

# Restrained Domination Excellent Trees

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## Abstract

A set  $S$  of vertices in a graph  $G = (V, E)$  is a restrained dominating set of  $G$  if every vertex not in  $S$  is adjacent to a vertex in  $S$  and to a vertex in  $V \setminus S$ . The graph  $G$  is called restrained domination excellent if every vertex belongs to some minimum restrained dominating set of  $G$ . We provide a characterization of restrained domination excellent trees.

**Keywords:** restrained domination, excellent trees

**AMS subject classification:** 05C69

## 1 Introduction

For a graph  $G = (V, E)$ , a set  $S$  is a *dominating set* if every vertex in  $V \setminus S$  has a neighbor in  $S$ . The *domination number*  $\gamma(G)$  is the minimum cardinality of a dominating set of  $G$ . We call a dominating set of cardinality  $\gamma(G)$  a  $\gamma(G)$ -*set* and use similar notation for other parameters. Domination and its many variations have been surveyed in [7, 8].

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\*Research supported in part by the South African National Research Foundation and the University of KwaZulu-Natal.

In this paper we study a variation on the domination theme called restrained domination, introduced by Telle and Proskurowski [13], albeit indirectly, as a vertex partitioning problem and further studied in [1, 2, 3, 5, 6]. A set  $S \subseteq V$  is a *restrained dominating set* (RDS) if every vertex in  $V \setminus S$  is adjacent both to a vertex in  $S$  (i.e.,  $S$  is a dominating set of  $G$ ) and to a vertex in  $V \setminus S$ . Every graph has a RDS, since  $S = V$  is such a set. The restrained domination number of  $G$ , denoted by  $\gamma_r(G)$ , is the minimum cardinality of a RDS of  $G$ . Clearly,  $\gamma(G) \leq \gamma_r(G)$ . A RDS of  $G$  of cardinality  $\gamma_r(G)$  we call a  $\gamma_r(G)$ -set.

Fricke, Haynes, Hedetniemi, Hedetniemi, and Laskar [4] defined a graph  $G$  to be  $\gamma$ -*excellent* if every vertex of  $G$  belongs to some  $\gamma(G)$ -set. They showed that the family of  $\gamma$ -excellent trees (trees where every vertex is in some minimum dominating set) is properly contained in the set of  $i$ -excellent trees (trees where every vertex is in some minimum independent dominating set). The  $\gamma$ -excellent trees have been characterized by Sumner [12], while the  $i$ -excellent trees have been characterized in [9] where it is shown that any such tree of order at least three can be constructed using a double-star as a base tree and recursively applying one of two operations. A constructive characterization of  $\gamma_t$ -excellent trees (trees where every vertex is in some minimum total dominating set) is given in [11]. In this paper, we present a characterization of  $\gamma_r$ -excellent trees (trees where every vertex is in some minimum RDS).

For notation and graph theory terminology we in general follow [7]. Specifically, let  $G = (V, E)$  be a graph with vertex set  $V$  of order  $n$  and edge set  $E$ , and let  $v$  be a vertex in  $V$ . The *open neighborhood* of  $v$  is  $N(v) = \{u \in V \mid uv \in E\}$  and its closed neighborhood is the set  $N[v] = \{v\} \cup N(v)$ . For a set  $S \subseteq V$ , its *open neighborhood* is the set  $N(S) = \cup_{v \in S} N(v)$  and its *closed neighborhood* is the set  $N[S] = N(S) \cup S$ .

For ease of presentation, we mostly consider *rooted trees*. For a vertex  $v$  in a (rooted) tree  $T$ , we let  $C(v)$  and  $D(v)$  denote the set of children and descendants, respectively, of  $v$ , and we define  $D[v] = D(v) \cup \{v\}$ . The maximal subtree at  $v$  is the subtree of  $T$  induced by  $D[v]$ , and is denoted by  $T_v$ . A *leaf* of  $T$  is a vertex of degree 1, while a *support vertex* of  $T$  is a vertex adjacent to a leaf. A *strong support vertex* is adjacent to at least two leaves. A *double star* is a tree with exactly two vertices that are not leaves.

For  $k \geq 1$  an integer, a  $k$ -*branch* of a tree  $T$  is a path  $P$  in  $T$  of length  $k$  (and order  $k + 1$ ) that contains a leaf of  $T$  and such that every internal vertex of  $P$  has degree 2 in  $T$ . A *branch* of  $T$  is a  $k$ -branch of  $T$  for some  $k \geq 1$ . If  $P$  is a  $k$ -branch of  $T$  and  $P$  is a  $u$ - $v$  path where  $u$  is a leaf of  $T$ ,

then we call  $v$  a  $k$ -branch vertex of  $T$ . In particular, a 1-branch vertex of  $T$  is a support vertex of  $T$ . By *attaching a  $k$ -branch* to a vertex  $v$  of a tree  $T$ , we mean adding to  $T$  a path of order  $k$  and joining a leaf of this path to  $v$ .

Our aim in this paper is present a characterization of  $\gamma_r$ -excellent trees. For this purpose, we introduce some additional notation. Let  $G = (V, E)$  be a graph, and let  $u \in V$ .

**Definition 1.** We define the *restrained domination number of  $G$  relative to  $u$* , denoted  $\gamma_r^u(G)$ , as the minimum cardinality of a RDS in  $G$  that contains  $u$ . A RDS of cardinality  $\gamma_r^u(G)$  containing  $u$  we call a  $\gamma_r^u(G)$ -set. Hence, the graph  $G$  is  $\gamma_r$ -excellent if  $\gamma_r^u(G) = \gamma_r(G)$  for every vertex  $u \in V$ .

**Definition 2.** We define a *type-I almost restrained dominating set* (type-I ARDS) of  $G$  relative to  $u$  as a set  $S \subseteq V \setminus \{u\}$  such that  $S$  dominates  $V \setminus \{u\}$  (possibly,  $S$  also dominates  $u$ ) and  $G[V \setminus S]$  has no isolated vertex.

**Definition 3.** We define a *type-II almost restrained dominating set* (type-II ARDS) of  $G$  relative to  $u$  as a set  $S \subseteq V \setminus \{u\}$  such that  $S$  dominates  $V$  and  $G[V \setminus S]$  has no isolated vertex, except possibly for the vertex  $u$ .

**Definition 4.** We define an *almost restrained dominating set* (ARDS) of  $G$  relative to  $u$  as a type-I ARDS or a type-II ARDS of  $G$  relative to  $u$ .

**Definition 5.** The *almost restrained domination number of  $G$  relative to  $u$* , denoted  $\gamma_r(G; u)$ , is the minimum cardinality of an ARDS of  $G$  relative to  $u$ . An ARDS of  $G$  relative to  $u$  of cardinality  $\gamma_r(G; u)$  we call a  $\gamma_r(G; u)$ -set. A type-I (respectively, type-II) ARDS of  $G$  relative to  $u$  of cardinality  $\gamma_r(G; u)$  we call a type-I (respectively, type-II)  $\gamma_r(G; u)$ -set.

**Definition 6.** We say that  $G$  is  $\gamma_r$ -excellent relative to  $u$  if

- $\gamma_r^u(G) = \gamma_r(G; u) + 1$ ,
- there exists both a type-I and a type-II  $\gamma_r(G; u)$ -set, and
- every vertex of  $G$  is in some  $\gamma_r^u(G)$ -set or in some  $\gamma_r(G; u)$ -set.

## 2 The Family $\mathcal{F}$

We define the family  $\mathcal{F}$  to consist of all trees that can be obtained from a  $\gamma_r$ -excellent tree  $T'$  relative to a vertex  $u$  of  $T'$  by adding a path  $P_6$  to  $T'$  and joining  $u$  to a central vertex of this path. As an example, the tree  $T$  shown in Figure 1 and the tree  $T$  shown in Figure 2 both belong to the family  $\mathcal{F}$ . (Notice that in both examples, the component  $T'$  of  $T - uv$  that contains the vertex  $u$  is a  $\gamma_r$ -excellent tree relative to a vertex  $u$ .)

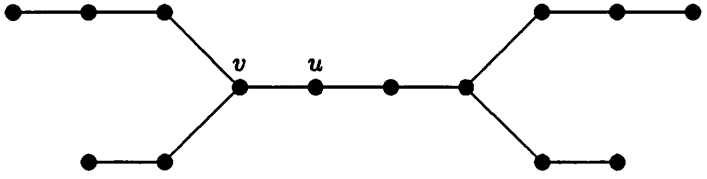


Figure 1: A  $\gamma_r$ -excellent tree  $T$  in  $\mathcal{F}$ .

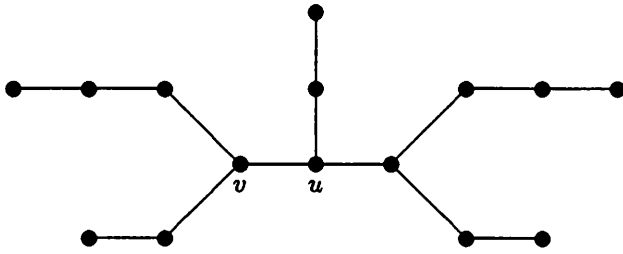


Figure 2: A  $\gamma_r$ -excellent tree  $T$  in  $\mathcal{F}$ .

### 3 The Family $\mathcal{T}$

In this section we construct a family of  $\gamma_r$ -excellent trees. Let  $\mathcal{T}$  be the family of trees that contain  $\{P_2\} \cup \mathcal{F}$  and is closed under the four operations  $\mathcal{O}_1, \mathcal{O}_2, \mathcal{O}_3$  and  $\mathcal{O}_4$  listed below, which extend a tree  $T'$  by attaching one or more branches to a vertex of  $T'$ , called its *attacher*. We say that a leaf  $v$  of a tree  $T$  is a *good leaf* if  $T$  has order at least 3 and there exists a  $\gamma_r(T)$ -set that contains  $N[w]$  where  $w$  is the neighbor of  $v$ .

- **Operation  $\mathcal{O}_1$ .** Attach to a support vertex of  $T'$  a 1-branch.
- **Operation  $\mathcal{O}_2$ .** Attach to a good leaf of  $T'$  a 3-branch.
- **Operation  $\mathcal{O}_3$ .** Attach to a 2-branch vertex of degree 2 in  $T'$  a 2-branch and a 3-branch.
- **Operation  $\mathcal{O}_4$ .** Attach to a 3-branch vertex of degree at least 2 in  $T'$  both a 2-branch and a 3-branch.

If  $T \in \mathcal{T}$ , and  $T$  is obtained from a sequence  $T_1, \dots, T_m$  of trees where  $T_1 = P_2$  and  $T = T_m$ , and, if  $m \geq 2$ ,  $T_{i+1}$  can be obtained from  $T_i$  by

operation  $\mathcal{O}_1, \mathcal{O}_2, \mathcal{O}_3$  or  $\mathcal{O}_4$  for  $i = 1, \dots, m - 1$ , then we say that  $T$  has length  $m$  in  $\mathcal{T}$ .

## 4 Preliminary Results

**Lemma 1** *Let  $T$  be a tree that contains a strong support vertex. Let  $T'$  be obtained from  $T$  by deleting a leaf-neighbor of a strong support vertex. Then, (i)  $\gamma_r(T) = \gamma_r(T') + 1$ , and (ii)  $T$  is  $\gamma_r$ -excellent if and only if  $T'$  is  $\gamma_r$ -excellent.*

**Proof.** Let  $w$  be a strong support vertex, and let  $T' = T - v$  where  $v$  is a leaf-neighbor of  $w$ . Removing  $v$  from a  $\gamma_r(T)$ -set produces a RDS of  $T'$ , and so  $\gamma_r(T') \leq \gamma_r(T) - 1$ . On the other hand, every RDS of  $T'$  can be extended to a RDS of  $T$  by adding to it the vertex  $v$ , and so  $\gamma_r(T) \leq \gamma_r(T') + 1$ . This establishes Statement (i). Statement (ii) follows readily from the observation that removing  $v$  from a  $\gamma_r(T)$ -set produces a  $\gamma_r(T')$ -set, while adding  $v$  to a  $\gamma_r(T')$ -set produces a  $\gamma_r(T)$ -set.  $\square$

As an immediate consequence of Lemma 1, we have the following result.

**Corollary 1** *Let  $T'$  be a nontrivial tree and let  $T$  be obtained from  $T'$  by operation  $\mathcal{O}_1$ . Then, (i)  $\gamma_r(T) = \gamma_r(T') + 1$ , and (ii)  $T$  is  $\gamma_r$ -excellent if and only if  $T'$  is  $\gamma_r$ -excellent.*

**Lemma 2** *Let  $T'$  be a tree of order at least 3. Let  $v$  be a leaf of  $T'$  and let  $w$  be the neighbor of  $v$ . Let  $T$  be the tree obtained from  $T'$  by adding to it the path  $x, y, z$  and the edge  $vx$ . Then, (i)  $\gamma_r(T) = \gamma_r(T') + 1$ , and (ii) if  $T$  is  $\gamma_r$ -excellent, then  $T'$  is  $\gamma_r$ -excellent and there exists a  $\gamma_r(T')$ -set containing  $N[w]$ .*

**Proof.** We begin the proof by proving two claims.

**Claim 1**  $\gamma_r(T) \leq \gamma_r(T') + 1$ .

**Proof.** Let  $S'$  be a  $\gamma_r(T')$ -set. Then,  $v \in S'$  and  $S' \cup \{z\}$  is a RDS of  $T$ , and so  $\gamma_r(T) \leq |S' \cup \{z\}| = |S'| + 1 = \gamma_r(T') + 1$ .  $\square$

**Claim 2**  $\gamma_r(T') \leq \gamma_r(T) - 1$ .

**Proof.** Let  $S$  be a  $\gamma_r(T)$ -set, and let  $S' = S \cap V(T')$ . If  $v \in S$ , then  $S'$  is a RDS of  $T'$  and  $S \cap \{x, y, z\} = \{z\}$ , and so  $\gamma_r(T') \leq |S'| = |S| - 1 = \gamma_r(T) - 1$ . If  $v \notin S$  and  $w \in S$ , then  $S \cap \{x, y, z\} = \{y, z\}$  and  $S' \cup \{v\}$  is a RDS of  $T'$ , and so  $\gamma_r(T') \leq |S' \cup \{v\}| = |S'| + 1 = |S| - 1 = \gamma_r(T) - 1$ . Hence we may assume that  $v \notin S$  and  $w \notin S$ , for otherwise the desired result follows. Then,  $\{x, y, z\} \subset S$ . If  $S' \cup \{v\}$  is a RDS of  $T'$ , then, by Claim 1,  $\gamma_r(T) - 1 \leq \gamma_r(T') \leq |S' \cup \{v\}| = |S'| + 1 = |S| - 2 = \gamma_r(T) - 2$ , which is impossible. Hence,  $S' \cup \{v\}$  is not a RDS of  $T'$ . However,  $S' \cup \{v\}$  is a dominating set of  $T'$ . Thus,  $w$  must be isolated in  $T'[S' \cup \{v\}]$ . Hence,  $S' \cup \{v, w\}$  is a RDS of  $T'$ , and so  $\gamma_r(T') \leq |S' \cup \{v, w\}| = |S'| + 2 = |S| - 1 = \gamma_r(T) - 1$ .  $\square$

We now return to the proof of Lemma 2. Statement (i) of Lemma 2 is a consequence of Claims 1 and 2. To prove Statement (ii), suppose that  $T$  is  $\gamma_r$ -excellent. Let  $u \in V(T')$  and let  $S$  be a  $\gamma_r(T)$ -set containing  $u$ . Let  $S' = S \cap V(T')$ . Proceeding exactly as in the proof of Claim 2, we can show that there is a  $\gamma_r(T')$ -set containing the set  $S'$  (either  $S'$  or  $S' \cup \{v\}$  or  $S' \cup \{v, w\}$  is a  $\gamma_r(T')$ -set). Hence there is a  $\gamma_r(T')$ -set containing the vertex  $u$ . Therefore,  $T'$  is  $\gamma_r$ -excellent.

Let  $D$  be a  $\gamma_r(T)$ -set containing the vertex  $x$ , and let  $D' = D \cap V(T')$ . Then,  $\{x, y, z\} \subset D$  and  $v \notin D$  (for otherwise,  $D \setminus \{x, y\}$  is a RDS, contradicting the minimality of  $D$ ), and so  $w \notin D$ . Thus as in the proof of Claim 2, the set  $D' \cup \{v, w\}$  is a  $\gamma_r(T')$ -set containing  $N[w]$ .  $\square$

As a consequence of Lemma 2 we have the following result.

**Corollary 2** *Let  $T'$  be a tree of order at least 3 and let  $T$  be obtained from  $T'$  by operation  $\mathcal{O}_2$ . Then, (i)  $\gamma_r(T) = \gamma_r(T') + 1$  and (ii)  $T$  is  $\gamma_r$ -excellent if and only if  $T'$  is  $\gamma_r$ -excellent.*

**Proof.** Let  $v$  be the attacher of  $T'$  (and so,  $v$  is a leaf of  $T'$ ) and let  $w$  be the neighbor of  $v$  in  $T'$ . Statement (i) follows by Lemma 2(i). If  $T$  is  $\gamma_r$ -excellent, then by Lemma 2(ii),  $T'$  is  $\gamma_r$ -excellent. Suppose that  $T'$  is  $\gamma_r$ -excellent. Let  $u \in V(T')$  and let  $S'$  be a  $\gamma_r(T')$ -set containing  $u$ . Then,  $v \in S'$  and  $S' \cup \{z\}$  is a  $\gamma_r(T)$ -set containing  $u$ . If  $w \in S'$ , then  $(S' \setminus \{v\}) \cup \{y, z\}$  is a  $\gamma_r(T)$ -set containing  $y$ . It remains to show that there is a  $\gamma_r(T)$ -set containing  $x$ . Since  $v$  is a good leaf in  $T'$ , there is a  $\gamma_r(T)$ -set  $S^*$  that contains  $N[w]$ . Thus the set  $(S^* \setminus \{v, w\}) \cup \{x, y, z\}$  is a  $\gamma_r(T)$ -set containing the vertex  $x$ . Therefore,  $T$  is  $\gamma_r$ -excellent. This establishes Statement (ii).  $\square$

**Lemma 3** *Let  $T$  be obtained from a tree  $T'$  by operation  $\mathcal{O}_3$ . Then, (i)*

$\gamma_r(T) = \gamma_r(T') + 3$  and (ii)  $T$  is  $\gamma_r$ -excellent if and only if  $T'$  is  $\gamma_r$ -excellent.

**Proof.** Let  $v$  be the attacher of  $T'$  (and so,  $v$  is a 2-branch vertex of degree 2 in  $T'$ ), and let  $v, x, y$  be a 2-branch of  $T'$ . Further, let  $N(v) = \{u, x\}$ . Let  $v, x'_1, y'_1$  and  $v, x_1, y_1, z_1$  be the 2-branch and 3-branch attached to  $v$  when applying operation  $\mathcal{O}_3$  to obtain the tree  $T$ . We begin the proof by proving two claims.

**Claim 3**  $\gamma_r(T) \leq \gamma_r(T') + 3$ .

**Proof.** Let  $S'$  be a  $\gamma_r(T')$ -set. If  $v \in S'$ , let  $S = S' \cup \{x'_1, y'_1, z_1\}$ , while if  $v \notin S'$ , let  $S = S' \cup \{y_1, y'_1, z_1\}$ . In both cases,  $S$  is a RDS of  $T$  and  $|S| = |S'| + 3$ , whence  $\gamma_r(T) \leq |S| = \gamma_r(T') + 3$ .  $\square$

**Claim 4**  $\gamma_r(T) \geq \gamma_r(T') + 3$ .

**Proof.** Let  $S$  be a  $\gamma_r(T)$ -set, and let  $S' = S \cap V(T')$ . If  $v \in S$ , then  $S'$  is a RDS of  $T'$  and  $S \setminus S' = \{x'_1, y'_1, z_1\}$ , and so  $\gamma_r(T') \leq |S'| = |S| - 3 = \gamma_r(T) - 3$ . Hence we may assume that  $v \notin S$ , for otherwise the desired result follows. Then,  $\{y, y_1, y'_1, z_1\} \subset S$ . If  $|S \cap \{x, x_1, x'_1\}| \geq 2$ , then removing all but one vertex from this intersection produces a RDS of  $T$ , contradicting the minimality of  $S$ . Hence,  $|S \cap \{x, x_1, x'_1\}| \leq 1$ . If  $S \cap \{x, x_1, x'_1\} = \emptyset$ , then  $|S'| = |S| - 3$  and  $S'$  is a RDS of  $T'$ . On the other hand, suppose  $|S \cap \{x, x_1, x'_1\}| = 1$ . Without loss of generality, we may assume  $x \in S$ . Thus,  $|S'| = |S| - 3$ . If  $u \in S$ , then  $S \setminus \{x\}$  is a RDS of  $T$ , a contradiction. Hence,  $S \cap N[v] = \{x\}$ , and so  $S'$  is a RDS of  $T'$ . Thus, in both cases  $S'$  is a RDS of  $T'$  and  $|S'| = |S| - 3$ , whence  $\gamma_r(T') \leq |S'| = \gamma_r(T) - 3$ .  $\square$

Statement (i) of Lemma 3 is an immediate consequence of Claims 3 and 4.

**Claim 5** *If  $T'$  is  $\gamma_r$ -excellent, then  $T$  is  $\gamma_r$ -excellent.*

**Proof.** Let  $w \in V(T')$  and let  $S'$  be a  $\gamma_r(T')$ -set containing  $w$ . If  $v \notin S'$ , then  $S' \cup \{y_1, y'_1, z_1\}$  is a  $\gamma_r(T)$ -set containing  $w$ . If  $v \in S'$ , then  $S' \cup \{x'_1, y'_1, z_1\}$  is a  $\gamma_r(T)$ -set containing  $w$ . Observe that if  $w = u$ , then  $v \notin S'$ , while if  $w = v$ , then  $v \in S'$ . Hence it remains to show that there is a  $\gamma_r(T)$ -set containing the vertex  $x_1$ . Let  $S^*$  be a  $\gamma_r(T')$ -set containing the vertex  $x$ . Suppose  $v \in S^*$ . If  $u \in S^*$ , then  $S^* \setminus \{v, x\}$  is a RDS of  $T'$ , contradicting the minimality of  $S^*$ . Hence,  $u \notin S^*$ . The set  $(S^* \setminus \{v, x\}) \cup \{u\}$  is

not a RDS of  $T'$  but is a dominating set of  $T'$ . Hence there must be a neighbor  $w$  of  $u$  such that  $w \notin S^*$  and  $w$  is isolated in  $T'[S^* \cup \{u\}]$ . But then  $(S^* \setminus \{v, x\}) \cup \{w\}$  is a RDS of  $T'$ , contradicting the minimality of  $S^*$ . Hence,  $v \notin S^*$ . Thus,  $(S^* \setminus \{x\}) \cup \{x_1, y_1, y'_1, z_1\}$  is a RDS of  $T$  of cardinality  $|S^*| + 3 = \gamma_r(T') + 3 = \gamma_r(T)$  and is therefore a  $\gamma_r(T)$ -set containing the vertex  $x_1$ . Therefore,  $T$  is  $\gamma_r$ -excellent.  $\square$

**Claim 6** *If  $T$  is  $\gamma_r$ -excellent, then  $T'$  is  $\gamma_r$ -excellent.*

**Proof.** Let  $w \in V(T')$  and let  $S$  be a  $\gamma_r(T)$ -set containing  $w$ . Let  $S' = S \cap V(T')$ . If  $v \in S$ , then  $S \setminus S' = \{x'_1, y'_1, z_1\}$  and  $S'$  is a RDS of  $T'$  of cardinality  $|S| - 3 = \gamma_r(T) - 3 = \gamma_r(T')$  and is therefore a  $\gamma_r(T')$ -set containing the vertex  $w$ . If  $v \notin S$ , then proceeding as in the proof of Claim 4, we can choose such a set  $S$  so that  $S'$  is a RDS of  $T'$  and  $|S'| = |S| - 3$ . Thus,  $S'$  is a  $\gamma_r(T')$ -set containing the vertex  $w$ . Hence,  $T'$  is  $\gamma_r$ -excellent.  $\square$

Statement (ii) of Lemma 3 is an immediate consequence of Claims 5 and 6. This completes the proof of Lemma 3.  $\square$

**Lemma 4** *Let  $T$  be obtained from a tree  $T'$  by operation  $\mathcal{O}_4$ . Then, (i)  $\gamma_r(T) = \gamma_r(T') + 3$  and (ii)  $T$  is  $\gamma_r$ -excellent if and only if  $T'$  is  $\gamma_r$ -excellent.*

**Proof.** Let  $v$  be the attacher of  $T'$  (and so,  $v$  is a 3-branch vertex of degree at least 2 in  $T'$ ), and let  $v, x, y, z$  be a 3-branch of  $T'$ . Further, let  $U = N(v) \setminus \{x\}$  in  $T'$ . Let  $v, x'_1, y'_1$  and  $v, x_1, y_1, z_1$  be the 2-branch and 3-branch, respectively, attached to  $v$  when applying operation  $\mathcal{O}_4$  to obtain the tree  $T$ . We begin the proof by proving two claims.

**Claim 7**  $\gamma_r(T) \leq \gamma_r(T') + 3$ .

**Proof.** Let  $S'$  be a  $\gamma_r(T')$ -set. If  $v \in S'$ , let  $S = S' \cup \{x'_1, y'_1, z_1\}$ , while if  $v \notin S'$ , let  $S = S' \cup \{y_1, y'_1, z_1\}$ . In both cases,  $S$  is a RDS of  $T$ , and so  $\gamma_r(T) \leq |S| = |S'| + 3 = \gamma_r(T') + 3$ .  $\square$

**Claim 8**  $\gamma_r(T) \geq \gamma_r(T') + 3$ .

**Proof.** Let  $S$  be a  $\gamma_r(T)$ -set, and let  $S' = S \cap V(T')$ . If  $v \in S$ , then  $S'$  is a RDS of  $T'$  and  $S \setminus S' = \{x'_1, y'_1, z_1\}$ , and so  $\gamma_r(T') \leq |S'| = |S| -$



$3 = \gamma_r(T) - 3$ . Hence we may assume that  $v \notin S$ , for otherwise the desired result follows. Then,  $\{y, y_1, y'_1, z, z_1\} \subset S$ . If  $|S \cap \{x, x_1, x'_1\}| \geq 2$ , then removing all but one vertex from this intersection produces a RDS of  $T$ , contradicting the minimality of  $S$ . Hence,  $|S \cap \{x, x_1, x'_1\}| \leq 1$ . If  $S \cap \{x, x_1, x'_1\} = \emptyset$ , then  $|S'| = |S| - 3$  and  $S'$  is a RDS of  $T'$ . On the other hand, suppose  $|S \cap \{x, x_1, x'_1\}| = 1$ . Without loss of generality, we may assume  $x \in S$ . Thus,  $|S'| = |S| - 3$ . If  $|S \cap U| \geq 1$ , then  $S \setminus \{x\}$  is a RDS of  $T$ , a contradiction. Hence,  $S \cap N[v] = \{x\}$ , and so  $S'$  is a RDS of  $T'$ . Thus, in both cases  $S'$  is a RDS of  $T'$  and  $|S'| = |S| - 3$ , whence  $\gamma_r(T') \leq |S'| = |S| - 3 = \gamma_r(T) - 3$ .  $\square$

Statement (i) of Lemma 4 is an immediate consequence of Claims 7 and 8.

**Claim 9** *If  $T'$  is  $\gamma_r$ -excellent, then  $T$  is  $\gamma_r$ -excellent.*

**Proof.** Let  $w \in V(T')$  and let  $S'$  be a  $\gamma_r(T')$ -set containing  $w$ . If  $v \notin S'$ , then  $S' \cup \{y_1, y'_1, z_1\}$  is a  $\gamma_r(T)$ -set containing  $w$ . If  $v \in S'$ , then  $S' \cup \{x'_1, y'_1, z_1\}$  is a  $\gamma_r(T)$ -set containing  $w$ . Observe that if  $w = x$ , then  $v \notin S'$ , while if  $w = v$ , then  $v \in S'$ . Hence it remains to show that there is a  $\gamma_r(T)$ -set containing the vertex  $x_1$ . Let  $S^*$  be a  $\gamma_r(T')$ -set containing the vertex  $x$ . Then,  $\{y, z\} \subset S^*$ . If  $v \in S^*$ , then  $S^* \setminus \{x, y\}$  is a RDS of  $T'$ , contradicting the minimality of  $S^*$ . Hence,  $v \notin S^*$ . Thus,  $(S^* \setminus \{x\}) \cup \{x_1, y_1, y'_1, z_1\}$  is a RDS of  $T$  of cardinality  $|S^*| + 3 = \gamma_r(T') + 3 = \gamma_r(T)$  and is therefore a  $\gamma_r(T)$ -set containing the vertex  $x_1$ . Hence,  $T$  is  $\gamma_r$ -excellent.  $\square$

**Claim 10** *If  $T$  is  $\gamma_r$ -excellent, then  $T'$  is  $\gamma_r$ -excellent.*

**Proof.** Let  $w \in V(T')$  and let  $S$  be a  $\gamma_r(T)$ -set containing  $w$ . Let  $S' = S \cap V(T')$ . If  $v \in S$ , then  $S \setminus S' = \{x'_1, y'_1, z_1\}$  and  $S'$  is a RDS of  $T'$  of cardinality  $|S| - 3 = \gamma_r(T) - 3 = \gamma_r(T')$  and is therefore a  $\gamma_r(T')$ -set containing the vertex  $w$ . If  $v \notin S$ , then proceeding as in the proof of Claim 8, we can choose such a set  $S$  so that  $S'$  is a RDS of  $T'$  and  $|S'| = |S| - 3$ . Thus,  $S'$  is a  $\gamma_r(T')$ -set containing the vertex  $w$ . Hence,  $T'$  is  $\gamma_r$ -excellent.  $\square$

Statement (ii) of Lemma 4 is an immediate consequence of Claims 9 and 10. This completes the proof of Lemma 4.  $\square$

**Lemma 5** *If  $T \in \mathcal{F}$ , then  $T$  is a  $\gamma_r$ -excellent tree.*

**Proof.** The tree  $T$  can be obtained from a  $\gamma_r$ -excellent tree  $T'$  relative to a vertex  $u$  of  $T'$  by adding a path  $P_6: a, b, c, d, e, f$  and the edge  $ud$  to  $T'$ .

We show first that  $\gamma_r^u(T') = \gamma_r(T) - 3$ . Every  $\gamma_r^u(T')$ -set can be extended to a RDS of  $T$  by adding to it the vertices in the set  $\{a, b, f\}$ , and so  $\gamma_r(T) \leq \gamma_r^u(T') + 3$ . Conversely, let  $S$  be a  $\gamma_r(T)$ -set and let  $S'$  be the restriction of  $S$  to the tree  $T'$ , i.e.,  $S' = S \cap V(T')$ . If  $u \in S$ , then  $S'$  is a RDS of  $T'$  containing  $u$  and  $S \setminus S' = \{a, b, f\}$ . Thus,  $\gamma_r^u(T') \leq |S'| = |S| - 3 = \gamma_r(T) - 3$ . If  $u \notin S$ , then  $S'$  is an ARDS of  $T'$  relative to  $u$  and  $|S \setminus S'| = 4$ , and so  $\gamma_r^u(T') - 1 = \gamma_r(T'; u) \leq |S'| = |S| - 4 = \gamma_r(T) - 4$ , whence  $\gamma_r^u(T') \leq \gamma_r(T) - 3$ . Consequently,  $\gamma_r^u(T') = \gamma_r(T) - 3$ .

Let  $v \in V(T')$ . Since  $T'$  is a  $\gamma_r$ -excellent tree relative to the vertex  $u$ , there is a set  $S_v$  containing the vertex  $v$  that is a  $\gamma_r^u(T')$ -set or a  $\gamma_r(T'; u)$ -set. If  $S_v$  is a  $\gamma_r^u(T')$ -set, then the set  $S_v \cup \{a, b, f\}$  is a  $\gamma_r(T)$ -set containing  $v$ . If  $S_v$  is a  $\gamma_r(T'; u)$ -set, then the set  $S_v \cup \{a, d, e, f\}$  or the set  $S_v \cup \{a, b, c, f\}$  is a  $\gamma_r(T)$ -set containing  $v$  (observe that  $\gamma_r(T'; u) + 4 = \gamma_r^u(T') + 3 = \gamma_r(T)$ ). Hence every vertex in  $V(T')$  is in some  $\gamma_r(T)$ -set.

It remains for us to establish that every vertex in  $V(T) \setminus V(T')$  is in some  $\gamma_r(T)$ -set. Every  $\gamma_r^u(T')$ -set can be extended to a  $\gamma_r(T)$ -set by adding to it the vertices in the set  $\{a, b, f\}$ . Since  $T'$  is a  $\gamma_r$ -excellent tree relative to the vertex  $u$ , there exists both a type-I and a type-II  $\gamma_r(T'; u)$ -set. Let  $D_1$  be a type-I  $\gamma_r(T'; u)$ -set and let  $D_2$  be a type-II  $\gamma_r(T'; u)$ -set. Then,  $D_1$  can be extended to a  $\gamma_r(T)$ -set by adding to it the vertices in the set  $\{a, d, e, f\}$ , while  $D_2$  can be extended to a  $\gamma_r(T)$ -set by adding to it the vertices in the set  $\{a, b, c, f\}$ . Hence, every vertex in  $V(T) \setminus V(T')$  is in some  $\gamma_r(T)$ -set.  $\square$

**Lemma 6** *If  $T \in \mathcal{T}$ , then  $T$  is a  $\gamma_r$ -excellent tree.*

**Proof.** We proceed by induction on the length  $m$  of the sequence of trees needed to construct the tree  $T \in \mathcal{T}$ . If  $m = 1$ , then  $T = P_2$ , which is a  $\gamma_r$ -excellent tree, or  $T \in \mathcal{F}$  and  $T$  is a  $\gamma_r$ -excellent tree by Lemma 6. This establishes the base case. Assume, then, that the result holds for all trees in  $\mathcal{T}$  of length less than  $m$ , where  $m \geq 2$ . Let  $T$  be a tree of length  $m$  in  $\mathcal{T}$ . Thus,  $T \in \mathcal{T}$  can be obtained from a sequence  $T_1, T_2, \dots, T_m$  of  $m$  trees where  $T_1 = P_2$  and  $T = T_m$ , and for  $i = 1, \dots, m - 1$ , the tree  $T_{i+1}$  can be obtained from  $T_i$  by operation  $\mathcal{O}_1, \mathcal{O}_2, \mathcal{O}_3$  or  $\mathcal{O}_4$ . Applying the inductive hypothesis to the tree  $T' = T_{m-1} \in \mathcal{T}$ , we have that  $T'$  is a  $\gamma_r$ -excellent tree. Since the tree  $T$  is obtained from  $T'$  by operation  $\mathcal{O}_1, \mathcal{O}_2, \mathcal{O}_3$  or  $\mathcal{O}_4$ , the desired result follows from Corollary 1, Corollary 2, Lemma 3 and Lemma 4.  $\square$

## 5 Main Result

**Theorem 7** *A nontrivial tree  $T$  is a  $\gamma_r$ -excellent tree if and only if  $T \in \mathcal{T}$ .*

**Proof.** The sufficiency follows from Lemma 6. To prove the necessity, we proceed by induction on the order  $n \geq 2$  of a  $\gamma_r$ -excellent tree  $T$ . If  $n = 2$ , then  $T = P_2 \in \mathcal{T}$ . This establishes the base case. Assume then that  $n \geq 3$  and that if  $T'$  is a  $\gamma_r$ -excellent tree of order at least 2 but less than  $n$ , then  $T' \in \mathcal{T}$ . Let  $T$  be a  $\gamma_r$ -excellent tree of order  $n$ . If  $T$  is a star, then  $T$  can be obtained from  $P_2$  by repeated applications of operation  $\mathcal{O}_1$ , and so  $T \in \mathcal{T}$ . Hence we may assume that  $\text{diam } T \geq 3$ .

If  $\text{diam } T = 3$ , then  $T$  is a double star and the set of leaves of  $T$  is a unique  $\gamma_r(T)$ -set. But then  $T$  is not  $\gamma_r$ -excellent, a contradiction. Hence,  $\text{diam } T \geq 4$  (and so,  $n \geq 5$ ).

Suppose that  $T$  has a strong support vertex  $w$  with  $v$  as one of its leaf-neighbors. Let  $T' = T - v$ . By Lemma 1,  $T'$  is  $\gamma_r$ -excellent. Applying the inductive hypothesis to  $T'$ , we have  $T' \in \mathcal{T}$ . We can now restore the tree  $T$  from  $T'$  by applying operation  $\mathcal{O}_1$  with  $w$  as the attacher, and so  $T \in \mathcal{T}$ . Thus we may assume that  $T$  has no strong support vertex, for otherwise  $T \in \mathcal{T}$  as required.

Let  $T$  be rooted at a leaf  $r$  of a longest path  $P$ . Let  $P$  be a  $r$ - $z$  path, and let  $y$  be the neighbor of  $z$ . Then,  $z$  is a leaf and  $y$  is a support vertex. By assumption,  $\deg_T y = 2$ . Let  $x$  denote the parent of  $y$  on this path,  $v$  denote the parent of  $x$ , and  $u$  the parent of  $v$ . We show that  $\deg_T x = 2$ .

**Claim 11**  $\deg_T x = 2$ .

**Proof.** Suppose that  $\deg_T x \geq 3$  and consider a  $\gamma_r(T)$ -set  $S$  that contains the vertex  $x$ . Then, every descendant of  $x$  is in  $S$ . In particular,  $\{y, z\} \subset S$ . If  $v \in S$ , then  $S \setminus \{x, y\}$  is a RDS of  $T$ , contradicting the minimality of  $S$ . Hence,  $v \notin S$ . Let  $S' = (S \setminus \{x, y\}) \cup \{v\}$ . By the minimality of  $S$ , the set  $S'$  is not a RDS. However,  $S'$  is a dominating set. This implies that there exists a vertex  $w \notin S'$  such that  $N(w) \subset S'$ . Necessarily,  $w \in N(v) \setminus \{x\}$ . It now follows that  $(S \setminus \{x, y\}) \cup \{w\}$  is a RDS of  $T$ , contradicting the minimality of  $S$ . Hence,  $\deg_T x = 2$ .  $\square$

By Claim 11, every vertex at distance  $\text{diam}(T) - 2$  from  $r$  on a longest path emanating from  $r$  has degree 2.

Suppose that  $\deg_T v = 2$ . Let  $T' = T - \{x, y, z\}$ . Then,  $v$  is a leaf of  $T'$ . By Lemma 2(ii), the tree  $T'$  is  $\gamma_r$ -excellent. Applying the inductive hypothesis to  $T'$ , we have  $T' \in \mathcal{T}$ . By Lemma 2(ii), there exists a  $\gamma_r(T')$ -set containing  $N[u]$ . Hence the vertex  $v$  is a good leaf in the tree  $T'$ . Thus we can restore the tree  $T$  from  $T'$  by applying operation  $\mathcal{O}_2$  with  $v$  as the attached vertex, and so  $T \in \mathcal{T}$ . Thus we may assume that  $\deg_T v \geq 3$ , for otherwise  $T \in \mathcal{T}$  as required.

**Claim 12** *The vertex  $v$  is not a support vertex.*

**Proof.** Suppose that  $v$  is a support vertex of  $T$ . Let  $S$  be a  $\gamma_r(T)$ -set that contains  $x$ . Then,  $\{x, y, z\} \subset S$ . Since  $S$  contains the leaf-neighbor of  $v$ , the set  $S \setminus \{x\}$  is a RDS of  $T$ , contradicting the minimality of  $S$ .  $\square$

By Claim 12 and our earlier assumption that there is no strong support vertex, the maximal subtree  $T_v$  of  $v$  can be obtained from a star  $K_{1, k+\ell}$ , where  $k + \ell \geq 2$  and  $k \geq 1$ , by subdividing  $k$  edges exactly twice and subdividing  $\ell$  edges exactly once. For  $i = 1, \dots, k$ , let  $v, x_i, y_i, z_i$  denote the 3-branches attached to  $v$  in  $T_v$  (where  $x_1 = x, y_1 = y$  and  $z_1 = z$ ), and if  $\ell \geq 1$ , then for  $i = 1, \dots, \ell$ , let  $v, x'_i, y'_i$  denote the 2-branches attached to  $v$  in  $T_v$ . Let  $X, Y$  and  $Z$  denote the set of vertices at distance 1, 2 and 3, respectively, from  $v$  in  $T_v$ . If  $\ell \geq 1$ , let  $X' = \{x'_1, \dots, x'_\ell\}$  and  $Y' = \{y'_1, \dots, y'_\ell\}$ .

**Claim 13**  $\ell \leq k + 1$ .

**Proof.** Suppose that  $\ell \geq k + 2$ . Let  $S$  be a  $\gamma_r(T)$ -set that contains the vertex  $v$ . Then,  $S \cap V(T_v) = X' \cup Y' \cup Z$ . Let  $S' = (S \setminus (X' \cup \{v\})) \cup (Y \setminus Y') \cup \{u, x'_\ell\}$ . Then,  $S'$  is a dominating set of  $T$  with  $|S'| \leq |S| - 1 = \gamma_r(T) - 1$ . By the minimality of the set  $S$ , the set  $S'$  is not a RDS of  $T$ . This implies that there exists a vertex  $w \notin S'$  such that  $N(w) \subset S'$ . Since  $N(w) \not\subset S$ , it follows that  $u \notin S$  and  $w \in N(u) \setminus \{v\}$ . This implies that  $(S' \setminus \{u\}) \cup \{w\}$  is a RDS of  $T$  of cardinality  $\gamma_r(T) - 1$  (observe that the vertex  $v$  is dominated by the vertex  $x'_\ell \in S'$  in this RDS), contradicting the minimality of  $S$ . Hence,  $\ell \leq k + 1$ .  $\square$

**Claim 14**  $k - 1 \leq \ell$ .

**Proof.** Suppose that  $\ell \leq k - 2$ . Let  $S$  be a  $\gamma_r(T)$ -set that contains the vertex  $x_1$ . If  $v \in S$ , then  $S \setminus \{x_1, y_1\}$  is a RDS of  $T$ , contradicting

the minimality of  $S$ . Hence,  $v \notin S$ . If  $u \in S$ , then  $S \setminus \{x_1\}$  is a RDS of  $T$ , a contradiction. Hence,  $u \notin S$ . Further by the minimality of  $S$ ,  $S \cap V(T_v) = \{x_1\} \cup Y \cup Z$ . Let  $S' = (S \setminus \{x_1, y_1, \dots, y_k\}) \cup X' \cup \{v\}$ . Then,  $S'$  is a dominating set of  $T$  with  $|S'| = |S| - (k + 1) + (\ell + 1) = \gamma_r(T) - k + \ell \leq \gamma_r(T) - 2$ . By the minimality of the set  $S$ , the set  $S'$  is not a RDS of  $T$ . This implies that there exists a vertex  $w \notin S'$  such that  $N(w) \subset S'$ . Since  $N(w) \not\subset S$  and  $u \notin S$ , it follows that  $u = w$ . But then  $S' \cup \{u\}$  is a RDS of  $T$  of cardinality  $\gamma_r(T) - 1$ , contradicting the minimality of  $S$ . Hence,  $k - 1 \leq \ell$ .  $\square$

By Claim 13 and Claim 14,  $k - 1 \leq \ell \leq k + 1$ .

If  $\ell = k - 1$ , then let  $T'$  be obtained from  $T$  by deleting all vertices in  $T_v$  except for the path  $v, x, y, z$ . Hence,  $T$  can be obtained from the tree  $T'$  by repeatedly applying operation  $\mathcal{O}_4$ . By Lemma 4, the tree  $T'$  is  $\gamma_r$ -excellent. Applying the inductive hypothesis to  $T'$ , we have  $T' \in \mathcal{T}$ . Thus we can restore the tree  $T$  from  $T'$  by repeatedly applying operation  $\mathcal{O}_4$  with  $v$  as the attached vertex, and so  $T \in \mathcal{T}$ .

If  $\ell = k + 1$ , then let  $T'$  be obtained from  $T$  by deleting all vertices in  $T_v$  except for the path  $v, x'_1, y'_1$ . Hence  $T$  can be obtained from the tree  $T'$  by first applying operation  $\mathcal{O}_3$  with  $v$  as the attached vertex and then, if  $k \geq 2$ , by repeatedly applying operation  $\mathcal{O}_4$  with  $v$  as the attached vertex. By Lemma 3 and Lemma 4, the tree  $T'$  is  $\gamma_r$ -excellent. Applying the inductive hypothesis to  $T'$ , we have  $T' \in \mathcal{T}$ . Thus we can restore the tree  $T$  from  $T'$  by applying operation  $\mathcal{O}_3$  and repeatedly applying operation  $\mathcal{O}_4$  with  $v$  as the attached vertex, and so  $T \in \mathcal{T}$ .

If  $\ell = k \geq 2$ , then let  $T'$  be obtained from  $T$  by deleting all vertices in  $T_v$  except for the path  $v, x, y, z$  and the path  $v, x'_1, y'_1$ . Hence,  $T$  can be obtained from the tree  $T'$  by repeatedly applying operation  $\mathcal{O}_4$ . By Lemma 4, the tree  $T'$  is  $\gamma_r$ -excellent. Applying the inductive hypothesis to  $T'$ , we have  $T' \in \mathcal{T}$ , whence  $T \in \mathcal{T}$ .

Hence we may assume that  $k = \ell = 1$ , for otherwise  $T \in \mathcal{T}$  as desired. Thus the maximal subtree  $T_v$  rooted at  $v$  is the path  $z, y, x, v, x'_1, y'_1$ . Let  $T' = T - V(T_v)$ . We proceed further with the following two claims.

**Claim 15**  $\gamma_r^u(T') = \gamma_r(T'; u) + 1 = \gamma_r(T) - 3$ .

**Proof.** Let  $S_u$  be a  $\gamma_r(T)$ -set containing the vertex  $u$ , and let  $S'_u = S_u \cap V(T')$ . Then,  $S'_u$  is a RDS of  $T'$  containing  $u$  and  $S_u \setminus S'_u = \{y, y'_1, z\}$ . Thus,  $\gamma_r^u(T') \leq |S'_u| = |S_u| - 3 = \gamma_r(T) - 3$ . On the other hand, every

$\gamma_r^u(T')$ -set can be extended to a RDS of  $T$  by adding to it the vertices in the set  $\{y, y'_1, z\}$ , and so  $\gamma_r(T) \leq \gamma_r^u(T') + 3$ . Consequently,  $\gamma_r^u(T') = \gamma_r(T) - 3$ .

Let  $S_x$  be a  $\gamma_r(T)$ -set containing the vertex  $x$ , and let  $S'_x = S_x \cap V(T')$ . Then,  $u \notin S_x$  and  $S_x \setminus S'_x = \{x, y, y'_1, z\}$ . Thus,  $\gamma_r(T'; u) \leq |S'_x| = |S_x| - 4 = \gamma_r(T) - 4$ . On the other hand, let  $D$  be a  $\gamma_r(T'; u)$ -set. Then,  $u \notin D$ , and  $D$  dominates  $V(T')$  or  $T'[V \setminus D]$  has no isolated vertex. If  $D$  dominates  $V(T')$ , let  $D^* = D \cup \{x, y, y'_1, z\}$ . If  $T'[V \setminus D]$  has no isolated vertex, let  $D^* = D \cup \{v, x'_1, y'_1, z\}$ . In both cases,  $D^*$  is a RDS of  $T$ , and so  $\gamma_r(T) \leq |D^*| = \gamma_r(T'; u) + 4$ . Consequently,  $\gamma_r(T'; u) = \gamma_r(T) - 4$ .  $\square$

**Claim 16** *The tree  $T'$  is  $\gamma_r$ -excellent relative to  $u$ .*

**Proof.** By Claim 15,  $\gamma_r^u(T') = \gamma_r(T'; u) + 1$ . Let  $S_v$  be a  $\gamma_r(T)$ -set containing the vertex  $v$ , and let  $S'_v = S_v \cap V(T')$ . Then,  $S'_v$  is a type-I  $\gamma_r(G; u)$ -set. Let  $S_x$  be a  $\gamma_r(T)$ -set containing the vertex  $x$ , and let  $S'_x = S_x \cap V(T')$ . Then,  $S'_x$  is a type-II  $\gamma_r(G; u)$ -set. It remains for us to show that every vertex of  $T'$  is in some  $\gamma_r^u(T')$ -set or in some  $\gamma_r(T'; u)$ -set. Let  $w \in V(T')$ . Let  $S_w$  be a  $\gamma_r(T)$ -set containing  $w$  and let  $S'_w = S_w \cap V(T')$ . If  $u \in S_w$ , then  $S_w \setminus S'_w = \{y, y'_1, z\}$ . Thus,  $S'_w$  is a RDS of  $T'$  containing  $u$  with  $|S'_w| = \gamma_r(T) - 3$ . Hence, by Claim 15,  $S'_w$  is a  $\gamma_r^u(T')$ -set. If  $u \notin S_w$ , then  $|S_w \setminus S'_w| = 4$  and  $S'_w$  is a ARDS of  $T'$  relative to  $u$  with  $|S'_w| = \gamma_r(T) - 4$ . Hence, by Claim 15,  $S'_w$  is a  $\gamma_r(T'; u)$ -set.  $\square$

By Claim 16, the tree  $T'$  is  $\gamma_r$ -excellent relative to  $u$ . Since the tree  $T$  can be obtained from  $T'$  by adding the path  $z, y, x, v, x'_1, y'_1$  and the edge  $uv$ , we have that  $T \in \mathcal{F} \subset \mathcal{T}$ .  $\square$

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