

# A Matrix Characterization of *Near – MDS* codes<sup>1</sup>

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## Abstract

It is well known that a linear code over a finite field with the systematic generator matrix  $[I | P]$  is MDS (Maximum Distance Separable) if and only if every square submatrix of  $P$  is nonsingular. In this correspondence we obtain a similar characterization for the class of Near-MDS codes in terms of the submatrices of  $P$ .

## 1 Introduction

The class of Near-MDS (NMDS) codes [3], [4], [5], [1] is obtained by weakening the restrictions in the definition of classical MDS codes. The support of a code  $C$  is the set of coordinate positions, where not all codewords of  $C$  are zero. The  $r$ -th generalized Hamming weight  $d_r(C)$  of a code  $C$  is defined to be the cardinality of the minimal support of an  $(n, r)$  subcode of  $C$ ,  $1 \leq r \leq k$  [7], [8], [9]. Near-MDS (NMDS) codes are a class of codes where for an  $(n, k)$  code the  $i$ -th generalized Hamming weight  $d_i(C)$  is  $(n - k + i)$  for  $i = 2, 3, \dots, k$  and  $d_1(C)$  is  $(n - k)$ . This class contains remarkable representatives as the ternary Golay code and the quaternary  $(11, 6, 5)$  and  $(12, 6, 6)$  codes as well as a large class of Algebraic Geometric codes. The importance of NMDS codes is that there exist NMDS codes which are considerably longer than the longest possible MDS codes for a given size of the code and the alphabet. Also, these codes have good error detecting capabilities [2].

It is well known that a linear MDS code can be described in terms of its systematic generator matrix as follows: If  $[I | P]$  is the generator

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matrix then every square submatrix of  $P$  is nonsingular. In this paper, we obtain a similar characterization for the class of NMDS codes. Also, using a general property of generalized Hamming weights, we point out that an algebraic geometric code over an elliptic curve, if not MDS is necessarily NMDS.

## 2 Preliminaries

In this section we present the known results concerning NMDS codes and generalized Hamming weight hierarchy that will be used in the following sections.

A Near-MDS code can be characterized in terms of either an arbitrary generator matrix or a parity check matrix of the code as follows [3]: A linear  $[n, k]$  code is NMDS iff a parity check matrix  $\mathbf{H}$  of it satisfies the following conditions:

- any  $n - k - 1$  columns of  $\mathbf{H}$  are linearly independent
- there exists a set of  $n - k$  linearly dependent columns in  $\mathbf{H}$
- any  $n - k + 1$  columns of  $\mathbf{H}$  are of rank  $n - k$

A linear  $[n, k]$  code is NMDS iff a generator matrix  $\mathbf{G}$  of it satisfies the following conditions:

- any  $k - 1$  columns of  $\mathbf{G}$  are linearly independent
- there exists a set of  $k$  linearly dependent columns in  $\mathbf{G}$
- any  $k + 1$  columns of  $\mathbf{G}$  are of rank  $k$

Several interesting properties of Hamming weight hierarchy are discussed in [9] and [8]. A basic property is that the sequence of Hamming weight hierarchy is strictly increasing, i.e.,

$$d_1(C) < d_2(C) < \dots < d_k(C) = n. \quad (1)$$

The following result [9] relates the Hamming weight hierarchy of a code to that of its dual. If  $C^\perp$  denotes the dual of the code  $C$ , then

$$\{d_r(C) \mid r = 1, 2, \dots, k\} \cup \{n + 1 - d_r(C^\perp) \mid r = 1, 2, \dots, n - k\} \\ = \{1, 2, \dots, n\}.$$

### 3 Systematic Generator Matrix Characterization of NMDS Codes

**Theorem** Let  $G = [I|P]$  be the systematic generator matrix of a linear non-MDS code  $C$  over a finite field. Then  $C$  is NMDS iff every  $(g, g + 1)$  and  $(g + 1, g)$  submatrix of  $P$  has at least one  $(g, g)$  nonsingular submatrix.

**Proof:** First we prove the 'if part'. We have to show that  $d_1(C) = n - k$  and  $d_2(C) = n - k + 2$ . Consider any one dimensional subcode generated by a minimum weight codeword  $\underline{c}$  of  $C$ . In terms of linear combination of rows of  $G$ , let

$$\underline{c} = \sum_{j=1}^g \alpha_j \underline{r}_{i_j} \tag{2}$$

where  $i_j \in \{1, 2, \dots, k\}$ ,  $j = 1, 2, \dots, g$  and  $\underline{r}_{i_j}$  is the  $i_j$ -th row of  $G$ . The weight of  $\underline{c}$  within the first  $k$  positions is  $g$ . We need to show that the weight in the last  $n - k$  positions is  $(n - k - g)$  or the number of zeros in the last  $n - k$  positions is  $g$ . Let the number of zeros in the last  $n - k$  positions of  $\underline{c}$  be  $\lambda > g$ . Choose any  $g + 1$  of these  $\lambda$  positions and let these positions be  $j_1, j_2, \dots, j_{g+1}$ . Then

$$[\alpha_1 \quad \alpha_2 \quad \dots \quad \alpha_g] \begin{bmatrix} r_{i_1 j_1} & r_{i_1 j_2} & \dots & r_{i_1 j_{g+1}} \\ r_{i_2 j_1} & r_{i_2 j_2} & \dots & r_{i_2 j_{g+1}} \\ \vdots & \vdots & \dots & \vdots \\ r_{i_g j_1} & r_{i_g j_2} & \dots & r_{i_g j_{g+1}} \end{bmatrix} = [0 \quad 0 \quad \dots \quad 0]$$

Since there is a  $(g, g)$  nonsingular submatrix  $\alpha_1 = \alpha_2 = \dots \alpha_g = 0$ , which is a contradiction. Hence  $\lambda \leq g$  and  $d_1 = n - k$ . Notice that this means there can be at most one zero in each row of  $P$ .

To prove that  $d_2(C) = n - k + 2$  consider a two dimensional subcode generated by two codewords  $\underline{c}$  and  $\underline{d}$ . If the size of the union of supports of  $\underline{c}$  and  $\underline{d}$  is at least  $n - k + 2$  then we are through. So, we need to consider the case where the support of both  $\underline{c}$  and  $\underline{d}$  is within an identical set of  $n - k + 1$  locations. Let  $g$  of these locations be within the first  $k$  positions and let

$$\underline{c} = \sum_{j=1}^g \alpha_j \underline{r}_{i_j} \quad \text{and} \quad \underline{d} = \sum_{j=1}^g \beta_j \underline{r}_{i_j}. \quad (3)$$

Consider an arbitrary linear combination of  $\underline{c}$  and  $\underline{d}$ , i.e.,

$$\underline{e} = a\underline{c} + b\underline{d} = \sum_{j=1}^g (a\alpha_j + b\beta_j) \underline{r}_{i_j} \quad (4)$$

There are  $g - 1$  zeros in the last  $n - k$  positions of  $e$ . Let these be  $j_1, j_2, \dots, j_{g-1}$ . Then we have

$$\begin{bmatrix} a\alpha_1 + b\beta_1 & \dots & a\alpha_g + b\beta_g \end{bmatrix} \begin{bmatrix} r_{i_1 j_1} & r_{i_1 j_2} & \dots & r_{i_1 j_{g-1}} \\ r_{i_2 j_1} & r_{i_2 j_2} & \dots & r_{i_2 j_{g-1}} \\ \vdots & \vdots & \dots & \vdots \\ r_{i_g j_1} & r_{i_g j_2} & \dots & r_{i_g j_{g-1}} \end{bmatrix} = \begin{bmatrix} 0 & 0 & \dots & 0 \end{bmatrix}$$

Since every  $(g, g - 1)$  submatrix of  $P$  has a  $(g - 1, g - 1)$  nonsingular submatrix, without loss of generality we assume the first  $g - 1$  rows to constitute this nonsingular submatrix and choose  $a$  and  $b$  such that  $a\alpha_g + b\beta_g = 0$ . Then it follows that  $a\alpha_t + b\beta_t = 0$  for all  $t = 1, 2, \dots, g - 1$ .

Now, if both  $\alpha_g$  and  $\beta_g$  are nonzeros, then  $\underline{c}$  and  $\underline{d}$  are scalar multiple of one another which means the code is one dimensional. Hence  $d_2(C) = n - k + 2$ . (Note that from (1),  $d_2(C) = n - k$  is not possible since  $d_1(C) = n - k$ .) If one of them is zero, say  $\beta_g = 0$ , then  $a = 0$  and  $b\beta_t = 0$  for all  $t = 1, 2, \dots, g - 1$  which is not true. This completes the proof for the if part.

To prove the 'only if' part: For *NMDS* codes every  $(k - 1)$  columns of the generator matrix are linearly independent. This follows from the fact that for an  $[n \ k]$  *NMDS* code the dual code is also *NMDS* and that the minimum distance of the dual code is  $k$ . Consider a set of  $(k - 1)$  columns of the generator matrix. If all the columns are from the  $P$  part of the generator matrix, then since every  $(k - 1)$  columns are linearly independent we have a  $(k - 1, k - 1)$  nonsingular submatrix.

If  $k - g$  columns (say  $j_1, j_2, \dots, j_{k-g}$ ) are from  $I$  and the rest  $g - 1$  columns from  $P$ , then let  $A$  denote the  $(k, k - 1)$  submatrix consisting of these columns. By suitable row exchanges and appropriate elementary column operations  $A$  can be brought to the form

$$\begin{bmatrix} \mathbf{0}_{g \times (k-g)} & \mathbf{A}^*_{g \times (g-1)} \\ \mathbf{I}_{(k-g) \times (k-g)} & \mathbf{0}_{(k-g) \times (g-1)} \end{bmatrix}.$$

Note that the column rank has not changed by these operations and the submatrix  $A^*$  is indeed a submatrix of  $A$ . Moreover, since the above matrix has column rank  $k - 1$  the submatrix  $A^*$  has column rank  $g - 1$  and hence contains a  $(g - 1, g - 1)$  nonsingular submatrix. Therefore every  $(g + 1, g)$  submatrix of  $P$  has atleast one  $(g, g)$  nonsingular submatrix.

To show that every  $(g, g + 1)$  submatrix has atleast one  $(g, g)$  submatrix we make use of the fact that the minimum distance of the *NMDS* code is  $(n - k)$ . Therefore for *NMDS* codes every  $(n - k - 1)$  columns of the parity check matrix are linearly independent. The parity check matrix of the code can be written as  $[-P^\perp \ I]$ . Following the arguments for the systematic generator matrix we can see that every  $(g + 1, g)$  submatrix of  $-P^\perp$  has atleast one  $(g, g)$  submatrix which is nonsingular. Therefore every  $(g, g + 1)$  submatrix of  $P$  submatrix has atleast one nonsingular  $(g, g)$  submatrix. This completes the proof.  $\square$

## 4 Discussion

In this correspondence we have extended the well known  $[I|P]$  matrix characterization of MDS codes to the class of Near-MDS codes. This

characterization of NMDS codes will be helpful to obtain NMDS over finite fields.

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