

Minimizing $\beta + \Delta$ and well covered graphs

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Abstract

Let G be a graph. Let γ denote the minimum cardinality of a dominating set in G . Let β , respectively i , denote the maximum, respectively minimum, cardinality of a maximal independent set in G . We show $\gamma + \Delta \geq \lceil 2\sqrt{n} - 1 \rceil$, where n is the number of vertices of G . A straightforward construction shows that given any G' there exists a graph G such that $\gamma(G) + \Delta(G) = \lceil 2\sqrt{n} - 1 \rceil$ and G' is an induced subgraph of G , making classification of these $\gamma + \Delta$ minimum graphs difficult.

We then focus on the subclass of these graphs with the stronger condition that $\beta + \Delta = \lceil 2\sqrt{n} - 1 \rceil$. For such graphs $i = \beta$ and thus the graphs are *well-covered*. If G is graph with $\beta + \Delta = \lceil 2\sqrt{n} - 1 \rceil$, we have $\beta = \lceil \frac{n}{\Delta+1} \rceil$. We give a catalogue of all well-covered graphs with $\Delta \leq 3$ and $\beta = \lceil \frac{n}{\Delta+1} \rceil$. Again we establish that given any G' we can construct G such that G' is an induced subgraph of G and G satisfies $\beta = \lceil \frac{n}{\Delta+1} \rceil$. In fact, the graph G can be constructed so that $\beta(G) + \Delta(G) = \lceil 2\sqrt{n} - 1 \rceil$. We remark that $\Delta(G)$ may be much larger than $\Delta(G')$.

We conclude the paper by analyzing integer solutions to $\lceil \frac{n}{\Delta+1} \rceil + \Delta = \lceil 2\sqrt{n} - 1 \rceil$. In particular for each n , the values of Δ that satisfy the equation form an interval. When n is a perfect square, this interval contains only one value, namely \sqrt{n} . For each (n, Δ)

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solution to the equation, there exists a graph G with n vertices, maximum degree Δ , and $\beta = \lceil \frac{n}{\Delta+1} \rceil$.

1 Introduction

We consider finite, simple graphs $G = (V, E)$ with n vertices. For $v \in V$, the *open neighbourhood* of v is given by $N(v) = \{u \in V \mid uv \in E\}$, and the *closed neighbourhood* of v is given by $N[v] = \{v\} \cup N(v)$. For a set of vertices $X \subseteq V$, we define $N(X) = \cup_{v \in X} N(v)$ and $N[X] = \cup_{v \in X} N[v]$. The *private neighbourhood* of v in X is $PN(v, X) = N[v] - N[X - \{v\}]$. If $N[X] = V$, we say X is a *dominating set* of G . Let γ denote the minimum cardinality of a dominating set in G . (For a general graph parameter p , we use $p(G)$ to explicitly state the graph when necessary for clarity.) Let β , respectively i , denote the maximum cardinality of an independent set, respectively the minimum cardinality of a maximal independent set, in G . Since every maximal independent set is a dominating set, it is clear

$$\gamma \leq i \leq \beta$$

[2]. For an in depth study of domination theory the reader is directed to [9, 10]. The maximum degree and minimum degree in G are denoted Δ and δ respectively.

The *Gallai-type* problem of characterizing graphs with $\gamma + \Delta = n$ and $i + \Delta = n$ is studied in [4] and [5]. It is the case that $i + \Delta \leq n$ for any graph. We study the complementary extremal problem of characterizing graphs with $\gamma + \Delta$ as small as possible. Specifically we show $\gamma + \Delta \geq \lceil 2\sqrt{n} - 1 \rceil$. We also demonstrate that a complete characterization of graphs which achieve this minimum value is unlikely. Thus we turn our focus to a restricted class of graphs; those for which $\beta + \Delta = \lceil 2\sqrt{n} - 1 \rceil$. Clearly in such graphs $\beta = i = \gamma$.

If $i = \beta$, we say G is *well-covered*; that is, each maximal independent set has the same cardinality, for example, see [8]. The problem of determining whether or not a graph is *not* well-covered is NP-complete, [1]. However, characterizations of subclasses of the well-covered graphs do exist. For example, well-covered graphs with girth at least five have been characterized in [7], see also [6].

We establish that if $\beta + \Delta = \lceil 2\sqrt{n} - 1 \rceil$, then $\beta = \lceil \frac{n}{\Delta+1} \rceil$. This latter condition implies G is well-covered. We characterize all such graphs with $\Delta \leq 3$. We also present evidence that a complete characterization of these graphs is unlikely.

We complete the paper with a study of the integer solutions to

$$\left\lceil \frac{n}{\Delta + 1} \right\rceil + \Delta = \lceil 2\sqrt{n} - 1 \rceil$$

For any integer solution, there exists a graph with n vertices, and maximum degree Δ , such that $\beta + \Delta = \lceil 2\sqrt{n} - 1 \rceil$.

2 $\gamma + \Delta$ is minimum

Proposition 2.1 *Let G be a graph with n vertices. Then $\gamma(G) + \Delta(G) \geq \lceil 2\sqrt{n} - 1 \rceil$.*

Proof: Let $D \subseteq V(G)$ be a dominating set of size γ . Each vertex in D can dominate at most $\Delta + 1$ vertices. Hence $\gamma \cdot (\Delta + 1) \geq n$. This implies that $\gamma + \Delta \geq \frac{n}{\Delta + 1} + \Delta$. Fix n and let $f(\Delta) = \frac{n}{\Delta + 1} + \Delta$. Consider Δ as a real variable and then we have the following:

$$f(\Delta) = \frac{n + \Delta^2 + \Delta}{\Delta + 1}$$

$$f'(\Delta) = \frac{\Delta^2 + 2\Delta + (1 - n)}{(\Delta + 1)^2}$$

Solving for the feasible root of f' , we find f has a global minimum on the domain $0 \leq \Delta \leq n - 1$. This minimum is achieved when $\Delta = \sqrt{n} - 1$. Hence $\gamma + \Delta \geq f(\Delta) \geq 2\sqrt{n} - 1$. Restricting Δ and γ to integer values gives the result. ■

Corollary 2.2 *Let G be a graph with the property $\gamma + \Delta = \lceil 2\sqrt{n} - 1 \rceil$. Then $\gamma = \lceil \frac{n}{\Delta + 1} \rceil$.*

We examine the problem of finding integer solutions to $\lceil \frac{n}{\Delta + 1} \rceil + \Delta = \lceil 2\sqrt{n} - 1 \rceil$ in the final section of the paper. At this point we show that given an integer solution to this equation, there is a graph G with n vertices, maximum degree Δ , and domination number $\lceil \frac{n}{\Delta + 1} \rceil$. Moreover, the following theorem shows that a forbidden subgraph classification of these minimum $\gamma + \Delta$ graphs is impossible.

Theorem 2.3 *Let n and D be integers such that $\lceil \frac{n}{D + 1} \rceil + D = \lceil 2\sqrt{n} - 1 \rceil$. Then there exists a graph G such that $|V(G)| = n$, $\Delta(G) = D$, and $\gamma(G) = \lceil \frac{n}{D + 1} \rceil$. Moreover, given any graph H on $0 \leq p \leq n - \lceil \frac{n}{D + 1} \rceil$ vertices, with $\Delta(H) < D$, the graph G can be selected so that H is an induced subgraph of G .*

Proof: Let n and D be integers as above. Let $g = \lceil \frac{n}{D + 1} \rceil$. Consider a graph H satisfying the above hypothesis. To $V(H)$ we add two disjoint sets of vertices X and Y such that $|X| = g$ and $|Y| = n - g - p$. Let

$V(G) = V(H) \cup X \cup Y$. Partition the vertices in $V(H) \cup Y$ into g parts, say V_1, V_2, \dots, V_g such that $|V_i| = D$ for $i = 1, 2, \dots, g - 1$ and $|V_g| = n - g - (g - 1) \cdot D$. Note that $|V_g| \leq D$. Label the vertices of X as x_1, x_2, \dots, x_g . Join each x_i to all the vertices of V_i . We now observe that $\gamma(G) \leq g$, $\Delta(G) = D$. Thus $\gamma(G) + \Delta(G) \leq \lceil 2\sqrt{n} - 1 \rceil$. ■

We note that a strengthening of this result to $\Delta(H) \leq D$ is not possible. Consider $n = 9$, $\Delta = 2$, and $H = C_5$. Each vertex in a dominating set of G would need to dominate itself and two other private neighbours. Since $D = \Delta(H) = 2$, no edges can be added to the vertices in the C_5 . However, C_5 does not have the property that any dominating set has each vertex dominating exactly three private neighbours.

Corollary 2.4 *Given any graph H , there exists a graph G such that $\gamma(G) + \Delta(G) = \lceil 2\sqrt{|V(G)|} - 1 \rceil$ and H is an induced subgraph of G .*

Proof: Let $D = \max\{\Delta(H) + 1, \lceil \sqrt{|V(H)|} \rceil\}$ and $n = (D + 1)^2$. ■

3 $\beta + \Delta$ is minimum

In light of the *non-structure* results above, we restrict our attention to graphs that satisfy the stronger condition that $\beta + \Delta = \lceil 2\sqrt{n} - 1 \rceil$. Since $\beta(G) \geq i(G) \geq \gamma(G)$ for any graph G , we have $\beta + \Delta \geq \gamma + \Delta$, and $\beta + \Delta = \lceil 2\sqrt{n} - 1 \rceil$ implies $\gamma + \Delta = \lceil 2\sqrt{n} - 1 \rceil$

Definition 3.1 *A graph G is well-covered if each maximal independent set has the same cardinality. That is, $\beta(G) = i(G)$.*

Proposition 3.2 *Let G be a graph on n vertices such that $\beta + \Delta = \lceil 2\sqrt{n} - 1 \rceil$. Then*

1. G is well-covered;
2. each component of G is well-covered;
3. $\beta = \gamma = \lceil \frac{n}{\Delta + 1} \rceil$.

Since any maximal independent set is a dominating set, and each vertex in such a set can dominate at most $\Delta + 1$ vertices, we have $|S| \cdot (\Delta + 1) \geq n$, for any maximal independent set S in a graph with n vertices. This gives the following result.

Proposition 3.3 *Let G be a graph with n vertices such that $\beta = \lceil \frac{n}{\Delta + 1} \rceil$, then G is well-covered.*

In the special case that $(\Delta + 1)|n$, we can classify the graphs with $\beta = \frac{n}{\Delta+1}$.

Theorem 3.4 *Let G be a graph on n vertices where $\beta = \frac{n}{\Delta+1}$. Then $G = \beta K_{\Delta+1}$.*

Proof: Let $D \subseteq V(G)$ be a maximum independent set. Thus D is also a dominating set where each vertex can dominate at most $\Delta + 1$ vertices. Since $\beta \cdot (\Delta + 1) = n$, each vertex in D must have exactly $\Delta + 1$ private neighbours.

Let $v \in D$ and let x, y be private neighbours of v . Since G is well covered, x and y must be adjacent. Otherwise, $D \cup \{x, y\} - \{v\}$ is an independent set of size $\beta + 1$.

Thus, for any vertex $v \in D$: v has degree Δ , $N(v)$ is complete, and v has no common neighbours with any other vertex in D . That is, $G = \beta K_{\Delta+1}$.

■

Corollary 3.5 *Let G be a graph on n vertices where $\beta + \Delta = \lceil 2\sqrt{n} - 1 \rceil$ and $(\Delta + 1)|n$. Then $G = \beta K_{\Delta+1}$.*

Corollary 3.6 *Let G be a graph on n vertices where $n = t^2$ for some integer t . Then $\beta + \Delta = \lceil 2\sqrt{n} - 1 \rceil$ if and only if $G = tK_t$.*

Proof: From Proposition 2.1 we know that $\frac{n}{\Delta+1} + \Delta$ has a minimum value of $2\sqrt{n} - 1 = 2t - 1$ when $\Delta = \sqrt{n} - 1 = t - 1$. Thus $(\Delta + 1)|n$. ■

In the proof of Theorem 3.4 we observed that the private neighbours of any vertex in D must form a clique; otherwise, an independent set of size $|D| + 1$ exists. As a consequence we have the following result.

Corollary 3.7 *Let G be a well-covered graph. Suppose D is an maximal independent set in G . Then D is a dominating set and the private neighbours of each $v \in D$ form a clique.*

In Theorem 3.4 we observe that a disjoint union of cliques is the only graph on n vertices with $\beta = \frac{n}{\Delta+1}$. If $(\Delta + 1) \nmid n$, it is easy to construct a graph with $\beta = \lceil \frac{n}{\Delta+1} \rceil$ by using a disjoint union of cliques. However, the following result shows it is impossible to classify all graphs with $\beta = \lceil \frac{n}{\Delta+1} \rceil$ in terms of forbidden subgraphs.

Theorem 3.8 *Let H be a graph. Then there exists a well-covered graph G such that H is an induced subgraph of G . Moreover, G can be constructed so that $\beta(G) + \Delta(G) = \lceil 2\sqrt{n} - 1 \rceil$.*

Proof: Let H be a graph on p vertices, say $\{1, 2, \dots, p\}$. Consider p copies of K_t , each with a vertex labeled 0. Identify vertex 0 in copy i with vertex i in H , for $i = 1, 2, \dots, p$. We now have a graph on tp vertices with maximum degree $\Delta(H) + t - 1$. We add to this graph, m disjoint copies of $K_{t+\Delta(H)}$. Call this graph G . We now have $|V(G)| = tp + m(t + \Delta(H))$, $\Delta(G) = \Delta(H) + t - 1$, and $\beta(G) = m + p$. We know that $\beta + \Delta \geq \lceil 2\sqrt{n} - 1 \rceil$. If we find values of t and m such that $\beta + \Delta < 2\sqrt{n}$, then we can conclude that $\beta + \Delta = \lceil 2\sqrt{n} - 1 \rceil$. Fix m and consider t as a real variable. Solving

$$m + p + \Delta(H) + t - 1 < 2\sqrt{tp + m(t + \Delta(H))}$$

for t gives

$$\begin{aligned} m + 1 + p - \Delta(H) - 2\sqrt{m + p - p\Delta(H)} \\ < t < m + 1 + p - \Delta(H) + 2\sqrt{m + p - p\Delta(H)} \end{aligned}$$

Clearly, if $m > p(\Delta(H) - 1)$, the solution interval, for t , contains integer values. ■

We remark that attaching a clique to each vertex of H in the above proof, ensures the resulting graph is well-covered. Graphs where the *pendant edges* form a matching play an important role in the study of well-covered graphs, [3, 6, 7]. (An edge is a pendant edge if it is incident with a vertex of degree one. Such graphs may be viewed as having a K_2 attached to each vertex.)

This section concludes with a catalogue of graphs with $\beta = \lceil \frac{n}{\Delta+1} \rceil$ and Δ is small.

Proposition 3.9 *Suppose G is a graph on n vertices with $\beta = \lceil \frac{n}{\Delta+1} \rceil$.*

1. *If $\Delta = 0$, then $G = \beta K_1$.*
2. *If $\Delta = 1$, then $G = \beta K_2$ if n is even and $G = (\beta - 1)K_2 \cup K_1$ if n is odd.*

We now classify graph with $\Delta = 2$. Let $A = \{2K_2, C_4, P_4\}$ and $B = \{C_7, C_5 \cup K_2\}$. We delay the proofs our our catalogue theorems until the end of the paper.

Theorem 3.10 *Let G be a graph on $n = 3t + r$ vertices, where t and r are integers with $0 \leq r < 3$. Suppose $\Delta = 2$ and $\beta = \lceil \frac{n}{\Delta+1} \rceil$. Then G is one of the following graphs:*

1. *If $r = 0$, then $G = tK_3$.*

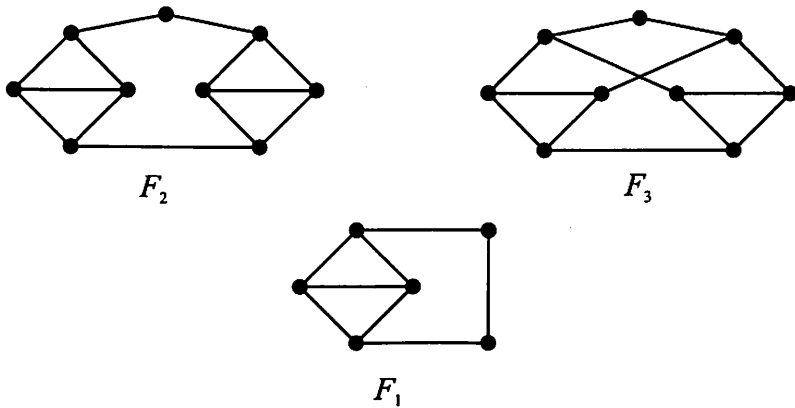


Figure 1: The graphs F_1, F_2 , and F_3

2. If $r = 1$, then $G =$

- (a) $tK_3 \cup K_1$,
- (b) $(t - 1)K_3 \cup H$, where $H \in A$,
- (c) $(t - 2)K_3 \cup H$, where $H \in B$, or
- (d) $(t - 3)K_3 \cup 2C_5$.

3. If $r = 2$, then $G = tK_3 \cup K_2$ or $(t - 1)K_3 \cup C_5$.

The catalogue for $\Delta = 3$ uses the graphs F_1, F_2 , and F_3 that appear in Figure 1. The following generalization of the disjoint union of two graphs is also used. Observe that $K_3 \cup K_3$ is a well-covered graph with $\beta = 2$. Also, if we add any edge xy with x in the first K_3 and y in the second, we still have a well-covered graph with $\beta = 2$. (Of course we now have $\Delta = 3$.) In fact, we can add any subset of a perfect matching between the two K_3 's and retain a well-covered graph with $\beta = 2$ and $\Delta = 3$. This idea is generalized in the following definition.

Definition 3.11 Let G_1, G_2 , and G_3 be graphs. The notation

$$G_1 \cup G_2 \tilde{\cup} G_3$$

refers to any graph obtained from the graph

$$G_1 \cup G_2 \cup G_3$$

by adding edges between G_2 and G_3 , under the restriction that $\Delta(G_1 \cup G_2 \tilde{\cup} G_3) = \Delta(G_1 \cup G_2 \cup G_3)$.

Theorem 3.12 Suppose G is graph with $\beta = \left\lceil \frac{n}{\Delta+1} \right\rceil$ and $\Delta = 3$. Further suppose $|V(G)| = 4t + r$ where $0 \leq r < 4$. Then G is one of the following graphs:

1. If $r = 0$, then $G = tK_4$.
2. If $r = 1$, then $G =$
 - (a) $tK_4 \cup K_1$,
 - (b) $(t-1)K_4 \cup K_3 \dot{\cup} K_2$,
 - (c) $(t-1)K_4 \cup C_5$,
 - (d) $(t-2)K_4 \cup K_3 \dot{\cup} K_3 \dot{\cup} K_3$,
 - (e) $(t-2)K_4 \cup K_3 \dot{\cup} F_1$,
 - (f) $(t-2)K_4 \cup F_2$, or
 - (g) $(t-2)K_4 \cup F_3$,
3. If $r = 2$, then $G =$
 - (a) $tK_4 \cup K_2$
 - (b) $(t-1)K_4 \cup K_3 \dot{\cup} K_3$, or
 - (c) $(t-1)K_4 \cup F_1$.
4. If $r = 3$, then $G = tK_4 \cup K_3$.

4 Feasible Δ, β pairs

We conclude the paper with a discussion of solutions to $\beta + \Delta = \lceil 2\sqrt{n} - 1 \rceil$.

Proposition 4.1 Let n be a positive integer. Define t and k such that $n = t^2 - k$ where $0 \leq k < 2t - 1$. Then (n, Δ) is a pair of integers satisfying

$$\left\lceil \frac{n}{\Delta+1} \right\rceil + \Delta = \lceil 2\sqrt{n} - 1 \rceil$$

if and only if Δ is an integer with

$$t - \sqrt{k} - 1 \leq \Delta \leq t + \sqrt{k} - 1, \text{ when } 0 \leq k < t$$

or

$$t - \sqrt{4(k-t)+1} - \frac{3}{2} \leq \Delta \leq t + \sqrt{4(k-t)+1} - \frac{3}{2}, \text{ when } t \leq k < 2t - 1$$

Proof: Suppose $n = t^2 - k$ where $0 \leq k < t$. Then $(t - 0.5)^2 < n \leq t^2$ and we have $\lceil 2\sqrt{n} - 1 \rceil = 2t - 1$. Consequently, we need to solve

$$2t - 1 = \left\lceil \frac{n}{\Delta + 1} \right\rceil + \Delta = \left\lceil \frac{n + \Delta^2 + \Delta}{\Delta + 1} \right\rceil$$

Since the right hand side of this equation has a minimum value (over all Δ) of $2\sqrt{n} - 1 > 2t - 2$. We reduce our problem to solving

$$2t - 1 \geq \left(\frac{n + \Delta^2 + \Delta}{\Delta + 1} \right) = \left(\frac{t^2 - k + \Delta^2 + \Delta}{\Delta + 1} \right)$$

The solution is

$$t - \sqrt{k} - 1 \leq \Delta \leq t + \sqrt{k} - 1$$

The other case is similar. ■

Corollary 4.2 *Let n be a fixed integer. The feasible values of Δ for any graph on n vertices with $\beta + \Delta = \lceil 2\sqrt{n} - 1 \rceil$ form an interval.*

When $n = t^2$ for some integer t , we know from the above proposition that any $\beta + \Delta$ minimum graph, say G , must have $\Delta = t - 1$. Thus, $(\Delta + 1)|n$ and $G = tK_t$. This is precisely a restatement of Corollary 3.6.

Corollary 4.3 *Let $n = t^2 - 1$ for some integer t , and let G be a graph on n vertices with $\beta + \Delta = \lceil 2\sqrt{n} - 1 \rceil$. Then G is one of the following graphs:*

- $(t + 1)K_{t-1}$
- $(t - 1)K_{t+1}$
- $(t - 1)K_t \cup K_{t-1}$
- $K_3 \cup C_5$

Proof: Since $n = t^2 - 1$, we have $t - 2 \leq \Delta \leq t$. (To avoid trivialities, we assume that $t > 1$.) If $\Delta = t - 2$ or $\Delta = t$, then $(\Delta + 1)|n$, and by Corollary 3.5 we have $G = (t + 1)K_{t-1}$ or $G = (t - 1)K_{t+1}$, respectively.

Now suppose $\Delta = t - 1$ and let D be a maximum independent set of G . Consequently, $|D| = t$. Now each vertex in D has t private neighbours with the exception of one vertex which has $t - 1$ private neighbours, or $t - 2$ vertices of D have t private neighbours and 2 vertices, say u and v , each have $t - 1$ private neighbours and have one common neighbour say x . In the former case, it follows that $G = (t - 1)K_t \cup K_{t-1}$. We complete the proof by showing in the latter case, $t = 3$ and $G = K_3 \cup C_5$.

First, if $t = 2$, then $n = 3$ and $\Delta = 1$. Consequently, $G = K_2 \cup K_1 = (t-1)K_t \cup K_{t-1}$. Hence $t \geq 3$. Now consider $S = N[\{u, v\}]$. The subgraph induced by S must have independence number 2; otherwise, we can find an independent set of size $\beta + 1$. The private neighbours of u and v must form cliques of size $t - 1$. Thus each member of $PN(u, S)$ can be adjacent to at most one vertex in $S \setminus PN(u, S)$. Similarly for the members $PN(v, S)$, they have at most one neighbour in $S \setminus PN(v, S)$. The vertex x can have at most $t - 3$ neighbours in $N(\{u, v\})$. Hence, there is at least one vertex in $PN(u, S)$, say y , which is not adjacent to x , and there is at least one vertex in $PN(v, S)$, say z , which is not adjacent to x . The vertices y and z must be adjacent to avoid an independent set of size three, namely $\{x, y, z\}$. We claim there can be no other member $y' \in N(u)$ such that $y' \notin N(x)$. Such a vertex y' gives the independent set $\{x, y', z\}$. (Note that z has degree Δ .) Similarly, there is no member, other than z , of $N(v)$ which is not adjacent to x . Since $|N(u) \cup N(v) - \{x\}| = 2t - 4$, and x is adjacent to all neighbours of u and v except y and z , we have $2t - 4 - 2 \leq t - 3$. Thus, $t \leq 3$ and we conclude $G = K_3 \cup C_5$. ■

5 Proofs of catalogue theorems

Proof of Theorem 3.10: Suppose G is a graph satisfying the above hypothesis. Since $\Delta = 2$, G is a union of paths and cycles. If $r = 0$, then by Corollary 3.5 $G = tK_3$.

Suppose $r = 2$. This implies $\beta = t + 1$. Let D be a maximum independent set, (and thus D is a dominating set). Observe that $n = 3t + 2$ and $\beta \cdot (\Delta + 1) = 3t + 3 = n + 1$. Two situations can occur. First, there is some vertex $v \in D$ such that v dominates exactly two vertices (itself and one other) and every other vertex in D dominates exactly three vertices. As observed above, the private neighbours of each vertex in D form a clique, i.e. $G = tK_3 \cup K_2$.

The second possibility is that each vertex in D dominates exactly three vertices. Thus some vertex $x \in V - D$ is dominated by two distinct vertices u and v . Let $N(u) = \{x, y\}$ and $N(v) = \{x, z\}$. The vertices y and z must be adjacent, otherwise $D \cup \{x, y, z\} - \{u, v\}$ is an independent set of size $\beta + 1$. Hence $G = (t - 1)K_3 \cup C_5$.

Finally suppose $r = 1$. This implies $\beta = t + 1$ and $\beta \cdot (\Delta + 1) = n + 2$. Let D be an independent set of size β . Partition D into D_1 and D_2 . The vertices in D_1 each have three private neighbours and D_2 contains the remainder of the vertices. Thus, D_1 consists of $|D_1|$ copies of K_3 and $N[D_2]$ is K_3 free. Since $n \equiv 1 \pmod{3}$, it must be the case that $|D_2| \equiv 1 \pmod{3}$. Thus, $1 \leq |D_2|$. Also $|D_2| \leq 4$. To see this, suppose that $|D_2| = k$. Thus

$|D_1| = t + 1 - k$. Each vertex in D_1 dominates 3 vertices and each vertex in D_2 dominates itself, at most one other private neighbour, and at most one other vertex which is a common neighbour of two vertices in D_2 . Thus $|N[D_2]| \leq 2.5k$. This gives $3(t + 1 - k) + 2.5k \geq 3t + 1$ and $k \leq 4$.

Observe that since D_1 dominates $3|D_1|$ vertices, D_2 dominates a total of $3|D_2| - 2$ vertices. If $|D_2| = 1$, then $N[D_2] = K_1$. If $|D_2| = 2$, then we must construct a well covered graph on 4 vertices such that each independent set has size 2. The possibilities are $2K_2, P_4$ and C_4 . If $|D_2| = 3$, then $|N[D_2]| = 7$. The possibilities for $N[D_2]$ are C_7 and $C_5 \cup K_2$. (Recall, $N[D_2]$ is a K_3 -free union paths and cycles with $\beta = 3$.) If $|D_2| = 4$, then $|N[D_2]| = 10$. The only possibility is $2C_5$. ■

Proof of Theorem 3.12: Let G be a graph satisfying the hypothesis. If $r = 0$, then by Corollary 3.5 $G = tK_4$.

Suppose that $r = 3$. Then n is odd and $\beta \cdot 4 = n + 1$. Thus in any dominating set we have one of two situations: either all vertices have 4 private neighbours with the exception of one vertex who has 3 private neighbours; or all but two vertices have 4 private neighbours and the remaining two vertices have 3 private neighbours and one neighbour in common. We show that latter cannot occur and thus $G = tK_4 \cup K_3$.

Since n is odd, there is a vertex of even degree. The only possibility is there exists a vertex u of degree 2. Consider the graph $H = G \setminus N[u]$. We can add u to any maximal independent set in H , to obtain a maximal independent set in G of cardinality one larger. Thus H is well covered. Since H has $4t$ vertices, $\beta(H) = t$ and H is well covered, we know that $H = tK_4$. Hence, $N[u]$ sends no edges to H . Consequently u has three private neighbours which must form a clique. That is, $G = tK_4 \cup K_3$. This complete $r = 3$.

Now suppose that $r = 2$. Observe that $\beta = t + 1$ and $\beta \cdot 4 = n + 2$. We cannot have $\delta(G) = 0$, for such a vertex would dominate only itself (in any dominating set) resulting with a dominating set that dominates at most $4t + 1 = n - 1$ vertices. Consider the case $\delta(G) = 1$. Let u be a vertex of degree 1 and let v be its neighbour. Consider any β set of G containing u . Each vertex other than u must have 4 private neighbours to ensure $\beta \cdot 4 = n + 2$. Thus each vertex in $G \setminus N[u]$ has degree three. Hence $G = tK_4 \cup K_2$.

We now examine the case $\delta(G) = 2$. Consider a vertex u with $\deg(u) = 2$ and let $H = G \setminus N[u]$. Again H is well covered with $\beta(H) = \beta(G) - 1$. Since $|V(H)| = 4(t - 1) + 3$, we know that $H = (t - 1)K_4 \cup K_3$. Let w, v be the two neighbours of u . If $wv \in E(G)$, then the only possible edges between H and $N[u]$ are between the two K_3 's. Thus $G = (t - 1)K_4 \cup K_3 \cup K_3$. On the other hand, if $wv \notin E(G)$, we consider a β -set, say S , containing

u . We know that the private neighbours of u form a clique, thus w must be dominated by some x in $V(H) \cap S$. Clearly the only vertices in H that can send edges to $N[u]$ are in the K_3 . Let the x, y, z be the vertices of the K_3 in H . Observe the subgraph induced by $\{y, z, w, v\}$ must have independence number 2. Otherwise we can remove $\{x, u\}$ from S and add the three independent vertices from $\{y, z, w, v\}$ to form an independent set of size $\beta + 1$, contrary to the definition of β . To avoid an independent set of size three in $\{y, w, v\}$, we need one of the edges yw or yv to be present in G . Similarly, we need one of the edges zv or zw to be present. In both cases, $G = (t - 1)K_4 \cup F_1$.

Suppose $\delta(G) = 3$. We recall $\beta = t + 1$ and $4\beta = n + 2$. Since G is 3-regular, any maximum independent set, say S , must dominate the graph in such a way that there are two vertices x, y (not in S) such that x and y are each adjacent to two vertices in S . First consider the case that x and y are both adjacent to the same two vertices in S , say u, v . Both u and v have one other neighbour each, say z and z' respectively. If $xy \in E(G)$, then z and z' cannot have degree 3. It is easy to verify that without loss of generality, xz and yz' are both edges. Finally, zz' must be an edge for G to be 3-regular. In this case $G = (t - 1)K_4 \cup K_3 \cup K_3$. Next consider the case that $N(x) \cap S = \{t, u\}$ and $N(y) \cap S = \{w, v\}$. Both t and u must have two other neighbours, say t', t'' and u', u'' , respectively. Since t' and t'' are private neighbours of t , they are adjacent. Similarly, u' and u'' are adjacent. The subgraph induced by $\{t', t'', x, u', u''\}$ cannot contain an independent set of size three. However, the vertex x has degree at most one in this induced subgraph. Without loss of generality, x is not adjacent to t', u', u'' . Now this set must have an independent pair of vertices since t' can only be adjacent to one of u' or u'' , resulting in an independent set of size three $N[\{t, u\}]$. This contradicts the fact that S is a maximum independent set. This completes the case that x and y do not have a common neighbour in S .

We conclude with the case that $N(x) \cap S = \{u, v\}$ and $N(y) \cap S = \{v, w\}$. Let $T = N(\{u, v, w\})$. We have $|T| = 7$ and the subgraph induced by T in G , say H , has maximum degree 2 and independence number 3. This implies that H is not bipartite. Both x and y have degree one in H . Thus H consists of $K_3 \cup P_4$ or $C_5 \cup P_2$. In both cases we get the subgraph of G induced by $N[\{u, v, w\}]$ contains an independent set of size 4, a contradiction.

Our final case to consider is $r = 1$. Thus, $n = 4t + 1$ and $\beta = t + 1$. Let u be a vertex of minimum degree. Since n is odd, we have $\deg(u) \leq 2$. If $\deg(u) = 0$, then $G = tK_4 \cup K_1$. If $\deg(u) = 1$, then let $H = G \setminus N[u]$. Now $|V(H)| = 4(t - 1) + 3$. Hence $H = (t - 1)K_4 \cup K_3$. We have $G = (t - 1)K_4 \cup K_3 \cup K_2$.

Suppose $\deg(u) = 2$. Again let $H = G \setminus N[u]$. We have $|V(H)| = 4(t - 1) + 2$. Let $N(u) = \{x, y\}$. First assume $xy \in E(G)$. We consider all

possibilities for H . If $H = (t - 1)K_4 \cup K_2$, then $G = (t - 1)K_4 \cup K_3 \dot{\cup} K_2$. If $H = (t - 2)K_4 \cup K_3 \dot{\cup} K_3$, then $G = (t - 2)K_4 \cup K_3 \dot{\cup} K_3 \dot{\cup} K_3$. Finally, if $H = (t - 2)K_4 \cup F_1$, then $G = (t - 2)K_4 \cup K_3 \dot{\cup} F_1$.

We now consider the case $xy \notin E(G)$. Begin by assuming that $H = (t - 1)K_4 \cup K_2$. Let w be a vertex in the K_2 component in H , and let $S = N[\{u, w\}]$. We have $|S| = 5$ and the subgraph in G induced by S is well covered with independence number 2. If this subgraph is triangle free, then it must be C_5 . If it contains a triangle then it must be $K_3 \dot{\cup} K_2$.

Next consider $H = (t - 2)K_4 \cup K_3 \dot{\cup} K_3$. Let the two K_3 s have vertex sets $\{w_1, w_2, w_3\}$ and $\{v_1, v_2, v_3\}$. Let $S = N[\{u, w_1, v_1\}]$. Observe that the subgraph induced by S is well covered and has independence number three. If any w_i or v_i ($i = 1, 2, 3$) has degree two, then we can apply the case above ($xy \in E(G)$) with this degree 2 vertex equal to u . Thus assume all vertices in the two triangles have degree three. Since the private neighbours of u form a clique, we must have x adjacent to a vertex of the triangles, say w_1 . If y is not adjacent to any vertex in $\{v_1, v_2, v_3\}$, then each of x, w_2 , and w_3 must be adjacent to some v_i to ensure each v_i has degree 3. At this point y is only adjacent to u and every other vertex in G has degree 3. This contradicts our assumption that u has minimum degree. Hence suppose without loss of generality that $yv_1 \in E(G)$. We may also assume that $v_2w_2 \in E(G)$; otherwise, only x and y can send edges to $\{v_2, w_2, v_3, w_3\}$. The latter implies we have a vertex in this set with degree less than three. Consider v_3 . If $v_3w_3 \in E(G)$, then $\{v_3, w_2, x, y\}$ forms an independent set of size four, a contradiction. If $v_3y \in E(G)$, then we must have $w_3x \in E(G)$. Thus $G = (k - 2)K_4 \cup F_2$. If $v_3x \in E(G)$, then $w_3y \in E(G)$ and $G = (k - 2)K_4 \cup F_3$.

We conclude with the case that $H = (t - 2)K_4 \cup F_1$. The graph F_1 contains two nonadjacent vertices of degree three, say r and s . The set x, y, r, s forms an independent set of size four, implying that G contains an independent set of size $t + 2$. This is impossible. This completes the proof.

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