

A Counting of the minimal realizations of the posets of dimension two

Pierre Ille* Jean-Xavier Rampon†

Abstract

The posets of dimension 2 are those posets whose minimal realizations have two elements, that is, which may be obtained as the intersection of two of their linear extensions. Gallai's decomposition of a poset allows for a simple formula to count the number of the distinct minimal realizations of the posets of dimension 2. As an easy consequence, the characterization of M. El-Zahar and of N.W. Sauer of the posets of dimension 2, with a unique minimal realization, is obtained.

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1 Introduction

A *digraph* D is an ordered pair $(V(D), E(D))$, where $V(D)$ is a finite set, called the *set of the vertices* of D , and $E(D)$ is a family of ordered pairs of distinct vertices of D , called the *set of the edges* of D . With each subset X of $V(D)$, is associated the *subdigraph* $(X, E(D) \cap (X \times X))$ of D induced by X , denoted by $D[X]$. Two of the usual examples of digraphs, on a given set of vertices V , are the *empty digraph* and the *complete digraph*, where the sets of the edges are respectively the empty set and the set of all of the ordered pairs of distinct elements of V .

*Institut de Mathématiques de Luminy CNRS-UPR 9016, 163 avenue de Luminy - Case 907, 13288 Marseille Cedex 09, France; ille@iml.univ-mrs.fr

†FST-Université de Nantes, 2 rue de la Houssinière, BP 92208, 44322 Nantes Cedex 3, France; Jean-Xavier.Rampon@irin.univ-nantes.fr

With any digraph D , is associated its *complement* and its *dual*, denoted respectively by \overline{D} and D^* , and defined by $E(\overline{D}) = (V(D) \times V(D)) - (E(D) \cup \{(x, x) ; x \in V(D)\})$ and by $E(D^*) = \{(x, y) : (y, x) \in E(D)\}$. Given two digraphs D_1 and D_2 defined on the same set of vertices V , the *union* $D_1 \cup D_2$ of D_1 and of D_2 is the digraph $(V, E(D_1) \cup E(D_2))$, and the *intersection* $D_1 \cap D_2$ of D_1 and of D_2 is the digraph $(V, E(D_1) \cap E(D_2))$. In another respect, D_2 is an *extension* of D_1 if $E(D_1) \subseteq E(D_2)$, which is briefly denoted by $D_1 \subseteq D_2$. The operations of union and of intersection extend to any family $\mathcal{F} = \{D_i ; i \in I\}$ of digraphs defined on the same set of vertices V by $\bigcup \mathcal{F} = (V, \bigcup_{i \in I} E(D_i))$ and $\bigcap \mathcal{F} = (V, \bigcap_{i \in I} E(D_i))$.

A digraph D is a *symmetric* digraph or a *graph* provided that for all $x, y \in V(D)$, if $(x, y) \in E(D)$, then $(y, x) \in E(D)$. In another vein, a digraph D is *transitive* provided that for all $x, y, z \in V(D)$, if $(x, y), (y, z) \in E(D)$, then $(x, z) \in E(D)$. Since the edges of a digraph are constituted by distinct vertices, for all of the vertices x and y of a transitive digraph D , if $(x, y) \in E(D)$, then $(y, x) \notin E(D)$. A (strict) *partially ordered set* or *poset* is then a transitive digraph.

Let P be a poset. The *comparability graph* of P is the graph $G(P)$ defined on $V(G(P)) = V(P)$ as follows. For all $x, y \in V(G(P))$, $(x, y) \in E(G(P))$ if $(x, y) \in E(P)$ or if $(y, x) \in E(P)$. A graph G is then said to be a *comparability graph* if there exists a poset P such that $G = G(P)$. Furthermore, P is a *linear order* if $G(P)$ is a complete digraph. A poset L is a *linear extension* of P if $P \subseteq L$ and if L is a linear order. The set of all of the linear extensions of P is denoted by $\mathcal{L}(P)$. A *realization* of P is any subset $\mathcal{F} \subseteq \mathcal{L}(P)$ such that $\bigcap \mathcal{F} = P$. The minimum cardinality of a realization of P is called the *dimension* of P and is denoted by $\dim(P)$. A *minimal realization* of P is a realization of P of cardinality $\dim(P)$. The set of all of the minimal realizations of P is denoted by $\mathcal{M}(P)$. The set of all of the ordered minimal realizations of P is denoted by $\mathcal{O}(P)$, that is, given $L_1, \dots, L_n \in \mathcal{L}(P)$, $(L_1, \dots, L_n) \in \mathcal{O}(P)$ if $\{L_1, \dots, L_n\} \in \mathcal{M}(P)$ and if for all $i \neq j \in \{1, \dots, n\}$, $L_i \neq L_j$. It follows that $|\mathcal{O}(P)| = \dim(P)! \times |\mathcal{M}(P)|$.

Given a poset P , a subset X of $V(P)$ is *convex* provided that for all $x, y, z \in V(P)$, if $(x, y), (y, z) \in E(P)$ and if $x, z \in X$, then $y \in X$. Clearly, $V(P)$ is convex and any intersection of convex subsets is convex. Consequently, for each subset Y of $V(P)$, the *convex hull* of Y , denoted by $\text{conv}(Y)$, may be defined as the intersection of all of the convex subsets containing Y .

Given a graph G , the equivalence relation \mathcal{C} is defined on $V(G)$ in the following manner. For all $x, y \in V(G)$, $x\mathcal{C}y$ if there exists a sequence (z_1, \dots, z_k) of vertices of G such that $z_1 = x$, $z_k = y$ and $(z_i, z_{i+1}) \in E(G)$ for every $1 \leq i < k$. The equivalence classes of \mathcal{C} are called the *connected components* of G . A graph is then said to be *connected* if it admits a single connected component. For convenience, the connected components

of \overline{G} are called the *coconnected components* of G . Analogously, a graph is *coconnected* if its complement is connected. By extension, given a poset P , the connected (resp. coconnected) components of P are the connected (resp. coconnected) component of $G(P)$ and P is then said to be connected (resp. coconnected) if $G(P)$ is.

2 Preliminaries

Given a digraph D , a subset X of $V(D)$ is an *interval* (or an *autonomous* subset or a *homogeneous* subset or a *module*) of D provided that for all $u, v \in X$ and $x \in V(D) - X$, $(u, x) \in E(D)$ if and only if $(v, x) \in E(D)$, and $(x, u) \in E(D)$ if and only if $(x, v) \in E(D)$. Clearly, \emptyset , $V(D)$ and $\{x\}$, where $x \in V(D)$, are intervals of D , called *trivial* intervals. A digraph is then said to be *indecomposable* (or *prime*) if all of its intervals are trivial. Otherwise, it is said to be *decomposable*. To begin, the properties of the intervals of a digraph are reviewed.

Proposition 1 *Let X and Y be two intervals of a digraph D .*

- (i) $X \cap Y$ is an interval of D .
- (ii) If $X \cap Y \neq \emptyset$, then $X \cup Y$ is an interval of D .
- (iii) If $X - Y \neq \emptyset$, then $Y - X$ is an interval of D .
- (iv) For every $Z \subseteq X$, Z is an interval of $D[X]$ if and only if Z is an interval of D .
- (v) If $X \cap Y = \emptyset$, then for all $x, x' \in X$ and $y, y' \in Y$, $(x, y) \in E(D)$ if and only if $(x', y') \in E(D)$.

The last above mentioned property allows for the following definition of the quotient. Given a digraph D , a partition of $V(D)$, all of the elements of which are intervals of D , is called an *interval partition* of D . For such a partition \mathcal{P} , is defined the *quotient* $D/\mathcal{P} = (\mathcal{P}, E(D/\mathcal{P}))$ of D by \mathcal{P} as follows. For all $X \neq Y \in \mathcal{P}$, $(X, Y) \in E(D/\mathcal{P})$ if for $x \in X$ and $y \in Y$, $(x, y) \in E(D)$. The inverse operation of the quotient is the lexicographical sum defined in the following manner. Given a digraph D , with any $x \in V(D)$, is associated a digraph D_x so that for all $x \neq y \in V(D)$, $V(D_x) \cap V(D_y) = \emptyset$. The *lexicographical sum* of the D_x 's under D is the digraph $D(D_x ; x \in V(D))$ defined on $\bigcup_{x \in V(D)} V(D_x)$ in the following way. For all $u, v \in \bigcup_{x \in V(D)} V(D_x)$, $(u, v) \in E(D(D_x ; x \in V(D)))$ if either $x = y$ and $(u, v) \in E(D_x)$ or $x \neq y$ and $(x, y) \in E(D)$, where x and y are the vertices of D such that $u \in V(D_x)$ and $v \in V(D_y)$.

To continue, the following strengthening of the notion of interval is introduced. Given a digraph D , a subset X of $V(D)$ is a *strong interval* (T. Gallai [3]) of D provided that X is an interval of D and for any interval Y of D , if $X \cap Y \neq \emptyset$, then $X \subseteq Y$ or $Y \subseteq X$. The properties of the strong intervals of a digraph, which follow from Proposition 1, are recalled.

Proposition 2 *Let D be a digraph.*

- (i) *For every interval X of D , if Y is a strong interval of D such that $Y \subseteq X$, then Y is a strong interval of $D[X]$.*
- (ii) *For every strong interval X of D , if Y is a strong interval of $D[X]$, then Y is a strong interval of D .*

The family of the maximal elements, with respect to the inclusion, among the proper strong intervals of a digraph D constitutes an interval partition of D . This family is called *Gallai's partition* of D and is denoted by $\text{Gal}(D)$. Gallai's decomposition theorem characterizes the quotient $D/\text{Gal}(D)$. It is stated only for the graphs and for the posets in what follows.

Theorem 1 (T. Gallai [3]) *Given a graph G such that $|V(G)| \geq 2$, one of the following holds.*

- (i) *If G is not connected, then $\text{Gal}(G)$ is the family of the connected components of G and $G/\text{Gal}(G)$ is an empty graph.*
- (ii) *If G is not coconnected, then $\text{Gal}(G)$ is the family of the coconnected components of G and $G/\text{Gal}(G)$ is a complete graph.*
- (iii) *If G is connected and coconnected, then $|\text{Gal}(G)| \geq 4$ and $G/\text{Gal}(G)$ is indecomposable.*

Theorem 2 (T. Gallai [3]) *Given a poset P such that $|V(P)| \geq 2$, one of the following holds.*

- (i) *If P is not connected, then $\text{Gal}(P)$ is the family of the connected components of P and $P/\text{Gal}(P)$ is an empty poset.*
- (ii) *If P is not coconnected, then $\text{Gal}(P)$ is the family of the coconnected components of P and $P/\text{Gal}(P)$ is a linear order.*
- (iii) *If P is connected and coconnected, then $|\text{Gal}(P)| \geq 4$ and $P/\text{Gal}(P)$ is indecomposable.*

Let P be a poset. By definition, if X and Y are strong intervals of P , then either $X \subseteq Y$ or $Y \subseteq X$ or $X \cap Y = \emptyset$. Hence, it is obtained the *tree decomposition* T_P of P defined on the set of the nonempty strong intervals of P as follows. For all $X, Y \in V(T_P)$, $(X, Y) \in E(T_P)$ if $X \subset Y$. It is noticed that, by Proposition 2, for every $X \in V(T_P)$, $V(T_{P[X]}) = \{Y \in V(T_P) : Y \subseteq X\}$ and, thus, $T_{P[X]} = T_P[V(T_{P[X]})]$. By using Gallai's decomposition, the labelling function λ_P from $V(T_P)$ to $\{e, i, 1\}$ is defined in the following manner, where $X \in V(T_P)$.

- $\lambda_P(X) = e$ if $|X| \geq 2$ and $P[X]/\text{Gal}(P[X])$ is an empty poset,
- $\lambda_P(X) = 1$ if either $|X| = 1$ or $|X| \geq 2$ and $P[X]/\text{Gal}(P[X])$ is a linear order,
- $\lambda_P(X) = i$ if $|\text{Gal}(P[X])| \geq 4$ and $P[X]/\text{Gal}(P[X])$ is indecomposable.

Now, it is presented a concise proof of the next result which emphasizes the importance of the strong intervals among the intervals when both a poset and its comparability graph are considered.

Theorem 3 (T. Gallai [3]) *Given a poset P , P and $G(P)$ share the same strong intervals.*

Proof. To begin, it is recalled that for any interval X of $G(P)$, X is an interval of P if and only if $X = \text{conv}(X)$. Furthermore, it is assumed that there exists an interval X of $G(P)$ which is not an interval of P . By the definition of the convex hull, for every $y \in V(P)$, $y \in \text{conv}(X) - X$ if and only if there are $x, x' \in X$ such that $(x, y), (y, x') \in E(P)$. In particular, it is deduced that $\text{conv}(X)$ is an interval of P . Since X is an interval of $G(P)$, for any $x \in X$ and $y \in \text{conv}(X) - X$, $(x, y) \in E(P)$ or $(y, x) \in E(P)$. As $X \subset \text{conv}(X)$, $P[\text{conv}(X)]$ is not coconnected. Moreover, given $y \in \text{conv}(X) - X$, it is obtained that $X = X^- \cup X^+$, where $X^- = \{x \in X : (x, y) \in E(P)\}$ and $X^+ = \{x \in X : (y, x) \in E(P)\}$. By transitivity, for $u \in X^-$ and $v \in X^+$, $(u, v) \in E(P)$ and, hence, $P[X]$ is not coconnected. It follows from Theorem 2 that $\text{Gal}(P[X])$ is the set of the coconnected components of $P[X]$. Given $Y \in \text{Gal}(P[X])$, by which precedes, for all $y \in Y$ and $z \in \text{conv}(X) - X$, $(y, z) \in E(P)$ or $(z, y) \in E(P)$. Consequently, Y is a coconnected component of $P[\text{conv}(X)]$ as well. It results that for every interval X of $G(P)$, if X is not an interval of P , then $P[X]$ and $P[\text{conv}(X)]$ are not coconnected, and $\text{Gal}(P[X]) \subset \text{Gal}(P[\text{conv}(X)])$.

Let X be a strong interval of $G(P)$. Since all of the intervals of P are intervals of $G(P)$, it is sufficient to prove that X is an interval of P . By contradiction, it is supposed that X is not. By which precedes, there

exists $Y \in \text{Gal}(P[\text{conv}(X)]) - \text{Gal}(P[X])$. The set of the elements z of $\text{conv}(X) - Y$, such that there is $y \in Y$ with $(z, y) \in E(P)$, is denoted by Y^- . As $P[\text{conv}(X)]/\text{Gal}(P[\text{conv}(X)])$ is a linear order, $Y^- \cup Y$ is an interval of $P[\text{conv}(X)]$. By Proposition 1, $Y^- \cup Y$ is an interval of P and, hence, of $G(P)$. In another vein, it is deduced from the previous characterization of the elements of $\text{conv}(X) - X$ that the minimum element U and the maximum element U' of $P[\text{conv}(X)]/\text{Gal}(P[\text{conv}(X)])$ belong to $\text{Gal}(P[X])$. The contradiction then follows from $U \subseteq X \cap (Y^- \cup Y)$, $U' \subseteq X - (Y^- \cup Y)$ and $Y \subseteq (Y^- \cup Y) - X$.

Conversely, let Y be a strong interval of P . It suffices to establish that for every interval X of $G(P)$, which is not an interval of P , if $X \cap Y \neq \emptyset$ and $X - Y \neq \emptyset$, then $Y \subseteq X$. As $X \subset \text{conv}(X)$, $\text{conv}(X) \cap Y \neq \emptyset$ and $\text{conv}(X) - Y \neq \emptyset$. Since $\text{conv}(X)$ is an interval of P , $Y \subseteq \text{conv}(X)$. By Proposition 2, Y is a strong interval of $P[\text{conv}(X)]$. As $X - Y \neq \emptyset$, $Y \neq \text{conv}(X)$ and, by the definition of $\text{Gal}(P[\text{conv}(X)])$, there exists $Z \in \text{Gal}(P[\text{conv}(X)])$ such that $Y \subseteq Z$. Since $\text{Gal}(P[X]) \subset \text{Gal}(P[\text{conv}(X)])$ and since $\emptyset \neq X \cap Y \subseteq X \cap Z$, $Z \in \text{Gal}(P[X])$ and, hence, $Y \subseteq X$. \square

The following results from the three above theorems.

Corollary 1 (T. Gallai [3]) *Given a poset P , P is indecomposable if and only if $G(P)$ is indecomposable.*

The following theorem is also needed.

Theorem 4 (T. Gallai [3]) *Given an indecomposable poset P , for every poset Q , $G(Q) = G(P)$ if and only if $Q = P$ or $Q = P^*$.*

3 A counting of the minimal realizations

Lemma 1 (B. Dushnik and E.W. Miller [1]) *Given a poset P , $\dim(P) \leq 2$ if and only if $\overline{G(P)}$ is a comparability graph. Moreover, if $\dim(P) \leq 2$, then for every element L of a minimal realization of P , $L \cap \overline{G(P)}$ is a poset. Conversely, if $\dim(P) \leq 2$ and if Q is a poset such that $G(Q) = \overline{G(P)}$, then $\{P \cup Q, P \cup Q^*\}$ is an element of $\mathcal{M}(P)$.*

An immediate consequence of Corollary 1, of Lemma 1 and of Theorem 4 follows.

Corollary 2 (T. Gallai [3]) *Let P be a poset of dimension 2. If P is indecomposable, then $|\mathcal{M}(P)| = 1$.*

Now, it is presented a succinct proof of the following, which is very close to results of M. El-Zahar and N.W. Sauer [2], and of P. Winkler [4].

Corollary 3 Let P be a poset of dimension 2. For every element L of a minimal realization of P , $\text{Gal}(P)$ is an interval partition of L .

Proof. By Lemma 1, $L = P \cup Q$, where Q is a poset such that $G(Q) = \overline{G(P)}$. Since $G(P)$ and $\overline{G(P)}$ share the same intervals and, thus, the same strong intervals, it follows from Theorem 3 that $\text{Gal}(P) = \text{Gal}(Q)$. Now, given $X \in \text{Gal}(P)$, an element x of $V(P) - X$ is considered. Since X is an interval of P , either $\{x\} \times X \subseteq E(P)$ or $X \times \{x\} \subseteq E(P)$ or $((\{x\} \times X) \cup (X \times \{x\})) \cap E(P) = \emptyset$. In the last sentence, as $G(Q) = \overline{G(P)}$, $((\{x\} \times X) \cup (X \times \{x\})) \cap E(Q) \neq \emptyset$ and, since X is an interval of Q , either $\{x\} \times X \subseteq E(Q)$ or $X \times \{x\} \subseteq E(Q)$. As $P \subseteq L$ and $Q \subseteq L$, it is always obtained that either $\{x\} \times X \subseteq E(L)$ or $X \times \{x\} \subseteq E(L)$. Consequently, X is an interval of L . \square

The main result follows.

Theorem 5 If P is a poset of dimension at most 2, then

$$\dim(P) \times |\mathcal{M}(P)| = 2^{\lambda_P^{-1}(\{1\})} \times \prod_{X \in \lambda_P^{-1}(\{e\})} |\text{Gal}(P[X])|!$$

Proof. The result is obvious for $|V(P)| = 2$ and an induction on $|V(P)|$ follows. It is considered the function Θ from $\mathcal{O}(P/\text{Gal}(P)) \times \prod_{Y \in \text{Gal}(P)} \mathcal{O}(P[Y])$ to $\mathcal{O}(P)$, which associates $(\underline{L}(L_Y ; Y \in \text{Gal}(P)), \underline{M}(M_Y ; Y \in \text{Gal}(P)))$ with $[(\underline{L}, \underline{M}), (L_Y, M_Y)_{Y \in \text{Gal}(P)}]$. It is easy to verify that Θ is well defined and is injective. Moreover, it follows from Corollary 3 that Θ is surjective. Thus, it is obtained that

$$|\mathcal{O}(P/\text{Gal}(P))| \times \prod_{Y \in \text{Gal}(P)} |\mathcal{O}(P[Y])| = |\mathcal{O}(P)|.$$

As previously noticed, for each $Y \in \text{Gal}(P)$, $V(T_{P[Y]}) = \{Z \in V(T_P) : Z \subseteq Y\}$. It is deduced that $\lambda_P/V(T_{P[Y]}) = \lambda_{P[Y]}$. It is also noted that for any poset Q , if $\dim(Q) \leq 2$, then $|\mathcal{O}(Q)| = \dim(Q) \times |\mathcal{M}(Q)|$. By induction hypothesis, it follows that

$$\begin{aligned} & \dim(P) \times |\mathcal{M}(P)| = \\ & |\mathcal{O}(P/\text{Gal}(P))| \times \prod_{Y \in \text{Gal}(P)} [2^{\lambda_{P[Y]}^{-1}(\{1\})}] \times \prod_{X \in \lambda_{P[Y]}^{-1}(\{e\})} |\text{Gal}(P[X])|! \end{aligned}$$

and, hence,

$$\begin{aligned} & \dim(P) \times |\mathcal{M}(P)| = \\ & |\mathcal{O}(P/\text{Gal}(P))| \times 2^{\lambda_P^{-1}(\{1\}) - \{V(P)\}} \times \prod_{X \in (\lambda_P^{-1}(\{e\}) - \{V(P)\})} |\text{Gal}(P[X])|!. \end{aligned}$$

In order to conclude, the following is observed.

- If $P/\text{Gal}(P)$ is a linear order, then $V(P) \notin \lambda_P^{-1}(\{i, e\})$ and $|\mathcal{O}(P/\text{Gal}(P))| = 1$.
- If $P/\text{Gal}(P)$ is an empty poset, then $V(P) \in (\lambda_P^{-1}(\{e\}) - \lambda_P^{-1}(\{i\}))$ and $|\mathcal{O}(P/\text{Gal}(P))| = |\text{Gal}(P)|$.
- If $P/\text{Gal}(P)$ is indecomposable, then $V(P) \in (\lambda_P^{-1}(\{i\}) - \lambda_P^{-1}(\{e\}))$ and, by Corollary 2, $|\mathcal{O}(P/\text{Gal}(P))| = 2$.

□

As an easy consequence, the following characterization of the posets of dimension 2, with an unique minimal realization, is established.

Corollary 4 (M. El-Zahar and N.W. Sauer [2]) *Given a poset P of dimension 2, $|\mathcal{M}(P)| = 1$ if and only if P is decomposed into $Q[Q_x ; x \in V(Q)]$ with one of the following.*

- (i) Q is indecomposable and for every $x \in V(Q)$, Q_x is a linear order.
- (ii) Q is a linear order and all of the Q'_x s admit a single vertex except for one which is either an indecomposable poset on at least 4 vertices or an empty poset on 2 vertices.
- (iii) Q is an empty poset on 2 vertices and the two Q'_x s are linear orders.

Proof. To begin, it is observed that, by Theorem 2, for every $X \in V(T_P)$ and for every $Y \in \text{Gal}(P[X])$ if $\lambda_P(X) \in \{e, i\}$ and if $|Y| \geq 2$, then $\lambda_P(X) \neq \lambda_P(Y)$. Now, it is easy to verify that if P fulfils one of the three above assertions, then $|\mathcal{M}(P)| = 1$. Conversely, if P is a poset of dimension 2 such that $|\mathcal{M}(P)| = 1$, then, by Theorem 5, it is obtained that

$$2 = 2^{\lambda_P^{-1}(\{i\})} \times \prod_{X \in \lambda_P^{-1}(\{e\})} |\text{Gal}(P[X])|.$$

Firstly, it is supposed that $\lambda_P^{-1}(\{i\}) \neq \emptyset$. Thus, $\lambda_P^{-1}(\{i\})$ contains an unique element X and $\lambda_P^{-1}(\{e\}) = \emptyset$. It is then obtained that for every $Y \in V(T_P) - \{X\}$, $\lambda_P(Y) = 1$. If $X = V(P)$, then, by the previous observation, for every $Y \in \text{Gal}(P)$, $P[Y]$ is a linear order. Equivalently, P satisfies assertion (i). On the other hand, if $X \neq V(P)$, then $P/\text{Gal}(P)$ is a linear order. By the former observation, for each $Y \in \text{Gal}(P)$, if $|Y| \geq 2$, then $\lambda_P(Y) \neq 1$ and, hence, $Y = X$. It follows that P fulfils assertion (ii).

Secondly, it is assumed that $\lambda_P^{-1}(\{i\}) = \emptyset$. Thus, $\lambda_P^{-1}(\{e\})$ contains an unique element X , which is reduced to a pair, and for every $Y \in V(T_P) - \{X\}$, $\lambda_P(Y) = 1$. If $X = V(P)$, then, by the previous observation, P satisfies assertion (iii). On the other hand, if $X \neq V(P)$, then $P/\text{Gal}(P)$ is

a linear order. By the former observation, for each $Y \in \text{Gal}(P)$, if $|Y| \geq 2$, then $\lambda_P(Y) \neq 1$ and, hence, $Y = X$. It follows that P fulfils assertion (ii). \square

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