# Unimodality of independence polynomials of very well-covered graphs

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**Abstract.** In this paper, we show that the independence polynomial  $I(G^*;x)$  of  $G^*$  is unimodal for any graph  $G^*$  whose skeleton G has stability number  $\alpha(G) \leq 8$ . In addition, we show that the independence polynomial of  $K_{2,n}^*$  is log-concave with unique mode.

### 1 Introduction

In this paper, all graphs are undirected and simple. The sets of vertices and edges of a graph G are denoted by V(G) and E(G), respectively. The order of a graph G is the cardinality of V(G). A stable set in a graph G is a set of pairwise non-adjacent vertices. The stability number  $\alpha(G)$  of G is the cardinality of a maximum stable set in G. We use  $s_k$  for the number of stable sets in G of cardinality k ( $s_0 = 1$ ). The sequence  $\{s_0, \ldots, s_{\alpha}\}$  is called the independence sequence of G. The polynomial  $I(G; x) = \sum_{k=0}^{\alpha(G)} s_k x^k$  is called the independence polynomial of G (Gutman and Harry, [3]). A number of general properties of independence polynomial of a graph are shown in [1] and [3]. A sequence  $\{a_0, \ldots, a_n\}$  of real numbers is said to be:

- unimodal if there exists some  $k \in \{1, 2, ..., n\}$ , called the mode of the sequence, such that  $0 \le a_0 \le a_1 \le ... \le a_{k-1} \le a_k \ge a_{k+1} \ge ... \ge a_n$ .
- log-concave if  $a_i^2 \geq a_{i-1} \cdot a_{i+1}$  holds for  $i \in \{1, 2, \ldots, n-1\}$ .

An independence polynomial of G is called unimodal (log-concave) if the independence sequence formed by its coefficients is unimodal (log-concave). We use mode(G) for the mode of the independence sequence. A graph G is well-covered if all its maximal stable sets are of the same size. A well-covered graph is called  $very\ well-covered$  if it has no isolated vertices and its order equals  $2\alpha(G)$ . For instance, the graph  $G^*$ , which is obtained

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from G by appending a single pendant edge to each vertex of G, is a very well-covered graph.

Recently, there has been a lot of investigation on the unimodality of independence polynomials of graphs. J.I. Brown, K. Dilcher and R.J. Nowakowski [2] conjectured that I(G;x) is unimodal for any well-covered graph G. However, T.S. Michael and W.N. Traves [9] provided examples of well-covered graphs with non-unimodal independence polynomials. Nevertheless, the conjecture of Brown  $et\ al.$  is still open for very well-covered graphs.

In [4], [5], [7] and [8], Levit and Mandrescu investigated some properties of very well-covered graphs. For example, they showed in [5] that the independence polynomial  $I(G^*;x)$  of  $G^*$  is unimodal for any G with  $\alpha(G) \leq 4$ . They also showed in [7] and [8], that the independence polynomial of  $K_{1,n}^*$  is log-concave with unique mode. The goal of this paper is to show that the independence polynomial  $I(G^*;x)$  of  $G^*$  is unimodal for any G with  $\alpha(G) \leq 8$  and the independence polynomial of  $K_{2,n}^*$  is log-concave with unique mode.

## 2 Preliminaries

Throughout, let G = (V, E) be a simple graph with vertex set V = V(G) and edge set E = E(G). The neighbor of a subset S of V is the set  $N(S) = \{w \mid w \in V, wv \in E \text{ for some } v \in S\}$ , while  $N[S] = N(S) \cup S$ . By  $G_1 \cup G_2$  we denote the disjoint union of the graphs  $G_1$ ,  $G_2$ . That is, the graph with  $V = V(G_1) \cup V(G_2)$  and  $E = E(G_1) \cup E(G_1)$ . In particular,  $\cup nG$  means the disjoint union of n copies of the graph G. A graph G is a complete bipartite graph with vertex classes  $V_1$  and  $V_2$  if  $V(G) = V_1 \cup V_2$ ,  $V_1 \cap V_2 = \emptyset$  and each edge joins a vertex of  $V_1$  to a vertex of  $V_2$ . If  $|V_1| = m$  and  $|V_2| = n$ , then we use the symbol  $K_{m,n}$  for the complete bipartite graph. A graph in which each pair of distinct vertices is joined by an edge is called a complete graph. We use  $K_n$  for the complete graph with n vertices.

We state some useful results in this section. In the sequel, we use  $\lceil n \rceil$  for the smallest integer that is greater than or equal to n and  $\lfloor n \rfloor$  for the largest integer that is smaller than or equal to n.

**Theorem 2.1** [7] If G is a very well-covered graph of order n with  $\alpha(G) = \alpha$ , then  $s_0 \leq s_1 \leq \cdots \leq s_{\lceil \alpha/2 \rceil}$  and  $s_{\lceil (2\alpha-1)/3 \rceil} \geq \cdots \geq s_{\alpha-1} \geq s_{\alpha}$ .

**Theorem 2.2** [6] Let G be a graph of order n and  $I(G;x) = \sum_{i=0}^{\alpha(G)} s_i x^i$ .

If  $I(G^*;x) = \sum_{i=0}^{\alpha(G^*)} t_i x^i$ , then

$$t_k = \sum_{j=0}^k s_j \cdot \binom{n-j}{k-j}$$

for  $0 \le k \le n = \alpha(G^*)$ .

**Lemma 2.3** If G is a graph of order n with stability number  $\alpha(G) = \alpha$ , then

 $\binom{\alpha}{k} \le s_k \le \binom{\alpha}{k} \cdot 2^{n-\alpha}.$ 

Proof. Since the stability number of G is  $\alpha$ , there exists a stable set, say  $S_1$ , consisting of  $\alpha$  vertices. It is clear that the number of stable sets in the subgraph induced by  $S_1$  of cardinality k is  $\binom{\alpha}{k}$ , therefore,  $s_k \geq \binom{\alpha}{k}$ . To obtain the upper bound, let  $S_2 = V(G) - S_1$ . Notice that to choose k vertices from V(G) to form a stable set one can choose i vertices from  $S_2$  and k-i vertices from  $S_1$ . Notice also that if we choose i vertices, say  $v_1, \ldots, v_i$ , from  $S_2$ , then there are at most  $\binom{\alpha-i}{k-i}$  ways to choose k-i vertices from  $S_1$  to form a stable set of cardinality k. For if we let  $S_2' = \{v_1, \ldots, v_i\}$ , then  $|N(S_2') \cap S_1| \geq i$ . Otherwise, there are vertices, say  $u_1, u_2, \ldots, u_j$   $(j > \alpha - i)$  of  $S_1 - N(S_2')$  such that  $\{u_1, u_2, \ldots, u_j, v_1, v_2, \ldots, v_i\}$  is a stable set of cardinality larger than  $\alpha$ . This yields a contradiction. Thus  $|N(S_2') \cap S_1| \geq i$ . That is, if we choose i vertices from  $S_2$  then there are

at most  $\binom{\alpha-i}{k-i}$  ways to choose k-i vertices from  $S_1$  to form a stable set of cardinality k. Since  $|S_2| = n - \alpha$ , there are at most  $\binom{n-\alpha}{i}$  ways to choose

$$\begin{array}{ll} s_k \leq & \sum_{i=0}^k \binom{n-\alpha}{i} \binom{\alpha-i}{k-i} \\ \leq & \sum_{i=0}^k \binom{n-\alpha}{i} \binom{\alpha}{k} \\ \leq & \sum_{i=0}^{n-\alpha} \binom{n-\alpha}{i} \binom{\alpha}{k} \\ = & \binom{\alpha}{k} \cdot 2^{n-\alpha}. \end{array}$$

# 3 The unimodality of $G^*$

i vertices from  $S_2$ , we see that

Throughout, let G be a graph of order n with  $\alpha(G) \geq 5$  and  $G^*$  be the very well-covered graph obtained from G by appending a single pendant edge to each vertex of G. Let  $I(G;x) = \sum_{i=0}^{\alpha(G)} s_i x^i$  and  $I(G^*;x) = \sum_{i=0}^n t_i x^i$ .

**Theorem 3.1** Let G be a graph of order n with  $\alpha(G) = 5$ . Then  $I(G^*; x)$  is unimodal with

$$\left\lceil \frac{n+1}{2} \right\rceil \leq mode(G^*) \leq \left\lceil \frac{n+1}{2} \right\rceil + 2.$$

Moreover, if n is even, then

$$\left\lceil \frac{n+1}{2} \right\rceil \leq mode(G^*) \leq \left\lceil \frac{n+1}{2} \right\rceil + 1.$$

*Proof.* Case 1. n is odd, say n=2m+1. Since  $\alpha(G)=5$ , we only consider the case that  $m\geq 2$ . We first show that  $t_0\leq t_1\leq \cdots \leq t_{m+1}$  and  $t_{m+3}\geq t_{m+4}\geq \cdots \geq t_{2m+1}$ . By Theorem 2.1, we have that  $t_0\leq t_1\leq \cdots \leq t_{m+1}$ . On the other hand,

$$\begin{array}{rcl} t_i - t_{i+1} & = & \sum_{j=0}^{5} \left[ \binom{2m+1-j}{i-j} - \binom{2m+1-j}{i+1-j} \right] s_j \\ & \geq & 0 \end{array}$$

holds for any  $m+3 \le i \le 2m$ . Therefore  $t_{m+3} \ge t_{m+4} \ge \cdots \ge t_{2m+1}$ . Moreover, observe that if  $m \ge 3$ , then

$$2t_{m+2} - t_{m+1} - t_{m+3}$$

$$= \sum_{i=0}^{5} s_i \cdot \left[ 2\binom{2m+1-i}{m+2-i} - \binom{2m+1-i}{m+1-i} - \binom{2m+1-i}{m+3-i} \right]$$

$$= \sum_{i=0}^{5} s_i \cdot \frac{(2m+1-i)!}{m!(m+3-i)!} \cdot (2m-i^2+5i-6)$$

$$\geq 0$$
(1)

as the coefficients near  $s_i$  are non-negative, therefore  $2t_{m+2}-t_{m+1}-t_{m+3}\geq 0$ . Thus (1) shows that either  $t_{m+2}\geq t_{m+1}$  or  $t_{m+2}\geq t_{m+3}$  if  $m\geq 3$ . If m=2, then n=5. Since  $\alpha(G)=5$ ,  $G=\sqcup 5K_1$  and  $G^*=\sqcup 5K_2$ . It is easy to see that

$$I(\sqcup 5K_2; x) = (1+2x)^5 = 1 + 10x + 40x^2 + 80x^3 + 80x^4 + 32x^5$$

is unimodal with  $mode(\sqcup 5K_2) = 3$ .

From the above, we conclude that the sequence  $\{t_0, t_1, \ldots, t_{2m+1}\}$  is unimodal and the possible positions for its mode are m+1, m+2 and m+3.

Case 2. n is even, say n=2m. Since  $\alpha(G)=5, m\geq 3$ . By Theorem 2.1, we have that  $t_0\leq t_1\leq\ldots\leq t_m$ . Moreover,

$$\begin{array}{rcl} t_i - t_{i+1} & = & \sum_{j=0}^{5} [\binom{2m-j}{i-j} - \binom{2m-j}{i+1-j}] s_j \\ & \geq & 0 \end{array}$$

holds for any  $m+2 \le i \le 2m-1$ , therefore  $t_{m+2} \ge t_{m+3} \ge \cdots \ge t_{2m}$ . Now, by Lemma 2.3,

$$\begin{array}{lll} t_{m+1} - t_m & \geq & [\binom{2m}{m+1} - \binom{2m}{m}] s_0 + [\binom{2m-2}{m-1} - \binom{2m-2}{m-2}] s_2 \\ & \geq & [\binom{2m}{m+1} - \binom{2m}{m}] \cdot 1 + [\binom{2m-2}{m-1} - \binom{2m-2}{m-2}] \cdot \binom{5}{2} \\ & = & \frac{-(2m)!}{m!(m+1)!} + \frac{10(2m-2)!}{m!(m-1)!} \\ & = & \frac{(2m-2)!}{m!(m+1)!} (6m^2 + 12m). \end{array}$$

Thus  $t_{m+1} > t_m$  for  $m \ge 3$ . Therefore, the sequence  $\{t_0, t_1, \ldots, t_{2m}\}$  is unimodal and the possible positions for its mode are m+1 and m+2.  $\square$ 

**Theorem 3.2** Let G be a graph of order n with  $\alpha(G) = 6$ . Then  $I(G^*; x)$  is unimodal with

$$\left\lceil \frac{n+1}{2} \right\rceil \leq mode(G^*) \leq \left\lceil \frac{n+1}{2} \right\rceil + 2.$$

*Proof.* Case 1. n is odd, say n = 2m + 1. Since  $\alpha(G) = 6$ ,  $m \ge 3$ . By Theorem 2.1, we have that  $t_0 \le t_1 \le \cdots \le t_{m+1}$ . On the other hand,

$$\begin{array}{rcl} t_i - t_{i+1} & = & \sum_{j=0}^{6} \left[ \binom{2m+1-j}{i-j} - \binom{2m+1-j}{i+1-j} \right] s_j \\ & \geq & 0 \end{array}$$

holds for any  $m+3 \le i \le 2m$ . Therefore  $t_{m+3} \ge t_{m+4} \ge \cdots \ge t_{2m+1}$ . Moreover, observe that if  $m \ge 6$ , then

$$2t_{m+2} - t_{m+1} - t_{m+3}$$

$$= \sum_{i=0}^{6} s_i \cdot \left[ 2\binom{2m+1-i}{m+2-i} - \binom{2m+1-i}{m+1-i} - \binom{2m+1-i}{m+3-i} \right]$$

$$= \sum_{i=0}^{6} s_i \cdot \frac{(2m+1-i)!}{m!(m+3-i)!} \cdot (2m-i^2+5i-6)$$

$$> 0$$
(2)

as the coefficients near  $s_i$  are non-negative.

If  $3 \le m \le 5$ , then by Lemma 2.3,

$$2t_{m+2} - t_{m+1} - t_{m+3}$$

$$= \sum_{i=0}^{6} s_i \cdot \frac{(2m+1-i)!}{m!(m+3-i)!} \cdot (2m-i^2+5i-6)$$

$$\geq \frac{1}{m!} \left[ \frac{(2m-2)!}{m!} (2m)s_3 + \frac{(2m-5)!}{(m-3)!} (2m-12)s_6 \right]$$

$$= \frac{1}{m!} \frac{(2m-5)!}{(m-3)!} \left[ (2m-12)s_6 + \frac{2m(2m-2)(2m-3)(2m-4)}{m(m-1)(m-2)} s_3 \right].$$

$$\geq \frac{1}{m!} \frac{(2m-5)!}{(m-3)!} \left[ (2m-12)2^{2m+1-6} + 160(2m-3) \right]$$

$$> 0.$$
(3)

Therefore (2) and (3) show that either  $t_{m+2} \ge t_{m+1}$  or  $t_{m+2} \ge t_{m+3}$ . From the above, we conclude that the sequence  $\{t_0, t_1, \ldots, t_{2m+1}\}$  is unimodal and the possible positions for its mode are m+1, m+2 and m+3.

Case 2. n is even, say n=2m. Since  $\alpha(G)=6$ ,  $m\geq 3$ . By Theorem 2.1, we have that  $t_0\leq t_1\leq \cdots \leq t_m$ . Moreover,

$$\begin{array}{rcl} t_i - t_{i+1} & = & \sum_{j=0}^{6} \left[ \binom{2m-j}{i-j} - \binom{2m-j}{i+1-j} \right] s_j \\ & > & 0 \end{array}$$

holds for any  $m+3 \le i \le 2m-1$ , therefore  $t_{m+3} \ge t_{m+4} \ge \cdots \ge t_{2m}$ . Now, by Lemma 2.3,

$$\begin{array}{ll} t_{m+1} - t_m & \geq & [\binom{2m}{m+1} - \binom{2m}{m}] s_0 + [\binom{2m-2}{m-1} - \binom{2m-2}{m-2}] s_2 \\ & \geq & [\binom{2m}{m+1} - \binom{2m}{m}] \cdot 1 + [\binom{2m-2}{m-1} - \binom{2m-2}{m-2}] \cdot \binom{6}{2} \\ & = & \frac{-(2m)!}{m!(m+1)!} + \frac{15(2m-2)!}{m!(m-1)!} \\ & = & \frac{(2m-2)!}{m!(m+1)!} (11m^2 + 17m). \end{array}$$

Thus  $t_{m+1} > t_m$  for  $m \ge 3$ . Moreover, observe that if  $m \ge 7$ , then

$$2t_{m+2} - t_{m+1} - t_{m+3}$$

$$= \sum_{i=0}^{6} s_i \cdot \left[ 2\binom{2m-i}{m+2-i} - \binom{2m-i}{m+1-i} - \binom{2m-i}{m+3-i} \right]$$

$$= \sum_{i=0}^{6} s_i \cdot \left[ \frac{2(2m-i)!}{(m+2-i)!(m-2)!} - \frac{(2m-i)!}{(m+1-i)!(m-1)!} - \frac{(2m-i)!}{(m+3-i)!(m-3)!} \right]$$

$$= \sum_{i=0}^{6} s_i \cdot \frac{(2m-i)!}{(m-1)!(m+3-i)!} \cdot (2m-i^2+7i-14)$$

$$> 0$$
(4)

as the coefficients near  $s_i$  are non-negative.

If m = 3, then n = 6. Since  $\alpha(G) = 6$ ,  $G = \sqcup 6K_1$  and  $G^* = \sqcup 6K_2$ . It is easy to see that

$$I(\Box 6K_2; x) = (1+2x)^6 = 1 + 12x + 60x^2 + 160x^3 + 240x^4 + 192x^5 + 64x^6$$

is unimodal with  $mode(\sqcup 6K_2) = 4 = m + 1$ .

If  $4 \le m \le 6$ , then by Lemma 2.3

$$2t_{m+2} - t_{m+1} - t_{m+3}$$

$$= \sum_{i=0}^{6} s_i \cdot \frac{(2m-i)!}{(m-1)!(m+3-i)!} \cdot (2m-i^2+7i-14)$$

$$\geq \frac{1}{(m-1)!} \left[ \frac{(2m)!}{(m+3)!} (2m-14)s_0 + \frac{(2m-3)!}{m!} (2m-2)s_3 \right]$$

$$= \frac{1}{(m-1)!} \frac{(2m-3)!}{m!} \left[ \frac{2m(2m-1)(2m-2)}{(m+3)(m+2)(m+1)} (2m-14)s_0 + (2m-2)s_3 \right]$$

$$\geq \frac{1}{(m-1)!} \frac{(2m-3)!}{m!} \left[ \frac{2m(2m-1)(2m-2)}{(m+3)(m+2)(m+1)} (2m-14) + (2m-2)\binom{6}{3} \right]$$

$$> 0.$$
(5)

Therefore (4) and (5) show that either  $t_{m+2} \ge t_{m+1}$  or  $t_{m+2} \ge t_{m+3}$  if  $m \ge 4$ .

From the above, we conclude that the sequence  $\{t_0, t_1, \ldots, t_{2m}\}$  is unimodal and the possible positions for its mode are m+1, m+2 and m+3.  $\square$ 

**Theorem 3.3** Let G be a graph of order n with  $\alpha(G) = 7$ . Then  $I(G^*; x)$  is unimodal with

$$\left\lceil \frac{n+1}{2} \right\rceil \leq mode(G^*) \leq \left\lceil \frac{n+1}{2} \right\rceil + 3.$$

Moreover, if n is even, then

$$\left\lceil \frac{n+1}{2} \right\rceil \leq mode(G^*) \leq \left\lceil \frac{n+1}{2} \right\rceil + 2.$$

*Proof.* Case 1. n is odd, say n=2m+1. Since  $\alpha(G)=7$ ,  $m\geq 3$ . By Theorem 2.1, we have that  $t_0\leq t_1\leq \cdots \leq t_{m+1}$ . On the other hand,

$$\begin{array}{rcl} t_i - t_{i+1} & = & \sum_{j=0}^{7} \left[ {2m+1-j \choose i-j} - {2m+1-j \choose i+1-j} \right] s_j \\ & \geq & 0 \end{array}$$

holds for any  $m+4 \le i \le 2m$ . Therefore  $t_{m+4} \ge t_{m+5} \ge \cdots \ge t_{2m+1}$ . To finish the proof we need only to show that  $2t_{m+2} - t_{m+1} - t_{m+3} \ge 0$  and  $2t_{m+3} - t_{m+2} - t_{m+4} \ge 0$ . For this, observe that

$$\begin{array}{ll} & 2t_{m+2} - t_{m+1} - t_{m+3} \\ & = & \sum_{i=0}^{7} s_i \cdot [2\binom{2m+1-i}{m+2-i} - \binom{2m+1-i}{m+1-i} - \binom{2m+1-i}{m+3-i}] \\ & = & \sum_{i=0}^{7} s_i \cdot \frac{(2m+1-i)!}{m!(m+3-i)!} \cdot (2m-i^2+5i-6). \end{array}$$

If  $m \ge 10$ , then  $2t_{m+2} - t_{m+1} - t_{m+3} \ge 0$  as the coefficients near  $s_i$  are non-negative. If m = 3, then n = 7. Since  $\alpha(G) = 7$ ,  $G = \sqcup 7K_1$  and  $G^* = \sqcup 7K_2$ . It is easy to see that

$$I(\sqcup 7K_2; x) = (1+2x)^7 = 1+14x+84x^2+280x^3+560x^4+672x^5+448x^6+128x^7$$
(6)

and  $2t_5 - t_4 - t_6 \ge 0$ . If  $4 \le m \le 5$ , then by Lemma 2.3

$$\begin{array}{ll} & 2t_{m+2}-t_{m+1}-t_{m+3}\\ & = & \sum_{i=0}^{7}s_{i}\cdot\frac{(2m+1-i)!}{m!(m+3-i)!}\cdot(2m-i^{2}+5i-6).\\ & \geq & \frac{1}{m!}[\frac{(2m-5)!}{(m-3)!}(2m-12)s_{6}+\frac{(2m-6)!}{(m-4)!}(2m-20)s_{7}+\frac{(2m-2)!}{(m)!}(2m)s_{3}]\\ & \geq & \frac{1}{m!}[\frac{(2m-6)!}{(m-3)!}(2m-12)\binom{7}{6}2^{2m-6}+\frac{(2m-6)!}{(m-4)!}(2m-20)2^{2m-6}\\ & & +\frac{(2m-2)!}{(m)!}(2m)\binom{7}{3}]\\ & > & 0 \end{array}$$

If  $6 \le m \le 9$ , then by Lemma 2.3

$$\begin{array}{ll} 2t_{m+2} - t_{m+1} - t_{m+3} \\ &= \sum_{i=0}^{7} s_i \cdot \frac{(2m+1-i)!}{m!(m+3-i)!} \cdot (2m-i^2+5i-6). \\ &\geq \frac{1}{m!} \left[ \frac{(2m-6)!}{(m-4)!} (2m-20)s_7 + \frac{(2m-2)!}{m!} (2m)s_3 + \frac{(2m-3)!}{(m-1)!} (2m-2)s_4 \right] \\ &= \frac{1}{m!} \frac{(2m-6)!}{(m-4)!} \left[ (2m-20)s_7 + \frac{(2m-2)(2m-3)(2m-4)(2m-5)}{m(m-1)(m-2)(m-3)} (2m)s_3 + \frac{(2m-3)(2m-4)(2m-5)}{(m-1)(m-2)(m-3)} (2m-2)s_4 \right] \\ &\geq \frac{1}{m!} \frac{(2m-6)!}{(m-4)!} \left[ (2m-20) \cdot 2^{2m+1-7} + \frac{(2m-2)(2m-3)(2m-4)(2m-5)}{m(m-1)(m-2)(m-3)} (2m) \binom{7}{3} + \frac{(2m-3)(2m-4)(2m-5)}{(m-1)(m-2)(m-3)} (2m-2) \binom{7}{4} \right] \\ &\geq 0. \end{array}$$

On the other hand, observe that

$$2t_{m+3} - t_{m+2} - t_{m+4}$$

$$= \sum_{i=0}^{7} s_i \cdot \left[ 2\binom{2m+1-i}{m+3-i} - \binom{2m+1-i}{m+2-i} - \binom{2m+1-i}{m+4-i} \right]$$

$$= \sum_{i=0}^{7} s_i \cdot \frac{(2m+1-i)!}{(m-1)!(m+4-i)!} \cdot (2m-i^2+9i-22).$$

If  $m \ge 11$ , then  $2t_{m+3} - t_{m+2} - t_{m+4} \ge 0$  as the coefficients near  $s_i$  are non-negative. If m = 3, then by (6),  $2t_6 - t_5 - t_7 \ge 0$ . If  $4 \le m \le 6$ , then by Lemma 2.3

$$2t_{m+3} - t_{m+2} - t_{m+4}$$

$$= \sum_{i=0}^{7} s_i \cdot \frac{(2m+1-i)!}{(m-1)!(m+4-i)!} \cdot (2m-i^2+9i-22).$$

$$\geq \frac{1}{(m-1)!} [\frac{(2m+1)!}{(m+4)!} (2m-22)s_0$$

$$+ \frac{(2m)!}{(m+3)!} (2m-14)s_1 + \frac{(2m-2)!}{(m+1)!} (2m-4)s_3]$$

$$\geq \frac{1}{(m-1)!} \frac{(2m-2)!}{(m+1)!} [\frac{(2m+1)(2m)(2m-1)}{(m+4)(m+3)(m+2)} (2m-22)$$

$$+ \frac{(2m)(2m-1)!}{(m+3)(m+2)} (2m-14)(2m+1) + (2m-4)\binom{7}{3}]$$

$$> 0.$$

If  $7 \le m \le 10$ , then by Lemma 2.3

$$\begin{array}{ll} & 2t_{m+3} - t_{m+2} - t_{m+4} \\ = & \sum_{i=0}^{7} s_i \cdot \frac{(2m+1-i)!}{(m-1)!(m+4-i)!} \cdot (2m-i^2+9i-22). \\ \geq & \frac{1}{(m-1)!} \left[ \frac{(2m+1)!}{(m+4)!} (2m-22)s_0 + \frac{(2m-1)!}{(m+2)!} (2m-8)s_2 \right] \\ \geq & \frac{1}{(m-1)!} \frac{(2m-1)!}{(m+2)!} \left[ \frac{(2m+1)(2m)}{(m+4)(m+3)} (2m-22) \cdot 1 + (2m-8)\binom{7}{2} \right] \\ > & 0. \end{array}$$

From the above, we conclude that  $I(G^*;x)$  is unimodal and the possible positions for its mode are m+1, m+2, m+3 and m+4.

Case 2. n is even, say n=2m. Since  $\alpha(G)=7, m\geq 4$ . By Theorem 2.1, we have that  $t_0\leq t_1\leq \cdots \leq t_m$ . Moreover,

$$\begin{array}{rcl} t_i - t_{i+1} & = & \sum_{j=0}^{7} [\binom{2m-j}{i-j} - \binom{2m-j}{i+1-j}] s_j \\ & > & 0 \end{array}$$

holds for any  $m+3 \le i \le 2m-1$ , therefore  $t_{m+3} \ge t_{m+4} \ge \cdots \ge t_{2m}$ . Now, by Lemma 2.3,

$$\begin{array}{ll} & t_{m+1}-t_m\\ \geq & [\binom{2m}{m+1}-\binom{2m}{m}]s_0+[\binom{2m-2}{m-1}-\binom{2m-2}{m-2}]s_2\\ \geq & [\binom{2m}{m+1}-\binom{2m}{m}]\cdot 1+[\binom{2m-2}{m-1}-\binom{2m-2}{m-2}]\cdot \binom{7}{2}\\ = & \frac{-(2m)!}{(m+1)!m!}+\frac{2!(2m-2)!}{m!(m-1)!}\\ = & \frac{(2m-2)!}{(m+1)!m!}(17m^2+23m)\\ > & 0. \end{array}$$

Moreover, observe that

$$\begin{array}{ll} & 2t_{m+2}-t_{m+1}-t_{m+3} \\ = & \sum_{i=0}^{7} s_i [2\binom{2m-i}{m+2-i}-\binom{2m-i}{m+1-i}-\binom{2m-i}{m+3-i}] \\ = & \sum_{i=0}^{7} s_i [\frac{2(2m-i)!}{(m+2-i)!(m-2)!}-\frac{(2m-i)!}{(m+1-i)!(m-1)!}-\frac{(2m-i)!}{(m+3-i)!(m-3)!}] \\ = & \sum_{i=0}^{7} s_i \cdot \frac{(2m-i)!}{(m-1)!(m+3-i)!} \cdot (2m-i^2+7i-14). \end{array}$$

If  $m \geq 7$ , then  $2t_{m+2} - t_{m+1} - t_{m+3} \geq 0$  as the coefficients near  $s_i$  are non-negative.

If  $4 \le m \le 6$ , then by Lemma 2.3

$$2t_{m+2} - t_{m+1} - t_{m+3}$$

$$= \sum_{i=0}^{7} s_i \cdot \frac{(2m-i)!}{(m-1)!(m+3-i)!} \cdot (2m-i^2+7i-14)$$

$$\geq \frac{1}{(m-1)!} \left[ \frac{(2m)!}{(m+3)!} (2m-14) s_0 + \frac{(2m-7)!}{(m-4)!} (2m-14) s_7 + \frac{(2m-3)!}{m!} (2m-2) s_3 \right]$$

$$\geq \frac{1}{(m-1)!} \left[ \frac{(2m)!}{(m+3)!} (2m-14) + \frac{(2m-7)!}{(m-4)!} (2m-14) 2^{2m-7} + \frac{(2m-3)!}{m!} (2m-2) {7 \choose 3} \right]$$

$$\geq 0.$$

From the above, we conclude that  $I(G^*;x)$  is unimodal and the possible positions for its mode are m+1, m+2 and m+3.  $\square$ 

**Theorem 3.4** Let G be a graph of order n with  $\alpha(G) = 8$ . Then  $I(G^*; x)$  is unimodal with

$$\left\lceil \frac{n+1}{2} \right\rceil \leq mode(G^*) \leq \left\lceil \frac{n+1}{2} \right\rceil + 3.$$

*Proof.* Case 1. n is odd, say n=2m+1. Since  $\alpha(G)=8, m\geq 4$ . By Theorem 2.1, we have that  $t_0\leq t_1\leq \cdots \leq t_{m+1}$ . On the other hand,

$$\begin{array}{rcl} t_i - t_{i+1} & = & \sum_{j=0}^{8} \left[ {2m+1-j \choose i-j} - {2m+1-j \choose i+1-j} \right] s_j \\ & \geq & 0 \end{array}$$

holds for any  $m+4 \le i \le 2m$ . Therefore  $t_{m+4} \ge t_{m+5} \ge \cdots \ge t_{2m+1}$ . To prove the assertion, we first observe that  $t_{m+2} > t_{m+1}$  for  $4 \le m \le 14$ . This is because of the following:

$$\begin{array}{l} t_{m+2} - t_{m+1} \\ \geq [\binom{2m+1}{m+2} - \binom{2m+1}{m+1}] s_0 + [\binom{2m}{m+1} - \binom{2m}{m}] s_1 \\ + [\binom{2m-2}{m-1} - \binom{2m-2}{m-2}] s_3 + [\binom{2m-3}{m-2} - \binom{2m-3}{m-3}] s_4 \\ \geq \frac{-2(2m+1)!}{m!(m+2)!} \cdot 1 + \frac{-(2m)!}{m!(m+1)!} \cdot (2m+1) + \frac{(2m-2)!}{m!(m-1)!} \cdot \binom{8}{3} \\ + \frac{2(2m-3)!}{m!(m-2)!} \cdot \binom{8}{4} \\ = \frac{(2m-3)!}{(m+2)!m!} [-4m(2m+1)(2m-1)(2m-2) \\ -2m(2m+1)(2m-1)(2m-2)(m+2) \\ +56m(2m-2)(m+1)(m+2) + 140m(m-1)(m+1)(m+2)] \\ = \frac{(2m-3)!}{(m+2)!(m-2)!} [-8(2m+1)(2m-1) - 4(2m+1)(2m-1)(m+2) \\ +252(m+1)(m+2)] \\ > 0. \end{array}$$

If  $4 \le m \le 5$ , then  $t_{m+2} \ge t_{m+3} \ge \cdots$  by Theorem 2.1, so that  $I(G^*; x)$  is unimodal and the mode of it is m+2.

If  $6 \le m \le 8$ , then  $t_{m+3} \ge t_{m+4} \ge \cdots$  by Theorem 2.1, so that  $I(G^*; x)$  is unimodal and the possible positions for its mode are m+2 and m+3. If  $9 \le m \le 14$ , then  $t_{m+4} \ge t_{m+5} \ge \cdots$  from the above and

$$\begin{array}{ll} 2t_{m+3} - t_{m+2} - t_{m+4} \\ &= \sum_{i=0}^{8} s_i \cdot \frac{(2m+1-i)!}{(m-1)!(m+4-i)!} \cdot (2m-i^2+9i-22) \\ &\geq \frac{(2m+1)!}{(m-1)!(m+4)!} \cdot (2m-22) \cdot s_0 + \frac{(2m)!}{(m-1)!(m+3)!} \cdot (2m-14) \cdot s_1 \\ &= \frac{(2m+1)!}{(m-1)!(m+4)!} \cdot (2m-22) + \frac{(2m)!}{(m-1)!(m+3)!} \cdot (2m-14)(2m+1) \\ &= \frac{(2m+1)!}{(m-1)!(m+4)!} [(2m-22) + (2m-14)(m+4)] \\ &> 0, \end{array}$$

so that  $I(G^*;x)$  is unimodal and the possible positions for its mode are m+2, m+3 and m+4.

Finally, if  $m \geq 15$ , then

$$\begin{array}{ll} & 2t_{m+2} - t_{m+1} - t_{m+3} \\ = & \sum_{i=0}^8 s_i \cdot \left[ 2\binom{2m+1-i}{m+2-i} - \binom{2m+1-i}{m+1-i} - \binom{2m+1-i}{m+3-i} \right] \\ = & \sum_{i=0}^8 s_i \cdot \frac{(2m+1-i)!}{m!(m+3-i)!} \cdot (2m-i^2+5i-6) \\ > & 0 \end{array}$$

and

$$2t_{m+3} - t_{m+2} - t_{m+4}$$

$$= \sum_{i=0}^{8} s_i \cdot \left[ 2\binom{2m+1-i}{m+3-i} - \binom{2m+1-i}{m+2-i} - \binom{2m+1-i}{m+4-i} \right]$$

$$= \sum_{i=0}^{8} s_i \cdot \frac{(2m+1-i)!}{(m-1)!(m+4-i)!} \cdot (2m-i^2+9i-22)$$

$$\geq 0,$$

so that  $I(G^*; x)$  is unimodal and the possible positions for its mode are m+1, m+2, m+3 and m+4.

Case 2. n is even, say n=2m. Since  $\alpha(G)=8, m\geq 4$ . By Theorem 2.1, we have that  $t_0\leq t_1\leq \cdots \leq t_m$ . Moreover,

$$\begin{array}{rcl} t_i - t_{i+1} & = & \sum_{j=0}^{8} [\binom{2m-j}{i-j} - \binom{2m-j}{i+1-j}] s_j \\ & \geq & 0 \end{array}$$

holds for any  $m+4 \le i \le 2m-1$ , therefore  $t_{m+4} \ge t_{m+5} \ge \cdots \ge t_{2m}$ . Now, by Lemma 2.3,

$$\begin{array}{l} t_{m+1} - t_m \\ \geq \left[ \binom{2m}{m+1} - \binom{2m}{m} \right] s_0 + \left[ \binom{2m-2}{m-1} - \binom{2m-2}{m-2} \right] s_2 \\ \geq \left[ \binom{2m}{m+1} - \binom{2m}{m} \right] \cdot 1 + \left[ \binom{2m-2}{m-1} - \binom{2m-2}{m-2} \right] \cdot \binom{8}{2} \\ = \frac{-(2m)!}{(m+1)!m!} + \frac{28(2m-2)!}{m!(m-1)!} \\ = \frac{(2m-2)!}{(m+1)!m!} (24m^2 + 30m) \\ > 0. \end{array}$$

If  $4 \le m \le 7$ , then  $t_{m+2} \ge t_{m+3} \ge \cdots$  by Theorem 2.1, so that  $I(G^*; x)$  is unimodal and the possible positions for its mode are m+1 and m+2. If  $8 \le m \le 10$ , then  $t_{m+3} \ge t_{m+4} \ge \cdots$  by Theorem 2.1 and

$$\begin{array}{ll} 2t_{m+2} - t_{m+1} - t_{m+3} \\ &= \sum_{i=0}^{8} s_i \cdot \frac{(2m-i)!}{(m-1)!(m+3-i)!} \cdot (2m-i^2+7i-14) \\ &\geq \frac{(2m-1)!}{(m-1)!(m+2)!} \cdot (2m-8) \cdot s_1 + \frac{(2m-8)!}{(m-1)!(m-5)!} \cdot (2m-22) \cdot s_8 \\ &\geq \frac{(2m-1)!}{(m-1)!(m+2)!} \cdot (2m-8) \cdot (2m) + \frac{(2m-8)!}{(m-1)!(m-5)!} \cdot (2m-22) \cdot 2^{2m-8} \\ &= \frac{(2m-8)!}{(m-1)!(m-5)!} \cdot \left[ \frac{(2m-1)(2m-2)\cdots(2m-7)}{(m+2)(m+1)\cdots(m-4)} 2m(2m-8) \right. \\ &+ (2m-22) \cdot 2^{2m-8} \\ &> 0 \end{array}$$

by Lemma 2.3, so that  $I(G^*; x)$  is unimodal and the possible positions for its mode are m+1, m+2 and m+3.

If  $m \geq 11$ , then

$$2t_{m+3} - t_{m+2} - t_{m+4}$$

$$= \sum_{i=0}^{8} s_i \cdot \left[ 2\binom{2m-i}{m+3-i} - \binom{2m-i}{m+2-i} - \binom{2m-i}{m+4-i} \right]$$

$$= \sum_{i=0}^{8} s_i \cdot \frac{(2m-i)!}{(m-2)!(m+4-i)!} \cdot (2m-i^2+11i-34)$$

$$\geq \frac{(2m)!}{(m-2)!(m+4)!} \cdot (2m-34) \cdot s_0 + \frac{(2m-1)!}{(m-2)!(m+3)!} \cdot (2m-24) \cdot s_1$$

$$+ \frac{(2m-2)!}{(m-2)!(m+2)!} \cdot (2m-16) \cdot s_2$$

$$\geq \frac{(2m)!}{(m-2)!(m+4)!} \cdot (2m-34) \cdot 1 + \frac{(2m-1)!}{(m-2)!(m+3)!} \cdot (2m-24)(2m)$$

$$+ \frac{(2m-2)!}{(m-2)!(m+2)!} \cdot (2m-16) \cdot \binom{8}{2}$$

$$= \frac{(2m-2)!}{(m-2)!(m+2)!} \left[ \frac{2m(2m-1)}{(m+4)(m+3)} (2m-34) + \frac{2m-1}{m+3} (2m-24)(2m) + 28(2m-16) \right]$$

and

$$2t_{m+2} - t_{m+1} - t_{m+3}$$

$$= \sum_{i=0}^{8} s_i \cdot \frac{(2m-i)!}{(m-1)!(m+3-i)!} \cdot (2m-i^2+7i-14)$$
> 0

by Lemma 2.3, so that  $I(G^*;x)$  is unimodal and the possible positions for its mode are m+1, m+2, m+3 and m+4.  $\square$ 

# 4 Unimodality of $K_{2,n}^*$

The well-covered spider  $S_n$ ,  $n \ge 2$ , has n vertices of degree 2, one vertex of degree n+1, and n+1 vertices of degree 1 (see Figure 1). It is well-known that  $S_n = K_{1,n}^*$ .

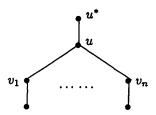


Figure 1: The graph  $S_n = K_{1,n}^*$ .

In [7, Theorem 3.1], Levit and Mandrescu proved the following:

**Theorem 4.1** The independence polynomial of  $K_{1,n}^*$ ,  $n \geq 2$ , is unimodal, moreover,  $I(K_{1,n}^*;x) = (1+x) \cdot \{\sum_{k=0}^n [\binom{n}{k} \cdot 2^k + \binom{n-1}{k-1}] \cdot x^k\}$ , and its mode is unique and equals  $\lceil \frac{2n+1}{3} \rceil$ .

In this section, we prove a similar result for  $I(K_{2,n}^*;x)$ . For this, we need some lemmas.

**Lemma 4.2** [3] If G is a graph and  $u \in V(G)$ , then  $I(G;x) = I(G - u;x) + x \cdot I(G - N[u];x)$ . If  $G_1$  and  $G_2$  are graphs, then  $I(G_1 \sqcup G_2;x) = I(G_1;x) \cdot I(G_2;x)$ .

One can obtain the following result by applying Lemma 4.2.

**Theorem 4.3** The independence polynomial of  $K_{2,n}^*$ ,  $n \geq 2$ , is

$$I(K_{2,n}^*;x) = (1+x)^2 \cdot \left\{ \sum_{k=0}^n \left[ \binom{n}{k} \cdot 2^k + \sum_{i=1}^2 \binom{2}{i} \binom{n-i}{k-i} \right] \cdot x^k \right\}.$$

Proof.

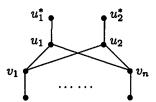


Figure 2: The graph  $K_{2,n}^*$ .

Let  $K_{2,n}$  be the complete bipartite graph with vertex classes  $U = \{u_1, u_2\}$  and  $V = \{v_1, \ldots, v_n\}$  (see Figure 2). Then by Lemma 4.2, the independent polynomial of  $K_{2,n}^*$ ,  $n \geq 2$ , is

$$\begin{array}{ll} I(K_{2,n}^*;x) \\ &= I(K_{2,n}^* - \{u_1\};x) + x \cdot I(K_{2,n}^* - N[u_1];x) \\ &= I(S_n \sqcup \{u_1^*\};x) + x \cdot I(K_2 \sqcup nK_1;x) \\ &= (1+x) \cdot \{\sum_{k=0}^n [\binom{n}{k} \cdot 2^k + \binom{n-1}{k-1}] \cdot x^k\} \cdot (1+x) + x(1+2x)(1+x)^n \\ &= (1+x)^2 \cdot \{\sum_{k=0}^n [\binom{n}{k} \cdot 2^k + \binom{n-1}{k-1}] \cdot x^k\} \\ &+ (1+x)^2 [x(1+2x)(1+x)^{n-2}] \\ &= (1+x)^2 \cdot \{\sum_{k=0}^n [\binom{n}{k} \cdot 2^k + \binom{n-1}{k-1} + \binom{n-2}{k-1} + 2\binom{n-2}{k-2}] \cdot x^k\} \\ &= (1+x)^2 \cdot \{\sum_{k=0}^n [\binom{n}{k} \cdot 2^k + \sum_{i=1}^2 \binom{2}{i} \binom{n-i}{k-i}] \cdot x^k\} \end{array}$$

as  $\binom{n-2}{k-1} + \binom{n-2}{k-2} = \binom{n-1}{k-1}$ . This shows the assertion.  $\square$ 

#### Proposition 4.4 Let

$$c_k = \binom{n}{k} 2^k + \sum_{i=1}^2 \binom{2}{i} \binom{n-i}{k-i}$$

and  $h = \lfloor \frac{2n+1}{3} \rfloor$ , where  $0 \le k \le n$  and  $n \ge 2$ . Then  $c_0 \le \cdots \le c_{h-1} \le c_h \ge c_{h+1} \ge \cdots \ge c_n$ .

*Proof.* Case 1. n = 3m. For  $0 \le i \le m - 1$ ,

$$= \begin{array}{l} c_{2m+i} - c_{2m+i+1} \\ = [\binom{3m}{2m+i} \cdot 2^{2m+i} + 2\binom{3m-1}{2m+i-1} + \binom{3m-2}{2m+i-2}] \\ - [\binom{3m}{2m+i+1} \cdot 2^{2m+i+1} + 2\binom{3m-1}{2m+i} + \binom{3m-2}{2m+i-1}]. \end{array}$$

It is easy to see that for any  $m \in \mathbb{N}$  and  $0 \le i \le m-1$ ,  $\binom{3m-1}{2m+i-1} \ge \binom{3m-1}{2m+i}$  and  $\binom{3m-2}{2m+i-2} \ge \binom{3m-2}{2m+i-1}$ . Moreover,

$$\binom{3m}{2m+i} \cdot 2^{2m+i} - \binom{3m}{2m+i+1} \cdot 2^{2m+i+1} & = & \frac{(3m)! \cdot 2^{2m+i} \cdot (3i+1)}{(2m+i+1)!(m-i)!} \\ > & 0.$$

Therefore,  $c_{2m} \geq c_{2m+1} \geq \cdots \geq c_{3m}$ . On the other hand, for any  $m \in \mathbb{N}$  and  $0 \leq j \leq 2m-1$ , we have that

$$\begin{array}{l} c_{2m-j}-c_{2m-j-1}\\ =& [\binom{3m}{2m-j}\cdot 2^{2m-j}+2\binom{3m-1}{2m-j-1}+\binom{3m-2}{2m-j-2}]\\ &-[\binom{3m}{2m-j-1}\cdot 2^{2m-j-1}+2\binom{3m-1}{2m-j-2}+\binom{3m-2}{2m-j-3}]\\ =& \frac{(3m)!\cdot 2^{2m-j-1}\cdot (3j+2)}{(2m-j)!(m+j+1)!}-\frac{2(3m-1)!\cdot (m-2j-2)}{(2m-j-1)!(m+j+1)!}-\frac{(3m-2)!\cdot (m-2j-3)}{(2m-j-2)!(m+j+1)!}\\ =& \frac{(3m-2)!}{(2m-j)!(m+j+1)!}\cdot [3m(3m-1)(3j+2)\cdot 2^{2m-j-1}\\ &-2(3m-1)(m-2j-2)(2m-j)\\ &-(m-2j-3)(2m-j)(2m-j-1)]. \end{array}$$

Notice that

$$2(3m-1)(m-2j-2)(2m-j)+(m-2j-3)(2m-j)(2m-j-1) \le (m-2j-2)(2m-j)[2m+2(3m-1)] \le (2m-j)m(8m-2) < 2^{2m-j-1}3m(3m-1).$$

Thus we conclude that  $c_0 \leq \cdots \leq c_{2m-1} \leq c_{2m} \geq c_{2m+1} \geq \cdots \geq c_n$ . Case 2. n = 3m + 1. For  $0 \leq i \leq m - 1$ ,

$$= \begin{array}{l} {}^{c_{2m+i+1}-c_{2m+i+2}} \\ = [\binom{3m+1}{2m+i+1} \cdot 2^{2m+i+1} + 2\binom{3m}{2m+i} + \binom{3m-1}{2m+i-1})] \\ - [\binom{3m+1}{2m+i+2} \cdot 2^{2m+i+2} + 2\binom{3m}{2m+i+1} + \binom{3m-1}{2m+i})]. \end{array}$$

It is easy to see that for any  $m \in \mathbb{N}$  and  $0 \le i \le m-1$ ,  $\binom{3m}{2m+i} \ge \binom{3m}{2m+i+1}$  and  $\binom{3m-1}{2m+i-1} \ge \binom{3m-1}{2m+i}$ . Moreover,

Therefore,  $c_{2m+1} \ge c_{2m+2} \ge \cdots \ge c_{3m+1}$ . On the other hand, we have for any  $m \in \mathbb{N}$  and  $0 \le j \le 2m$ 

$$\begin{array}{l} c_{2m-j+1}-c_{2m-j}\\ =& [\binom{3m+1}{2m-j+1}\cdot 2^{2m-j+1}+2\binom{3m}{2m-j}+\binom{3m-1}{2m-j-1}]\\ &-[\binom{3m+1}{2m-j}\cdot 2^{2m-j}+2\binom{3m}{2m-j-1}+\binom{3m-1}{2m-j-2}]\\ =& \frac{(3m+1)!\cdot 2^{2m-j}\cdot (3j+1)}{(2m-j+1)!(m+j+1)!}-\frac{2(3m)!\cdot (m-2j-1)}{(2m-j)!(m+j+1)!}-\frac{(3m-1)!\cdot (m-2j-2)}{(2m-j-1)!(m+j+1)!}\\ =& \frac{(3m-1)!}{(2m-j+1)!(m+j+1)!}\cdot [3m(3m+1)(3j+1)\cdot 2^{2m-j}\\ &-2(3m)(m-2j-1)(2m-j+1)\\ &-(m-2j-2)(2m-j+1)(2m-j)]. \end{array}$$

Notice that

$$2(3m)(m-2j-1)(2m-j+1) + (m-2j-2)(2m-j+1)(2m-j) \le (m-2j-1)(2m-j+1)[2m+2(3m)] \le (2m-j+1)8m^2 < 2^{2m-j}3m(3m+1).$$

Thus we conclude that  $c_0 \leq \cdots \leq c_{2m} \leq c_{2m+1} \geq c_{2m+2} \geq \cdots \geq c_n$ . Case 3. n = 3m + 2. For  $0 \leq i \leq m$ ,

$$= \begin{array}{l} c_{2m+i+1} - c_{2m+i+2} \\ = [\binom{3m+2}{2m+i+1} \cdot 2^{2m+i+1} + 2\binom{3m+1}{2m+i} + \binom{3m}{2m+i-1}] \\ - [\binom{3m+2}{2m+i+2} \cdot 2^{2m+i+2} + 2\binom{3m+1}{2m+i+1} + \binom{3m}{2m+i}]. \end{array}$$

It is easy to see that for any  $m \in \mathbb{N}$  and  $0 \le i \le m$ ,  $\binom{3m+1}{2m+i} \ge \binom{3m+1}{2m+i+1}$  and  $\binom{3m}{2m+i-1} \ge \binom{3m}{2m+i}$ . Moreover,

$$\binom{3m+2}{2m+i+1} \cdot 2^{2m+i+1} - \binom{3m+2}{2m+i+2} \cdot 2^{2m+i+2} & = \frac{(3m+2)! \cdot 2^{2m+i+1} \cdot (3i)}{(2m+i+2)!(m-i+1)!} \\ \geq 0.$$

Therefore,  $c_{2m+1} \ge c_{2m+2} \ge \cdots \ge c_{3m+2}$ . On the other hand, we have for any  $m \in \mathbb{N}$  and  $0 \le j \le 2m$  that

$$\begin{array}{l} & c_{2m-j+1}-c_{2m-j}\\ & = & [\binom{3m+2}{2m-j+1} \cdot 2^{2m-j+1} + 2\binom{3m+1}{2m-j} + \binom{3m}{2m-j-1}]\\ & - [\binom{3m+2}{2m-j} \cdot 2^{2m-j} + 2\binom{3m+1}{2m-j-1} + \binom{3m}{3m}]\\ & = & \frac{(3m+2)! \cdot 2^{2m-j} \cdot (3j+3)}{(2m-j+1)!(m+j+2)!} - \frac{2(3m+1)! \cdot (m-2j-2)}{(2m-j)!(m+j+2)!} - \frac{(3m)! \cdot (m-2j-3)}{(2m-j-1)!(m+j+2)!}\\ & = & \frac{(3m)!}{(2m-j+1)!(m+j+2)!} [(3m+2)(3m+1)(3j+3) \cdot 2^{2m-j}\\ & - 2(3m+1)(m-2j-2)(2m-j+1)\\ & - (m-2j-3)(2m-j+1)(2m-j)]. \end{array}$$

Notice that

$$\begin{array}{l} 2(3m+1)(m-2j-2)(2m-j+1) \\ +(m-2j-3)(2m-j+1)(2m-j) \\ \leq (m-2j-2)(2m-j+1)[2m+2(3m+1)] \\ \leq (2m-j+1)m(8m+2) \\ < 2^{2m-j}(3m+1)(3m+2). \end{array}$$

Thus we conclude that  $c_0 \leq \cdots \leq c_{2m} \leq c_{2m+1} \geq c_{2m+2} \geq \cdots \geq c_n$ .  $\square$ 

#### Lemma 4.5 Let

$$c_k = \binom{n}{k} 2^k + \sum_{i=1}^{2} \binom{2}{i} \binom{n-i}{k-i}$$

and  $h = \lfloor \frac{2n+1}{3} \rfloor$ , where  $0 \le k \le n$  and  $n \ge 2$ . Then  $c_{h-1} > c_{h+2}$  and  $c_{h+1} > c_{h-2}$ .

Proof. Observe that

$$\begin{array}{l} = & C_{h-1} - C_{h+2} \\ = & [\binom{n}{h-1} \cdot 2^{h-1} - \binom{n}{h+2} \cdot 2^{h+2}] + 2[\binom{n-1}{h-2} - \binom{n-1}{h+1}] + [\binom{n-2}{h-3} - [\binom{n-2}{h}] \\ = & \frac{n! \cdot 2^{h-1}}{(h+2)[(n-h+1)!} \cdot Q_1(n,h) + \frac{2(n-1)!}{(h+1)!(n-h+1)!} \cdot Q_2(n,h) \\ & + \frac{(n-2)!}{h!(n-h+1)!} \cdot Q_3(n,h), \end{array}$$

where

$$Q_1(n,h) = h(h+1)(h+2) - 8(n-h+1)(n-h)(n-h-1)$$

$$Q_2(n,h) = h(h+1)(h-1) - (n-h+1)(n-h)(n-h-1)$$

$$Q_3(n,h) = h(h-1)(h-2) - (n-h+1)(n-h)(n-h-1).$$

If n = 3m, then h = 2m and the following hold:

$$Q_1(3m, 2m) = 12m(m+1) > 0$$

and

$$Q_2(3m,2m) \ge Q_3(3m,2m) = m(m-1)(7m-5) \ge 0.$$

If n = 3m + 1, then h = 2m + 1 and the following hold:

$$Q_1(3m+1,2m+1) = 2(m+1)(12m+3) > 0$$

and

$$Q_2(3m+1,2m+1) \ge Q_3(3m+1,2m+1) = m(7m^2-1) > 0.$$

If n = 3m + 2, then h = 2m + 1 and the following hold:

$$Q_1(3m+2,2m+1) = 6(m+1) > 0$$

and

$$Q_2(3m+2,2m+1) \ge Q_3(3m+2,2m+1) = m(m-1)(7m+4) \ge 0.$$

From the above, we conclude that  $c_{h-1} > c_{h+2}$ . On the other hand, observe that

$$\begin{array}{l} c_{h+1} - c_{h-2} \\ \geq \binom{n}{h+1} \cdot 2^{h+1} - \left[ \binom{n}{h-2} \cdot 2^{h-2} + 2\binom{n-1}{h-3} + \binom{n-2}{h-4} \right] \\ = \left[ \frac{n!}{(h+1)!(n-h-1)!} \cdot 2^{h+1} - \frac{n!}{(h-2)!(n-h+2)!} \cdot 2^{h-2} \right] \\ - \left[ \frac{2(n-1)!}{(h-3)!(n-h+2)!} + \frac{(n-2)!}{(h-4)!(n-h+2)!} \right] \\ = \frac{(n-2)!}{(h+1)!(n-h+2)!} \cdot R(n,h), \end{array}$$

where

$$R(n,h) = n(n-1)[(n-h+2)(n-h+1)(n-h) \cdot 2^{h+1} -h(h+1)(h-1) \cdot 2^{h-2}] -h(h+1)(h-1)(h-2)[2(n-1)+(h-3)].$$

If n = 3m, then h = 2m and

$$R(3m, 2m) = (2m)(3m)(3m-1)(12m+9) \cdot 2^{2m-2} - (2m)(2m+1)(2m-1)(8m-5)(2m-2) > 0.$$

If n = 3m + 1, then h = 2m + 1 and

$$R(3m+1,2m+1) = (2m)(2m+2)(3m+1)(9m) \cdot 2^{2m-1} - (2m)(2m+1)(2m+2)(8m-2)(2m-1) > 0$$

If n = 3m + 2, then h = 2m + 1 and

$$R(3m+2,2m+1) = (2m+2)(3m+1)(3m+2)(18m+24) \cdot 2^{2m-1} - (2m)(2m+1)(2m+2)(8m)(2m-1) > 0.$$

Therefore, we conclude that  $c_{h+1} > c_{h-2}$ .  $\square$ 

**Theorem 4.6** The independence polynomial of  $K_{2,n}^*$  is unimodal with mode equal to  $\lfloor \frac{2n+4}{3} \rfloor$ .

*Proof.* If n=1, then  $I(K_{2,1}^*)=1+6x+10x^2+5x^3$ , so that the mode is  $2=\lfloor \frac{6}{3}\rfloor$ . Therefore, we may assume that  $n\geq 2$ . By Theorem 4.3, the independence polynomial of  $K_{2,n}^*$  is

$$I(K_{2,n}^*;x) = (1+x)^2 \cdot \left\{ \sum_{k=0}^n \left[ \binom{n}{k} 2^k + \sum_{i=1}^2 \binom{2}{i} \binom{n-i}{k-i} \right] \cdot x^k \right\}.$$

Let

$$c_k = \binom{n}{k} 2^k + \sum_{i=1}^2 \binom{2}{i} \binom{n-i}{k-i};$$

then  $I(K_{2,n}^*;x) = (1+x)^2 \cdot \sum_{i=0}^n c_i x^i$ . By Proposition 4.4,  $c_0 \le c_1 \le \cdots \le c_{h-1} \le c_h \ge c_{h+1} \ge \cdots \ge c_n$  where  $h = \lfloor \frac{2n+1}{3} \rfloor$ . Write  $I(K_{2,n}^*;x) = \sum_{i=0}^{n+2} a_i x^i$ ; then

$$(1+2x+x^2)(c_0+c_1x+\cdots+c_nx^n) = a_0+a_1x+\cdots+a_{n+2}x^{n+2},$$

and therefore  $a_0 = c_0$ ,  $a_1 = c_1 + 2c_o$ ,  $a_{n+1} = 2c_n + c_{n-1}$ ,  $a_{n+2} = c_n$ , and  $a_k = c_k + 2c_{k-1} + c_{k-2}$  for  $2 \le k \le n$ . It is easy to see that  $a_0 \le a_1 \le a_2$  and  $a_n \ge a_{n+1} \ge a_{n+2}$ . Notice that if  $2 \le k \le h-1$ , then  $c_k + 2c_{k-1} + c_{k-2} \le c_{k+1} + 2c_k + c_{k-1}$ , so that  $a_2 \le a_3 \le \cdots \le a_h$ . Similarly, we have that  $a_{h+2} \ge a_{h+3} \ge \cdots \ge a_n$ . Hence the possible modes of  $I(K_{2,n}^*; x)$  are h, h+1 and h+2. Since  $c_h \ge c_{h-1}$  and  $c_h \ge c_{h+1}$ , by Lemma 4.5,

$$a_{h+1} - a_h$$
=  $(c_{h+1} + 2c_h + c_{h-1}) - (c_h + 2c_{h-1} + c_{h-2})$   
=  $(c_{h+1} - c_{h-2}) + (c_h - c_{h-1})$   
> 0

and

$$a_{h+1} - a_{h+2}$$
=  $(c_{h+1} + 2c_h + c_{h-1}) - (c_{h+2} + 2c_{h+1} + c_h)$   
=  $(c_h - c_{h+1}) + (c_{h-1} - c_{h+2})$   
> 0.

Therefore, the mode of  $K_{2,n}^*$  is  $h+1=\lfloor \frac{2n+1}{3}\rfloor+1=\lfloor \frac{2n+4}{3}\rfloor$ . In view of Theorem 4.6, we provide the following question: Conjecture:  $I(K_{t,n}^*;x)$  is unimodal for every t.

In [8, Theorem 5], Levit and Mandrescu proved that  $I(K_{1,n}^*;x)$  is log-concave, we prove a similar result for  $I(K_{2,n}^*;x)$  as follows.

**Theorem 4.7** The independence polynomial of  $K_{2,n}^*$  is log-concave.

*Proof.* If n=1, then  $I(K_{2,1}^*)=1+6x+10x^2+5x^3$ . It is easy to see that the independence polynomial of  $K_{2,1}^*$  is log-concave, so that the assertion holds for n=1. Therefore, we may assume that  $n \geq 2$ . By Theorem 4.3,

$$I(K_{2,n}^*;x) = (1+x)^2 \cdot \left\{ \sum_{k=0}^n \left[ \binom{n}{k} \cdot 2^k + \sum_{i=1}^2 \binom{2}{i} \binom{n-i}{k-i} \right] \cdot x^k \right\}.$$

Let

$$c_k = \binom{n}{k} \cdot 2^k + \sum_{i=1}^{2} \binom{2}{i} \binom{n-i}{k-i};$$

then  $I(K_{2,n}^*;x) = (1+x)^2 \cdot \sum_{i=0}^n c_i x^i$ . Since the product of two log-concave polynomials is also log-concave and  $(1+x)^2$  is log-concave, it is sufficient to show that  $c_k^2 \ge c_{k-1}c_{k+1}$  for  $1 \le k \le n-1$ . For this, notice that  $c_1^2 - c_0 c_2 = 2n^2 + 8n + 5 > 0$  and  $c_2^2 - c_1 c_3 = \frac{1}{3}(4n^4 + 10n^3 + 2n^2 - 4n + 3) > 0$ . For  $3 \le k \le n-1$ , let  $A_k = \binom{n}{k} \cdot 2^k$  and  $B_k = 2\binom{n-1}{k-1} + \binom{n-2}{k-2}$ ; then  $c_k = A_k + B_k$ . Moreover,

$$\begin{array}{l} c_k^2 - c_{k-1} \cdot c_{k+1} \\ = A_k^2 + 2A_kB_k - A_{k-1}A_{k+1} - A_{k-1}B_{k+1} - A_{k+1}B_{k-1} \\ + B_k^2 - B_{k-1}B_{k+1}. \end{array}$$

Observe that  $\binom{n-i}{k-i}^2 \ge \binom{n-i}{k-i-1} \binom{n-i}{k-i+1}$  for i=1,2, therefore

$$\begin{array}{l} \operatorname{Hat} \ (_{k-i}) \ \geq (_{k-i-1})(_{k-i+1}) \ \operatorname{Iof} \ i=1,2, \ \operatorname{therefore} \\ B_k^2 - B_{k-1}B_{k+1} \\ = \ 4\binom{n-1}{k-1}^2 + 4\binom{n-1}{k-1}\binom{n-2}{k-2} + \binom{n-2}{k-2}^2 - 4\binom{n-1}{k-1}\binom{n-1}{k} \\ - 2\binom{n-1}{k-2}\binom{n-2}{k-1} - 2\binom{n-2}{k-3}\binom{n-1}{k} \\ - \binom{n-2}{k-2}\binom{n-2}{k-1} \\ \geq \ 4\binom{n-1}{k-1}\binom{n-2}{k-2} - 2\binom{n-1}{k-2}\binom{n-2}{k-1} - 2\binom{n-2}{k-3}\binom{n-1}{k} \\ = \ 4\binom{n-1}{k-1}\binom{n-2}{k-2} - 2 \cdot \frac{k-1}{n-k+1} \cdot \binom{n-1}{k-1} \cdot \frac{n-k}{k-1} \cdot \binom{n-2}{k-2} \\ - 2 \cdot \frac{k-2}{n-k+1} \cdot \binom{n-2}{k-2} \cdot \frac{n-k}{k} \cdot \binom{n-1}{k-1} \\ = \ 2\binom{n-1}{k-1}\binom{n-2}{k-2} \cdot \left[ (1 - \frac{n-k}{n-k+1}) + (1 - \frac{n-k}{n-k+1} \cdot \frac{k-2}{k}) \right] \\ > \ 0 \end{array}$$

for  $\frac{n-k}{n-k+1}$ ,  $\frac{k-2}{k} \in (0,1)$ . To finish the proof, it is enough to show that

$$A_k^2 + 2A_kB_k - A_{k-1}A_{k+1} - A_{k-1}B_{k+1} - A_{k+1}B_{k-1} \ge 0.$$

For this, notice that  $A_{k+1} = \frac{n-k}{k+1} \binom{n}{k} 2^{k+1}$ ,  $A_{k-1} = \frac{k}{n-k+1} \binom{n}{k} 2^{k-1}$ ,  $B_k = [\frac{2k}{n} + \frac{k(k-1)}{n(n-1)}]\binom{n}{k}$ ,  $B_{k+1} = [\frac{2(n-k)}{n} + \frac{(n-k)k}{n(n-1)}]\binom{n}{k}$  and  $B_{k-1} = [\frac{2k(k-1)}{n(n-k+1)} + \frac{k(k-1)(k-2)}{n(n-1)(n-k+1)}]\binom{n}{k}$ . It follows that

$$= \frac{A_k^2 + 2A_kB_k - A_{k-1}A_{k+1} - A_{k-1}B_{k+1} - A_{k+1}B_{k-1}}{\frac{\binom{n}{k}^2 \cdot 2^{k-1}}{n(n-1)(k+1)(n-k+1)}D},$$

where

$$\begin{split} D &= n(n-1)(k+1)(n-k+1) \cdot 2^{k+1} \\ &+ 8k(n-1)(k+1)(n-k+1) \\ &+ 4k(k-1)(k+1)(n-k+1) - n(n-1)k(n-k) \cdot 2^{k+1} \\ &- 2k(n-k)(n-1)(k+1) - k^2(n-k)(k+1) \\ &- 8k(n-k)(k-1)(n-1) - 4k(k-1)(k-2)(n-k) \\ &= [n(n-1)(n+1) \cdot 2^{k+1} - k^2(n-k)(k+1) \\ &- 2k(k+1)(n-1)(n-k)] \\ &+ [8k(n-1)(k+1)(n-k+1) - 8k(n-k)(k-1)(n-1)] \\ &+ [4k(k-1)(k+1)(n-k+1) - 4k(k-1)(k-2)(n-k)]. \end{split}$$

However,

$$8k(n-1)(k+1)(n-k+1) - 8k(n-k)(k-1)(n-1) \ge 0,$$
  
$$4k(k-1)(k+1)(n-k+1) - 4k(k-1)(k-2)(n-k) > 0$$

and

$$n(n-1)(n+1)\cdot 2^{k+1} - k^2(n-k)(k+1) - 2k(k+1)(n-1)(n-k) \ge 0.$$

This shows that  $c_k^2 - c_{k-1} \cdot c_{k+1} \ge 0$  for  $1 \le k \le n-1$ .  $\square$  In view of Theorem 4.7, we provide the following question: Conjecture:  $I(K_{t,n}^*;x)$  is log-concave for every t.

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