

On fractional (g, f, n) -critical graphs *

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Abstract

Let G be a graph. Let $g(x)$ and $f(x)$ be two nonnegative integer-valued functions defined on $V(G)$ with $g(x) \leq f(x)$ for any $x \in V(G)$. A spanning subgraph F of G is called a fractional (g, f) -factor if $g(x) \leq d_G^h(x) \leq f(x)$ for all $x \in V(G)$, where $d_G^h(x) = \sum_{e \in E_x} h(e)$ is the fractional degree of $x \in V(F)$ with $E_x = \{e : e = xy \in E(G)\}$. A graph G is said to be fractional (g, f, n) -critical if $G - N$ has a fractional (g, f) -factor for each $N \subseteq V(G)$ with $|N| = n$. In this paper, several sufficient conditions in terms of stability number and degree for graphs to be fractional (g, f, n) -critical are given. Moreover, we show that the results in this paper are best possible in some sense.

Keywords: graph, fractional (g, f) -factor, fractional (g, f, n) -critical graph, stability number, degree

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1. Introduction

The graphs considered in this paper will be finite and undirected simple graphs. Let G be a graph with vertex set $V(G)$ and edge set $E(G)$. Denote by $d_G(x)$ the degree of a vertex x in G and by $N_G(x)$ the set of vertices adjacent to x in G . We use $N_G[x]$ to denote $N_G(x) \cup \{x\}$ and $\delta(G)$ to denote the minimum degree of G . For a subset $S \subseteq V(G)$, we denote by $N_G(S)$ the union of $N_G(x)$ for every $x \in S$, by $G[S]$ the subgraph of G induced by S , by $G - S$ the subgraph obtained from G by deleting the vertices in S together with the edges incident to the vertices in S .

Let $g(x)$ and $f(x)$ be two nonnegative integer-valued functions defined on $V(G)$ with $g(x) \leq f(x)$ for every $x \in V(G)$. Let h be a function defined on $E(G)$ such that $h(e) \in [0, 1]$ for every edge $e \in E(G)$. We call h a fractional (g, f) -indicator function if for each vertex x we have $g(x) \leq d_G^h(x) \leq f(x)$, where $d_G^h(x) = \sum_{e \in E_x} h(e)$ is the fractional degree of $x \in G$ with $E_x = \{e : e = xy \in E(G)\}$. Set $E_h = \{e : e \in E(G) \text{ and } h(e) \neq 0\}$. If G_h is a spanning subgraph of G such that $E(G_h) = E_h$, then G_h is called a fractional (g, f) -factor of G with indicator function h . In particular, if $g(x) = f(x)$ for every $x \in V(G)$, then a fractional (g, f) -factor is also referred as a fractional f -factor. If $f(x) = k$ for all $x \in V(G)$, then a fractional f -factor is a fractional k -factor. A graph G is said to be fractional (g, f, n) -critical if $G - N$ has a fractional (g, f) -factor for each $N \subseteq V(G)$ with $|N| = n$. If $g(x) = f(x)$ for every $x \in V(G)$, then a fractional (g, f, n) -critical graph is a fractional (f, n) -critical graph. If $f(x) = k$ for all $x \in V(G)$, then a fractional (f, n) -critical graph is fractional (k, n) -critical. If $k = 1$, then a fractional (k, n) -critical graph is simply called a fractional n -critical graph. The other terminologies and notations can be found in [1].

Many authors have investigated graph factors [3, 10, 11], fractional (g, f) -factors [6, 7, 9, 13], and critical graphs [5, 12, 14]. In [15], S. Zhou and H. Liu gave a neighborhood condition for a graph to have a fractional k -factor.

Theorem A. [15] *Let k be an integer such that $k \geq 1$, and let G be a connected graph of order n such that $n \geq 9k - 1 - 4\sqrt{2(k-1)^2 + 2}$, and the minimum degree $\delta(G) \geq k$. If*

$$|N_G(x) \cup N_G(y)| \geq \max \left\{ \frac{n}{2}, \frac{1}{2}(n + k - 2) \right\}$$

for each pair of non-adjacent vertices $x, y \in V(G)$, then G has a fractional k -factor.

In [4], J. Cai and G. Liu gave a stability number and minimum degree condition for the existence of fractional f -factor in a graph.

Theorem B. [4] *Let G be a graph, a, b be nonnegative integers such that $a \leq b$, and f be a nonnegative integer-valued function defined on $V(G)$ such that $a \leq f(x) \leq b$ for every $x \in V(G)$. If $\delta(G) \geq b$, and stability number $\alpha(G) \leq \frac{4a(\delta-b)}{(b+1)^2}$, then G has a fractional f -factor.*

In [6], G. Liu and L. Zhang gave a degree condition for a graph to have a fractional (g, f) -factor.

Theorem C. [6] *Let G be a graph. If*

$$\frac{g(w)}{d_G(w)} \leq \frac{f(v)}{d_G(v)}$$

for every pair of vertices $v, w \in V(G)$, then G has a fractional (g, f) -factor.

In [16], S. Zhou and Q. Shen gave a sufficient condition in terms of binding number for a graph to be fractional (f, n) -critical.

Theorem D. [16] *Let G be a graph of order p , and let a, b and n be nonnegative integers such that $2 \leq a \leq b$, and let f be an integer-valued function defined on $V(G)$ such that $a \leq f(x) \leq b$ for each $x \in V(G)$. If $\text{bind}(G) > \frac{(a+b-1)(p-1)}{(ap-(a+b)-bn+2)}$ and $p \geq \frac{(a+b)(a+b-3)}{a} + \frac{bn}{a-1}$, then G is fractional (f, n) -critical.*

We extend Theorem B and Theorem C to fractional (g, f, n) -critical graphs and obtain the following results.

Theorem 1. *Let G be a graph, a, b and n be nonnegative integers such that $a \leq b$, and $g(x)$ and $f(x)$ be two nonnegative integer-valued functions defined on $V(G)$ such that $a \leq g(x) \leq f(x) \leq b$ for every $x \in V(G)$. If $\delta(G) \geq b$, and stability number $\alpha(G) \leq \frac{4(a(\delta-b+1)-bn)}{(b+1)^2}$, then G is fractional (g, f, n) -critical.*

Theorem 2. *Let G be a graph, a, b and n be nonnegative integers such that $a \leq b$, and $g(x)$ and $f(x)$ be two nonnegative integer-valued functions defined on $V(G)$ such that $a \leq g(x) \leq f(x) \leq b$ for every $x \in V(G)$. If*

$$\frac{g(w) + bn}{d_G(w)} \leq \frac{f(v)}{d_G(v)} \tag{1}$$

for every pair of vertices $v, w \in V(G)$, then G is fractional (g, f, n) -critical.

In Theorem 1, if $g(x) = f(x)$ for every $x \in V(G)$, then we get the following corollary.

Corollary 1. *Let G be a graph, a, b and n be nonnegative integers such that $a \leq b$, and $f(x)$ be a nonnegative integer-valued function defined on $V(G)$ such that $a \leq f(x) \leq b$ for every $x \in V(G)$. If $\delta(G) \geq b$, and stability number $\alpha(G) \leq \frac{4(a(\delta-b+1)-bn)}{(b+1)^2}$, then G is fractional (f, n) -critical.*

In Corollary 1, if $n = 0$, then we get the following corollary.

Corollary 2. *Let G be a graph, a and b be nonnegative integers such that $a \leq b$, and $f(x)$ be a nonnegative integer-valued function defined on $V(G)$ such that $a \leq f(x) \leq b$ for every $x \in V(G)$. If $\delta(G) \geq b$, and stability number $\alpha(G) \leq \frac{4a(\delta-b+1)}{(b+1)^2}$, then G has a fractional f -factor.*

Since $\frac{4a(\delta-b)}{(b+1)^2} \leq \frac{4a(\delta-b+1)}{(b+1)^2}$, it is easy to see Theorem B is a special case of Corollary 2.

It is easy to see that Theorem C is a special case of Theorem 2 for $n = 0$ when $a \leq g(x) \leq f(x) \leq b$.

2. Preliminary lemmas

Let Z be the set of integers, and let S and T be disjoint subsets of $V(G)$. For $g, f : V(G) \rightarrow Z$, we denote $f(S) = \sum_{x \in S} f(x)$, $d_{G-S}(T) = \sum_{x \in T} d_{G-S}(x)$, and $g(T) = \sum_{x \in T} g(x)$. Let

$$\delta_G(S, T) = f(S) + d_{G-S}(T) - g(T),$$

and

$$f_n(S) = \max \{f(N) : N \subseteq S \text{ and } |N| = n\}$$

for $|S| \geq n$.

The following lemma is due to Anstee [2], G. Liu and L. Zhang[7].

Lemma 1.[2, 7] *Let G be a graph, and $g, f : V(G) \rightarrow Z$ be two functions such that $g(x) \leq f(x)$ for each $x \in V(G)$. A graph G has an fractional (g, f) -factor if and only if for any subset $S \subseteq V(G)$, we have*

$$\delta_G(S, T) = f(S) + d_{G-S}(T) - g(T) \geq 0, \tag{2}$$

where $T = \{x : x \in V(G) - S, \text{ and } d_{G-S}(x) < g(x)\}$.

Lemma 2. Let G be a graph, and let $n \geq 0$ be an integer. Let $g, f : V(G) \rightarrow Z$ be two functions such that $g(x) \leq f(x)$ for each $x \in V(G)$. A graph G is fractional (g, f, n) -critical if and only if

$$\delta_G(S, T) = f(S) + d_{G-S}(T) - g(T) \geq f_n(S) \quad (3)$$

for any subset $S \subseteq V(G)$ with $|S| \geq n$, where $T = \{x : x \in V(G) - S, \text{ and } d_{G-S}(x) < g(x)\}$.

Proof. Suppose that G is fractional (g, f, n) -critical. Let $S \subseteq V(G)$ be any subset such that $G[S]$ has a subgraph N such that S has a subset N with $f(N) = f_n(S)$. Then $G - N$ has a fractional (g, f) -factor. Let $G' = G - N$, $S' = S - N$, and $T' = \{x : x \in V(G') - S', \text{ and } d_{G'-S'}(x) < g(x)\}$. Then $G' - S' = G - S$. By Lemma 1, G' has a fractional (g, f) -factor if and only if

$$\delta_{G'}(S', T') = f(S') + d_{G'-S'}(T') - g(T') \geq 0$$

for all disjoint subsets S' and T' of $V(G')$, where $T' = \{x : x \in V(G') - S', \text{ and } d_{G'-S'}(x) < g(x)\}$. Since $T' = T$ from the definition of T , and $d_{G'-S'}(T') = d_{G-S}(T)$, we have

$$\begin{aligned} 0 \leq \delta_{G'}(S', T') &= f(S') + d_{G'-S'}(T') - g(T') \\ &= f(S) - f(N) + d_{G-S}(T) - g(T) \\ &= \delta_G(S, T) - f(N) = \delta_G(S, T) - f_n(S). \end{aligned}$$

Therefore $\delta_G(S, T) \geq f_n(S)$ holds.

Conversely, we suppose that $\delta_G(S, T) \geq f_n(S)$ holds for any subset $S \subseteq V(G)$ with $|S| \geq n$. Let $N \subseteq V(G)$ with $|N| = n$, and $G' = G - N$. For every subset $S' \subseteq G'$, let $S = S' \cup N$. Define $T' = \{x : x \in V(G') - S', \text{ and } d_{G'-S'}(x) < g(x)\}$. Then $G' - S' = G - S$, $T' = T$ and $d_{G'-S'}(T') = d_{G-S}(T)$. From the definition of $f_n(S)$, we have

$$\begin{aligned} \delta_{G'}(S', T') &= f(S') + d_{G'-S'}(T') - g(T') \\ &= f(S) - f(N) + d_{G-S}(T) - g(T) \\ &= \delta_G(S, T) - f(N) \geq \delta_G(S, T) - f_n(S) \geq 0. \end{aligned}$$

Thus G' has a fractional (g, f) -factor by Lemma 1. Consequently G is fractional (g, f, n) -critical. \square

3. The proof of main theorems

Proof of Theorem 1. We prove the theorem by contradiction. Suppose that G is not fractional (g, f, n) -critical. Then, by Lemma 2, there exists some subset $S \subseteq V(G)$ with $|S| \geq n$ such that

$$\delta_G(S, T) = f(S) + d_{G-S}(T) - g(T) \leq f_n(S) - 1, \quad (4)$$

where $T = \{x : x \in V(G) - S, \text{ and } d_{G-S}(x) < g(x)\}$.

Claim 1. $T \neq \emptyset$.

Proof. If $T = \emptyset$, then by (4) and the definition of $f_n(S)$, $f(S) = \delta_G(S, T) \leq f_n(S) - 1 \leq f(S) - 1$. This is a contradiction. \square

Since $T \neq \emptyset$, define $h = \min\{d_{G-S}(x) \mid x \in T\}$. Then

$$|S| \geq \delta(G) - h. \quad (5)$$

Claim 2. $0 \leq h \leq b - 1$.

Proof. According to the definition of T , we know that any $x \in T$ satisfies $d_{G-S}(x) < g(x) \leq b$. Hence $0 \leq h \leq g(x) - 1 \leq b - 1$. \square

Since $T \neq \emptyset$, in the following we shall construct a sequence x_1, x_2, \dots, x_k of vertices of T . We take $x_1 \in T$ such that x_1 is the vertex with the least degree in $G[T]$. Let $N_1 = N_G[x_1] \cap T$ and $T_1 = T$. For $i \geq 2$, if $T - \bigcup_{j < i} N_j \neq \emptyset$, let $T_i = T - \bigcup_{j < i} N_j$. Then take $x_i \in T_i$ such that x_i is the vertex with the least degree in $G[T_i]$, and set $N_i = N_G[x_i] \cap T_i$. We continue this procedure until we reach the situation in which $T_i = \emptyset$ for some i , say for $i = k + 1$. Then from the above definition we know that x_1, x_2, \dots, x_k is an independent set of G . Since $T \neq \emptyset$, we have $k \geq 1$.

Let $|N_i| = n_i$. We can easily get the following properties by the definition of N_i .

$$\alpha(G[T]) \geq k, \quad (6)$$

$$|T| = \sum_{1 \leq i \leq k} n_i, \quad (7)$$

$$\sum_{1 \leq i \leq k} \left(\sum_{x \in N_i} d_{T_i}(x) \right) \geq \sum_{1 \leq i \leq k} (n_i^2 - n_i). \quad (8)$$

It follows that

$$d_{G-S}(T) \geq \sum_{1 \leq i \leq k} (n_i^2 - n_i) + \sum_{1 \leq i < j \leq k} e_G(N_i, N_j) \geq \sum_{1 \leq i \leq k} (n_i^2 - n_i). \quad (9)$$

Define $f(n_i) = n_i(n_i - b - 1)$. Then $n_i(n_i - b - 1)$ attains its minimum value $-(b+1)^2/4$ at $\frac{b+1}{2}$ by derivation. Thus $n_i(n_i - b - 1) \geq -(b+1)^2/4$. Combining this inequality with (4), (7), (9), we get

$$\begin{aligned} f_n(S) - 1 \geq \delta_G(S, T) &= f(S) + d_{G-S}(T) - g(T) \\ &\geq a|S| + d_{G-S}(T) - b|T| \\ &\geq a|S| + \sum_{1 \leq i \leq k} (n_i(n_i - b - 1)) \\ &\geq a|S| - (b+1)^2 k/4. \end{aligned}$$

Since $\alpha(G) \geq \alpha(G[T]) \geq k$, by (5), (6), and the condition $\alpha(G) \leq \frac{4(a(\delta-b+1)-bn)}{(b+1)^2}$, we obtain

$$\begin{aligned} f_n(S) - 1 \geq \delta_G(S, T) &\geq a|S| - (b+1)^2 k/4 \\ &\geq a(\delta(G) - h) - \frac{(b+1)^2}{4} \frac{4(a(\delta-b+1) - bn)}{(b+1)^2} \\ &= a(\delta(G) - h) - (a(\delta(G) - b + 1) - bn) \\ &= a(b-1-h) + bn \end{aligned}$$

Since $h \leq b-1$ by Claim 2, we have

$$bn - 1 \geq f_n(S) - 1 \geq \delta_G(S, T) \geq bn.$$

That is a contradiction. This completes the proof of Theorem 1. \square

Proof of Theorem 2. To prove the theorem, we need only to verify condition (3) of Lemma 2. For any subset $S \subseteq V(G)$ with $|S| \geq n$, let $T = \{x : x \in V(G) - S, \text{ and } d_{G-S}(x) < g(x)\}$, $\bar{S} = V(G) - S$. Note that $0 \leq g(x) \leq f(x) \leq d_G(x)$. If $S = \emptyset$, then (3) holds trivially. So we assume $S \neq \emptyset$. By (1) we get $f(S)d_G(T) - d_G(S)g(T) \geq bn|T|d_G(S)$. Note that $d_G(\bar{S}) - d_{G-S}(\bar{S}) \leq d_G(S)$ and $d_G(S) \geq f(S)$. Thus

$$\begin{aligned} f(S) + d_{G-S}(T) - g(T) &\geq \frac{f(S)}{d_G(\bar{S})} (d_G(\bar{S}) - d_{G-S}(\bar{S})) - (g(T) - d_{G-S}(T)) \\ &= \frac{1}{d_G(\bar{S})} (f(S)d_G(T) - d_G(S)g(T)) \\ &\quad + \frac{1}{d_G(S)} (d_G(S) - f(S))d_{G-S}(T) \\ &\quad + \frac{f(S)}{d_G(\bar{S})} (d_G(V(G) - (S \cup T)) \\ &\quad - d_{G-S}(V(G) - (S \cup T))) \\ &\geq \frac{1}{d_G(S)} (bn|T|d_G(S)). \end{aligned}$$

If $T = \emptyset$, then (3) holds trivially. If $T \neq \emptyset$, then by the above inequality, we have $f(S) + d_{G-S}(T) - g(T) \geq \frac{1}{d_G(S)}(bn|T|d_G(S)) \geq \frac{1}{d_G(S)}(bnd_G(S)) = bn \geq f_n(S)$. Thus (3) holds. Therefore G is fractional (g, f, n) -critical. This completes the proof of Theorem 2. \square

4. Remarks

Remark 1. The condition of Theorem 1 is sharp. The upper bound on the stability number condition $\alpha(G) \leq \frac{4(a(\delta-b+1)-bn)}{(b+1)^2}$ is best possible in the following sense. We cannot replace $\alpha(G) \leq \frac{4(a(\delta-b+1)-bn)}{(b+1)^2}$ by $\alpha(G) \leq \frac{4(a(\delta-b+1)-bn)}{(b+1)^2} + 1$ in Theorem 1, which is shown by the following example.

Let $k = \lfloor \frac{4(a(\delta-b+1)-bn)}{(b+1)^2} \rfloor \geq 2$. We let $G_1 = K_2$ and $G_2 = \bigcup_{i=1}^{k+1} K_b^i$, where K_b^i is a complete graph with b vertices ($1 \leq i \leq k+1$). Then let $G = G_1 + G_2$ be the join of G_1 and G_2 (that is, $V(G) = V(G_1) \cup V(G_2)$ and $E(G) = E(G_1) \cup E(G_2) \cup \{uv : u \in V(G_1), v \in V(G_2)\}$). Then we have $\alpha(G) = \lfloor \frac{4(a(\delta-b+1)-bn)}{(b+1)^2} \rfloor + 1$ and $\delta(G) = b+1 \geq b$. We take $S = V(G_1)$, $g(x) = a$ and $f(x) = a$ for $x \in V(G_1)$; $T = V(G_2)$, $g(x) = b$ and $f(x) = b$ for $x \in V(G_2)$. It follows that

$$\begin{aligned} \delta_G(S, T) &= f(S) + d_{G-S}(T) - g(T) \\ &= 2a + b \cdot (b-1)(k+1) - bb(k+1) \\ &= 2a - b(k+1) \\ &< an = f_n(S). \end{aligned}$$

Therefore, according to Lemma 2, G is not fractional (g, f, n) -critical.

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