

# Chromatic Equivalence of Generalized Ladder Graphs

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## Abstract

A class of graphs called generalized ladder graphs is defined. A sufficient condition for pairs of these graphs to be chromatically equivalent is proven. In addition a formula for the chromatic polynomial of a graph of this type is proven. Finally, the chromatic polynomials of special cases of these graphs are explicitly computed.

**Key Words:** chromatic polynomial, chromatically equivalent graphs, ladder graphs, generalized ladder graphs

## Introduction and Definitions

We define topped ladders  $TL_n$  with apex  $a$  and base pair  $b_1$  and  $b_2$  for  $n = 0, 1, 2, 3, \dots$ . We call the edge joining the base pair simply the base of the topped ladder.  $TL_0$  is  $K_3$  with any vertex designated as its apex and the other two vertices designated as the base pair.

We define  $TL_1$  as  $TL_0$  with an additional "rung" adjoined. Let  $TL_0$  be given with apex  $a$  and base pair  $b_1$  and  $b_2$ . Let  $(r_1, r_2)$ ,  $(r_2, r_3)$ , and  $(r_3, r_4)$  form a path of length three. Identify  $r_1$  with  $b_1$  in  $TL_0$  and  $r_4$  with  $b_2$  in  $TL_0$ . Rename the identified vertices as  $r_1$  and  $r_2$ . The resulting graph on seven vertices is  $TL_1$ . Designate  $r_2$  and  $r_3$  as the base pair of  $TL_1$  and rename them  $b_1$  and  $b_2$ . Repeat this process to form  $TL_n$  from  $TL_{n-1}$  and a path of length three for  $n > 1$ . The construction for  $TL_1$  is shown in Figure 2.

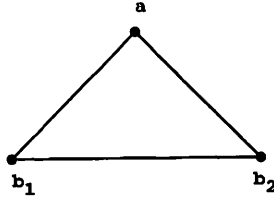


Figure 1:  $TL_0$

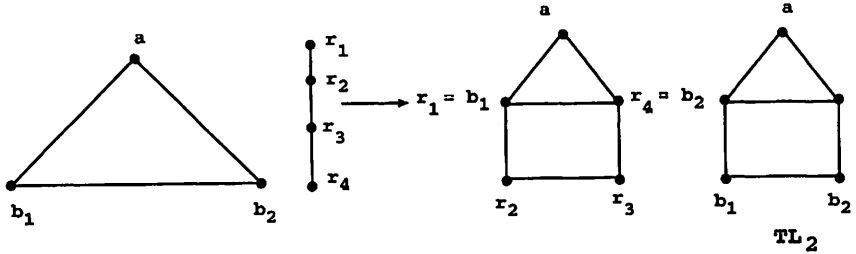


Figure 2: Constructing  $TL_1$

We refer to  $TL_n$  simply as an  $n$ -ladder.  $TL_n$  for  $n = 0, 1, 2, 3$ , and 4 are shown in Figure 3.

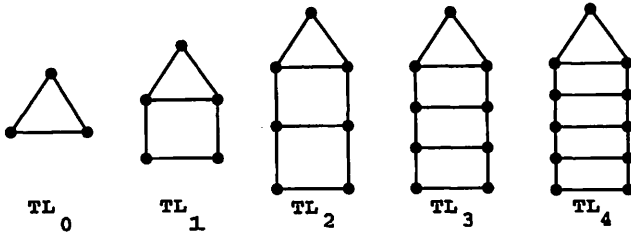


Figure 3:  $TL_i$  for  $i = 0, 1, 2, 3, 4$ .

We use the  $n$ -ladder graphs as building blocks to form the graphs we want to study.

Let  $TL_{n_1}$  and  $TL_{n_2}$  be  $n_1$ - and  $n_2$ -ladder graphs. Join the apex of  $TL_{n_1}$  with the apex of  $TL_{n_2}$  by an edge. Denote such a graph as  $L(n_1, n_2)$ . Let  $M$  be any graph such that  $V(M) \cap V(L(n_1, n_2)) = \{b_1, b_2, b_3, b_4\}$ , where  $b_1$  and  $b_2$  are the base pair for  $TL_{n_1}$  and  $b_3$  and  $b_4$  are the base pair for  $TL_{n_2}$ .

Let  $E(M) \cap E(L(n_1, n_2)) = \{(b_1, b_2), (b_3, b_4)\}$ . Define the new graph as a *generalized ladder graph* and denote it as  $GL(n_1, n_2, M)$ .

The results of this paper give a condition for two generalized ladder graphs to be chromatically equivalent. The chromatic polynomial of any generalized ladder graph will be computed in terms of  $P(M, \lambda)$  and  $P(GL(0, 0, M), \lambda)$ .

In [HM] it was pointed out that if the  $n$  - *ladders* for  $n = 2, 3$ , and 4 are joined as shown in Figure 4, the resulting graphs are chromatically equivalent.

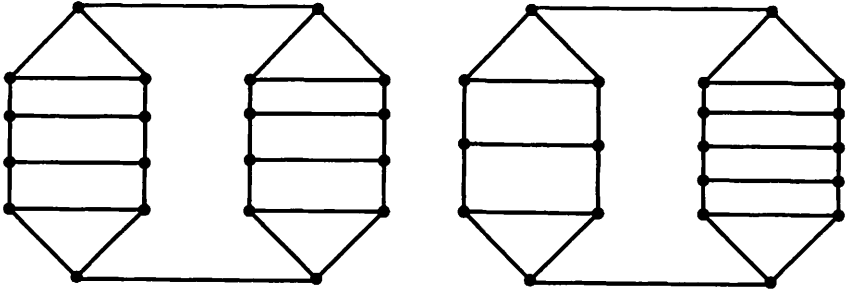


Figure 4: Chromatically Equivalent Graphs

Since these graphs are special generalized ladder pairs, we will show the fact that their chromatic polynomials are the same is no random event.

For a graph  $G = (V, E)$  we use  $G/(a, b)$  to denote the graph formed by deleting the edge  $(a, b)$  and then identifying the two vertices  $a$  and  $b$  as a new vertex  $x$ . Any edges incident to  $a$  and  $b$  other than  $(a, b)$  are made incident to  $x$ . This new graph is called the *contraction graph* for the edge  $(a, b)$ . We use  $G - (a, b)$  to denote the graph resulting from deleting the edge  $(a, b)$  from  $G$ ; the set of vertices remains the same in this case.

The *Delete-Contract Theorem* for a graph  $G$  relative an edge  $(a, b)$  in  $E(G)$  expresses the chromatic polynomial of  $G$  in terms of the chromatic polynomials of graphs formed by contracting and deleting  $(a, b)$ . The theorem states

$$P(G, \lambda) = P(G - (a, b), \lambda) - P(G/(a, b), \lambda)$$

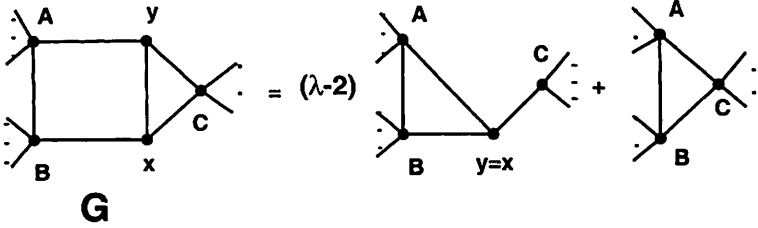
where we assume for  $G - (a, b)$  that the vertex set of this graph is  $V(G)$ . For  $G/(a, b)$  we assume the vertex set is  $(V(G) - \{a, b\}) \cup \{x\}$  where  $x \notin V(G)$ . This is a form of Theorem 1 from [RCR]. Other standard notions and results about chromatic polynomials are found in [RCR].

# Fundamental Lemma

A reduction formula for a square-triangle subgraph will be the key to proving that  $GL(n_1, n_2, M)$  and  $GL(n_3, n_4, M)$  are chromatically equivalent when  $n_1 + n_2 = n_3 + n_4$ .

In the lemma below, We represent a chromatic polynomial by a diagram of the graph it comes from. More specifically, in the lemma statement we show only the part of the graph showing the square-triangle subgraph.

**Lemma 1.**



**Proof.**

$$\begin{aligned}
 P(G, \lambda) &= P(G - (y, C), \lambda) - P(G/(y, C), \lambda) \\
 &= P([G - (y, C)] \cup (A, x), \lambda) \\
 &\quad + P([G - (y, C)] \cup (A, x)/(A, x), \lambda) \\
 &\quad - P([G/(y, C)] - (x, y = C), \lambda) \\
 &\quad + P([G/(y, C)]/(x, y = C), \lambda) \\
 &= (\lambda - 2)P([G - (y, C)] \cup (A, x) - \{(A, y), (y, x)\}, \lambda) \\
 &\quad + P([G - (y, C)] \cup (A, x)/(A, x), \lambda) \\
 &\quad - P([G/(y, C)] - (x, y = C), \lambda) \\
 &\quad + P([G/(y, C)]/(x, y = C), \lambda)
 \end{aligned}$$

Since

$$P([G - (y, C)] \cup (A, x)/(A, x), \lambda) = P([G/(y, C)] - (x, y = C), \lambda),$$

we have

$$\begin{aligned}
 P(G, \lambda) &= (\lambda - 2)P([G - (y, C)] \cup (A, x) - \{(A, y), (y, x)\}, \lambda) \\
 &\quad + P(\{G/\{(y, C)\}\}/(x, y = C), \lambda)
 \end{aligned}$$

as required.  $\nabla$

Using Lemma 1 we can prove how  $P(GL(n_1, n_2, M), \lambda)$  and  $P(GL(n_1 - 1, n_2 + 1, M), \lambda)$  are related.

**Theorem 1.** Let  $n_1 > 0$ . Then

$$P(GL(n_1, n_2, M), \lambda) = P(GL(n_1 - 1, n_2 + 1, M), \lambda).$$

**Proof.** We provide a diagrammatic proof. In Figure 5 you can see the result of applying Lemma 1 to the relevant portions of the two graphs  $GL(n_1, n_2, M)$  and  $GL(n_1 - 1, n_2 + 1, M)$ .

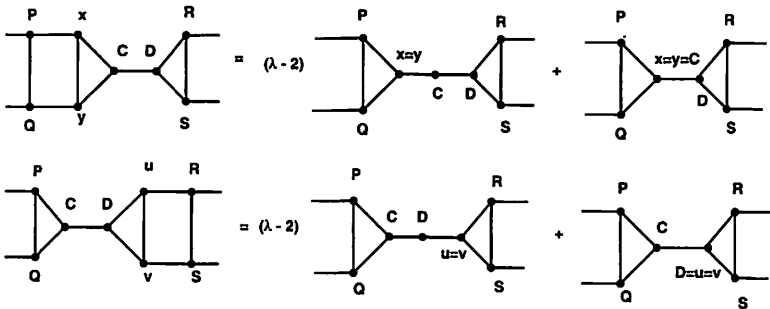


Figure 5:  $P(GL(n_1, n_2, M), \lambda) = P(GL(n_1 - 1, n_2 + 1, M), \lambda)$

Since the sum of the chromatic polynomials of the graphs on the right hand sides are equal, the result follows.  $\nabla$

We can now prove that graphs of the form  $GL(n_1, n_2, M)$  are chromatically equivalent when  $n_1$  and  $n_2$  are suitably restricted.

**Theorem 2.** Let  $GL(n_1, n_2, M)$  and  $GL(n_3, n_4, M)$  be generalized ladder graphs for some graph  $M$ . If  $n_1 + n_2 = n_3 + n_4$  and either (i)  $n_1 > 0$  or  $n_3 > 0$  or (ii)  $n_1 = n_2 = 0$ , then

$$P(GL(n_1, n_2, M), \lambda) = P(GL(n_3, n_4, M), \lambda).$$

**Proof.** If  $n_1 = n_2 = 0$ , the result is obvious. Without loss of generality let  $n_1 > n_3$  and  $n_1 > 0$ . Using Theorem 1 we prove by induction on  $i$  that

$$P(GL(n_1, n_2, M), \lambda) = P(GL(n_1 - i, n_2 + i, M), \lambda).$$

Setting  $i = n_1 - n_3$  proves the required result.  $\nabla$

# Computing the Chromial of $GL(n_1, n_2, M)$

We will find a recurrence relation for the chromial of  $GL(n_1, n_2, M)$  in terms of the value of the sum  $n_1 + n_2$ .  $GL(n_1, n_2, M)$  is shown in Figure 6.

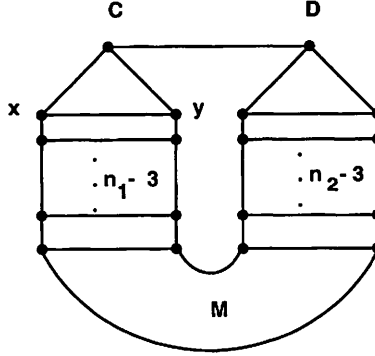


Figure 6:  $GL(n_1, n_2, M)$

**Theorem 3.** Let  $GL(n_1, n_2, M)$  be a generalized ladder graph for  $n_1, n_2 \geq 0$ . Then

$$\begin{aligned}
 P(GL(n_1, n_2, M), \lambda) &= \frac{1}{\lambda}(\lambda - 1)(\lambda - 2)^2 \\
 &\quad \cdot [(\lambda^2 - 3\lambda + 3)^{n_1+n_2} - (3 - \lambda)^{n_1+n_2}]P(M, \lambda) \\
 &\quad + (3 - \lambda)^{n_1+n_2}P(GL(0, 0, M), \lambda).
 \end{aligned}$$

**Proof.** We use standard techniques for computing chromatic polynomials as found in [RCR]. We need both Theorems 1 and 3 from that paper.

$$\begin{aligned}
 P(GL(n_1, n_2, M), \lambda) &= \\
 &\quad (\lambda - 2)P(GL(n_1, n_2, M)/(x, y), \lambda) \\
 &\quad + P([GL(n_1, n_2, M)/(x, y)]/(x = y, C), \lambda) \text{ (by Lemma 1)} \\
 &= (\lambda - 2)P([GL(n_1, n_2, M)/(x, y)] - (C, D), \lambda) \\
 &\quad - (\lambda - 2)P([GL(n_1, n_2, M)/(x, y)]/(C, D), \lambda) \\
 &\quad + P([GL(n_1, n_2, M)/(x, y)]/(x = y, C), \lambda) \\
 &= (\lambda - 1)(\lambda - 2)P([GL(n_1, n_2, M)/(x, y)] - (C, D)) - (x = y, C), \lambda) \\
 &\quad - (\lambda - 3)P([GL(n_1, n_2, M)/(x, y)]/(C, D), \lambda)
 \end{aligned}$$

A fuller view of the first graph on the last right hand side (see Figure 7) will make the computation of its chromial clearer.

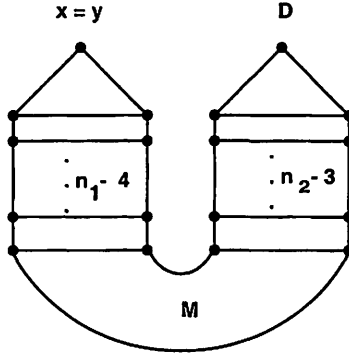


Figure 7: First Graph on the Right Hand Side

The two triangles contribute  $(\lambda - 2)^2$  to the chromial of  $GL(n_1, n_2, M)$ . The remainder of the two ladders contribute  $(\lambda^2 - 3\lambda + 3)^{n_1+n_2-1}P(M, \lambda)$  where there is a total of  $n_1 + n_2 - 1$  rungs in the two ladders.

The second graph on the last right hand side has  $(\lambda - 3)P(GL(n_1, n_2 - 1, M), \lambda)$  as its chromial. For clearer computations define  $V_k = GL(n_1, n_2, M)$  where  $n_1 + n_2 = k$  with  $k \geq 0$ . Let

$$\alpha = (\lambda - 1)(\lambda - 2)^3 P(M, \lambda)$$

and

$$\beta = \lambda^2 - 3\lambda + 3.$$

Then

$$\begin{aligned} P(V_k, \lambda) &= (\lambda - 1)(\lambda - 2)^3 (\lambda^2 - 3\lambda + 3)^{k-1} P(M, \lambda) - (\lambda - 3)P(V_{k-1}, \lambda) \\ &= \alpha\beta^{k-1} + (3 - \lambda)P(V_{k-1}, \lambda) \\ &= \alpha\beta^{k-1} + (3 - \lambda)\alpha\beta^{k-2} + (3 - \lambda)^2 P(V_{k-2}, \lambda) \\ &= \dots \\ &= \alpha[\beta^{k-1} + (3 - \lambda)\beta^{k-2} + (3 - \lambda)^2\beta^{k-3} + \\ &\quad \dots + (3 - \lambda)^{k-1}] + (3 - \lambda)^k P(GL(0, 0, M), \lambda) \\ &= \frac{\alpha[\beta^k - (3 - \lambda)^k]}{\beta - (3 - \lambda)} + (3 - \lambda)^k P(GL(0, 0, M), \lambda) \end{aligned}$$

$$\begin{aligned}
&= \frac{1}{\lambda}(\lambda-1)(\lambda-2)^2[(\lambda^2-3\lambda+3)^k - (3-\lambda)^k]P(M, \lambda) \\
&\quad + (3-\lambda)^k P(GL(0,0, M), \lambda) \nabla
\end{aligned}$$

**Application 1.** Let  $M = K_2$  and identify the base pairs of  $TL_{n_1}$  and  $TL_{n_2}$  to form the *rope-ladder graph* [RR] shown in Figure 8.

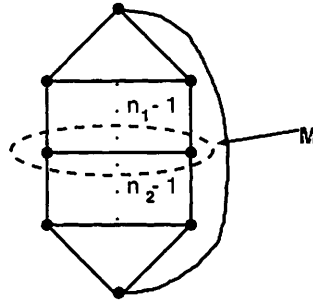


Figure 8: Rope-Ladder Graph

$$\begin{aligned}
P(GL(n_1, n_2, K_2)) &= (\lambda-1)^2(\lambda-2)^2(\lambda^2-3\lambda+3)^{n_1+n_2} \\
&\quad - 2(\lambda-1)(\lambda-2)(3-\lambda)^{n_1+n_2}.
\end{aligned}$$

**Proof.** We have that

$$P(M, \lambda) = P(K_2, \lambda) = \lambda(\lambda-1)$$

and

$$P(GL(0,0, K_2), \lambda) = P(K_4, \lambda) = \lambda(\lambda-1)(\lambda-2)(\lambda-3).$$

Therefore,

$$\begin{aligned}
P(GL(n_1, n_2, K_2), \lambda) &= \frac{1}{\lambda}(\lambda-1)(\lambda-2)^2 \\
&\quad \cdot \{(\lambda^2-3\lambda+3)^{n_1+n_2} - (3-\lambda)^{n_1+n_2}\} \lambda(\lambda-1) \\
&\quad + (3-\lambda)^{n_1+n_2} \lambda(\lambda-1)(\lambda-2)(\lambda-3) \\
&= (\lambda-1)^2(\lambda-2)^2(\lambda^2-3\lambda+3)^{n_1+n_2} \\
&\quad - 2(\lambda-1)(\lambda-2)(3-\lambda)^{n_1+n_2} \nabla
\end{aligned}$$

This is another proof of a computation found in [RR].



**Application 2.** Let  $M$  be the graph shown in Figure 9.

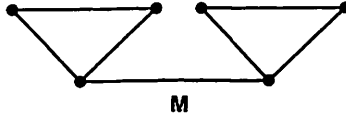


Figure 9:  $M$

Then  $GL(0, 0, M)$  is as shown in Figure 10:

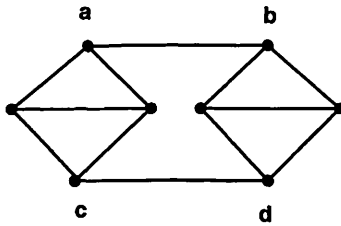


Figure 10:  $GL(0, 0, M)$

For the specified  $M$ , we have that:

$$P(GL(n_1, n_2, M), \lambda) = (\lambda - 1)^4(\lambda - 2)^4\{(\lambda^2 - 3\lambda + 3)^{n_1+n_2} - (3 - \lambda)^{n_1+n_2}\} \\ + (3 - \lambda)^{n_1+n_2}P(GL(0, 0, M)).$$

**Proof.** Using the alternate form of Theorem 1 from [RCR], we get

$$P(M, \lambda) = \lambda(\lambda - 2)^2(\lambda - 1)^3.$$

$$P(GL(0, 0, M), \lambda) = P(GL(0, 0, M) \cup (a, d), \lambda) \\ + P(GL(0, 0, M) \cup (a, d)/(a, d), \lambda).$$

Using two applications of Theorem 3 from [RCR], we get

$$P(GL(0, 0, M), \lambda) = \frac{(\lambda(\lambda - 1)^2(\lambda - 2)^2 - \lambda(\lambda - 1)(\lambda - 2)(\lambda - 3))^2}{\lambda(\lambda - 1)} \\ + \frac{\lambda^2(\lambda - 1)^2(\lambda - 2)^2(\lambda - 3)^2}{\lambda} \\ = \lambda(\lambda - 1)(\lambda - 2)^2(\lambda^4 - 7\lambda^3 + 19\lambda^2 - 25\lambda + 16)$$

The computation can now be completed using Theorem 3 above:

$$\begin{aligned}
GL(n_1, n_2, M), \lambda &= \\
&\frac{1}{\lambda}(\lambda - 1)(\lambda - 2)^2\{(\lambda^2 - 3\lambda + 3)^{n_1+n_2} \\
&\quad - (3 - \lambda)^{n_1+n_2}\}\lambda(\lambda - 2)^2(\lambda - 1)^3 \\
&\quad + (3 - \lambda)^{n_1+n_2}P(GL(0, 0, M), \lambda) \\
&= (\lambda - 1)^4(\lambda - 2)^4\{(\lambda^2 - 3\lambda + 3)^{n_1+n_2} - (3 - \lambda)^{n_1+n_2}\} \\
&\quad + (3 - \lambda)^{n_1+n_2}P(GL(0, 0, M), \lambda) \\
&= (\lambda - 1)^4(\lambda - 2)^4\{(\lambda^2 - 3\lambda + 3)^{n_1+n_2} - (3 - \lambda)^{n_1+n_2}\} \\
&\quad - \lambda(\lambda - 1)(\lambda - 2)^2(3 - \lambda)^{n_1+n_2}(\lambda^4 - 7\lambda^3 + 19\lambda^2 - 25\lambda + 16) \quad \nabla
\end{aligned}$$

## Cycle of Ladders

The next result requires a slight generalization of the definition of a ladder. For any ladder  $TL_n$  with base pair  $b_1$  and  $b_2$  we define the *based ladder*  $TBL_n$  to be the graph  $TL_n$  with one new vertex  $b$ , called the base point, together with two new edges  $(b_1, b)$  and  $(b_2, b)$ . We can now define a sequence of  $TBL_{n_i}$  for  $1 \leq i \leq k$ , called a cycle of ladders, by adding edges joining the base point of  $TBL_{n_1}$  to the apex of  $TBL_{n_2}$ , the base point of  $TBL_{n_2}$  to the apex of  $TBL_{n_3}$ , ..., and the base point of  $TBL_{n_k}$  to the apex of  $TBL_{n_1}$ . We denote a cycle of ladders as  $C(n_1, n_2, \dots, n_k)$ , where the  $n_i$  specify the size of the based ladders in the order in which they appear. An example of  $T = C(1, 2, 3, 1)$  is shown in Figure 11.

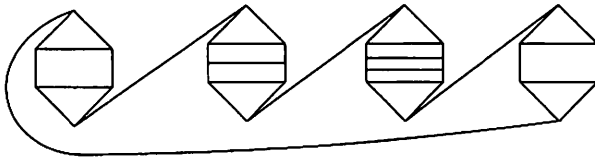


Figure 11: Cycle of Ladders

Theorem 4 leads to a characterization of those cycles of ladders that are chromatically equivalent. Finally, we can actually compute the chromatic polynomial of any cycle of ladders. We use the transformation of the cycle of ladders given in Theorem 4 to find a convenient form for computation.

**Theorem 4.** Let  $T$  be a cycle of ladders  $C(n_1, n_2, \dots, n_k)$  composed of  $TBL_{n_1}, TBL_{n_2}, \dots, TBL_{n_k}$  where these graphs are connected in that order. Then

$$P(T, \lambda) = P(C(0, 0, \dots, 0, n_1 + n_2 + \dots + n_k), \lambda).$$

**Proof.** The proof is straightforward, and we leave the details to the reader. The proof involves repeated application of Theorem 2, using generalized ladders drawn from adjacent ladders in the cycle. Working around the cycle, Theorem 2 shows that if we have adjacent ladders  $TBL_{n_i}$  and  $TBL_{n_{i+1}}$  where  $i \in \{1, 2, \dots, k - 1\}$  the chromatic polynomial is the same for the cycle of ladders in which  $TBL_{n_i}$  and  $TBL_{n_{i+1}}$  are replaced with  $TBL_0$  and  $TBL_{n_i+n_{i+1}}$ , respectively. In this way all ladders but  $TBL_{n_k}$  can be transformed into copies of  $TBL_0$  as in the statement of the theorem.  $\nabla$

As an example of using this theorem, Figure 12 shows the decomposition step needed for computing the chromatic polynomial of the graph  $T = C(1, 2, 3, 1)$  that was shown in Figure 11.

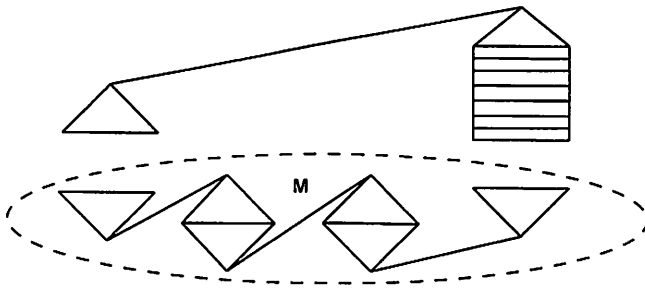


Figure 12: Decomposition for Computing the Chromatic Polynomial of a Cycle of Ladders

Using Theorem 3 of [RCR] it is easy to show that  $P(M, \lambda) = \lambda(\lambda - 1)^7(\lambda - 2)^6$ . The details of the rest of this computation and the general formula that can result from using Theorem 4 are left for the reader.

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