

ENUMERATING PERMUTATIONS AVOIDING A PAIR OF BABSON-STEINGRÍMSSON PATTERNS

ANDERS CLAESSION AND TOUFIK MANSOUR

ABSTRACT. Babson and Steingrímsson introduced generalized permutation patterns that allow the requirement that two adjacent letters in a pattern must be adjacent in the permutation. Subsequently, Claesson presented a complete solution for the number of permutations avoiding any single pattern of type $(1, 2)$ or $(2, 1)$. For eight of these twelve patterns the answer is given by the Bell numbers. For the remaining four the answer is given by the Catalan numbers.

In the present paper we give a complete solution for the number of permutations avoiding a pair of patterns of type $(1, 2)$ or $(2, 1)$. We also conjecture the number of permutations avoiding the patterns in any set of three or more such patterns.

1. INTRODUCTION

Classically, a pattern is a permutation $\sigma \in S_k$, and a permutation $\pi \in S_n$ avoids σ if there is no subword of π that is order equivalent to σ . For example, $\pi \in S_n$ avoids 132 if there is no $1 \leq i < j < k \leq n$ such that $\pi(i) < \pi(k) < \pi(j)$. We denote by $S_n(\sigma)$ the set permutations in S_n that avoids σ .

The earliest result to an instance of finding $|S_n(\sigma)|$ seems to be MacMahon's enumeration of $S_n(123)$, which is implicit in chapter V of [9]. The first explicit result seems to be Hammersley's enumeration of $S_n(321)$ in [6]. In [7, Ch. 2.2.1] and [8, Ch. 5.1.4] Knuth shows that for any $\sigma \in S_3$, we have $|S_n(\sigma)| = C_n = \frac{1}{n+1} \binom{2n}{n}$, the n th Catalan number. Later Simion and Schmidt [11] found the cardinality of $S_n(P)$ for all $P \subseteq S_3$.

In [1] Babson and Steingrímsson introduced generalized permutation patterns that allow the requirement that two adjacent letters in a pattern must be adjacent in the permutation. The motivation for Babson and Steingrímsson in introducing these patterns was the study of Mahonian statistics. Two examples of such patterns are 1-32 and 13-2 (1-32 and 13-2 are of type $(1, 2)$ and $(2, 1)$ respectively). A permutation $\pi = a_1 a_2 \cdots a_n$ avoids 1-32 if there are no subwords $a_i a_j a_{j+1}$ of π such that $a_i < a_{j+1} < a_j$.

Date: July 15, 2003.

Key words and phrases. permutation, pattern avoidance.

Similarly π avoids 13-2 if there are no subwords $a_i a_{i+1} a_j$ of π such that $a_i < a_j < a_{i+1}$.

Claesson [2] presented a complete solution for the number of permutations avoiding any single pattern of type (1, 2) or (2, 1) as follows.

Proposition 1 (Claesson [2]). *Let $n \in \mathbb{N}$. We have*

$$|\mathcal{S}_n(p)| = \begin{cases} B_n & \text{if } p \in \{1-23, 3-21, 12-3, 32-1, 1-32, 3-12, 21-3, 23-1\}, \\ C_n & \text{if } p \in \{2-13, 2-31, 13-2, 31-2\}, \end{cases}$$

where B_n and C_n are the n th Bell (# ways of placing n labelled balls into n indistinguishable boxes, see [10, A000110]) and Catalan numbers, respectively.

In addition, Claesson gave some results for the number of permutations avoiding a pair of patterns.

Proposition 2 (Claesson [2]). *Let $n \in \mathbb{N}$. We have*

$$|\mathcal{S}_n(1-23, 12-3)| = B_n^*, |\mathcal{S}_n(1-23, 1-32)| = I_n, \text{ and } |\mathcal{S}_n(1-23, 13-2)| = M_n,$$

where B_n^* is the n th Bessel number (# non-overlapping partitions of $[n]$ (see [4])), I_n is the number of involutions in \mathcal{S}_n , and M_n is the n th Motzkin number (# ways of drawing any number of nonintersecting chords among n points on a circle, see [10, A001006]).

This paper is organized as follows. In Section 2 we define the notion of a pattern and some other useful concepts. For a proof of Proposition 1 we could refer the reader to [2]. We will however prove Proposition 1 in Section 3 in the context of binary trees. The idea being that this will be a useful aid to understanding of the proofs of Section 4. In Section 4 we give a solution for the number of permutations avoiding any given pair of patterns of type (1, 2) or (2, 1). These results are summarized in the following table.

# pairs	2	2	4	34	8	2	4	4	4	2
$ \mathcal{S}_n(p, q) $	0	$2(n-1)$	$\binom{n}{2} + 1$	2^{n-1}	M_n	a_n	b_n	I_n	C_n	B_n^*

Here

$$\sum_{n \geq 0} a_n x^n = \frac{1}{1 - x - x^2 \sum_{n \geq 0} B_n^* x^n}$$

and

$$b_{n+2} = b_{n+1} + \sum_{k=0}^n \binom{n}{k} b_k.$$

Finally, in Section 5 we conjecture the sequences $\{\#S_n(P)\}_n$ for sets P of three or more patterns of type $(1, 2)$ or $(2, 1)$.

2. PRELIMINARIES

By an *alphabet* X we mean a non-empty set. An element of X is called a *letter*. A *word* over X is a finite sequence of letters from X . We consider also the *empty word*, that is, the word with no letters; it is denoted by ϵ . Let $w = x_1 x_2 \cdots x_n$ be a word over X . We call $|w| := n$ the *length* of w . A *subword* of w is a word $v = x_{i_1} x_{i_2} \cdots x_{i_k}$, where $1 \leq i_1 < i_2 < \cdots < i_k \leq n$.

Let $[n] := \{1, 2, \dots, n\}$ (so $[0] = \emptyset$). A *permutation* of $[n]$ is bijection from $[n]$ to $[n]$. Let S_n be the set of permutations of $[n]$, and $\mathcal{S} = \bigcup_{n \geq 0} S_n$. We shall usually think of a permutation π as the word $\pi(1)\pi(2) \cdots \pi(n)$ over the alphabet $[n]$.

Define the *reverse* of π by $\pi^r(i) = \pi(n+1-i)$, and define the *complement* of π by $\pi^c(i) = n+1-\pi(i)$, where $i \in [n]$.

For each word $w = x_1 x_2 \cdots x_n$ over the alphabet $\{1, 2, 3, 4, \dots\}$ without repeated letters, we define the *reduction* of w onto S_n , which we denote $\text{red}(w)$, by

$$\text{red}(w) = a_1 a_2 \cdots a_n, \text{ where } a_i = |\{j \in [n] : x_j \leq x_i\}|.$$

Equivalently, $\text{red}(w)$ is the permutation in S_n which is order equivalent to w . For example, $\text{red}(2659) = 1324$.

We may regard a *pattern* as a function from S_n to the set \mathbb{N} of natural numbers. The patterns of main interest to us are defined as follows. Let $xyz \in S_3$ and $\pi = a_1 a_2 \cdots a_n \in S_n$, then

$$(x-yz)\pi = |\{a_i a_j a_{j+1} : \text{red}(a_i a_j a_{j+1}) = xyz, 1 \leq i < j < n\}|$$

and similarly $(xy-z)\pi = (z-yx)\pi^r$. For instance

$$(1-23)491273865 = |\{127, 138, 238\}| = 3.$$

A pattern $p = p_1 - p_2 - \cdots - p_k$ containing exactly $k-1$ dashes is said to be of type $(|p_1|, |p_2|, \dots, |p_k|)$. For example, the pattern $142-5-367$ is of type $(3, 1, 3)$, and any classical pattern of length k is of type $(\underbrace{1, 1, \dots, 1}_k)$.

We say that a permutation π *avoids* a pattern p if $p\pi = 0$. The set of all permutations in S_n that avoids p is denoted $S_n(p)$ and, more generally, $S_n(P) = \bigcap_{p \in P} S_n(p)$ and $\mathcal{S}(P) = \bigcup_{n \geq 0} S_n(P)$.

We extend the definition of reverse and complement to patterns the following way. Let us call π the *underlying permutation* of the pattern p if π is obtained from p by deleting all the dashes in p . If p is a pattern with underlying permutation π , then p^c is the pattern with underlying permutation π^c and with dashes at precisely the same positions as there are dashes in p . We define p^r as the pattern we get from regarding p as a word and reading

it backwards. For example, $(1-23)^c = 3-21$ and $(1-23)^r = 32-1$. Observe that

$$\begin{aligned} \sigma \in \mathcal{S}_n(p) &\iff \sigma^r \in \mathcal{S}_n(p^r) \\ \sigma \in \mathcal{S}_n(p) &\iff \sigma^c \in \mathcal{S}_n(p^c). \end{aligned}$$

These observations of course generalize to $\mathcal{S}_n(P)$ for any set of patterns P .

The operations reverse and complement generates the dihedral group D_2 (the symmetry group of a rectangle). The orbits of D_2 in the set of patterns of type $(1, 2)$ or $(2, 1)$ will be called *symmetry classes*. For instance, the symmetry class of 1-23 is

$$\{1-23, 3-21, 12-3, 32-1\}.$$

We also talk about symmetry classes of sets of patterns (defined in the obvious way). For example, the symmetry class of $\{1-23, 3-21\}$ is

$$\{\{1-23, 3-21\}, \{32-1, 12-3\}\}.$$

A set of patterns P such that if $p, p' \in P$ then, for each n , $|\mathcal{S}_n(p)| = |\mathcal{S}_n(p')|$ is called a *Wilf-class*. For instance, by Proposition 1, the Wilf-class of 1-23 is

$$\{1-23, 3-21, 12-3, 32-1, 1-32, 3-12, 21-3, 23-1\}.$$

We also talk about Wilf-classes of sets of patterns (defined in the obvious way). It is clear that symmetry classes are Wilf-classes, but as we have seen the converse does not hold in general.

In what follows we will frequently use the well known bijection between increasing binary trees and permutations (e.g. see [12, p. 24]). Let π be any word on the alphabet $\{1, 2, 3, 4, \dots\}$ with no repeated letters. If $\pi \neq \epsilon$ then we can factor π as $\pi = \sigma \hat{0} \tau$, where $\hat{0}$ is the minimal element of π . Define $T(\epsilon) = \bullet$ (a leaf) and

$$T(\pi) = \begin{array}{c} \hat{0} \\ \swarrow \quad \searrow \\ T(\sigma) \quad T(\tau) \end{array}$$

In addition, we define $U(t)$ as the unlabelled counterpart of the labelled tree t . For instance

$$T(316452) = \begin{array}{c} 1 \\ \swarrow \quad \searrow \\ 3 \quad 2 \\ \quad \swarrow \quad \searrow \\ 6 \quad 4 \quad 5 \end{array} \qquad U \circ T(316452) = \begin{array}{c} \circ \\ \swarrow \quad \searrow \\ \circ \quad \circ \\ \quad \swarrow \quad \searrow \\ \circ \quad \circ \end{array}$$

Note that, for sake of simplicity, the leaves are not displayed.

3. SINGLE PATTERNS

There are 3 symmetry classes and 2 Wilf-classes of single patterns. The details are as follows.

Proposition 3 (Claesson [2]). *Let $n \in \mathbb{N}$. We have*

$$|\mathcal{S}(p)| = \begin{cases} B_n & \text{if } p \in \{1-23, 3-21, 12-3, 32-1\}, \\ B_n & \text{if } p \in \{1-32, 3-12, 21-3, 23-1\}, \\ C_n & \text{if } p \in \{2-13, 2-31, 13-2, 31-2\}, \end{cases}$$

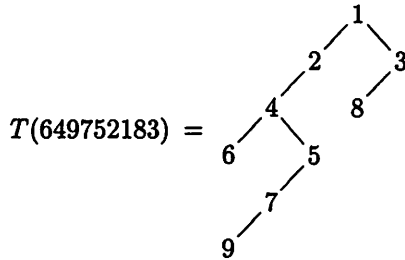
where B_n and C_n are the n th Bell and Catalan numbers, respectively.

Proof of the first case. Note that

$$\sigma 1\tau \in \mathcal{S}(1-23) \iff \begin{cases} \text{red}(\sigma) \in \mathcal{S}(1-23) \\ \text{red}(\tau) \in \mathcal{S}(12) \\ \sigma 1\tau \in \mathcal{S} \end{cases}$$

where of course $\mathcal{S}(12) = \{\epsilon, 1, 21, 321, 4321, \dots\}$. This enables us to give a bijection Φ between $\mathcal{S}_n(1-23)$ and the set of partitions of $[n]$, by induction. Let $\Phi(\epsilon)$ be the empty partition. Let the first block of $\Phi(\sigma 1\tau)$ be the set of letters of 1τ , and let the rest of the blocks of $\Phi(\sigma 1\tau)$ be as in $\Phi(\sigma)$. \square

The most transparent way to see the above correspondence is perhaps to view the permutation as an increasing binary tree. For instance, the tree



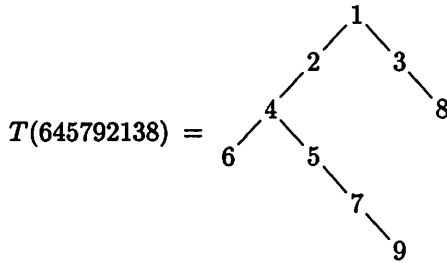
corresponds to the partition $\{\{1, 3, 8\}, \{2\}, \{4, 5, 7, 9\}, \{6\}\}$.

Proof of the second case. This case is analogous to the previous one. We have

$$\sigma 1\tau \in \mathcal{S}(1-32) \iff \begin{cases} \text{red}(\sigma) \in \mathcal{S}(1-32) \\ \text{red}(\tau) \in \mathcal{S}(21) \\ \sigma 1\tau \in \mathcal{S} \end{cases}$$

We give a bijection Φ between $\mathcal{S}_n(1-23)$ and the set of partitions of $[n]$, by induction. Let $\Phi(\epsilon)$ be the empty partition. Let the first block of $\Phi(\sigma 1\tau)$ be the set of letters of 1τ , and let the rest of the blocks of $\Phi(\sigma 1\tau)$ be as in $\Phi(\sigma)$. \square

As an example, the tree



corresponds to the partition $\{\{1, 3, 8\}, \{2\}, \{4, 5, 7, 9\}, \{6\}\}$.

Now that we have seen the structure of $\mathcal{S}(1-23)$ and $\mathcal{S}(1-32)$, it is trivial to give a bijection between the two sets. Indeed, if $\Theta : \mathcal{S}(1-23) \rightarrow \mathcal{S}(1-32)$ is given by $\Theta(\epsilon) = \epsilon$ and $\Theta(\sigma 1\tau) = \Theta(\sigma) 1\tau^r$ then Θ is such a bijection. Actually Θ is its own inverse.

Proof of the third case. It is plain that a permutation avoids 2-13 if and only if it avoids 2-1-3 (see [2]). Note that

$$\sigma 1\tau \in \mathcal{S}(2-1-3) \iff \begin{cases} \text{red}(\sigma), \text{red}(\tau) \in \mathcal{S}(2-1-3) \\ \tau > \sigma \\ \sigma 1\tau \in \mathcal{S} \end{cases}$$

where $\tau > \sigma$ means that any letter of τ is greater than any letter of σ . Hence we get a unique labelling of the binary tree corresponding to $\sigma 1\tau$, that is, if $\pi_1, \pi_2 \in \mathcal{S}(2-1-3)$ and $U \circ T(\pi_1) = U \circ T(\pi_2)$ then $\pi_1 = \pi_2$. It is well known that there are exactly C_n (unlabelled) binary trees with n (internal) nodes. The validity of the last statement can be easily deduced from the following simple bijection between Dyck words and binary trees. Fixing notation, we let the set of Dyck words be the smallest set of words over $\{u, d\}$ that contains the empty word and is closed under $(\alpha, \beta) \mapsto u\alpha d\beta$. Now the promised bijection is given by $\Psi(\bullet) = \epsilon$ and

$$\Psi\left(\begin{array}{c} \circ \\ / \quad \backslash \\ L \quad R \end{array}\right) = u\Psi(L)d\Psi(R).$$

□

4. PAIRS OF PATTERNS

There are $\binom{12}{2} = 66$ pairs of patterns altogether. It turns out that there are 21 symmetry classes and 10 Wilf-classes. The details are given in Table 1, and the numbering of the symmetry classes in the titles of the subsections below is taken from that table.

	$\{p, q\}$	$ \mathcal{S}_n(p, q) $
1	1-23, 32-1 3-21, 12-3	0
2	1-23, 3-21 32-1, 12-3	$2(n-1)$
3	1-23, 2-31 3-21, 2-13 12-3, 31-2 32-1, 13-2	$\binom{n}{2} + 1$
4a	1-23, 2-13 3-21, 2-31 12-3, 13-2 32-1, 31-2	2^{n-1}
4b	1-23, 23-1 3-21, 21-3 12-3, 3-12 32-1, 1-32	2^{n-1}
4c	1-23, 31-2 3-21, 13-2 12-3, 2-31 32-1, 2-13	2^{n-1}
4d	1-32, 2-13 3-12, 2-31 13-2, 21-3 23-1, 31-2	2^{n-1}
4e	1-32, 2-31 3-12, 2-13 31-2, 21-3 23-1, 13-2	2^{n-1}
4f	1-32, 3-12 23-1, 21-3	2^{n-1}
4g	1-32, 23-1 3-12, 21-3	2^{n-1}

	$\{p, q\}$	$ \mathcal{S}_n(p, q) $
4h	1-32, 31-2 3-12, 13-2 21-3, 2-31 23-1, 2-13	2^{n-1}
4i	2-13, 2-31 31-2, 13-2	2^{n-1}
4j	2-13, 13-2 2-31, 31-2	2^{n-1}
4k	2-13, 31-2 2-31, 13-2	2^{n-1}
5a	1-23, 13-2 3-21, 31-2 12-3, 2-13 32-1, 2-31	M_n (Motzkin no.)
5b	1-23, 21-3 3-21, 23-1 12-3, 1-32 32-1, 3-12	M_n (Motzkin no.)
6	1-32, 21-3 3-12, 23-1	a_n
7	1-23, 3-12 3-21, 1-32 23-1, 12-3 32-1, 21-3	b_n
8	1-23, 1-32 3-21, 3-12 21-3, 12-3 32-1, 23-1	I_n (# involutions)
9	1-32, 13-2 3-12, 31-2 21-3, 2-13 23-1, 2-31	C_n (Catalan no.)
10	1-23, 12-3 3-21, 32-1	B_n^* (Bessel no.)

TABLE 1

Symmetry class 1. We have

$$\sigma_1\tau \in \mathcal{S}(1-23, 32-1) \iff \begin{cases} \text{red}(\sigma) \in \mathcal{S}(21, 1-23) \\ \text{red}(\tau) \in \mathcal{S}(12, 32-1) \\ \sigma_1\tau \in \mathcal{S} \end{cases}$$

The result now follows from $S(21, 1-23) = \{\epsilon, 1, 12\}$ and $S(12, 32-1) = \{\epsilon, 1, 21\}$.

Symmetry class 2. Since 3-21 is the complement of 1-23, the cardinality of $S_n(1-23, 3-21)$ is twice the number of permutations in $S_n(1-23, 3-21)$ in which 1 precedes n . In addition, 1 and n must be adjacent letters in a permutation avoiding 1-23 and 3-21. Let $\sigma 1n\tau$ be such a permutation. Note that τ must be both increasing and decreasing, that is, $\tau \in \{\epsilon, 2, 3, 4, \dots, n-1\}$, so there are $n-1$ choices for τ . Furthermore, there is exactly one permutation in $S_n(1-23, 3-21)$ of the form $\sigma 1n$, namely $(\lfloor \frac{n+1}{2} \rfloor, \dots, n-2, 3, n-1, 2, n, 1)$, and similarly there is exactly one of the form $\sigma 1nk$ for each $k \in \{2, 3, \dots, n-1\}$. This completes our argument.

Symmetry class 3. Note that

$$\sigma 1\tau \in S(1-23, 2-31) \iff \begin{cases} \text{red}(\sigma), \text{red}(\tau) \in S(12) \\ \sigma 1\tau \in S(2-31) \end{cases}$$

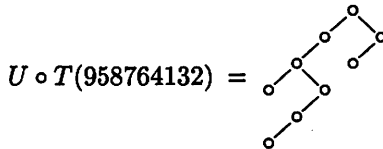
It is now rather easy to see that $\pi \in S_n(1-23, 2-31)$ if and only if $\pi = n \dots 21$ or π is constructed in the following way. Choose i and j such that $1 \leq j < i \leq n$. Let $\pi(i-1) = 1$, $\pi(i) = n+1-j$ and arrange the rest of the elements so that $\pi(1) > \pi(2) > \dots > \pi(i-1)$ and $\pi(i) > \pi(i+1) > \dots > \pi(n)$ (this arrangement is unique). Since there are $\binom{n}{2}$ ways of choosing i and j we get the desired result.

Symmetry class 4a. We have

$$\sigma 1\tau \in S(1-23, 2-13) \iff \begin{cases} \text{red}(\sigma) \in S(1-23, 2-13) \\ \text{red}(\tau) \in S(12) \\ \sigma > \tau \\ \sigma 1\tau \in S, \end{cases}$$

where $\sigma > \tau$ means that any letter of τ is greater than any letter of σ . This enables us to give a bijection between $S_n(1-23, 2-13)$ and the set of compositions (ordered formal sums) of n . Indeed, such a bijection Ψ is given by $\Psi(\epsilon) = \epsilon$ and $\Psi(\sigma 1\tau) = \Psi(\sigma) + |1\tau|$.

As an example, the tree



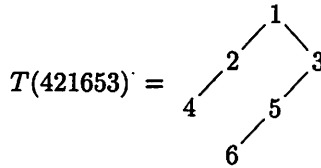
corresponds to the composition $1 + 4 + 1 + 3$ of 9.

Symmetry class 4b. We have

$$\sigma 1\tau \in \mathcal{S}(1-23, 23-1) \iff \begin{cases} \text{red}(\sigma), \text{red}(\tau) \in \mathcal{S}(12) \\ \sigma 1\tau \in \mathcal{S} \end{cases}$$

Hence a permutation in $\mathcal{S}(1-23, 23-1)$ is given by the following procedure. Choose a subset $S \subseteq \{2, 3, 4, \dots, n\}$, let σ be the word obtained by writing the elements of S in decreasing order, and let τ be the word obtained by writing the elements of $\{2, 3, 4, \dots, n\} \setminus S$ in decreasing order.

For instance, the tree



corresponds to the subset $\{2, 4\}$ of $\{2, 3, 4, 5, 6\}$.

Symmetry class 4c. This case is essentially identical to the case dealt with in (4a).

Symmetry class 4c. The bijection Θ between $\mathcal{S}(1-23)$ and $\mathcal{S}(1-32)$ (see page 6) provides a one-to-one correspondence between $\mathcal{S}_n(1-32, 2-13)$ and $\mathcal{S}_n(1-23, 2-13)$. Consequently the result follows from (4a).

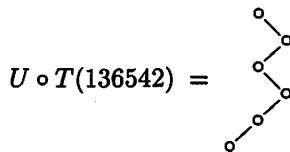
Symmetry class 4e. We have

$$\sigma 1\tau \in \mathcal{S}(3-12, 2-13) \iff \begin{cases} \text{red}(\sigma), \text{red}(\tau) \in \mathcal{S}(3-12, 2-13) \\ \sigma = \epsilon \text{ or } \tau = \epsilon \\ \sigma 1\tau \in \mathcal{S} \end{cases}$$

Thus a bijection between $\mathcal{S}_n(3-12, 2-13)$ and $\{0, 1\}^{n-1}$ is given by $\Psi(\epsilon) = \epsilon$ and

$$\Psi(\sigma 1\tau) = x\Psi(\sigma\tau) \text{ where } x = \begin{cases} 1 & \text{if } \sigma \neq \epsilon, \\ 0 & \text{if } \tau \neq \epsilon, \\ \epsilon & \text{otherwise.} \end{cases}$$

As an example, the tree



corresponds to $01011 \in \{0, 1\}^5$.

Symmetry class 4f. Since 3-12 is the complement of 1-32, the cardinality of $\mathcal{S}_n(1-32, 3-12)$ is twice the number of permutations in $\mathcal{S}_n(1-32, 3-12)$ in which 1 precedes n . In addition, n must be the last letter in such a permutation or else a hit of 1-32 would be formed. We have

$$\begin{aligned} \sigma 1 \tau n \in \mathcal{S}(1-32, 3-12) &\iff \begin{cases} \text{red}(\sigma 1 \tau) \in \mathcal{S}(1-32, 3-12) \\ \text{red}(\tau) \in \mathcal{S}(21) \\ \sigma 1 \tau \in \mathcal{S} \end{cases} \\ &\iff \begin{cases} \text{red}(\sigma) \in \mathcal{S}(1-32, 3-12) \\ \text{red}(\tau) \in \mathcal{S}(21) \\ \sigma < \tau \\ \sigma 1 \tau \in \mathcal{S} \end{cases} \end{aligned}$$

The rest of the proof follows the same lines as the proof of (4a).

Symmetry class 4g. We can copy almost verbatim the proof of (4e); indeed, it is easy to see that $\mathcal{S}_n(1-32, 23-1) = \mathcal{S}_n(1-32, 2-31)$.

Symmetry class 4h. We can copy almost verbatim the proof of (4f); indeed, it is easy to see that $\mathcal{S}_n(1-32, 31-2) = \mathcal{S}_n(1-32, 3-12)$.

Symmetry class 4i. $|\mathcal{S}_n(2-13, 2-31)| = |\mathcal{S}_n(2-1-3, 2-3-1)| = 2^{n-1}$ by [11, Lemma 5(d)].

Symmetry class 4j. $|\mathcal{S}_n(2-13, 13-2)| = |\mathcal{S}_n(1-3-2, 2-1-3)| = 2^{n-1}$ by [11, Lemma 5(b)].

Symmetry class 4k. $|\mathcal{S}_n(2-13, 31-2)| = |\mathcal{S}_n(2-1-3, 3-1-2)| = 2^{n-1}$ by [11, Lemma 5(c)].

Symmetry class 5a. See Proposition 2.

Symmetry class 5b. We give a bijection

$$\Lambda : \mathcal{S}_n(1-23, 21-3) \rightarrow \mathcal{S}_n(1-23, 13-2)$$

by means of induction. Let $\pi \in \mathcal{S}_n(1-23, 21-3)$. Define $\Lambda(\pi) = \pi$ for $n \leq 1$. Assume $n \geq 2$ and $\pi = a_1 a_2 \cdots a_n$. It is plain that either $a_1 = n$ or $a_2 = n$, so we can define $\Lambda(\pi)$ by

$$\begin{cases} (a'_1 + 1, \dots, a'_{n-1} + 1, a'_{n-2} + 1, 1) & \text{if } \begin{cases} a_1 = n \text{ and} \\ a'_1 \cdots a'_{n-1} = \Lambda(a_2 a_3 a_4 \cdots a_n), \end{cases} \\ (a'_1 + 1, \dots, a'_{n-1} + 1, 1, a'_{n-2} + 1) & \text{if } \begin{cases} a_2 = n \text{ and} \\ a'_1 \cdots a'_{n-1} = \Lambda(a_1 a_3 a_4 \cdots a_n). \end{cases} \end{cases}$$

Observing that if $\sigma \in \mathcal{S}_n(1-23, 13-2)$ then $\sigma(n-1) = 1$ or $\sigma(n) = 1$, it is easy to find the inverse of Λ .

Symmetry class 6. In [2] Claesson introduced the notion of a monotone partition. A partition is *monotone* if its non-singleton blocks can be written in increasing order of their least element and increasing order of their greatest element, simultaneously. He then proved that monotone partitions and non-overlapping partitions are in one-to-one correspondence. Non-overlapping partitions were first studied by Flajolet and Schot in [4]. A partition π is *non-overlapping* if for no two blocks A and B of π we have $\min A < \min B < \max A < \max B$. Let B_n^* be the number of non-overlapping partitions of $[n]$; this number is called the *n*th *Bessel number*. Proposition 2 tells us that there is a bijection between non-overlapping partitions and permutations avoiding 1-23 and 12-3. Below we define a new class of partitions called strongly monotone partitions and then show that there is a bijection between strongly monotone partitions and permutations avoiding 1-32 and 21-3.

Definition 4. Let π be an arbitrary partition whose blocks $\{A_1, \dots, A_k\}$ are ordered so that for all $i \in [k-1]$, $\min A_i > \min A_{i+1}$. If $\max A_i > \max A_{i+1}$ for all $i \in [k-1]$, then we call π a *strongly monotone partition*.

In other words a partition is strongly monotone if its blocks can be written in increasing order of their least element and increasing order of their greatest element, simultaneously. Let us denote by a_n the number of strongly monotone partitions of $[n]$. The sequence $\{a_n\}_0^\infty$ starts with

1, 1, 2, 4, 9, 22, 58, 164, 496, 1601, 5502, 20075, 77531, 315947, 1354279.

It is routine to derive the continued fraction expansion

$$\sum_{n \geq 0} a_n x^n = \frac{1}{1 - 1 \cdot x - \frac{x^2}{1 - 1 \cdot x - \frac{x^2}{1 - 2 \cdot x - \frac{x^2}{1 - 3 \cdot x - \frac{x^2}{1 - 4 \cdot x - \dots}}}}}$$

using the standard machinery of Flajolet [3] and Françon and Viennot [5]. One can also note that there is a one-to-one correspondence between strongly monotone partitions and non-overlapping partition, π , such that if $\{x\}$ and B are blocks of π then either $x < \min B$ or $\max B < x$. In addition, we observe that

$$\sum_{n \geq 0} a_n x^n = \frac{1}{1 - x - x^2 B^*(x)},$$

where $B^*(x) = \sum_{n \geq 0} B_n^* x^n$ is the ordinary generating function for the Bessel numbers.

Suppose $\pi \in \mathcal{S}_n$ has $k+1$ left-to-right minima $1, 1', 1'', \dots, 1^{(k)}$ such that

$$1 < 1' < 1'' < \dots < 1^{(k)}, \text{ and } \pi = 1^{(k)}\tau^{(k)} \dots 1'\tau'1\tau.$$

Then π avoids 1-32 if and only if, for each i , $\tau^{(i)} \in \mathcal{S}(21)$. If π avoids 1-32 and $x_i = \max 1^{(i)}\tau^{(i)}$ then π avoids 21-3 precisely when $x_0 < x_1 < \dots < x_k$. This follows from observing that the only potential (21-3)-subwords of π are $x_{i+1}1^{(k)}x_j$ with $j \leq i$.

Mapping π to the partition $\{1\sigma, 1'\sigma', \dots, 1^{(k)}\tau^{(k)}\}$ we thus get a one-to-one correspondence between permutations in $\mathcal{S}_n(1-32, 21-3)$ and strongly monotone partitions of $[n]$.

Symmetry class 7. Let the sequence $\{b_n\}$ be defined by $b_0 = 1$ and, for $n \geq -2$,

$$b_{n+2} = b_{n+1} + \sum_{k=0}^n \binom{n}{k} b_k.$$

The first few of the numbers b_n are

$$1, 1, 2, 4, 9, 23, 65, 199, 654, 2296, \dots$$

Suppose $\pi \in \mathcal{S}_n$ has $k+1$ left-to-right minima $1, 1', 1'', \dots, 1^{(k)}$ such that

$$1 < 1' < 1'' < \dots < 1^{(k)}, \text{ and } \pi = 1^{(k)}\tau^{(k)} \dots 1'\tau'1\tau.$$

Then π avoids 1-23 if and only if, for each i , $\tau^{(i)} \in \mathcal{S}(12)$. If π avoids 1-23 and $x_i = \max 1^{(i)}\tau^{(i)}$ then π avoids 3-12 precisely when

$$j > i \text{ and } x_i \neq 1^{(i)} \implies x_j < x_i.$$

This follows from observing that the only potential (3-12)-subwords of π are $x_j1^{(k)}x_i$ with $j \leq i$. Thus we have established

$$\sigma 1\tau \in \mathcal{S}_n(1-23, 3-12) \iff \begin{cases} \text{red}(\sigma) \in \mathcal{S}(1-23, 3-12) \\ \tau \neq \epsilon \implies \tau = \tau'n \text{ and } \text{red}(\tau') \in \mathcal{S}(12) \\ \sigma 1\tau \in \mathcal{S}_n \end{cases}$$

If we know that $\sigma 1\tau'n \in \mathcal{S}_n(1-23, 3-12)$ and $\text{red}(\tau') \in \mathcal{S}_k(12)$ then there are $\binom{n-2}{k}$ candidates for τ' . In this way the recursion follows.

Symmetry class 8. See Proposition 2.

Symmetry class 9. $\mathcal{S}_n(1-32, 13-2) = \mathcal{S}_n(1-3-2)$.

Symmetry class 10. See Proposition 2.

5. MORE THAN TWO PATTERNS

Let P be a set of patterns of type $(1, 2)$ or $(2, 1)$. With the aid of a computer we have calculated the cardinality of $\mathcal{S}_n(P)$ for sets P of three or more patterns. From these results we arrived at the plausible conjectures of table 2 (some of which are trivially true). We use the notation $m \times n$ to express that there are m symmetric classes each of which contains n sets. Moreover, we denote by F_n the n th Fibonacci number ($F_0 = F_1 = 1, F_{n+1} = F_n + F_{n-1}$).

ACKNOWLEDGEMENTS

The first author wishes to express his gratitude towards Einar Steingrímsson, Kimmo Eriksson, and Mireille Bousquet-Mélou; Einar for his guidance and infectious enthusiasm; Kimmo for useful suggestions and a very constructive discussion on the results of this paper; Mireille for her great hospitality during a stay at LaBRI, where some of the work on this paper was done.

We would like to thank N. J. A. Sloane for his excellent web site "The On-Line Encyclopedia of Integer Sequences"

<http://www.research.att.com/~njas/sequences/>.

It is simply an indispensable tool for all studies concerned with integer sequences.

REFERENCES

- [1] E. Babson and E. Steingrímsson. Generalized permutation patterns and a classification of the Mahonian statistics. *Séminaire Lotharingien de Combinatoire*, B44b:18pp, 2000.
- [2] A. Claesson. Generalized pattern avoidance. *European Journal of Combinatorics*, 22:961–971, 2001.
- [3] P. Flajolet. Combinatorial aspects of continued fractions. *Annals of Discrete Mathematics*, 8:217–222, 1980.
- [4] P. Flajolet and R. Schott. Non-overlapping partitions, continued fractions, Bessel functions and a divergent series. *European Journal of Combinatorics*, 11:421–432, 1990.
- [5] J. Françon and G. Viennot. Permutations selon leurs pics, creux, doubles montées et double descentes, nombres d'Euler et nombres de Genocchi. *Discrete Math.*, 28(1):21–35, 1979.
- [6] J. M. Hammersley. A few seedlings of research. *Proc. Sixth Berkeley Symposium on Mathematical Statistics and Probability*, vol. 1, Berkeley/Los Angeles, 1972, University of California Press, 345–394.
- [7] D. E. Knuth. The art of computer programming, volume 1. Addison-Wesley, 3rd ed., 1997.
- [8] D. E. Knuth. *The art of computer programming*, volume 3. Addison-Wesley, 1973.
- [9] P. A. MacMahon. *Combinatory Analysis*, volume 1. Chelsea Pub. Co., 3rd ed., 2001.
- [10] N.J.A. Sloane and S. Plouffe. *The Encyclopedia of Integer Sequences*. Academic Press, New York (1995).

- [11] R. Simion and F. W. Schmidt. Restricted permutations. *European Journal of Combinatorics*, 6:383–406, 1985.
- [12] R. P. Stanley. *Enumerative Combinatorics*, volume 1. Cambridge University Press, 1997.

MATEMATIK, CHALMERS TEKNISKA HÖGSKOLA OCH GÖTEBORGS UNIVERSITET, S-412
96 GÖTEBORG, SWEDEN
E-mail address: `claesson@math.chalmers.se`

DEPARTMENT OF MATHEMATICS, CHALMERS UNIVERSITY OF TECHNOLOGY, S-412 96
GÖTEBORG, SWEDEN
E-mail address: `toufik@math.chalmers.se`

<p>For $P = 3$ there are 220 sets, 55 symmetry classes and 9 Wilf-classes.</p> <table border="1"> <thead> <tr> <th>cardinality</th> <th># sets</th> </tr> </thead> <tbody> <tr> <td>0</td> <td>7×4</td> </tr> <tr> <td>3</td> <td>1×4</td> </tr> <tr> <td>n</td> <td>24×4</td> </tr> <tr> <td>$1 + \binom{n}{2}$</td> <td>2×4</td> </tr> <tr> <td>F_n</td> <td>7×4</td> </tr> <tr> <td>$\binom{n}{\lfloor n/2 \rfloor}$</td> <td>$1 \times 4$</td> </tr> <tr> <td>$2^{n-2} + 1$</td> <td>$1 \times 4$</td> </tr> <tr> <td>$2^{n-1}$</td> <td>$10 \times 4$</td> </tr> <tr> <td>$M_n$</td> <td>$2 \times 4$</td> </tr> </tbody> </table>	cardinality	# sets	0	7×4	3	1×4	n	24×4	$1 + \binom{n}{2}$	2×4	F_n	7×4	$\binom{n}{\lfloor n/2 \rfloor}$	1×4	$2^{n-2} + 1$	1×4	2^{n-1}	10×4	M_n	2×4	<p>For $P = 4$ there are 495 sets, 135 symmetry classes, and 9 Wilf-classes.</p> <table border="1"> <thead> <tr> <th>cardinality</th> <th># sets</th> </tr> </thead> <tbody> <tr> <td>0</td> <td>$1 \times 1 + 6 \times 2 + 30 \times 4$</td> </tr> <tr> <td>2</td> <td>$2 \times 1 + 5 \times 2 + 35 \times 4$</td> </tr> <tr> <td>3</td> <td>1×4</td> </tr> <tr> <td>n</td> <td>$37 \times 4 + 1 \times 2$</td> </tr> <tr> <td>$1 + \binom{n}{2}$</td> <td>1×4</td> </tr> <tr> <td>F_n</td> <td>$9 \times 4 + 1 \times 2$</td> </tr> <tr> <td>$\binom{n}{\lfloor n/2 \rfloor}$</td> <td>$1 \times 2$</td> </tr> <tr> <td>$2^{n-2} + 1$</td> <td>$1 \times 2$</td> </tr> <tr> <td>$2^{n-1}$</td> <td>$1 \times 4 + 3 \times 2$</td> </tr> </tbody> </table>	cardinality	# sets	0	$1 \times 1 + 6 \times 2 + 30 \times 4$	2	$2 \times 1 + 5 \times 2 + 35 \times 4$	3	1×4	n	$37 \times 4 + 1 \times 2$	$1 + \binom{n}{2}$	1×4	F_n	$9 \times 4 + 1 \times 2$	$\binom{n}{\lfloor n/2 \rfloor}$	1×2	$2^{n-2} + 1$	1×2	2^{n-1}	$1 \times 4 + 3 \times 2$
cardinality	# sets																																								
0	7×4																																								
3	1×4																																								
n	24×4																																								
$1 + \binom{n}{2}$	2×4																																								
F_n	7×4																																								
$\binom{n}{\lfloor n/2 \rfloor}$	1×4																																								
$2^{n-2} + 1$	1×4																																								
2^{n-1}	10×4																																								
M_n	2×4																																								
cardinality	# sets																																								
0	$1 \times 1 + 6 \times 2 + 30 \times 4$																																								
2	$2 \times 1 + 5 \times 2 + 35 \times 4$																																								
3	1×4																																								
n	$37 \times 4 + 1 \times 2$																																								
$1 + \binom{n}{2}$	1×4																																								
F_n	$9 \times 4 + 1 \times 2$																																								
$\binom{n}{\lfloor n/2 \rfloor}$	1×2																																								
$2^{n-2} + 1$	1×2																																								
2^{n-1}	$1 \times 4 + 3 \times 2$																																								
<p>For $P = 5$ there are 792 sets, 198 symmetry classes, and 5 Wilf-classes.</p> <table border="1"> <thead> <tr> <th>cardinality</th> <th># sets</th> </tr> </thead> <tbody> <tr> <td>0</td> <td>84×4</td> </tr> <tr> <td>1</td> <td>16×4</td> </tr> <tr> <td>2</td> <td>74×4</td> </tr> <tr> <td>n</td> <td>20×4</td> </tr> <tr> <td>F_n</td> <td>4×4</td> </tr> </tbody> </table>	cardinality	# sets	0	84×4	1	16×4	2	74×4	n	20×4	F_n	4×4	<p>For $P = 6$ there are 924 sets, 246 symmetry classes, and 4 Wilf-classes.</p> <table border="1"> <thead> <tr> <th>cardinality</th> <th># sets</th> </tr> </thead> <tbody> <tr> <td>0</td> <td>$17 \times 2 + 124 \times 4$</td> </tr> <tr> <td>1</td> <td>$4 \times 2 + 38 \times 4$</td> </tr> <tr> <td>2</td> <td>$7 \times 2 + 51 \times 4$</td> </tr> <tr> <td>n</td> <td>$1 \times 2 + 3 \times 4$</td> </tr> <tr> <td>F_n</td> <td>1×2</td> </tr> </tbody> </table>	cardinality	# sets	0	$17 \times 2 + 124 \times 4$	1	$4 \times 2 + 38 \times 4$	2	$7 \times 2 + 51 \times 4$	n	$1 \times 2 + 3 \times 4$	F_n	1×2																
cardinality	# sets																																								
0	84×4																																								
1	16×4																																								
2	74×4																																								
n	20×4																																								
F_n	4×4																																								
cardinality	# sets																																								
0	$17 \times 2 + 124 \times 4$																																								
1	$4 \times 2 + 38 \times 4$																																								
2	$7 \times 2 + 51 \times 4$																																								
n	$1 \times 2 + 3 \times 4$																																								
F_n	1×2																																								
<p>For $P = 7$ there are 792 sets, 198 symmetry classes, and 3 Wilf-classes.</p> <table border="1"> <thead> <tr> <th>cardinality</th> <th># sets</th> </tr> </thead> <tbody> <tr> <td>0</td> <td>140×4</td> </tr> <tr> <td>1</td> <td>40×4</td> </tr> <tr> <td>2</td> <td>18×4</td> </tr> </tbody> </table>	cardinality	# sets	0	140×4	1	40×4	2	18×4	<p>For $P = 8$ there are 495 sets, 135 symmetry classes, and 3 Wilf-classes.</p> <table border="1"> <thead> <tr> <th>cardinality</th> <th># sets</th> </tr> </thead> <tbody> <tr> <td>0</td> <td>$2 \times 1 + 14 \times 2 + 94 \times 4$</td> </tr> <tr> <td>1</td> <td>$4 \times 2 + 18 \times 4$</td> </tr> <tr> <td>2</td> <td>$1 \times 1 + 2 \times 4$</td> </tr> </tbody> </table>	cardinality	# sets	0	$2 \times 1 + 14 \times 2 + 94 \times 4$	1	$4 \times 2 + 18 \times 4$	2	$1 \times 1 + 2 \times 4$																								
cardinality	# sets																																								
0	140×4																																								
1	40×4																																								
2	18×4																																								
cardinality	# sets																																								
0	$2 \times 1 + 14 \times 2 + 94 \times 4$																																								
1	$4 \times 2 + 18 \times 4$																																								
2	$1 \times 1 + 2 \times 4$																																								
<p>For $P = 9$ there are 220 sets, 55 symmetry classes, and 2 Wilf-classes.</p> <table border="1"> <thead> <tr> <th>cardinality</th> <th># sets</th> </tr> </thead> <tbody> <tr> <td>0</td> <td>50×4</td> </tr> <tr> <td>1</td> <td>5×4</td> </tr> </tbody> </table>	cardinality	# sets	0	50×4	1	5×4	<p>For $P = 10$ there are 66 sets, 21 symmetry classes, and 2 Wilf-classes.</p> <table border="1"> <thead> <tr> <th>cardinality</th> <th># sets</th> </tr> </thead> <tbody> <tr> <td>0</td> <td>$8 \times 2 + 12 \times 4$</td> </tr> <tr> <td>1</td> <td>1×2</td> </tr> </tbody> </table>	cardinality	# sets	0	$8 \times 2 + 12 \times 4$	1	1×2																												
cardinality	# sets																																								
0	50×4																																								
1	5×4																																								
cardinality	# sets																																								
0	$8 \times 2 + 12 \times 4$																																								
1	1×2																																								
<p>For $P = 11$ there are 12 sets, 3 symmetry classes, and 1 Wilf-class.</p> <table border="1"> <thead> <tr> <th>cardinality</th> <th># sets</th> </tr> </thead> <tbody> <tr> <td>0</td> <td>3×4</td> </tr> </tbody> </table>	cardinality	# sets	0	3×4	<p>For $P = 12$ there is 1 set, 1 symmetry class, and 1 Wilf-class.</p> <table border="1"> <thead> <tr> <th>cardinality</th> <th># sets</th> </tr> </thead> <tbody> <tr> <td>0</td> <td>1×1</td> </tr> </tbody> </table>	cardinality	# sets	0	1×1																																
cardinality	# sets																																								
0	3×4																																								
cardinality	# sets																																								
0	1×1																																								

TABLE 2. The cardinality of $\mathcal{S}_n(P)$ for $|P| > 2$.