose y-copies of 0-BRD(v, 3, 12) with a (-1)-

**Theorem 8.** If  $\lambda \equiv 1, 5 \pmod{6}$ , then the necessary conditions are sufficient for (-1)-BRD $(v, 3, \lambda)$  to exist.

**Proof:** Suppose  $\lambda \equiv 5 \pmod{6}$ . Now by Theorem 3(B), since s is even, t must be odd. So  $\lambda = 6t + 5 = 6(2y + 1) + 5 = 12y + 11$  for some y. By Table 1, v is ncessarily 1 or 3 mod 6. But in either case, a 0-BRD(v, 3, 4) exists. We construct a (-1)-BRD(v, 3, 12y + 11) by juxtaposing y copies of 0-BRD(v, 3, 12), two copies of 0-BRD(v, 3, 4), and one copy of (-1)-BRD(v, 3, 3). When  $\lambda \equiv 1 \pmod{6}$ , the conditions are the same and the construction is the same except we use only one copy of 0-BRD(v, 3, 4).

#### Theorems 7 and 8 establish:

**Theorem 9.** The necessary conditions are sufficient for the existence of (-1)- $BRD(\nu, 3, \lambda)$ .

#### 4. The Cases c = 3 and c = 5.

We now construct the family 3-BRD(v, 3, 6t + 3). First suppose that  $v(v-1) \equiv 0 \pmod{12}$ . Then by Table 1, we may combine t-copies of 0-BRD(v, 3, 6) with one 3-BRD(v, 3, 3). Now suppose  $v(v-1) \not\equiv 0 \pmod{12}$ . As s=1, by Theorem 3(C), t is even. Hence 6t+3=12y+3 for some y. Now we take one copy of 3-BRD(v, 3, 3) and y-copies of 0-BRD(v, 3, 12), and, by adjoining them, get a 3-BRD(v, 3, 6t + 3).

In similar fashion we construct the family 5-BRD(v, 3, 6t + 3). Again, suppose that  $v(v-1)\equiv 0\pmod{12}$ . Using Table 1, we combine t-copies of 0-BRD(v, 3, 6), one 3-BRD(v, 3, 3), and one 2-BRD(v, 3, 2). Now suppose  $v(v-1)\not\equiv 0\pmod{12}$ . We must note that s=2, and so t=2y+1 for some y; thus, 6t+3=12y+9. Take one copy of 3-BRD(v, 3, 3), one copy of 2-BRD(v, 3, 6), and y-copies of 0-BRD-(v, 3, 12). Together this gives 5-BRD(v, 3, 6t + 3). We have proved:

**Theorem 10.** The necessary conditions are sufficient for the existence of 5-BRD(v, 3, 6t + 3) and 3-BRD(v, 3, 6t + 3).

 $BRD(v, 3, \lambda)$ .

**Proof**: We now construct a 3-BRD for  $\lambda=6t+1$  and 6t+5. It is again necessary to consider two cases. First suppose that  $v(v-1)\equiv 0\pmod{12}$ . Use one (-1)-BRD(v, 3, 3), one 3-BRD(v, 3, 3), one 1-BRD(v, 3, 1) and (t-1)-copies of 0-(BRD(v, 3, 6). These exist by Table 1. Together we have a 3-BRD(v, 3, 6t+1). For 6t+5, use one copy of 0-BRD(v, 3, 2), one 3-BRD(v, 3, 3), and a 0-BRD(v, 3, 6t). Now suppose  $v(v-1)\not\equiv 0\pmod{12}$ . For this case, we note s=1. By Theorem 3, t is odd. So 6t+1=12y+7 for some y. We use one 0-BRD(v, 3, 4), one 3-BRD(v, 3, 3), and y-copies of 0-BRD(v, 3, 12). For  $\lambda=6t+5$ , we add one more 0-BRD(v, 3, 4).

**Theorem 12.** The necessary conditions are sufficient for the existence of 5-BRD( $\nu$ , 3,  $\lambda$ ).

**Proof:** Following Theorem 10, we only need to construct the remaining 5-BRD's for  $\lambda=6t+1$ , 6t+5. First suppose that  $v(v-1)\equiv 0\pmod{12}$ . Juxtapose one copy of 0-BRD(v, 3, 6t), one copy of 3-BRD(v, 3, 3), and a 2-BRD(v, 3, 2). This constructs a 5-BRD(v, 3, 6t+5). Now juxtapose one copy of 0-BRD(v, 3, 2), one 0-BRD(v, 3, 6(t-1)), and one 5-BRD(v, 3, 5). This builds a 5-BRD(v, 3, 6t+1). When  $v(v-1)\not\equiv 0\pmod{12}$ , by Theorem 3, t is even since s is even. Thus 6t+1=12y+1 for some y. For 12y+1, we juxtapose (y-1)-copies of 0-BRD(v, 3, 12), two copies of 0-BRD(v, 3, 4), one copy of 1-BRD(v, 3, 1), and a 4-BRD(v, 3, 4). When  $\lambda=6t+5=12y+5$ , we use y-copies of 0-BRD(v, 3, 12) and one copy of 5-BRD(v, 3, 5).

# Section 4. Main Result

This section is devoted to proving the following theorem.

**Theorem 13.** The necessary conditions are sufficient for the existence of all c-BRD $(v,3,\lambda)$  where  $c \ge -1$ .

**Proof:** The cases c = -1 (Theorem 9) and c = 0 [10] are done, we only need to consider positive c. We divide the argument into two cases.

<u>Caes 1:</u> Assume  $v(v-1) \equiv 0 \pmod{12}$ . If  $\lambda = 2t+1$  is odd, then necessarily c=2s+1 for some s (Lemma 1(A)). Note  $\lambda = 2(t-s)+2s+1$ . To form a c-

- c = 2s + 1 for some s (Lemma 1(A)). Note  $\lambda = 2(t s) + 2s + 1$ . To form a c-BRD(v 3,  $\lambda$ ), we need only juxtapose (t-s)-copies of a 0-BRD(v, 3, 2) and a (2s+1)-BRD(v, 3, 2s+1). The former exists from the Case 1 hypothesis and by Table 1(E), and the latter exists, from Table 1(B), since the necessarily odd v satisfies  $v \equiv 1$ , 3 (mod 6) with the Case 1 hypothesis. If  $\lambda = 2t$ , we form a 2s-BRD(v, 3, 2t) by combining s-copies of 2-BRD(v, 3, 2) and (t-s)-copies of 0-BRD(v, 3, 2).
- <u>Case 2</u>: Suppose  $v(v-1) \not\equiv 0 \pmod{12}$ . For even  $\lambda$  and c, we refer the reader to Table 5. Each case there provides a 2s-BRD(v, 3, 2t) when the necessary conditions are satisfied. These conditions include  $v(v-1) \not\equiv 0 \pmod{12}$ , Table 1, Theorem 3 and its corollary, s and t have the same parity, and necessary conditions for BIBD. Note that, in reading Table 5, \*s means use s-copies. The array uses the Corollary to Theorem 3, namely that s is odd, see Table 6. The same comments apply here as for Table 5.

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	C1	C2	СЗ	C4	C5	C6	<b>C7</b>	C8	C9	C10	C11	C12	C13	C14
V1	-1	1	1	1_	1									
V2	1	-1	1	. 1	1	1	1	-1	-1	1				
٧3						-1	1	1	-1	1	1	1	1	1
V4	1	1	-1	1	1						-1	1	1	1
<b>v</b> 5						1	1	1	1	1				
V6											1	1	-1	1
٧7														
v8														

	C15	C16	C17	C18	C19	C20	C21	C22	C23	C24	C25	C26	C27	C28
V1							-	-	1	1	1			
v2												1	1	-1
٧3	1													
v4	1	-1	1	1	1	1								
<b>v</b> 5		1	1	1	-1	1	1	-	1	1.	1			
<b>v</b> 6	1						1	1	-1	1	1	-1	1	-1
٧7		1	1	-1	1	1						1	1	1
<b>v</b> 8														

	C29	C30	C31	C32	C33	C34	C35	C36	C37	C38	C39	C40	C41	C42
V1			1	-1	1	1	1					1		
v2	1	1							1					
v3			-1	1	1	1	1				-1		1	
<b>v</b> 4								1	1			1		
<b>v</b> 5								1		-1			1	
<b>v</b> 6	1	-								1				1
<b>v7</b>	1	1	1	1	1	1	1				1			1
89								1	1	1	1	1	1	1

	C43	C44	C45	C46	C47	C48	C49	C50	C51	C52	C53	C54	C55	C56
V1			-1	1					_		_1_			-
٧2	1			1			1					1	1	
٧3					1		1			1				1
٧4		1						1		-1				
v5	1					1					-1			
<b>v</b> 6		1			-1				-				-1	
٧7			1			1		1				-1		
<b>v</b> 8	1	1	1	-1	1	1	-1	-1	1	1	1	1	1	1

Table 4: 2-BRD(8, 3, 6)

λ	c = 12x	c = 12x + 4	c = 12x + 8
12y	12-BRD(v,3,12)*x 0-BRD(v,3,12)*(y-x)	2-BRD(v,3,6)*2 12-BRD(v,3,12)*x 0-BRD(v,3,12)*(y-x-1)	(12x+6)-BRD(v,3,12x+6) 0-BRD(v,3,12)*(y-x-1) 2-BRD(v,3,6)
12 y + 4	12-BRD(v,3,12)*x 0-BRD(v,3,12)*(y-x) 0-BRD(v,3,4)	(12x+4)-BRD(v,3,12x+4) 0-BRD(v,3,12)*(y-x)	(12x+4)-BRD(v,3,12x+4) 2-BRD(v,3,6)*2 0-BRD(v,3,12)*(y-x-1)
12y + 8	12-BRD(v,3,12)*x 0-BRD(v,3,12)*(y-x) 0-BRD(v,3,4)*2	(12x+4)-BRD(v,3,12x+4) 0-BRD(v,3,4) 0-BRD(v,3,12)*(y-x)	(12x+8)-BRD(v,3,12x+8) 0-BRD(v,3,12)*(y-x)

λ	c = 12x + 2	c = 12x + 6	c = 12x + 10
12y + 2	(12x+2)-BRD(v,3,12x+2) 0-BRD(v,3,12)*(y-x)	2-BRD(v,3,6)*2 (12x+2)-BRD(v,3,12x+2) 0-BRD(v,3,12)*(y-x-1)	(12x+10)-BRD(v,3,12x+10) 0-BRD(v,3,12)*(y-x-1) 0-BRD(v,3,4)
12y + 6	12-BRD(v,3,12)*x 2-BRD(v,3,6) 0-BRD(v,3,12)*(y-x)	(12x+6)-BRD(v,3,12x+6) 0-BRD(v,3,12)*(y-x)	(12x+6)-BRD(v,3,12x+6) 2-BRD(v,3,6)*2 0-BRD(v,3,12)*(y-x-1)
12y + 10	(12x+2)-BRD(v,3,12x+2) 0-BRD(v,3,4) 0-BRD(v,3,12)*(y-x)	(12x+6)-BRD(v,3,12x+6) 0-BRD(v,3,12)*(y-x) 0-BRD(v,3,4)	(12x+10)-BRD(v,3,12x+10) 0-BRD(v,3,12)*(y-x)

Table 5: 2s-BRD(v, 3, 2t)

λ	c = 12x + 1	c = 12x + 5	c = 12x + 9
12y + 1	(12x+1)-BRD(v,3,12x+1) 0-BRD(v,3,12)*(y-x)	(12x+5)-BRDv, 3, 12x+5) 0-BRD(v,3,4)*(3y-3x-1)	(12x+9)-BRD(v,3,12x+9) 0-BRD(v,3,4)*(3y-3x-2)
12 y + 5	(12x+1)-BRD(v,3,12x+1) 0-BRD(v,3,12)*(y-x) 0-BRD(v,3,4)	(12x+5)-BRD(v,3,12x+5) 0-BRD(v,3,12)*(y-x)	(12x+9)-BRD(v,3,12+9) 0-BRD(v,3,12)*(y-x-1) 0-BRD(v,3,4)*2
12y + 9	(12x+3)-BRD(v,3,12x+3) 0-BRD(v,3,12)*(y-x) (-1)-BRD(v,3,3)*2	(12x+6)-BRD(v,3,12x+6) 0-BRD(v,3,12)*(y-x) (-1)-BRD(v,3,3)	(12x+9)-BRD(v,3,12x+9) 0-BRD(v,3,12)*(y-x)

λ	c = 12x + 3	c = 12x + 7	c = 12x + 11
12y + 3	(12x+3)-BRD(v,3,12x+3) 0-BRD(v,3,12)*(y-x)	(12x+9)-BRD(v,3,12x+9) 0-BRD(v,3,12)*(y-x-1) (-1)-BRD(v,3,3)*2	(12x+9)-BRD(v,3,12x+9) 0-BRD(v,3,12)*(y-x-1) 2-BRD(v,3,6)
12y + 7	(12x+3)-BRD(v,3,12+3) 0-BRD(v,3,4) 0-BRD(v,3,12)*(y-x)	(12x+7)-BRD(v,3,12x+7) 0-BRD(v,3,12)*(y-x)	(12x+11)-BRD(v,3,12x+11) 0-BRD(v,3,12)*(y-x-1) 0-BRD(v,3,4)*2
12y + 11	(12x+3)-BRD(v,3,12x+3) 0-BRD(v,3,4)*2 0-BRD(v,3,12)*(y-x)	(12x+7)-BRD(v,3,12x+7) 0-BRD(v,3,12)*(y-x) 0-BRD(v,3,4)	(12x+11)-BRD(v,3,12x+11) 0-BRD(v,3,12)*(y-x)

Table 6: (2s+1)-BRD(v, 3, 2t+1)