

# On Quadrangulations of Closed Surfaces Covered by Vertices of Degree 3

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## Abstract

A graph is said to be  $k$ -covered if for each edge  $xy$ ,  $\deg(x) = k$  or  $\deg(y) = k$ . In this paper, we characterize the 3-covered quadrangulations of closed surfaces.

## 1 Introduction

In this paper, we assume that a graph has already been 2-cell embedded in some closed surface  $F^2$ . We denote the Euler characteristic of  $F^2$  by  $\chi(F^2)$ . We denote the vertex-set, the edge-set and the face-set of a graph  $G$  by  $V(G)$ ,  $E(G)$  and  $F(G)$ , respectively. For  $S \subset V(G)$ , we denote by  $\langle S \rangle$  the subgraph of  $G$  induced by the vertices in  $S$ . A  $k$ -cycle  $C_k$  is a cycle of length exactly  $k$ .

A *quadrangulation*  $G$  of a closed surface  $F^2$  is a simple graph on  $F^2$  such that each face is bounded by a 4-cycle. A graph  $G$  is called  $k$ -covered if for any edge  $xy$  of  $G$ ,  $\deg(x) = k$  or  $\deg(y) = k$ .

In this paper, we characterize the 3-covered quadrangulations of closed surfaces. The 4-,5- and 6-covered triangulations have been characterized in [7].

Let  $G$  be a graph on a closed surface  $F^2$  with the vertices colored black. Put a white vertex  $v$  in a face  $f$  of  $G$  bounded by a closed walk  $v_1 \cdots v_n$ , and join  $v$  with  $v_i$  for  $i = 1, \dots, n$ . Apply this procedure to all faces and delete all edges of  $G$ . The resulting graph on  $F^2$  is said to be the *radial graph* of  $G$  and denoted by  $R(G)$ . (This was first defined in [2].) Observe that  $R(G)$  is bipartite and each face of  $R(G)$  is bounded by a 4-cycle.

Embed a cycle  $v_1 u_1 v_2 u_2 \dots v_n u_n$  ( $n \geq 2$ ) of even length into the sphere along the equator, put vertices  $x$  and  $y$  on the south pole and the north pole respectively, and add edges  $xv_i$  and  $yu_i$  for  $i = 1, \dots, n$ . The resulting quadrangulation of the sphere with  $2n + 2$  vertices is said to be the *pseudo double wheel* with rim  $C_{2n}$  and denoted by  $W_{2n}$ . (A "double wheel" usually means a maximal planar graph

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isomorphic to  $C_k + \bar{K}_2$ , and hence we attached “pseudo” for representing these quadrangulations.) See the left-hand side in Figure 1.

A *pseudo-triangulation* of a closed surface  $F^2$  is a loopless graph on  $F^2$  possibly with multiple edges such that each face is bounded by a 3-cycle.

Recently, Ando, Komuro and Nakamoto have shown the following theorem.

**THEOREM 1 (Ando, Komuro and Nakamoto [1])** *A plane quadrangulation  $G$  is 3-covered if and only if either*

- (i)  *$G$  is a pseudo double wheel, or*
- (ii)  *$G$  is the radial graph of some plane pseudo-triangulation.*

In this paper, we extend this theorem to show the following theorem, introducing another infinite family of quadrangulations on the projective plane which are 3-covered but not radial.

Embed a cycle  $C = v_1v_2 \dots v_{2n-1}$  ( $n \geq 2$ ) of odd length into the projective plane so that the tubular neighborhood of  $C$  forms a Möbius band. Next, put a vertex  $x$  on the center of the unique face of the embedding and join  $x$  with  $v_i$  for all  $i$  so that the resulting graph is a quadrangulation. The resulting quadrangulation of the projective plane with  $2n$  vertices is said to be the *Möbius wheel* with rim  $C_{2n-1}$  and denoted by  $\tilde{W}_{2n-1}$ . See the right-hand side in Figure 1, where each antipodal pair of vertices is identified.

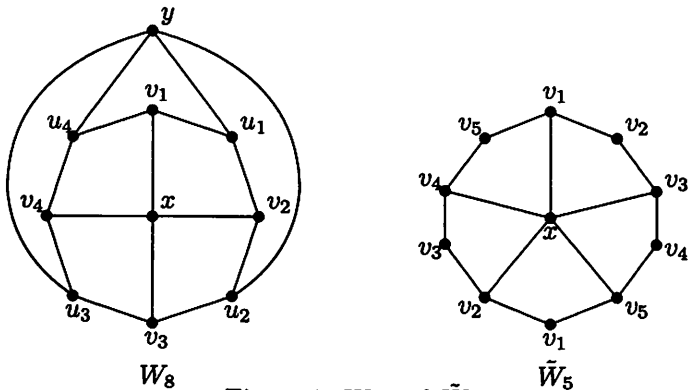


Figure 1:  $W_8$  and  $\tilde{W}_5$

**THEOREM 2** *A quadrangulation  $G$  of a closed surface  $F^2$  is 3-covered if and only if either*

- (i)  *$G$  is the radial graph of some pseudo-triangulation on  $F^2$ ,*
- (ii)  *$G$  is a pseudo double wheel on the sphere, or*
- (iii)  *$G$  is a Möbius wheel on the projective plane.*

Surprisingly, Theorem 2 asserts that only the projective plane admits non-bipartite 3-covered quadrangulations. Theorem 2 has interesting applications as well as Theorem 1. Many theorems for triangulations [6, 8, 9] can be translated into those for 3-covered quadrangulations [3, 4], as described in [1].

This research is motivated by the following problem. Let  $k \geq 2$  be a positive integer. A  $k$ -quadrangulation is a quadrangulation with minimum degree at least  $k$ . (Note that ordinary quadrangulations correspond to the 2-quadrangulations.) Now we define two local transformations for  $k$ -quadrangulations. A  $k$ -diagonal slide is to replace a diagonal  $fc$  with  $ad$  in a hexagonal region  $abcdef$  formed by two quadrilateral faces  $abcf$  and  $cdef$  sharing  $fc$ . See the upper side of Figure 2. Now suppose that  $G$  has a vertex  $v$  of degree  $k$  with neighbors  $\{x_1, \dots, x_k\}$ , where  $k$  faces  $x_1y_1x_2v, x_2y_2x_3v, \dots, x_ky_kx_1v$  meet at  $v$ . A  $k$ -diagonal rotation is to delete  $vx_i$  and add  $vy_i$  for  $i = 1, \dots, k$ . See the lower side of Figure 2, which stands for a 2-diagonal rotation. We don't perform either of these operations if the resulting graph is not simple or not a  $k$ -quadrangulation. Two  $k$ -quadrangulations  $G_1$  and  $G_2$  are said to be  $k$ -equivalent if  $G_1$  and  $G_2$  can be transformed into each other by a sequence of  $k$ -diagonal slides and  $k$ -diagonal rotations, through  $k$ -quadrangulations.

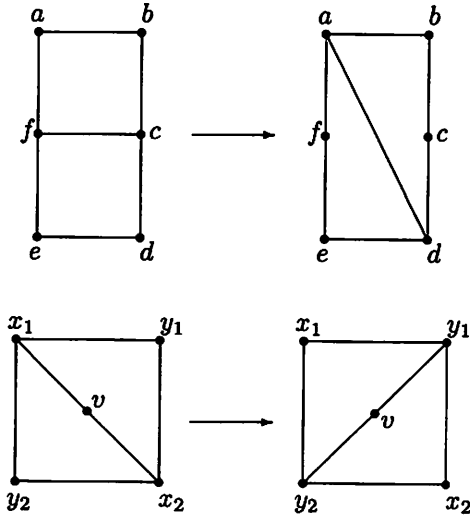


Figure 2:  $k$ -diagonal slide and  $k$ -diagonal rotation

The second author has shown the following theorem.

**THEOREM 3 (Nakamoto [3])** Any two quadrangulations  $G_1$  and  $G_2$  of the same closed surface  $F^2$  with the same and sufficiently large number of vertices are 2-equivalent, up to homeomorphism, if for each closed curve  $l$  on  $F^2$ , a cycle

in  $G_1$  and a cycle in  $G_2$  each of which is homotopic to  $l$  have the same length modulo 2.

Note that any two cycles in a quadrangulation have the same parity of length if they are homotopic on the surface. Moreover, for any fixed homotopy class  $[l]$  on the surface, the length of the cycles belonging to  $[l]$  have the same parity of length before and after applying each of a  $k$ -diagonal slide and a  $k$ -diagonal rotation for any  $k$ . (This implies that the both transformations preserve the bipartiteness of given graphs.)

We had conjectured that the 2-equivalence in Theorem 3 was replaceable with the 3-equivalence. However, this conjecture is solved negatively, as follows. By Theorem 2, for any closed surface  $F^2$ , there exist infinitely many 3-covered quadrangulations of  $F^2$ . Observe that a 3-covered quadrangulation has no edge  $e$  which can be moved by a 3-diagonal slide since the operation will decrease the degree of one of endpoints of  $e$  to 2. Moreover, we can also construct infinitely many 3-covered quadrangulations  $G$  such that each vertex of degree 3 is adjacent to at least one vertex of degree 3. It is easy to see that we can apply no 3-diagonal rotation to each vertex of degree 3 in such  $G$ . Thus, for any closed surface  $F^2$  and any arbitrarily large integer  $n$ , there exists a bipartite quadrangulation  $G$  with at least  $n$  vertices such that neither a 3-diagonal slide nor a 3-diagonal rotation can be applied to  $G$ .

However, the conjecture remains in non-bipartite case. Except the projective plane, there exist no non-bipartite 3-covered quadrangulations, by Theorem 2. Hence we cannot construct such counterexamples in non-bipartite case. We conjecture the following.

**CONJECTURE 4** *Except Möbius wheels, any two non-bipartite 3-quadrangulations  $G_1$  and  $G_2$  of the same closed surface  $F^2$  with the same and sufficiently large number of vertices are 3-equivalent, up to homeomorphism, if for each closed curve  $l$  on  $F^2$ , a cycle in  $G_1$  and a cycle in  $G_2$  each of which have the same length modulo 2.*

## 2 Proof of theorems

The following theorem can be easily proved.

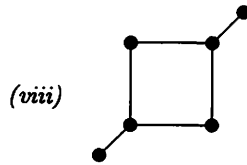
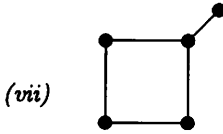
**THEOREM 5** *A quadrangulation  $G$  is 2-covered if and only if  $G$  is isomorphic to  $K_{2,m}$  on the sphere for some integer  $m \geq 2$ .*

*Proof of Theorem 5.* Let  $G$  be a 2-covered quadrangulation of a closed surface. Let  $z_1$  be a vertex of degree 2 which is contained in a 4-cycle  $xz_1yz_2$  bounding a face. If  $x$  has degree 2, then the path  $yz_1xz_2$  are shared by two adjacent faces of  $G$ . Since  $G$  has no multiple edges,  $G$  is isomorphic to  $K_{2,2}$ . Thus, we may suppose that  $\deg(x) \geq 3$ . By symmetry, we also have that  $\deg(y) \geq 3$ . Since  $G$  is 2-covered, we must have that  $\deg(z_2) = 2$ . Consider the face, say  $xz_izy_{z_{i+1}}$ , sharing the path  $xz_izy$  with the face  $xz_{i-1}yz_i$  for  $i = 2, \dots$  since  $\deg(z_{i+1}) = 2$ , by the 2-covering of  $G$ . Continue this until  $z_{n+1}$  coincides with  $z_1$ . ■

The following lemma is a modified form of the lemma in [1, 5]. Throughout this section, let  $G$  be a quadrangulation, and  $S = \{v \in V(G) \mid \deg(v) = 3\}$ .

**LEMMA 6 (akn, gene)** *If  $H$  is a component of  $\langle S \rangle$ , then  $H$  is isomorphic to one of the following graphs :*

- (i)  $H = K_1$  : an isolated vertex,
- (ii)  $H = K_{1,3}$  : a claw,
- (iii)  $H = P_n$  : a path of length  $n \geq 1$ ,
- (iv)  $H = C_4$  : a 4-cycle bounding a face,
- (v)  $H = C_{2n}$  (or  $H = G = W_6$ ) : a cycle of even length  $2n$  with  $n \geq 2$  and  $n \neq 3$  (or a pseudo double wheel with rim  $C_6$ ),
- (vi)  $H = C_{2n-1}$  (or  $H = G = \tilde{W}_3$ ) : a cycle of odd length  $2n - 1 \geq 5$  (or a Möbius wheel with rim  $C_3$ ),



The 4-cycles in (vii) and (viii) bound faces in  $G$ .

In particular, the case (v) happens only on the sphere, and the case (vi) happens only on the projective plane. Moreover, in these cases,  $G$  is isomorphic to a pseudo double wheel or a Möbius wheel, respectively.

We denote the graphs in the cases (vii) and (viii) by  $C_4^+$  and  $C_4^{++}$ , respectively.

**LEMMA 7** *If  $G$  is 3-covered but it is not isomorphic to a pseudo double wheel or a Möbius wheel, then each component of  $\langle S \rangle$  is isomorphic to either  $K_1$ ,  $K_{1,3}$  or  $C_4^{++}$ .*

*Proof.* Since  $G$  is not isomorphic to a pseudo double wheel or a Möbius wheel, it suffices to show that  $G$  cannot have  $P_n (n \geq 1)$ ,  $C_4$  and  $C_4^+$  as components of  $\langle S \rangle$ , by Lemma 6.

Suppose that  $G$  has  $P_n = v_0v_1 \cdots v_n$  as a component of  $\langle S \rangle$ . Since  $\deg_{\langle S \rangle}(v_0) = 1$  and  $1 \leq \deg_{\langle S \rangle}(v_1) \leq 2$ , there are two distinct vertices  $x_1, x_2 \notin S$  incident with  $v_0$ , and there is a vertex  $y \notin S$  incident with  $v_1$ . Since  $\deg_G(v_1) = 3$ , the path  $yv_1v_0$  lies on the boundary of some face. Thus, either  $x_1v_0v_1y$  or  $x_2v_0v_1y$  forms the boundary 4-cycle of some face in  $G$ , and hence either  $x_1y \in E(G)$  or  $x_2y \in E(G)$ , contrary to  $G$  being 3-covered.

Now suppose that  $G$  has  $C_4$  or  $C_4^+$  as a component of  $\langle S \rangle$ . Let  $v_1v_2v_3v_4$  be the cycle in  $C_4$  or  $C_4^+$  bounding a face. We may suppose that  $\deg_{\langle S \rangle}(v_1) = \deg_{\langle S \rangle}(v_2) = 2$ . Focus on the face, say  $v_1v_2xy$ , sharing the edge  $v_1v_2$  with the face

$v_1v_2v_3v_4$ . The edge  $xy$  is one such that  $\deg_G(x) \neq 3$  and  $\deg_G(y) \neq 3$ , contrary to  $G$  being 3-covered. ■

Now we show Theorem 2.

*Proof of Theorem 2.* Suppose that  $G$  is 3-covered but not isomorphic to either a pseudo double wheel or a Möbius wheel, and that all vertices of  $G$  are colored black. By Lemma 7,  $G$  can have only  $K_1$ ,  $K_{1,3}$  and  $C_4^{++}$  as components of  $\langle S \rangle$ .

Paint all vertices in  $S$  in white. If each component of  $\langle S \rangle$  is  $K_1$ , then  $G$  must be a bipartite quadrangulation of  $F^2$ , by the 3-covering of  $G$ . Moreover, since each white vertex of  $G$  has degree 3,  $G$  must be the radial graph of some graph  $T$  with all faces of  $T$  bounded by 3-cycles. Since  $T$  has no loop,  $T$  is a loopless pseudo-triangulation of  $F^2$ .

In general,  $G$  might have  $K_{1,3}$  and  $C_4^{++}$  as components of  $\langle S \rangle$ . However, giving the 2-coloring of  $K_{1,3}$  and  $C_4^{++}$  shown in Figure 3, we can obtain a 2-coloring of  $G$  such that each white vertex has degree 3. Thus,  $G$  is the radial graph of some loopless pseudo-triangulation of  $F^2$ . ■

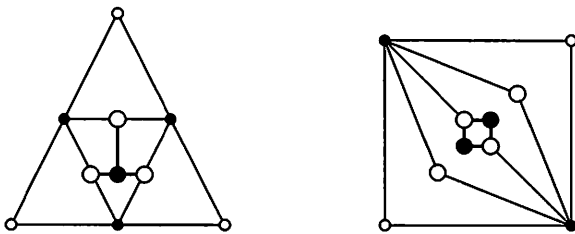


Figure 3: 2-coloring of  $K_{1,3}$  and  $C_4^{++}$

By Theorems 2 and 5, we have the conclusion that for  $k = 2, 3$ , every  $k$ -covered quadrangulations with few exceptions is the radial graph of a graph with each face bounded by a  $k$ -cycle. However, for  $k = 4$ , this fact does not hold.

Let  $G$  be a bipartite 4-covered quadrangulation. Let  $e_1, e_2, \dots, e_m$  be a sequence of distinct edges of  $G$  such that for  $i = 1, \dots, m$ ,  $e_i$  and  $e_{i+1}$  lie on the same face of  $G$  but they don't share endpoints, where the subscripts are taken modulo  $m$ . Put a vertex  $v_i$  on the middle of  $e_i$  for each  $i$ , and join  $v_i$  and  $v_{i+1}$  for  $i = 1, \dots, m$ . (If some  $v_i v_{i+1}$  and  $v_j v_{j+1}$  cross at the center of some face, then put a vertex on the intersecting point.) Then, the resulting quadrangulation is 4-covered, but it is not necessarily bipartite. This operation does not preserve the bipartiteness of graphs in general.

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