

# ON CONNECTED COLOURINGS OF GRAPHS

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## Abstract

In this paper, first we introduce the concept of a *connected* graph homomorphism as a homomorphism for which the inverse image of any edge is either empty or a connected graph, and then we concentrate on *chromatically connected* (resp. *chromatically disconnected*) graphs such as  $G$  for which any  $\chi(G)$ -colouring is a connected (resp. disconnected) homomorphism to  $K_{\chi(G)}$ .

In this regard, we consider the relationships of the new concept to some other notions as uniquely-colourability. Also, we specify some classes of chromatically disconnected graphs such as Kneser graphs  $KG(m, n)$  for which  $m$  is sufficiently larger than  $n$ , and the line graphs of non-complete class II graphs.

Moreover, we prove that the existence problem for connected homomorphisms to any fixed complete graph is an NP-complete problem.

**Index Words:** graph colouring, connectivity, uniquely-colourable graphs.

## 1 Introduction

Graph homomorphism problems have been considered from many different aspects [4, 5, 6]. It is well known that in general it is a hard problem to

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decide whether there exists a homomorphism from a given graph  $G$  to a given graph  $H$ . In this paper we consider a variant of this problem in which we impose some connectivity restrictions on the inverse images of the edges (for some other variants e.g. see [2]). In this regard, we not only prove that despite this new restriction the problem is still NP-complete, but also we show that the new concept is closely related to some other well studied areas in graph theory such as uniquely-colourable graphs.

Moreover, we consider *chromatically disconnected* graphs such as  $G$  for which any  $\chi(G)$ -colouring is a disconnected homomorphism to  $K_{\chi(G)}$ , and we show that there are many interesting *chromatically disconnected* graphs as Kneser graphs  $KG(m, n)$  for which  $m$  is sufficiently larger than  $n$ , and the line graphs of non-complete graphs of class II, where, the latter case can be considered as an extension of a result of S. Fiorini about uniquely-colourable graphs [1]. We also formulate a couple of problems related to the subject.

Throughout the paper the word *graph* is used for the concept of a *finite simple graph*. A *homomorphism*  $\sigma$  from a graph  $G$  to a graph  $H$  is a map  $\sigma : V(G) \rightarrow V(H)$  such that  $uv \in E(G)$  implies  $\sigma(u)\sigma(v) \in E(H)$ . Notations  $\text{Hom}(G, H)$ ,  $\text{Hom}^v(G, H)$  and  $\text{Hom}^e(G, H)$  denote the sets of ordinary, onto (vertices) and onto-edges homomorphisms from  $G$  to  $H$ , respectively. In the rest of the paper we always assume that the graph  $H$  appearing in the range of a homomorphism does not have any isolated vertex. Note that this implies  $\text{Hom}^e(G, H) \subseteq \text{Hom}^v(G, H)$ .

Let  $m, n$  be positive integers such that  $m \geq 2n$ . The notation  $[m]$  stands for the set  $\{1, 2, \dots, m\}$ , and  $\binom{[m]}{n}$  stands for the collection of all  $n$ -subsets of  $[m]$ . The *Kneser graph*  $KG(m, n)$  has the vertex set  $\binom{[m]}{n}$ , in which  $A$  is connected to  $B$  if and only if  $A \cap B = \emptyset$ . It is well known that  $\chi(KG(m, n)) = m - 2n + 2$ .

## 2 Connected graph homomorphisms

In this section we introduce the concept of a *connected graph homomorphism* and we present some basic results.

**Definition 1.** A graph homomorphism  $\sigma \in \text{Hom}(G, H)$  is said to be *k-connected* if for any two adjacent vertices  $x$  and  $y$  in  $V(H)$  the subgraph induced on the subset  $\sigma^{-1}(x) \cup \sigma^{-1}(y) \subseteq V(G)$  is either empty or a  $k$ -connected graph. The space of connected graph homomorphisms  $\sigma : G \rightarrow H$  is denoted by  $\text{Hom}_k^c(G, H)$ , where we omit the subscript  $k$  when  $k = 1$ . ♣

It is an easy observation that for any  $\chi$ -chromatic graph  $G$ , if we have  $\text{Hom}_k^c(G, K_\chi) \neq \emptyset$  then  $k \leq \frac{\delta(G)}{\chi-1}$ .

A basic example of a connected homomorphism is the (unique) colouring of a  $\chi$ -chromatic uniquely vertex colourable graph  $G$ . Moreover, since

$$\text{Hom}^c(G, K_{\chi(G)}) \subseteq \text{Hom}(G, K_{\chi(G)}) = \text{Hom}^e(G, K_{\chi(G)})$$

holds for any graph  $G$ , we have the following generalization of a result in [10, 12] for the minimum size of uniquely vertex colourable graphs, which essentially has the same proof.

**Proposition 1.** *For any  $\chi$ -chromatic graph  $G$ , if  $\text{Hom}^c(G, K_\chi) \neq \emptyset$  then  $|E(G)| \geq (\chi - 1)|V(G)| - \binom{\chi}{2}$ .*

The following definition can be considered as a generalization of the above properties of uniquely vertex colourable graphs.

**Definition 2.** A  $\chi$ -chromatic graph  $G$  is called *chromatically  $k$ -connected* if any  $\chi$ -colouring of  $G$  as a homomorphism to  $K_\chi$  is  $k$ -connected. Dually, a  $\chi$ -chromatic graph  $G$  is called *chromatically  $k$ -disconnected* if none of the  $\chi$ -colourings of  $G$  as homomorphisms to  $K_\chi$  are  $k$ -connected. ♠

It is easy to see that if there exists a homomorphism  $\sigma \in \text{Hom}^v(G, H)$  where  $G$  is chromatically connected, then  $H$  is also a chromatically connected graph. In what follows we introduce a couple of concrete examples.

### Example 1. Some chromatically connected graphs

Clearly, any uniquely vertex colourable graph is chromatically connected [10]. It is interesting to ask whether chromatically connectedness imposes any restriction on the number of colourings of a graph. To show that a chromatically connected graph may admit a relatively large number of colourings, consider the graph  $K_3 \square P_n$ , the cartesian product of  $K_3$  and the path  $P_n$  on  $n$  vertices. Note that this graph is a *planar* chromatically connected graph with  $2^{n-1}$  different 3-colourings up to permutation of the colours. ♣

## 3 Chromatically disconnected graphs

We begin by the following proposition as a basic result.

**Proposition 2.** *Let  $G$  be a  $\chi$ -chromatic graph and  $\text{Hom}^c(G, K_\chi) \neq \emptyset$ . If  $\chi > \frac{\Delta(G)}{2} + 1$  then  $|V(G)| \leq \frac{\chi(\chi-1)}{2\chi-\Delta(G)-2}$ .*

**Proof.** Let  $\sigma \in \text{Hom}^c(G, K_\chi)$  and also let  $C_i$ 's be the colour-classes of  $\sigma$ . Without loss of generality, assume that  $|C_1| = m$  is the size of the smallest

colour-class and let  $E_i$  be the edge-set of the induced subgraph on  $C_1 \cup C_i$ . Since,  $\sigma \in \text{Hom}^c(G, K_\chi)$  we have  $|E_i| \geq |C_1| + |C_i| - 1$ . Hence,

$$\sum_{i=2}^{\chi} |E_i| \geq (\chi - 2)m + |V(G)| - (\chi - 1).$$

On the other hand, we have  $\Delta(G)m \geq \sum_{i=2}^{\chi} |E_i|$  and consequently,

$$m \geq \frac{|V(G)| - \chi + 1}{\Delta(G) - \chi + 2}.$$

Moreover, by hypothesis and the fact that  $\chi m \leq |V(G)|$ , we have

$$|V(G)| \leq \frac{\chi(\chi - 1)}{2\chi - \Delta(G) - 2}.$$

■

In the next theorem the graph Prism is the Cartesian product of  $K_3$  and  $K_2$ . Also,  $\mathcal{D}$  is the class of all graphs obtained by excluding one edge from the complete graph  $K_n$  for any  $n \geq 3$ .

**Corollary 1.** *Consider a graph  $G \notin \mathcal{D}$  that is not isomorphic to the Prism. If  $\chi(G) = \Delta(G)$  then  $G$  is chromatically disconnected.*

**Proof.** For any  $\sigma \in \text{Hom}^c(G, K_\chi)$ , by  $\chi(G) = \Delta(G)$  and Proposition 2 we have

$$|V(G)| \leq \frac{\Delta(G)(\Delta(G) - 1)}{\Delta(G) - 2}.$$

If  $\Delta(G) \geq 5$  then we have  $|V(G)| < \Delta(G) + 2$  which implies that  $|V(G)| = \Delta(G) + 1$ . Also, for both of the cases  $\Delta(G) = 4$  and  $\Delta(G) = 3$  we have  $|V(G)| \leq 6$ .

Note that for any connected colouring  $\sigma$ , the degree of any vertex that appears as a colour-class of size one must be equal to  $|V(G)| - 1$ . Hence, for the case  $\Delta = 4$  we have  $|V(G)| = \Delta(G) + 1 = 5$ , and for the case  $\Delta = 3$  we have either  $|V(G)| = 6$  or  $|V(G)| = \Delta(G) + 1 = 4$ .

It is easy to check that the case  $\Delta(G) = 3$  and  $|V(G)| = 6$  reduces to a graph isomorphic to the Prism. Consequently, for the rest of the cases we should have  $|V(G)| = \Delta(G) + 1$  when  $\Delta(G) \geq 3$ . But it is easy to see that in these cases one of the colour-classes is of size two and all other colour-classes must be of size one. This clearly reduces the possible cases to the graphs in  $\mathcal{D}$ . ■

**Corollary 2.** *The set  $\mathcal{D}$  can be described as follows,*

$$\mathcal{D} = \{G \mid \chi(G) = \Delta(G) \text{ \& } G \text{ is uniquely vertex colourable}\}.$$

In what follows we consider some other interesting classes of chromatically disconnected graphs.

**Theorem 1.** *If  $m$  is sufficiently larger than  $n \geq 2$ , then the Kneser graph  $KG(m, n)$  is chromatically disconnected.*

**Proof.** Assume that  $KG(m, n)$  admits a connected  $\chi$ -colouring  $\sigma \in \text{Hom}^c(KG(m, n), K_\chi)$  with the set of colour-classes

$$\{C_i \mid 1 \leq i \leq m - 2n + 2 = \chi\}.$$

It was proved by Hilton and Milner [8] that if  $X$  is an independent set of  $KG(m, n)$  of size greater than

$$\binom{m-1}{n-1} - \binom{m-n-1}{n-1} + 1,$$

then for some  $a \in [m]$ ,

$$\bigcap_{A \in X} A = \{a\}.$$

Therefore, since  $\binom{m-1}{n-1} - \binom{m-n-1}{n-1} + 2 = O(m^{n-2})$ , there exists an integer  $t(n)$  such that if  $m \geq t(n)$ , then there are two colour-classes  $C_i$  and  $C_j$  for which the following inequalities hold,

$$|C_i| \geq \frac{|V(KG(m, n))|}{\chi} > \binom{m-1}{n-1} - \binom{m-n-1}{n-1} + 1, \quad (1)$$

$$|C_j| \geq \frac{|V(KG(m-1, n))|}{\chi-1} > \binom{m-2}{n-1} - \binom{m-n-2}{n-1} + 1. \quad (2)$$

On one hand, by Hilton and Milner theorem [8] and Equation 1, there exists an integer  $a \in [m]$  such that,

$$\bigcap_{A \in C_i} A = \{a\}.$$

Hence, for any subset  $A$  with  $a \in A \subseteq [m]$  we should have  $A \in C_i$  (or otherwise  $\sigma$  will become a disconnected homomorphism).

Consider the graph  $KG(m, n) - C_i \simeq KG(m-1, n)$  where again, Hilton and Milner theorem [8] and Equation 2, imply that there exists an integer  $b \neq a$  such that,

$$\bigcap_{B \in C_j} B = \{b\}.$$

Choose  $T \subset [m]$  with  $|T| = n \geq 2$  and  $\{a, b\} \subseteq T$ . Then since  $T$  should represent a vertex of  $C_i$  and is not adjacent to any vertex in  $C_j$ , the homomorphism  $\sigma$  should be disconnected, which is a contradiction. ■

Existence of small chromatically disconnected Kneser graphs such as the Petersen graph and the above theorem are good motivations for the following problem.

**Problem 1.** *Is it true that any non complete Kneser graph is chromatically disconnected ?*

It is known that any graph of class II (i.e.  $\chi'(G) = \Delta(G) + 1$ ) other than  $K_3$  is not uniquely edge-colourable [1, 3]. The next theorem is a result that extends this fact in terms of connectivity of colourings.

**Theorem 2.** *If  $G$  is a class II graph and  $G$  is not a complete graph, then the line graph  $L(G)$  is chromatically disconnected.*

**Proof.** Let  $G$  be a class II graph,  $\sigma \in \text{Hom}^c(L(G), K_{\Delta(G)+1})$  and let  $\sigma'$  be the corresponding edge-colouring of  $G$ . Note that the subgraph induced on any two colour-classes of  $\sigma'$  in  $G$  is either a path or a cycle. Consider a vertex  $v$  of  $G$  with degree  $d_v$ . If  $A$  is the set of colours appearing on the edges incident to  $v$ , then the graph induced on the colours  $c_1 \in A$  and  $c_2 \notin A$  is a path with the end-vertex  $v$  for any such colours  $c_1$  and  $c_2$ . Hence, the number of two-coloured paths with end-vertex  $v$  is  $d_v(\Delta(G) + 1 - d_v)$ . On the other hand, the number of end-vertices of all paths appearing as the induced subgraphs of pairs of colours in  $\sigma'$  is at most  $2^{\binom{\Delta(G)+1}{2}}$  and consequently,

$$\sum_{u \in V(G)} d_u(\Delta(G) + 1 - d_u) \leq 2^{\binom{\Delta(G)+1}{2}}.$$

Note that the minimum of any term in the left-hand-side is  $\Delta(G)$  and this is possible only when  $d_u$  is equal to 1 or  $\Delta(G)$ . This shows that  $|V(G)| = \Delta(G) + 1$  and since  $\sigma$  is connected, the degree of any vertex should be equal to 1 or  $\Delta(G)$ .

Also, for any vertex  $v \in V(G)$  we have  $d_v > 1$ , since  $|V(G)| = \Delta(G) + 1$  and  $G$  is a graph of class II. Therefore,  $G$  should be a complete graph on an odd number of vertices. ■

On the other hand, one can consider the case of chromatically critical graphs and note that any non-complete critical graph is not chromatically connected. Hence, we formulate the following problem.

**Problem 2.** *Characterize all chromatically disconnected critical graphs.*

## 4 Algorithmic considerations

Our main result in this section is to prove that the following decision problem is NP-complete.

**Problem:** CON $n$ COL

**Given:** A graph  $G$  and an integer  $n \geq 3$ .

**Question:** Does there exist a connected homomorphism  $\sigma \in \text{Hom}^c(G, K_n)$ ?

We proceed by considering the basic case  $n = 3$ .

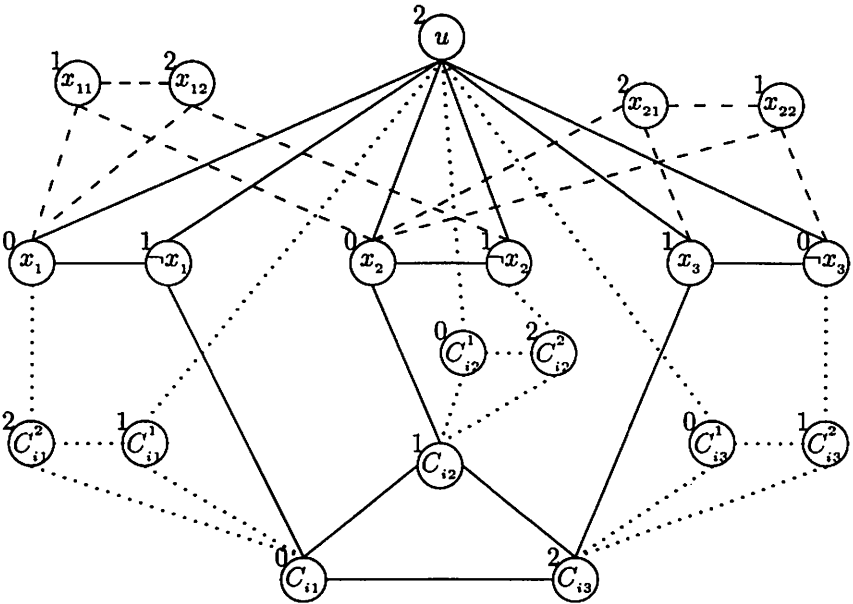


Figure 1: Portion of the graph  $G_\phi$  for the clause  $(x_1 \vee \neg x_2 \vee \neg x_3)$  (see Theorem 3).

**Theorem 3.** CON3COL is NP-complete.

**Proof.** The proof is a modified version of the standard reduction used to prove the NP-completeness of 3-COL from NAESAT (e.g. see [9]). In what follows we express the details.

We are given a set of clauses  $\phi = (C_1, \dots, C_m)$ , each with three literals,

involving the variables  $x_1, \dots, x_t$ , and we are asked whether there is a truth assignment on the variables such that no clause has all literals *true* or all literals *false*. On the other hand, we shall construct a graph  $G_\phi$  and argue that it admits a connected 3-colouring if and only if  $\phi$  admits such an NAE truth assignment.

First, we describe the structure of  $G_\phi$  and then we prove that such a construction is actually a polynomial time many-to-one reduction from NAE-SAT to CON3COL. The vertex set of  $G_\phi$  is defined as follows:

$$V(G_\phi) \stackrel{\text{def}}{=} \{u\} \cup V_1 \cup V_2 \cup V_3 \cup V_4$$

where,

- $V_1 \stackrel{\text{def}}{=} \{x_i \mid 1 \leq i \leq t\} \cup \{\neg x_i \mid 1 \leq i \leq t\}$ ,
- $V_2 \stackrel{\text{def}}{=} \{x_{i,j} \mid 1 \leq i \leq t-1 \ \& \ 1 \leq j \leq 2\}$ ,
- $V_3 \stackrel{\text{def}}{=} \{C_{i,j} \mid 1 \leq i \leq m \ \& \ 1 \leq j \leq 3\}$ ,
- $V_4 \stackrel{\text{def}}{=} \{C_{i,j}^k \mid 1 \leq i \leq m \ \& \ 1 \leq j \leq 3 \ \& \ 1 \leq k \leq 2\}$ .

Now, we describe the edges as follows (see Figures 1).

- For each  $1 \leq i \leq t$ , vertices  $x_i$  and  $\neg x_i$ , along with the vertex  $u$  form a triangle.
- For each  $1 \leq i \leq t$ , vertices  $x_i$ ,  $x_{i1}$  and  $x_{i2}$  form a triangle.
- For each  $1 \leq i \leq t-1$ ,  $x_{i1}$  is adjacent to  $x_{i+1}$  and  $x_{i2}$  is adjacent to  $\neg x_{i+1}$ .
- For each clause  $C_i$  ( $1 \leq i \leq m$ ), the vertices  $C_{i1}, C_{i2}$  and  $C_{i3}$  form a triangle.
- For each clause  $C_i$  ( $1 \leq i \leq m$ ), the vertex  $C_{i,j}$  is connected to the vertex in  $V_1$  that represents the negation of the  $j$ th literal of  $C_i$  for any  $1 \leq j \leq 3$ .
- For each vertex  $C_{i,j}$ , corresponding to the  $j$ th literal in the  $i$ th clause, the vertices  $C_{i,j}^1, C_{i,j}^2$  and  $C_{i,j}$  form a triangle.
- For all  $1 \leq i \leq m$  and  $1 \leq j \leq 3$ , the vertex  $C_{i,j}^1$  is adjacent to  $u$  and the vertex  $C_{i,j}^2$  is connected to the vertex in  $V_1$  that represents the  $j$ th literal of  $C_i$ .



This completes the description of  $G_\phi$  and it is easy to see that  $|E(G_\phi)| = 21m + 8t - 5$  which implies that the construction can be simulated in polynomial time. In the rest of the proof we check that this construction defines a many-to-one reduction.

On one hand, we prove that if  $G_\phi$  admits a 3-colouring then  $\phi$  is a satisfiable instance of NAESAT (note that this is essentially more than what we need since we do not assume that the 3-colouring is a connected colouring of  $G_\phi$ ). To see this, assume that  $G_\phi$  admits a 3-colouring  $\sigma$  with colours in  $\{0, 1, 2\}$ , where we interpret the colour 0 as the truth value *False* and the colour 1 as the truth value *True*. Without loss of generality we may assume that  $\sigma(u) = 2$ , and consequently, all vertices in  $V_1$  take their colours in  $\{0, 1\}$ . Since each pair of vertices  $\{x_i, \neg x_i\}$  form a 2-clique, we may consider the truth assignment  $\sigma_\phi$  induced by  $\sigma|_{V_1}$ . By considering the edges between  $V_1$  and  $V_3$ , and the fact that for each clause  $C_i$  the vertices  $C_{i1}, C_{i2}$  and  $C_{i3}$  form a 3-clique, it is easy to see that  $\sigma_\phi$  is a valid truth assignment for  $\phi$  that also satisfies the NAE condition.

On the other hand, assume that  $\phi$  is a satisfiable instance of NAESAT, and we shall show that  $G_\phi$  admits a connected 3-colouring. For this, let  $\sigma_\phi$  be a valid truth assignment for  $\phi$  and consider the 3-colouring  $\sigma$  for  $G_\phi$  defined as follows:

- $\sigma(u) = 2$ .
- $\forall v \in V_1 \quad \sigma(v) = \sigma_\phi(v)$ .
- Since  $\sigma_\phi$  satisfies the NAE condition, without loss of generality, we let  $C_{i1}$  be a *False* literal, and  $C_{i2}$  be a *True* literal in the  $i$ th clause  $C_i$ , and we define,

$$\sigma(C_{i1}) = 0, \quad \sigma(C_{i2}) = 1 \quad \text{and} \quad \sigma(C_{i3}) = 2.$$

- If  $\sigma(C_{ij}) = 2$ , we let  $\sigma(C_{ij}^1)$  be the truth value, and  $\sigma(C_{ij}^2)$  be the negation of the truth value, of the  $j$ th literal in  $C_i$ . Otherwise, if  $\sigma(C_{ij}) \neq 2$ , we let  $\sigma(C_{ij}^1)$  be the negation of the truth value of the  $j$ th literal in  $C_i$  and  $\sigma(C_{ij}^2) = 2$ .
- For each  $1 \leq i \leq t - 1$ , if  $\sigma_\phi(x_i) = \sigma_\phi(x_{i+1})$  then we let  $\sigma(x_{i1})$  be the truth value of  $\neg x_i$  and  $\sigma(x_{i2}) = 2$ . Otherwise, we let  $\sigma(x_{i1}) = 2$  and we let  $\sigma(x_{i2})$  be the truth value of  $\neg x_i$ .

It is easy to check that  $\sigma$  is a proper 3-colouring of  $G_\phi$ . In the rest of the proof we show that  $\sigma$  is a connected 3-colouring of  $G_\phi$ .

- *We show that the graph  $G_{\sigma_1}$  induced on the set of vertices with colours in  $\{0, 1\}$  is connected.*

First, note that the subgraph induced on  $V(G_{0_1}) \cap (V_1 \cup V_2)$  is connected. Also, each vertex of type  $C_{i_1}$ ,  $C_{i_2}$  or  $C_{i_3}^2$  is connected to one of the vertices in  $V_1$  by the definition of  $G_\phi$ .

On the other hand, a vertex of type  $C_{i_j}^1$  is adjacent to both of the vertices  $C_{i_j}$  and  $C_{i_j}^2$ , while we know that in any case exactly one of these vertices take its colour from  $\{0, 1\}$  in the colouring  $\sigma$ .

- We show that the graph  $G_{0_2}$  induced on the set of vertices with colours in  $\{0, 2\}$  is connected (a similar proof holds for the case  $\{1, 2\}$ ).

By the colouring procedure, it is easy to check that any vertex  $v \in V_2$  with  $\sigma(v) = 2$  is adjacent to two vertices in  $V_1$  with different colours in  $\{0, 1\}$ . Hence, the subgraph induced on  $V(G_{0_2}) \cap (\{u\} \cup V_1 \cup V_2)$  is connected.

Also, by the colouring procedure and the definition of  $G_\phi$ , since  $\sigma(C_{i_1}) = 0$  we know that  $\sigma(C_{i_1}^2) = 2$  and the vertex adjacent to  $C_{i_1}^2$  in  $V_1$  has the colour 0.

On the other hand, the 2-clique formed by  $C_{i_2}^1$  and  $C_{i_2}^2$  is connected to  $u$  through  $C_{i_2}^1$ . Similarly, since  $C_{i_3}$  is connected to both  $C_{i_2}$  and  $C_{i_1}$ , the vertex in  $\{C_{i_3}^1, C_{i_3}^2\}$  whose colour is 0 is connected to the rest of this subgraph.

■

As a corollary we have,

**Theorem 4.** For any  $n \geq 3$  CONnCOL is NP-complete.

**Proof.** Construct a new graph  $G'_\phi$  by considering the same graph  $G_\phi$  of Theorem 3 and adding a  $(n - 3)$ -clique that is joined to  $G_\phi$ , i.e. each vertex of this clique is adjacent to all vertices of  $G_\phi$ . It is easy to see that  $G'_\phi$  introduces the necessary polynomial reduction. ■

We naturally formulate the following problem in connection with the main result of [7].

**Problem 3.** Is the following problem NP-complete?

Problem: CONHCOL

Constant: A non-bipartite simple graph  $H$ .

Given: A graph  $G$ .

Question: Does there exist a connected homomorphism  $\sigma \in \text{Hom}^c(G, H)$ ?

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