HOW PERMUTATIONS DISPLACE POINTS AND STRETCH INTERVALS

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ABSTRACT. Let S_n be the set of permutations on $\{1,\ldots,n\}$ and $\pi\in S_n$. Let $\mathrm{d}(\pi)$ be the arithmetic average of $\{|i-\pi(i)|;\ 1\leq i\leq n\}$. Then $\mathrm{d}(\pi)/n\in[0,1/2]$, the expected value of $\mathrm{d}(\pi)/n$ approaches 1/3 as n approaches infinity, and $\mathrm{d}(\pi)/n$ is close to 1/3 for most permutations. We describe all permutations π with maximal $\mathrm{d}(\pi)$.

Let $\mathbf{s}^+(\pi)$ and $\mathbf{s}^*(\pi)$ be the arithmetic and geometric averages of $\{|\pi(i) - \pi(i+1)|; \ 1 \leq i < n\}$, and let M^+ , M^* be the maxima of \mathbf{s}^+ and \mathbf{s}^* over S_n , respectively. Then $M^+ = (2m^2 - 1)/(2m - 1)$ when n = 2m, $M^+ = (2m^2 + 2m - 1)/(2m)$ when n = 2m + 1, $M^* = (m^m(m+1)^{m-1})^{1/(n-1)}$ when n = 2m, and, interestingly, $M^* = (m^m(m+1)(m+2)^{m-1})^{1/(n-1)}$ when n = 2m + 1 > 1. We describe all permutations π , σ with maximal $\mathbf{s}^+(\pi)$ and $\mathbf{s}^*(\sigma)$.

1. MOTIVATION AND INTRODUCTION

Allow us to begin with a motivation from the area of turbo coding [5, 8]: Starting with the very first example [1], every turbo code employs a permutation, called the *interleaver*. Although the interleaver has several functions within the coding process, its main objective is to scramble the input bits so that input sequences with a few nonzero bits do not produce output sequences with many nonzero bits, upon being encoded with a convolutional code. The interleaver is typically of length at least one thousand.

While it is easy to simulate the transmission channel and measure the performance of a turbo code with a particular interleaver statistically, it appears to be difficult to characterize those permutations that will perform well as interleavers without actually testing them. Indeed, early publications on turbo coding recommend to select the interleaver at random—an advice still followed in practice.

Nevertheless, it has now become clear that it is sometimes possible to match or outperform random interleavers with deterministic or semirandom interleavers by carefully analyzing the channel and the decoding algorithm, among other parameters.

As an illustration, we mention three properties of permutations that have been suggested in the literature as desirable for the purposes of turbo coding. Let n be an integer, S_n the set of permutations on $\{1, \ldots, n\}$, and $\pi \in S_n$. Then:

- (a) π should have no fixed points and, more generally, the delay $i-\pi(i)$ should be far from zero for every i [4, 7],
- (b) the quantity $\min\{|i-j|+|\pi(i)-\pi(j)|; 1 \le i < j \le n\}$ should be large [3, 7],
- (c) the dispersion $|\{(i-j,\pi(i)-\pi(j)); 1 \le i < j \le n\}| \cdot (n(n-1)/2)^{-1}$ should be large [9, 5].

Viewed in this way, interleaver design is very much a combinatorial problem.

In this paper, we define and discuss two properties of permutations similar to (a)–(c), namely displacement and stretch. Most of our arguments are combinatorial in nature and no knowledge of coding is needed. While the results obtained here can be considered complete from the mathematical point of view (in their narrow scope), the investigation of the impact of the results on turbo coding is in preliminary stages, is carried out by a different group of researchers, and is mentioned only once below.

Here are the two properties and a summary of results:

1.1. **Displacement.** For $\pi \in S_n$, let

(1)
$$d(\pi) = \sum_{i=1}^{n} \frac{|i - \pi(i)|}{n}.$$

The value $d(\pi)$ has been defined in [4, Thm. 2], where it is called descriptively the average of the absolute values of the delays. We prefer to call it the displacement of π , and $d(\pi)/n$ the normalized displacement of π .

We prove that the normalized displacement of a permutation ranges between 0 and 1/2, and we find all permutations with extreme displacement. Among all permutations in S_n , the average normalized displacement approaches 1/3 as n approaches ∞ . Moreover, the distribution of displacements is such that a long, randomly chosen permutation will very likely have normalized displacement close to 1/3.

Hence, by selecting the interleaver at random, the class of permutations with large or small displacement is rarely (never!) put to the test. Preliminary results of Ramya Chandramohan [2] indicate that an S-random interleaver (see [3]) with larger than average displacement performs slightly better than an S-random interleaver.

It is easy to construct permutations with normalized displacement arbitrarily close to a given $0 \le d \le 1/2$. The problem is more difficult when the permutation is supposed to have additional properties.

1.2. Stretching. The two quantities defined in (b), (c) are telling us something about how the permutation π stretches intervals. To measure the

average stretch of an arbitrary collection \mathcal{A} of subsets of $N = \{1, ..., n\}$, we propose the following two definitions:

For $A \subseteq N$, let diam $(A) = \max\{i; i \in A\} - \min\{i; i \in A\}$. When $A \subseteq 2^N$ and $\pi \in S_n$, let

(2)
$$s_{\mathcal{A}}^{+}(\pi) = |\mathcal{A}|^{-1} \cdot \left(\sum_{A \in \mathcal{A}} \frac{\operatorname{diam}(\pi(A))}{\operatorname{diam}(A)} \right),$$

and

(3)
$$s_{\mathcal{A}}^{*}(\pi) = \left(\prod_{A \in \mathcal{A}} \frac{\operatorname{diam}(\pi(A))}{\operatorname{diam}(A)}\right)^{1/|\mathcal{A}|}.$$

We call both formulas the stretch of π with respect to A. Formula (3), which gives equal weight to relative stretching and shrinking, is merely the multiplicative version of (2).

Since the formulas (2), (3) emphasize average stretch instead of extreme stretch, they become trivial when $\mathcal{A}=2^N$, $\mathcal{A}=\{\{i,j\};\ i< j\in N\}$, etc. However, they are not meaningless. For instance, when n=3 and $\mathcal{A}=\{\{1,2\},\{2,3\}\}$, we have $\mathbf{s}^+_{\mathcal{A}}((1,3,2))=3/2>1=\mathbf{s}^+_{\mathcal{A}}(\mathrm{id})$ and $\mathbf{s}^*_{\mathcal{A}}((1,3,2))=\sqrt{2}>1=\mathbf{s}^*_{\mathcal{A}}(\mathrm{id})$, as one would expect.

It appears to be hopelessly complicated to analyze s⁺ and s^{*} for an arbitrary collection \mathcal{A} . We therefore focus on stretching with respect to $\mathcal{B} = \{\{i, i+1\}; 1 \leq i < n\}$.

Roughly speaking, the additive formula (2) with A = B is maximized by any permutation that starts in the middle of the interval N and keeps oscillating between the two halves of N. The multiplicative formula (3) with A = B leads to a much more intricate solution. The maximum of s* is

$$(m^m m^{m-1})^{1/(n-1)}$$
, when $n = 2m$, and $(m^m (m+1)(m+2)^{m-1})^{1/(n-1)}$, when $n = 2m+1$.

(See Acknowledgement.) Furthermore, the maximum is attained by two permutations when n is even, and by four permutations when n > 1 is odd.

2. DISPLACEMENT

2.1. Average displacement. We are first going to determine the average value of $d(\pi)$ over all permutations $\pi \in S_n$. The formula (4) can be obtained by combining Theorems 2 and 4 of [4] but our proof is shorter and more straightforward.

Theorem 2.1. Let $n \ge 1$ be an integer. Then

(4)
$$\frac{1}{n!} \sum_{\pi \in S_n} d(\pi) = \frac{n^2 - 1}{3n}.$$

Proof. Pick $m \in N$. Since the number of permutations $\pi \in S_n$ mapping m onto some m' is equal to (n-1)!, we have

$$\frac{1}{n!} \sum_{\pi \in S_n} |m - \pi(m)| = \frac{((m-1) + \dots + 1) + (1 + \dots + (n-m))}{n}.$$

Thus

$$\frac{1}{n!} \sum_{\pi \in S_n} d(\pi) = \frac{1}{n!} \sum_{\pi \in S_n} \frac{1}{n} \sum_{m=1}^n |m - \pi(m)| = \frac{1}{n} \sum_{m=1}^n \frac{1}{n!} \sum_{\pi \in S_n} |m - \pi(m)|$$

$$= \frac{1}{n} \sum_{m=1}^n \frac{(m-1)m + (n-m)(n-m+1)}{2n}$$

$$= \frac{1}{n} \sum_{m=1}^n \frac{(n-m)^2 + (m-1)^2 + n - 1}{2n}.$$

We now note that

$$\sum_{m=1}^{n} (n-m)^2 = \frac{(n-1)n(2n-1)}{6} = \sum_{m=1}^{n} (m-1)^2,$$

and the result follows.

The average displacement over all permutations from S_n is therefore about n/3. Asymptotically:

Corollary 2.2. We have

$$\lim_{n\to\infty}\frac{1}{n}\cdot\frac{1}{n!}\sum_{\pi\in S_n}\mathrm{d}(\pi)=\frac{1}{3}.$$

2.2. Extreme displacement. The minimal displacement $d(\pi) = 0$ is attained by exactly one permutation—the identity permutation. The dual question concerning maximal displacement is more interesting.

Let us call a permutation $\pi \in S_n$ crossing if for every i, j in N the two closed intervals $[i, \pi(i)]$, $[j, \pi(j)]$ intersect (possibly at a single point). Otherwise, π is said to be noncrossing.

Lemma 2.3. Let $\pi \in S_n$ be a noncrossing permutation. Then there is $\rho \in S_n$ with $d(\rho) > d(\pi)$.

Proof. Since π is noncrossing, there are i < j in N such that the intervals $[i, \pi(i)], [j, \pi(j)]$ are disjoint. Let $\rho = \pi \circ (i, j)$, where the transposition (i, j) is applied first. Then

$$|i-\rho(i)|+|j-\rho(j)|=|i-\pi(i)|+|j-\pi(j)|+2(\min\{j,\pi(j)\}-\max\{i,\pi(i)\}),$$
 which is perhaps best apparent from Figure 1. Since $i < j$ and π is non-crossing, the term $\min\{j,\pi(j)\}-\max\{i,\pi(i)\}$ is positive, proving that $d(\rho) > d(\pi)$.

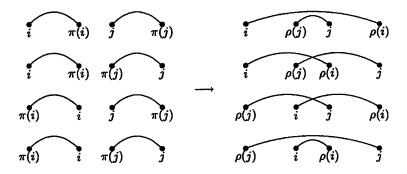


FIGURE 1. Increasing displacement of noncrossing permutations.

Now when we have seen that only crossing permutations can attain maximal displacement, we characterize them.

Lemma 2.4. Let $\pi \in S_n$. If n = 2m then π is crossing if and only if it maps $\{1, \ldots, m\}$ onto $\{m+1, \ldots, n\}$. If n = 2m+1 then π is crossing if and only if it maps $\{1, \ldots, m\}$ to $\{m+1, \ldots, n\}$ and $\{m+2, \ldots, n\}$ to $\{1, \ldots, m+1\}$.

Proof. Suppose first that n=2m. Assume that π is crossing. If there is $i\in\{1,\ldots,m\}$ with $\pi(i)\in\{1,\ldots,m\}$ then, by the pigeon-hole principle, there must also be $j\in\{m+1,\ldots,n\}$ with $\pi(j)\in\{m+1,\ldots,n\}$. But then the points i,j and their images $\pi(i),\pi(j)$ witness that π is noncrossing, a contradiction. Conversely, every permutation π mapping $\{1,\ldots,m\}$ onto $\{m+1,\ldots,n\}$ must also map $\{m+1,\ldots,n\}$ onto $\{1,\ldots,m\}$, and hence is a crossing permutation.

Now suppose that n=2m+1. Assume that π is crossing and that $\pi(m+1) \geq m+1$. Then the image of $\{1,\ldots,m\}$ must be contained in $\{m+1,\ldots,n\}$, which forces π to map $\{m+2,\ldots,n\}$ onto $\{1,\ldots,m\}$. Similarly when π is crossing and $\pi(m+1) \leq m+1$. Conversely, assume that π maps $\{1,\ldots,m\}$ to $\{m+1,\ldots,n\}$ and $\{m+2,\ldots,n\}$ to $\{1,\ldots,m+1\}$. Looking at two points at a time, it is easy to see that π is crossing. \square

Note that the odd case of Lemma 2.4 imposes no restriction on the image of the midpoint m+1. Nevertheless, once m+1 is mapped somewhere, condition (ii) of Lemma 2.4 forces π to behave in a certain way. For instance, when $\pi(m+1) > m+1$, it follows that $\pi^{-1}(m+1) < m+1$. We will need this fact in the next theorem.

Theorem 2.5. Given $n \geq 1$, let $d_n = \max\{d(\pi); \pi \in S_n\}$, and $D_n = \{\pi \in S_n; d(\pi) = d_n\}$. Then $\pi \in D_n$ if and only if π is crossing. Moreover, $d_n = n/2$ when n is even, and $d_n = (n-1)(n+1)(2n)^{-1}$ when n is odd.

Proof. Suppose that n=2m, and let $\pi \in S_n$ be a crossing permutation. By Lemma 2.4, π maps $\{1,\ldots,m\}$ onto $\{m+1,\ldots,n\}$ and vice versa. Therefore

$$nd(\pi) = \sum_{i=1}^{m} |i - \pi(i)| + \sum_{i=m+1}^{n} |i - \pi(i)|$$

$$= \sum_{i=1}^{m} (\pi(i) - i) + \sum_{i=m+1}^{n} (i - \pi(i))$$

$$= 2\left(\sum_{i=m+1}^{n} i - \sum_{i=1}^{m} i\right) = 2\left(\frac{n(n+1)}{2} - 2 \cdot \frac{m(m+1)}{2}\right) = \frac{n^2}{2}.$$

This short calculation proves that, as far as π is crossing, the value of $d(\pi)$ is independent of π and is equal to n/2. The set D_n then coincides with crossing permutations by Lemma 2.3, and $d_n = n/2$ follows.

Suppose that n=2m+1, and let $\pi \in S_n$ be a crossing permutation. If $\pi(m+1) \neq m+1$, we construct a crossing permutation ρ with $\rho(m+1) = m+1$ satisfying $d(\rho) = d(\pi)$ as follows: Without loss of generality, suppose $c = \pi(m+1) > m+1$. Then $a = \pi^{-1}(m+1) < m+1$, as we have remarked before this theorem. Let $\rho(a) = c$, $\rho(c) = a$, $\rho(m+1) = m+1$ and $\rho(k) = \pi(k)$ for $k \notin \{a, m+1, c\}$. By the construction, $d(\pi) = d(\rho)$.

We can therefore assume that the crossing permutation π fixes m+1. Then, by Lemma 2.4,

$$nd(\pi) = \sum_{i=1}^{m} (\pi(i) - i) + \sum_{i=m+2}^{n} (i - \pi(i))$$
$$= 2\left(\sum_{i=m+2}^{n} i - \sum_{i=1}^{m} i\right) = 2m(m+1) = \frac{(n-1)(n+1)}{2}.$$

As in the even case, we see that the value of $d(\pi)$ does not depend on π , that D_n consists exactly of all crossing permutations, and that $d_n = (n-1)(n+1)(2n)^{-1}$.

2.3. Distribution of displacements. The reader may wish to select a permutation π of length n=1000 at random and calculate its displacement $d(\pi)$. We predict that $330 < d(\pi) < 336$. We could be wrong, of course, as there are permutations with displacement ranging from 0 to n/2. Using the characterization of permutations with maximal displacement (Lemma 2.4), we count exactly $(m!)^2$ such permutations in the even case n=2m. The ratio $(2m)!/((m!)^2)$ approaches 0 exponentially fast, so such permutations

are rare. This is an instance of a much more general notion known to measure theorists as concentration of measure phenomena. Let us talk about it briefly, imitating [6, Ch. 6].

Let (X, ρ, μ) be a metric space equipped with a Borel probability measure μ . For a subset A of X and $\varepsilon > 0$ define $A_{\varepsilon} = \{x \in X; \ \rho(x, A) \leq \varepsilon\}$, where $\rho(x, A)$ is the distance of x from the set A. The concentration function $\alpha(X, \cdot) : \mathbb{R}^+ \to \mathbb{R}^+_0$ is defined by

$$\alpha(X,\varepsilon) = 1 - \inf\{\mu(A_{\varepsilon}); A \subseteq X, A \text{ is Borel}, \mu(A) \ge 1/2\}.$$

In words, $\alpha(X,\varepsilon)$ measures how much space remains in X when one half of X is inflated by ε .

Let $\mathcal{X} = \{(X_n, \rho_n, \mu_n); n = 1, 2, ...\}$ be a family of metric probability spaces. Then \mathcal{X} is called a *normal Levy family* with constants c_1 , c_2 if for every $\varepsilon > 0$ and for every n we have $\alpha(X_n, \varepsilon) \leq c_1 e^{-c_2 \varepsilon^2 n}$.

Let ρ_n be the (normalized Hamming) metric on S_n defined by

$$\rho_n(\pi,\sigma) = \frac{1}{n} |\{i; \ \pi(i) \neq \sigma(i)\}|,$$

and let μ_n be the (normalized counting) measure on S_n defined by

$$\mu_n(\pi) = \frac{1}{n!}.$$

Then $\{(S_n, \rho_n, \mu_n)\}$ is a normal Levy family with constants $c_1 = 2$, $c_2 = 1/64$, according to [6, Sec. 6.4].

Although the defining condition for normal Levy families only restricts the interplay of the measure and the metric in (X_n, ρ_n, μ_n) , one can say a lot about the behavior of reasonable functions $f_n: X_n \to \mathbb{R}$. We will assume here that f_n is Lipschitz with constant 1 (i.e., $|f_n(x) - f_n(y)| \le \rho_n(x, y)$ for every $x, y \in X_n$), but a more general requirement would do (cf. [6]).

So, assume that $f:(X,\rho,\mu)\to\mathbb{R}$ is Lipschitz with constant 1. Denote by M_f the median value of f on X, and let $A=\{x\in X;\ f(x)\leq M_f\}$, $B=\{x\in X;\ f(x)\geq M_f\}$. Then, by definition, $\mu(A)\geq 1/2,\ \mu(B)\geq 1/2,$ and $\mu(\{x\in X;\ |f(x)-M_f|\leq \varepsilon\}|\geq \mu(A_\varepsilon\cap B_\varepsilon)\geq 1-2\alpha(X,\varepsilon)$. When $X=X_n$ is a member of a normal Levy family, we thus obtain

$$\mu(\{x \in X_n; |f(x) - M_f| \le \varepsilon\}) \ge 1 - 2c_1 e^{-c_2 \varepsilon^2 n}.$$

When $X_n = S_n$ is equipped with the above metric and measure, we get

$$\mu(\lbrace x \in X_n; |f(x) - M_f| \le \varepsilon \rbrace) \ge 1 - 4e^{-\varepsilon^2 n/64}.$$

This inequality explains why the values of f on S_n are packed near the median. Moreover, with such a spike in the distribution, the median will be close to the average value of f.

We are about to clinch the argument with the following observation:

Proposition 2.6. Let (S_n, ρ_n, μ_n) be as above. Then all functions $f_n : S_n \to \mathbb{R}$ defined by $f_n(\pi) = d(\pi)/n$ are Lipschitz with constant 1.

Proof. Let π , σ be two permutations in S_n . Then

$$\begin{split} \frac{1}{n} |\mathrm{d}(\pi) - \mathrm{d}(\sigma)| &= \frac{1}{n^2} \left| \sum_{i=1}^n |i - \pi(i)| - \sum_{i=1}^n |i - \sigma(i)| \right| \\ &\leq \frac{1}{n^2} \left| \sum_{i=1}^n |i - \pi(i) - i + \sigma(i)| \right| = \frac{1}{n^2} \sum_{i=1}^n |\pi(i) - \sigma(i)| \\ &\leq \frac{1}{n^2} \cdot n \cdot |\{i; \pi(i) \neq \sigma(i)\}| = \rho_n(\pi, \sigma), \end{split}$$

and we are through.

2.4. Prescribed displacement. Since S_n is finite, the values of $d(\pi)/n$ for a fixed n cannot cover the interval [0, 1/2]. However, we can get arbitrarily close to any value in [0, 1/2] if we allow n to be sufficiently large; as we are going to show.

The idea is to leave π identical on a certain proportion of N and displace the remaining points as much as possible.

Proposition 2.7. Let d be such that $0 \le d \le 1/2$. Then there is a sequence of permutations $\pi_n \in S_n$ such that $\lim_{n\to\infty} d(\pi_n)/n = d$.

Proof. Let $\delta = \sqrt{2d}$, and let $u_n = \lceil \delta n/2 \rceil$. Define $\pi_n \in S_n$ as follows:

$$\pi(i) = \begin{cases} i + u_n, & 1 \le i \le u_n, \\ i - u_n, & u_n + 1 \le i \le 2u_n, \\ i, & i > 2u_n. \end{cases}$$

Then $d(\pi_n)/n = 2u_n^2/n^2 = 2\lceil \delta n/2\rceil^2/n^2$. Since both $2(\delta n/2)^2/n^2$ and $2(\delta n/2+1)^2/n^2$ tend to $\delta^2/2 = d$ when n approaches infinity, we are done by the Squeeze theorem.

3. STRETCHING WITH ADDITIVE FORMULA

In this section, we answer the following question: For which permutations $\pi \in S_n$ is $s_{\mathcal{B}}^+(\pi)$ maximal, where $\mathcal{B} = \{\{i, i+1\}; 1 \leq i < n\}$? Note that with this choice of \mathcal{B} we have

$$\mathbf{s}_{\mathcal{B}}^{+}(\pi) = \frac{|\pi(1) - \pi(2)| + |\pi(2) - \pi(3)| + \dots + |\pi(n-1) - \pi(n)|}{n-1}.$$

For two subsets A, B of N, we say that $\pi \in S_n$ oscillates between A and B if for every $1 \le i < n$ we have either $\pi(i) \in A$, $\pi(i+1) \in B$, or $\pi(i) \in B$, $\pi(i+1) \in A$.

Theorem 3.1. The maximum value of $s_B^+(\pi)$ over all $\pi \in S_n$ is

$$(2m^2-1)/(2m-1)$$
 when $n=2m$, and $(2m^2+2m-1)/(2m)$ when $n=2m+1$.

When n=2m, the maximum is attained by precisely those permutations π that oscillate between $\{1,\ldots,m\}$, $\{m+1,\ldots,n\}$ and satisfy $(\pi(1),\pi(n)) \in \{(m,m+1),(m+1,m)\}$.

When n=2m+1, the maximum is attained precisely by those permutations π that oscillate between $\{1,\ldots,m\}$, $\{m+1,\ldots,n\}$ and satisfy $(\pi(1),\pi(n))\in\{(m+1,m+2),(m+2,m+1)\}$, and by those that oscillate between $\{1,\ldots,m+1\}$, $\{m+2,\ldots,n\}$ and satisfy $(\pi(1),\pi(n))\in\{(m,m+1),(m+1,m)\}$.

Proof. Let n = 2m. Consider the sum $|\pi(1) - \pi(2)| + \cdots + |\pi(n-1) - \pi(n)|$. It consists of 2n - 2 integers from N, n - 1 with positive and n - 1 with negative signs. Now, if we are to maximize the sum of 2n - 2 integers out of $1, 1, \ldots, n$, n with n - 1 integers having negative sign, we must choose

(5)
$$-1-1-\cdots-(m-1)-(m-1)-m+(m+1)+(m+2)+(m+2)+\cdots+n+n$$
, which equals $2m^2-1$.

Is there a permutation π such that $|\pi(1) - \pi(2)| + \cdots + |\pi(n-1) - \pi(n)| = 2m^2 - 1$? The fact that m, m+1 appear just once in (5) means that $\pi(1) = m$ and $\pi(n) = m+1$, or vice versa. Moreover, the distribution of signs implies that π must oscillate between $\{1, \ldots, m\}$ and $\{m+1, \ldots, n\}$. Any such permutation will do.

When n = 2m + 1, we proceed similarly. The two maximal sums analogous to (5) are

$$-1-1-\cdots-(m-1)-(m-1)-m-(m+1)+(m+2)+(m+2)+\cdots+n+n$$
, and

$$-1-1-\cdots-m-m+(m+1)+(m+2)+(m+3)+(m+3)+\cdots+n+n$$
, since deleting both occurrences of $m+1$ would not correspond to any permutation.

4. STRETCHING WITH MULTIPLICATIVE FORMULA

We answer the following question: For which permutations $\pi \in S_n$ is $s_{\mathcal{B}}^*(\pi)$ maximal, where $\mathcal{B} = \{\{i, i+1\}; 1 \leq i < n\}$? Note that with this choice of \mathcal{B} we have

$$s_{\mathcal{B}}^*(\pi) = \left(\prod_{i=1}^{n-1} |\pi(i) - \pi(i+1)|\right)^{1/(n-1)}.$$

4.1. Maximizing products of n integers with a given sum. We obviously have:

Lemma 4.1. Let $x \le y$ be positive integers. Then (x-1)(y+1) < xy.

For positive integers $n \leq s$, let

$$D_{n,s} = \{(x_1, \ldots, x_n); x_i \in \mathbb{Z}, x_i > 0, x_1 + \cdots + x_n = s\},\$$

and

$$M_{n,s} = \max\{x_1 \cdots x_n; (x_1, \dots, x_n) \in D_{n,s}\}.$$

The following result is certainly well known. We offer a short proof:

Theorem 4.2. Let $n \leq s$ be positive integers, a = s/n. Then

$$M_{n,s} = \lfloor a \rfloor^m \cdot \lceil a \rceil^{n-m},$$

where m = n[a] - s. Moreover, $M_{n,s} < M_{n,s+1}$.

Proof. Let $\overrightarrow{x} = (x_1, \ldots, x_n)$ be the unique point in D such that $x_1 \leq \cdots \leq x_n$ and $x_n - x_1 \leq 1$. It is easy to see that $x_1 = \cdots = x_m = \lfloor a \rfloor$, $x_{m+1} = \cdots = x_n = \lceil a \rceil$, where $m = n \lceil a \rceil - s$.

Let $\overrightarrow{y} = (y_1, \ldots, y_n) \in D$ be such that $y_i \leq y_{i+1}$ and $\overrightarrow{y} \neq \overrightarrow{x}$. Let $d_i = y_i - x_i$ and note that $d_1 < 0$, $d_n > 0$, $d_1 + \cdots + d_n = 0$. Assume for a while that $d_i > 0$ and $d_j < 0$ for some i < j. Then $x_i < y_i \leq y_j < x_j$ shows that x_i, x_j differ by more than 1, which is impossible. Hence there is k such that $d_i \leq 0$ for every $i \leq k$, and $d_i \geq 0$ for every i > k.

The integers d_i count how many times do we have to add or subtract 1 to obtain y_i from x_i . Since $d_1 + \cdots + d_n = 0$, we can reach \overrightarrow{y} from \overrightarrow{x} by repeatedly decreasing one coordinate by 1 and increasing other coordinate by 1 at the same time. Moreover, we have just shown that we can do this in such a way that only the first k coordinates will possibly decrease, and only the remaining n - k coordinates will possibly increase. Since $x_k \leq x_{k+1}$, Lemma 4.1 implies that the product will diminish with every step.

It remains to show that $M_{n,s} < M_{n,s+1}$. When $(x_1, \ldots, x_n) \in D_{n,s}$ then $(x_1+1, x_2, \ldots, x_n) \in D_{n,s+1}$, and, clearly, $x_1 \cdots x_n < (x_1+1)x_2 \cdots x_n$. \square

4.2. The even case. Let n=2m. Theorem 3.1 shows that $(n-1)s_{\mathcal{B}}^+(\pi) \leq 2m^2-1$, and that the equality holds if and only if π oscillates between $\{1,\ldots,m\},\{m+1,\ldots,n\}$ and $(\pi(1),\pi(n))\in\{(m,m+1),(m+1,m)\}$. By Theorem 4.2, the product of 2m-1 positive integers with sum $2m^2-1$ is maximized by $m\cdot m+(m-1)(m+1)$.

Lemma 4.3. Let n = 2m. Let $\pi \in S_n$ be a permutation oscillating between $\{1, \ldots, m\}$, $\{m+1, \ldots, n\}$ such that $\pi(1) = m$, $\pi(n) = m+1$ and such that $|\pi(i) - \pi(i+1)| \in \{m, m+1\}$ for every $1 \le i < n$. Then π is uniquely determined, namely: $\pi(2i) = n - i + 1$, $\pi(2i-1) = m - i + 1$.

Proof. We must have $\pi(2) = 2m$. Then $\pi(3) = m - 1$ since $\pi(1) = m$, etc.

Dually:

Lemma 4.4. Let n = 2m. Let $\pi \in S_n$ be a permutation oscillating between $\{1, \ldots, m\}$, $\{m+1, \ldots, n\}$ such that $\pi(1) = m+1$, $\pi(n) = m$ and such that $|\pi(i) - \pi(i+1)| \in \{m, m+1\}$ for every $1 \le i < n$. Then π is uniquely determined, namely: $\pi(2i) = i$, $\pi(2i-1) = m+i$.

Theorem 4.5. Let n = 2m. Then the maximum of s_B^* over all permutations of S_n is $(m^m(m+1)^{m-1})^{1/(2m-1)}$, and it is attained precisely by the two permutations of Lemmas 4.3, 4.4.

Proof. Let $\pi \in S_n$. Let $x_i = |\pi(i) - \pi(i+1)|$, $s = x_1 + \dots + x_{2m-1}$. Then $s \le 2m^2 - 1$ by Theorem 3.1. If $s < 2m^2 - 1$ then $s_B^*(\pi)^{n-1} \le M_{n,2m^2-2} < M_{n,2m^2-1}$ by Theorem 4.2. If $s = 2m^2 - 1$, we have $s_B^*(\pi)^{n-1} \le M_{n,s} = m^m \cdot (m+1)^{m-1}$, and the equality holds only for the two permutations of Lemma 4.3, 4.4.

4.3. Local improvements. When n = 2m + 1, we are going to see that the maximum of $(s_B^*)^{n-1}$ is $M = m^m(m+1)(m+2)^{m-1}$, which is far less than $M_{2m,2m^2+2m-1}$ (cf. Theorems 3.1 and 4.2). In fact, it can happen that $M < M_{2m,s}$ even if $s < 2m^2+2m-1$. A more detailed understanding of permutations π with maximal $s_B^*(\pi)$ is therefore needed.

There is a one-to-one correspondence between the permutations of S_n and the *n*-cycles of S_n with designated beginning. To see this, identity $\pi \in S_n$ with the *n*-cycle ρ defined by $\rho(\pi(i)) = \pi(i+1)$ if $i < n, \rho(\pi(n)) = \pi(1)$, and designate $\pi(1)$ as the beginning of ρ . Therefore, finding the maximum of s_B^* on S_n is equivalent to finding the maximum of s_n^* over all *n*-cycles ρ in S_n , where

$$\mathbf{s}^*(\rho) = \max \left\{ \prod_{i \neq j} |i - \rho(i)|; \ 1 \leq j \leq n \right\}.$$

In this subsection we show that a number of conditions on ρ must hold, should $s^*(\rho)$ be maximal.

The following terminology will allow us to communicate more efficiently. We say that two jumps $a \mapsto \rho(a)$, $b \mapsto \rho(b)$ of a cycle ρ have distinct endpoints if $|\{a, \rho(a), b, \rho(b)\}| = 4$. The two jumps are disjoint if the intervals $[a, \rho(a)]$, $[b, \rho(b)]$ do not intersect. The jump $a \mapsto \rho(a)$ skips over the jump $b \mapsto \rho(b)$ if $[b, \rho(b)] \subseteq [a, \rho(a)]$. (Note that a jump skips over itself.) The jump $a \mapsto \rho(a)$ bridges $b \mapsto \rho(b)$ if it skips over it and the two jumps have distinct endpoints. Two jumps intersect nontrivially if they are not disjoint, one does not skip over the other, and they have distinct endpoints. A jump $a \mapsto \rho(a)$ is short if $|a - \rho(a)| \le |b - \rho(b)|$ for all b. All

other jumps are called *long*. Finally, the jumps have the same direction if $(a - \rho(a))(b - \rho(b)) > 0$, otherwise they have opposite direction.

Given a cycle ρ and two jumps $i \mapsto \rho(i)$, $j \mapsto \rho(j)$ with distinct endpoints, let $\rho_{i,j}$ denote the cycle depicted in Figure 2.

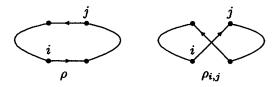


FIGURE 2. The cycles ρ and $\rho_{i,j}$.

Lemma 4.6. Let $\rho \in S_n$ be an n-cycle. Let $i \mapsto \rho(i)$, $j \mapsto \rho(j)$ be jumps with distinct endpoints such that $i \mapsto \rho(i)$ is a short jump and $|i-j| > |j-\rho(j)|$. Then $s^*(\rho_{i,j}) > s^*(\rho)$.

Proof. Since
$$i \mapsto \rho(i)$$
 is short, $s^*(\rho) = \prod_{k \neq i} |k - \rho(k)|$. Now, $s^*(\rho_{i,j}) \ge |i - j| \prod_{k \neq i, k \neq j} |k - \rho(k)| > \prod_{k \neq i} |k - \rho(k)|$.

Lemma 4.7. Let $\rho \in S_n$ be an n-cycle such that one of the following conditions holds:

- (i) there are disjoint jumps in the same direction,
- (ii) a short jump nontrivially intersects a jump in opposite direction,
- (iii) a short jump is disjoint from a jump in opposite direction,
- (iv) there are disjoint jumps in opposite direction (generalizing (iii)),
- (v) a jump bridges a long jump in opposite direction.

Then there is an n-cycle $\sigma \in S_n$ such that $s^*(\sigma) > s^*(\rho)$.

Proof. In case (i), write $a < \rho(a) < b < \rho(b)$ without loss of generality, and let $\sigma = \rho_{a,b}$. Note that the two old jumps $a \mapsto \rho(a)$, $b \mapsto \rho(b)$ have been replaced by two longer jumps $a \mapsto b$, $\rho(a) \mapsto \rho(b)$, respectively.

In case (ii), let $a \mapsto \rho(a)$ be a short jump, and let b be such that $a < \rho(b) < \rho(a) < b$. Let $\sigma = \rho_{a,b}$ and note that the new jump $a \mapsto b$ is longer that the old jump $b \mapsto \rho(b)$. We are done by Lemma 4.6.

In case (iii), let $a \mapsto \rho(a)$ be a short jump and $a < \rho(a) < \rho(b) < b$. Let $\sigma = \rho_{a,b}$. The new jump $a \mapsto b$ is then longer than the old jump $b \mapsto \rho(b)$, and we are again done by Lemma 4.6.

In case (iv), we can assume that none of the two jumps $a \mapsto \rho(a)$, $b \mapsto \rho(b)$ in question is short, else (iii) applies. Let $c \mapsto \rho(c)$ be a short jump. We can assume that $c \mapsto \rho(c)$ is not disjoint from $a \mapsto \rho(a)$ nor

 $b\mapsto \rho(b)$, otherwise either (i) or (iii) applies. Without loss of generality, assume $\max\{a,\rho(a)\}<\max\{b,\rho(b)\}$. Since the two jumps are in opposite directions, $c\mapsto \rho(c)$ cannot intersect both jumps trivially. Again without loss of generality, assume $c\mapsto \rho(c)$ intersects $a\mapsto \rho(a)$ nontrivially. If $a\mapsto \rho(a), c\mapsto \rho(c)$ are in opposite direction, then (ii) applies. So suppose that they are in the same direction. Then $c\mapsto \rho(c)$ and $b\mapsto \rho(b)$ are in opposite direction, and we can assume that they intersect trivially, else (ii) applies. But that is impossible.

In case (v), let $\rho(b) < a < \rho(a) < b$ and $\sigma = \rho_{a,b}$. Let x, y, z be the lengths $a - \rho(b)$, $\rho(a) - a$ and $b - \rho(a)$, respectively. Then we have lost the factor $(x + y + z)y = xy + y^2 + yz$ and gained the factor $(x + y)(y + z) = xy + xz + y^2 + yz$ while comparing $s^*(\rho)$ to $s^*(\sigma)$. Hence $s^*(\sigma) > s^*(\rho)$. \square

4.4. Short jumps. We say that a jump $a \mapsto \rho(a)$ is right if $a < \rho(a)$, else it is *left*.

Proposition 4.8. Let $\rho \in S_n$ be an n-cycle with maximal $s^*(\rho)$. Assume that ρ has a short jump $c \mapsto c + t$, t > 0. Then one of the following scenarios holds:

- (i) t = 1, all jumps skip over $c \mapsto c + 1$, n = 2m, c = m, there are m left and m right jumps in ρ ,
- (ii) t = 1, the only jump not skipping $c \mapsto c + 1$ is the right jump following it, n = 2m + 1, c = m, there are m + 1 right and m left jumps in ρ ,
- (iii) t = 1, the only jump not skipping $c \mapsto c + 1$ is the right jump preceding it, n = 2m + 1, c = m + 1, there are m + 1 right and m left jumps in ρ ,
- (iv) t=2, precisely two jumps do not skip over $c\mapsto c+2$ and these jumps are right, n=2m+1, c=m, there are m+1 right and m left jumps in ρ .

Proof. If there is d such that c < d < c + t, consider a such that $d = \rho(a)$. By Lemma 4.7(ii), a < c. Similarly, $\rho(c) < \rho(d)$. The three jumps $c \mapsto \rho(c)$, $a \mapsto \rho(a)$, $\rho(a) \mapsto \rho(\rho(a)) = \rho(d)$ are thus all right.

If $\rho(c) - c > 2$, there are $c < d < e < \rho(c)$. As above, there are jumps $a \mapsto d \mapsto \rho(d)$, $b \mapsto e \mapsto \rho(e)$, all right. But then Lemma 4.7(i) applies to $a \mapsto \rho(a)$ and $e \mapsto \rho(e)$, a contradiction. Hence $t = \rho(c) - c \le 2$.

Assume $\rho(c)-c=2$ and let $a\mapsto \rho(a)=c+1\mapsto \rho(c+1)$ be the two right jumps found above. Let $b\mapsto \rho(b)$ be a right jump different from $a\mapsto c+1$, $c+1\mapsto \rho(c+1), c\mapsto c+2$. Then b< c, else $a\mapsto c+1, b\mapsto \rho(b)$ are disjoint and Lemma 4.7(i) applies. If $\rho(b)\leq c$, the jump $b\mapsto \rho(b)$ is disjoint from $\rho(a)\mapsto \rho(\rho(a))$, a contradiction with Lemma 4.7(i). If $\rho(b)>c$, we must have $\rho(b)>\rho(c)$, and so $b\mapsto \rho(b)$ skips over $c\mapsto \rho(c)$. Now let $b\mapsto \rho(b)$ be any left jump. If b< c+2 then, in fact, b< c, thus $b\mapsto \rho(b)$ and

 $c\mapsto c+2$ are disjoint, a contradiction by Lemma 4.7(iii). Thus $b\geq c+2$. If $\rho(b)>c+1$ then $b\mapsto \rho(b),\ a\mapsto \rho(a)$ are disjoint and Lemma 4.7(iv) applies. If $\rho(b)\leq c+1$, we must have $\rho(b)\leq c$, and $b\mapsto \rho(b)$ skips over $c\mapsto \rho(c)$. The rest of (iv) is easy.

The case $\rho(c) - c = 1$ can be analyzed similarly, with help of Lemma 4.7.

In view of Theorem 4.5, we are only interested in scenarios (ii), (iii) and (iv) of Proposition 4.8.

4.5. **Long jumps.** The following Lemma follows immediately from Lemma 4.7(iv), (v):

Lemma 4.9. Let ρ be an n-cycle with maximal $s^*(\rho)$. Let $a \mapsto \rho(a)$, $b \mapsto \rho(b)$ be two long jumps of opposite directions. Then at least one of the endpoints of $b \mapsto \rho(b)$ is in the interval $[a, \rho(a)]$.

Proposition 4.10. Let $\rho \in S_n$ be an n-cycle with maximal $s^*(\rho)$ and with a short cycle $c \mapsto c+t$, t>0, where n=2m+1. Then every long jump of ρ is of length m, m+1 or m+2.

Proof. Let $k \mapsto k+t$, 0 < t < m, be a long right jump of ρ . By Proposition 4.8, m+1 is the unique point at which 2 right jumps are consecutive, and, moreover, $m+1 \in [k,k+t]$. By the same Proposition, there are m left jumps, no two consecutive. By Lemma 4.9, each of these left jumps has an endpoint in [k,k+t]. Then there are not enough points in [k,k+t] for m nonconsecutive left jumps to start or end at.

Let $k \mapsto k - t$, 0 < t < m, be a left jump of ρ . By Proposition 4.8 and Lemma 4.9, there are m long right jumps and each of them has an endpoint in [k-t,k]. In scenario (ii) of Proposition 4.8, $m \in [k-t,k]$, no long right jump starts or ends at m+1, and no two long right jumps are consecutive. In scenario (iii), $m+2 \in [k-t,k]$, no long right jump starts or ends at m, and no two long right jumps are consecutive. In scenario (iv), $m, m+2 \in [k-t,k]$, no long right jump starts or ends at m, m+2, and precisely two long right jumps are consecutive. In any case, there are not enough points in [k-t,k] to accommodate all long right jumps.

Consider a jump $a \mapsto \rho(a)$ of length at least m+3. Then there are at most 2m+1-(m+2)=m-1 points outside of $(a,\rho(a))$. Assume that $a<\rho(a)$. Then one of the m left jumps, no two of which are consecutive, must have both endpoints in $(a,\rho(a))$. Assume that $a>\rho(a)$. Note that no point outside of $(a,\rho(a))$ can be both the starting and the terminating point of a right jump (this is obvious for a, $\rho(a)$, and it is true for the remaining points by Lemma 4.7(iv)). Hence one of the m+1 long right jumps must have both endpoints in $(a,\rho(a))$. In any case, we have reached a contradiction by Lemma 4.7(v).

Lemma 4.11. Let ρ be as in scenario (ii) of Proposition 4.8. Then every long jump is of length m, m+1, or m+2, ρ is uniquely determined, and $s^*(\rho) = m^m \cdot (m+1) \cdot (m+2)^{m-1}$. When m is odd, we have

$$\rho(i) = \left\{ \begin{array}{ll} i+1, & i=m, \\ i-(m+1), & i=m+2, \\ i+m, & i \ even, \ i < m+2, \\ i+(m+2), & i \ odd, \ i < m, \\ i-m, & i \ even, \ i > m+1, \\ i-(m+2), & i \ odd, \ i > m+2. \end{array} \right.$$

When m is even, we have

$$ho(i) = \left\{ egin{array}{ll} i+1, & i=m, \ i+(m+1), & i=1, \ i+m, & i \ odd, \ 1 < i < m+2, \ i+(m+2), & i \ even, \ i < m, \ i-m, & i \ even, \ i > m+1, \ i-(m+2), & i \ odd, \ i > m+2. \end{array}
ight.$$

Proof. We work out two examples, one for m=3 and one for m=4. It will then become clear that the cycle ρ is unique, that its structure is determined by the parity of m, and that the formulae in the statement of the Lemma are correct. We will build the cycle from the shortest jump $m\mapsto m+1$ by alternatively extending it by one jump forward and one jump backwards.

Let m=3. By our assumption, $\rho(3)=4$. We now determine $\rho(4)$ (building the cycle forward) and $\rho^{-1}(3)$ (building the cycle backwards). Since $\rho(4)>4$ by the assumption, we must have $\rho(4)=7$ (else the jump is too short). Then $\rho^{-1}(3)=6$, since $\rho^{-1}(3)=7$ would result in a short cycle, and all other values yield a jump that is too short. We next determine $\rho(7)$ and $\rho^{-1}(6)$. We must have $\rho(7)=2$, since $\rho(7)=1$ would be too long. Then $\rho^{-1}(6)=1$ follows, avoiding a short cycle. Now we obviously have $\rho(2)=5=\rho^{-1}(1)$.

Let m=4. By our assumption, $\rho(4)=5$. Proceeding as in the case m=3, we have $\rho(5)=9$, $\rho^{-1}(4)=8$, $\rho(9)=3$, $\rho^{-1}(8)=2$, $\rho(3)=7$, $\rho^{-1}(2)=6$, $\rho(7)=1$, and $\rho^{-1}(6)=1$.

Similarly:

Lemma 4.12. Let ρ be as in scenario (iii) of Proposition 4.8. Then every long jump is of length m, m+1, or m+2, ρ is uniquely determined, and $s^*(\rho) = m^m \cdot (m+1) \cdot (m+2)^{m-1}$. The formulae for ρ are similar to those of Lemma 4.11.

Lemma 4.13. Let ρ be as in scenario (iv) of Proposition 4.8. Then there are at least m-1 jumps of length m in ρ .

Proof. We use Proposition 4.10 without reference throughout this proof.

For $i \in \{1, ..., m-1\}$, let L(i) denote the length of the left jump ending at i, and R(i) the length of the right jump starting at i. Note that we cannot have L(i) = R(i), else a 2-cycle arises. We claim that in at most one case among 1, ..., m-1 both L(i), R(i) are bigger than m, hence proving the lemma (since $m+1 \mapsto 2m+1$ is also of length m).

For a contradiction, let i < j be the two smallest integers in $\{1, \ldots, m-1\}$ such that L(i), R(i), L(j), R(j) > m. Assume that L(i) = m+1, R(i) = m+2. (The case L(i) = m+2, R(i) = m+1 is similar.) Let k = j-i.

Assume k=1. Since $R(i+1) \neq m+1$, we have L(i+1)=m+1, R(i+1)=m+2. Since $R(i+2) \neq m$ and $R(i+2) \neq m+1$, we have R(i+2)=m+2. Since $L(i+2) \neq m$, we have L(i+2)=m+1. Continuing in this fashion, we arrive at R(m-1)=m+2, contradicting $m+1 \mapsto 2m+1$.

Assume k=2. Since $L(i+1) \neq m$, we have R(i+1)=m. If L(i+1)=m+1, we have a 4-cycle. Hence L(i+1)=m+2. Since j=i+2, $L(i+2) \neq m$. Also, $L(i+2) \neq m+1$. Thus L(i+2)=m+2. But then the jump starting at m+i+2 is not of length m, m+1, or m+2, a contradiction.

Assume k=3. Then R(i+1)=m, and thus L(i+1)=m+2 else we have a 4-cycle. Then L(i+2)=m, and thus R(i+2)=m+2 else we have a 6-cycle. As $R(i+3) \neq m$ and $R(i+3) \neq m+1$, we have R(i+3)=m+2. But then no jump can possibly end at m+i+3, a contradiction.

This pattern continues for larger k.

4.6. The odd case.

Theorem 4.14. Let n = 2m + 1 > 1. Then the maximum of s_B^* over all permutations of S_n is $(m^m \cdot (m+1) \cdot (m+2)^{m-1})^{1/n-1}$, and it is attained precisely by the two permutations of Lemmas 4.11 and 4.12, and by their mirror images.

Proof. Let ρ be a permutation obtained in scenario (iv) of Proposition 4.8. Its m left jumps start in positions $m+2,\ldots,2m+1$, and its m long right jumps start in positions $1,\ldots,m-1,m+1$. It is then easy to see that the sum of the lengths of the 2m long jumps of ρ is $2m^2+2m-2$. By Proposition 4.10, each long jump is of length m, m+1 or m+2, and by Lemma 4.13 there are at least m-1 jumps of length m. If x_1,\ldots,x_{2m} are positive integers such that $m \leq x_i \leq m+2, x_1+\cdots+x_{2m}=2m^2+2m-2$ and such that at least m-1 of them are equal to m, then Theorem 4.2 implies that the product $x_1\cdots x_{2m}$ cannot exceed $m^{m-1}(m+1)^4(m+2)^{m-3}$. However, $m^{m-1}(m+1)^4(m+2)^{m-3}$ is less than $m^m(m+1)(m+2)^{m-1}$ if and only if $(m+1)^3$ is less than $m(m+2)^2$, which is true for every positive m. We are done by Lemmas 4.11, 4.12 and by their mirrored versions.

5. ACKNOWLEDGEMENT

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