

# Sums of Products of Binomial Coefficients

Mourad E.H. Ismail  
Department of Mathematics  
University of Central Florida  
Orlando, FL 32816

November 27, 2006

## Abstract

We give a two parameter generalization of identities of Carlitz and Gould involving products of binomial coefficients. The generalization involves Jacobi polynomials.

*Mathematics Subject Classification.* Primary 05A10. Secondary 11B65.

*Key words and phrases.* Binomial coefficients, Jacobi polynomials, Legendre polynomials, Dixon's theorem.

## 1 Introduction

Let  $[x^n](f(x))$  denote the coefficient of  $x^n$  in  $f(x)$ . An old problem of Dixon from 1891 [3] is to study the sums

$$(1.1) \quad S_n(p, x) := \sum_{k=0}^n \binom{n}{k}^p x^k, \quad n = 1, 2, \dots$$

The following special values of  $S_n(p, x)$  are known

$$S_n(2, 1) = \binom{2n}{n}, \quad S_{2n}(2, -1) = (-1)^n \binom{2n}{n}, \quad S_{2n}(3, -1) = (-1)^n \binom{2n}{n} \binom{3n}{n}.$$

Carlitz [2] showed that

$$(1.2) \quad \sum_{k=0}^n \binom{n}{k}^3 = [x^n](1-x^2)^n P_n \left( \frac{1+x}{1-x} \right),$$

$$(1.3) \quad \sum_{k=0}^n \binom{n}{k}^4 = [x^n](1-x)^{2n} \left[ P_n \left( \frac{1+x}{1-x} \right) \right]^2,$$

where  $P_n$  is a Legendre polynomial of degree  $n$ . Gould [6] proved the following analogous identities

$$(1.4) \quad \sum_{k=0}^n (-1)^k \binom{n}{k}^3 = [x^n](1-x)^{2n} P_n \left( \frac{1+x}{1-x} \right),$$

$$(1.5) \quad \sum_{k=0}^n \binom{n}{k}^5 = [x^n](1-x)^n P_n \left( \frac{1+x}{1-x} \right) \sum_{k=0}^n \binom{n}{k}^3 x^k.$$

The purpose of this note is to extend these results to the Jacobi polynomials  $\{P_n^{(\alpha, \beta)}(x)\}$ ,

$$(1.6) \quad P_n^{(\alpha, \beta)}(x) = \frac{(\alpha+1)_n}{n!} \sum_{k=0}^n \binom{n}{k} \frac{(n+\alpha+\beta+1)_k}{(\alpha+1)_k} \left( \frac{x-1}{2} \right)^k,$$

[12, (4.21.2)] with the shifted factorial  $(A)_n$  defined as

$$(1.7) \quad (A)_0 = 1, \quad (A)_n = \prod_{j=1}^n (A+j-1) = \frac{\Gamma(A+n)}{\Gamma(A)}.$$

The Legendre polynomials are the special case

$$(1.8) \quad P_n(x) = P_n^{(0,0)}(x).$$

In terms of gamma functions the binomial coefficient is

$$(1.9) \quad \binom{A}{k} = \frac{\Gamma(A+1)}{k! \Gamma(A-k+1)}$$

Our main results are Theorems 1 and 2 below as well as (1.14). The proofs will be given in §2. Section 2 also contains several remarks about identities.

**Theorem 1.1.** *We have*

$$(1.10) \quad \begin{aligned} & [x^n] (1-x)^n (1+tx)^\lambda P_n^{(\alpha, \beta)} \left( \frac{1+x}{1-x} \right) \\ &= \frac{(\beta+1)_n}{n!} \sum_{k=0}^n \binom{n}{k} \binom{\lambda}{k} \binom{n+\alpha}{k} \frac{k! t^k}{(\beta+1)_k} \\ &= \sum_{k=0}^n \binom{n+\alpha}{k} \binom{\lambda}{k} \binom{n+\beta}{n-k} t^k. \end{aligned}$$

The equality of the second and third lines follow from (1.9).

It is clear that the special case  $\alpha = \beta = 0, \lambda = n, t = 1$  of Theorem 1 is (1.2) while  $\alpha = \beta = 0, \lambda = n, t = -1$  is (1.4). It is clear that the case  $\beta = 0$  is

$$(1.11) \quad [x^n] (1-x)^n (1+tx)^\lambda P_n^{(\alpha,0)} \left( \frac{1+x}{1-x} \right) \\ = \sum_{k=0}^n \binom{n}{k} \binom{\lambda}{k} \binom{n+\alpha}{k} t^k,$$

which is a two parameter generalization of (1.2).

**Theorem 1.2.** *The following identity holds*

$$(1.12) \quad \sum_{k=0}^n \binom{n+\alpha}{k} \binom{n+\alpha}{n-k} \binom{n+\beta}{k} \binom{n+\beta}{n-k} \\ = [x^n] (1-x)^{2n} \left[ P_n^{(\alpha,\beta)} \left( \frac{1+x}{1-x} \right) \right]^2,$$

Here again Theorem 2 is a two parameter extension of (1.3).

It is very curious to see that (1.12) is a convolution of the summands in (1.10) with  $\lambda = 0$ .

As we shall see in §2 the proofs are based on

$$(1.13) \quad (1-x)^n P_n^{(\alpha,\beta)} \left( \frac{1+x}{1-x} \right) = \sum_{k=0}^n \binom{n+\alpha}{n-k} \binom{n+\beta}{k} x^k.$$

This shows how one can generalize (1.5). Indeed

$$(1.14) \quad [x^n] \left( (1-x)^n P_n^{(\alpha,\beta)} \left( \frac{1+x}{1-x} \right) \sum_{j=0}^n a_j x^j \right) \\ = \sum_{k=0}^n \binom{n+\alpha}{n-k} \binom{n+\beta}{k} a_{n-k}.$$

By specializing the coefficients  $a_k$  one can generate many binomial coefficient identities each of which resembles (1.5).

## 2 Proofs and Remarks

The proofs use the following representation of Jacobi polynomials

$$(2.1) \quad P_n^{(\alpha, \beta)}(x) = \sum_{k=0}^n \binom{n+\alpha}{n-k} \binom{n+\beta}{k} \left(\frac{x-1}{2}\right)^k \left(\frac{x+1}{2}\right)^{n-k}.$$

which is (4.3.2) in [12].

*Proof of Theorem 1.1.* Apply (2.1) to see that the left-hand side of (1.10) equals

$$[x^n] \left( \sum_{k=0}^n \binom{n+\alpha}{n-k} \binom{n+\beta}{k} x^k (1+tx)^\lambda \right).$$

Expand  $(1+tx)^\lambda$  by the binomial theorem and evaluate the coefficient of  $x^n$ . This establishes Theorem 1.  $\square$

Theorem 1.2 follows similarly from (2.1).

### Remarks:

1. Simons [10] proved

$$\sum_{k=0}^n (-1)^{n-k} \binom{n}{k} \binom{n+k}{k} (1+x)^k = \sum_{k=0}^n (-1)^{n-k} \binom{n}{k} \binom{n+k}{k} x^k,$$

which he called acurious identity. Later Gould [7] pointed out that this is merely a restatement of the well known property  $P_n(-x) = (-1)^n P_n(x)$  of Legendre polynomials with  $x$  replcaed by  $1+2x$ . This latter identity is a special case of the more general identity

$$P_n^{(\alpha, \beta)}(-x) = (-1)^n P_n^{(\beta, \alpha)}(-x),$$

which when rewritten using (1.6) with  $x$  replcaed by  $1+2x$  becomes a binomial coefficient indentity.

2. In 1891 Dixon evaluated the sum  $S_n(3, -1)$  in [3]. This, however, is a very special case of Dixon's later and more general theorem [4]

$$(2.2) \quad \begin{aligned} & {}_3F_2(a, b, c; 1+a-b, 1+a-c; 1) \\ &= \frac{\Gamma(1+a/2)\Gamma(1+a-b)\Gamma(1+a-c)\Gamma(1-b-c+a/2)}{\Gamma(1+a)\Gamma(1-b+a/2)\Gamma(1-c+a/2)\Gamma(1+a-b-c)}, \end{aligned}$$

[11, (III.8)]. Indeed  $S_n(3, -1)$  is the special case  $a = b = c = -n$ . A more general terminating case is

$$\sum_{k=0}^n \binom{n}{k} \frac{(a)_n (b)_n (-1)^k}{(1+a-b)_n (1+a+n)_k} = \frac{(1+a)_n (1-n+a/2)_n}{(1+a/2)_n (1+a-b)_n},$$

[11, (2.3.3.6)].

3. One may wonder whether Theorems 1.1-1.2 can be extended to a more general class of orthogonal polynomials. The Askey Scheme [9] has the Wilson and Racah polynomials at the top and the other orthogonal polynomials are special or limiting cases of them. In spite of the fact that the Jacobi polynomials are a very special limiting case of the Wilson polynomials, the Jacobi polynomials are nevertheless the most general polynomials for which our method of proof works. The reason is that the polynomials which are at any level in the Askey Scheme higher than the Jacobi polynomials have the polynomial variable as a parameter(s) in the hypergeometric function representation. This complicates the evaluation of the respective polynomial at  $(1+x)/(1-x)$ .
4. In terms of  $q$ -analogues, it is not clear how to use the composition of  $x \rightarrow (x+1)/(1-x)$  with  $q$ -function. There are  $q$ -analogues of the Legendre and Jacobi polynomials [1], [8], [5] but it is not clear how to find  $q$ -analogues of our results.

**Acknowledgement.** The author thanks Henry Gould for sending him several reprints of his papers including [6] and [7] which led to this work.

## References

- [1] G. E. Andrews, R. A. Askey, and R. Roy, *Special Functions*, Cambridge University Press, Cambridge, 1999.
- [2] L. Carlitz, Problem 352, *Mathematics Magazine* **32** (1958), 47-48.
- [3] A. C. Dixon, On the sum of cubes of the coefficients in a certain expansion by the binomial theorem, *Messenger of Mathematics* **20** (1891), 79-80.
- [4] A. C. Dixon, Summation of a certain series, *Proc. London Math. Soc.* **35** (1903), 285-289.

- [5] G. Gasper and M. Rahman, *Basic Hypergeometric Series*, second edition Cambridge University Press, Cambridge, 2004.
- [6] H. W. Gould, Sums of powers of binomial coefficients via Legendre polynomials, *ARS Combinatoria* **73** (2004), 33-43.
- [7] H. W. Gould, A curious identity which is not so curious, *Math. Gaz.* **88** (2004), 87.
- [8] M. E. H. Ismail, *Classical and Quantum Orthogonal Polynomials in one Variable*, Cambridge University Press, Cambridge, 2005.
- [9] R. Koekoek and R. Swarttouw, The Askey-scheme of hypergeometric orthogonal polynomials and its  $q$ -analogues, Reports of the Faculty of Technical Mathematics and Informatics no. 98-17, Delft University of Technology, Delft, 1998.
- [10] S. Simons, A curious identity, *Math. Gaz.* **85** (2001), 296-298.
- [11] L. J. Slater, *Generalized Hypergeometric Series*, Cambridge University Press, Cambridge, 1964.
- [12] G. Szegő, *Orthogonal Polynomials*, Fourth Edition, Amer. Math. Soc., Providence, 1975.