

# Some New Sufficient Conditions for Graphs to be $(a, b, k)$ -Critical Graphs <sup>\*†</sup>

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## Abstract

Let  $G$  be a graph, and let  $a, b$  and  $k$  be nonnegative integers with  $1 \leq a \leq b$ . An  $[a, b]$ -factor of graph  $G$  is defined as a spanning subgraph  $F$  of  $G$  such that  $a \leq d_F(x) \leq b$  for each  $x \in V(G)$ . Then a graph  $G$  is called an  $(a, b, k)$ -critical graph if after any  $k$  vertices of  $G$  are deleted the remaining subgraph has an  $[a, b]$ -factor. In this paper, three sufficient conditions for graphs to be  $(a, b, k)$ -critical graphs are given. Furthermore, it is shown that the results in this paper are best possible in some sense.

**Keywords:** graph, stability number, degree condition, neighborhood union,  $[a, b]$ -factor,  $(a, b, k)$ -critical graph

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# 1 Introduction

All graphs considered in this paper will be finite and undirected simple graphs. Let  $G$  be a graph. We denote by  $V(G)$  and  $E(G)$  the set of vertices and the set of edges, respectively. For any  $x \in V(G)$ , we denote by  $d_G(x)$  the degree of  $x$  in  $G$  and by  $N_G(x)$  the set of vertices adjacent to  $x$  in  $G$ . For  $X \subseteq V(G)$ , we define  $N_G(X) = \cup_{x \in X} N_G(x)$ , and  $G[X]$  is the subgraph of  $G$  induced by  $X$ . We denote by  $G - X$  the subgraph obtained from  $G$  by deleting vertices in  $X$  together with the edges incident to vertices in  $X$ . Let  $S$  and  $T$  be disjoint subsets of  $V(G)$ . We denote by  $e_G(S, T)$  the number of edges joining  $S$  and  $T$ . Denote by  $\alpha(G)$  the stability number of a graph  $G$ , by  $\delta(G)$  the minimum degree of vertices in  $G$ , by  $\Delta(G)$  the maximum degree of vertices in  $G$ . We define the distance  $d(x, y)$  between two vertices  $x$  and  $y$  as the minimum of the lengths of the  $(x, y)$ -paths of  $G$ .

Let  $1 \leq a \leq b$  be integers. An  $[a, b]$ -factor of graph  $G$  is defined as a spanning subgraph  $F$  of  $G$  such that  $a \leq d_F(x) \leq b$  for each  $x \in V(G)$  (Where of course  $d_F$  denotes the degree in  $F$ ). And if  $a = b = r$ , then an  $[a, b]$ -factor of  $G$  is called an  $r$ -factor of  $G$ . A graph  $G$  is called an  $(a, b, k)$ -critical graph if after deleting any  $k$  vertices of  $G$  the remaining subgraph of  $G$  has an  $[a, b]$ -factor. If  $G$  is an  $(a, b, k)$ -critical graph, then we also say that  $G$  is  $(a, b, k)$ -critical. If  $a = b = r$ , then an  $(a, b, k)$ -critical graph is simply called an  $(r, k)$ -critical graph. In particular, a  $(1, k)$ -critical graph is simply called a  $k$ -critical graph. The other terminologies and notations not given in this paper can be found in [1].

Zhou [2,3] investigated  $(g, f)$ -factors of graphs. Favaron [4] studied the properties of  $k$ -critical graphs. Liu and Yu [5] gave the characterization of  $(r, k)$ -critical graphs. Liu and Wang [6] gave a necessary and sufficient condition for a graph to be an  $(a, b, k)$ -critical graph. Recently, Zhou [7] obtained a sufficient condition for a graph to be an  $(a, b, k)$ -critical graph. In this paper, we give three new sufficient conditions for graphs to be  $(a, b, k)$ -critical graphs.

Niessen [8] proved the following sufficient condition for a  $k$ -factor depending on  $\delta(G)$  and  $\alpha(G)$ .

**Theorem 1** [8] *Let  $k \geq 2$  be an integer and let  $G$  be a graph with  $n$  vertices. If  $k$  is odd, then suppose that  $n$  is even and  $G$  is connected. Let  $G$  satisfy*

$$n > 4k + 1 - 4\sqrt{k + 2},$$
$$\delta(G) \geq \frac{k - 1}{2k - 1}(n + 2) \quad \text{and}$$

$$\delta(G) > \frac{1}{2k-2}((k-2)n + 2\alpha(G) - 2).$$

Then  $G$  has a  $k$ -factor.

Matsuda [9] proved the following results for the existence of  $[a, b]$ -factors.

**Theorem 2** [9] *Let  $1 \leq a < b$  be integers and  $G$  a graph of order  $n \geq \frac{2(a-1)(a+1)(a+b)(a+b-1)}{a(b-1)} - \frac{(a+b)(ab+b-1)}{ab(b-1)}$ . Suppose that  $\delta(G) \geq a$  and*

$$|N_G(x) \cup N_G(y)| \geq \frac{an}{a+b}$$

for any two nonadjacent vertices  $x$  and  $y$  of  $V(G)$  such that  $N_G(x) \cap N_G(y) \neq \emptyset$ . Then  $G$  has an  $[a, b]$ -factor.

**Theorem 3** [9] *Let  $1 \leq a < b$  be integers and  $G$  a graph of order  $n \geq \frac{(a-1)(a+1)(a+b)(a+b-1)}{a(b-1)} - \frac{(a+b)(ab+b-1)}{ab(b-1)}$ . Suppose that  $\delta(G) \geq a$  and*

$$\max\{d_G(x), d_G(y)\} \geq \frac{an}{a+b}$$

for any vertices  $x$  and  $y$  of  $G$  with  $d(x, y) = 2$ . Then  $G$  has an  $[a, b]$ -factor.

In this paper, we prove the following results, which are an extension of Theorems 1 and 2 and 3, respectively. We extend Theorems 1 and 2 and 3 to  $(a, b, k)$ -critical graphs, respectively.

**Theorem 4** *Let  $a, b$  and  $k$  be nonnegative integers with  $2 \leq a < b$ , and let  $G$  be a graph of order  $n$ . Let  $G$  satisfy*

$$n > \frac{(a+b-1)(a+b-3)}{b} + k,$$

$$\delta(G) \geq \frac{(a-1)n + a + b + bk - 1}{a + b - 1} \quad \text{and}$$

$$\delta(G) > \frac{(a-2)n + 2\alpha(G) + bk - 1}{a + b - 2}.$$

Then  $G$  is an  $(a, b, k)$ -critical graph.

**Theorem 5** *Let  $a, b$  and  $k$  be nonnegative integers such that  $1 \leq a < b$  and  $G$  be a graph with order  $n \geq \frac{2(a-1)(a+1)(a+b)(a+b-1)}{a(b-1)} - \frac{(a+b)(ab+b-1)}{ab(b-1)} + k$ . If  $\delta(G) \geq a + k$ , and*

$$|N_G(x) \cup N_G(y)| \geq \frac{an + bk}{a+b}$$

for any two nonadjacent vertices  $x$  and  $y$  of  $V(G)$  such that  $N_G(x) \cap N_G(y) \neq \emptyset$ . Then  $G$  is an  $(a, b, k)$ -critical graph.

**Theorem 6** Let  $a, b$  and  $k$  be nonnegative integers such that  $1 \leq a < b$  and  $G$  be a graph with order  $n \geq \frac{(a-1)(a+1)(a+b)(a+b-1)}{a(b-1)} - \frac{(a+b)(ab+b-1)}{ab(b-1)} + k$ . If  $\delta(G) \geq a + k$ , and

$$\max\{d_G(x), d_G(y)\} \geq \frac{an + bk}{a + b}$$

for any vertices  $x$  and  $y$  of  $V(G)$  with  $d(x, y) = 2$ . Then  $G$  is an  $(a, b, k)$ -critical graph.

## 2 The Proofs of Main Theorems

In order to prove our main theorems, we depend heavily on the following lemma.

**Lemma 2.1** <sup>[6]</sup> Let  $a, b$  and  $k$  be nonnegative integers with  $a < b$ , and let  $G$  be a graph of order  $n \geq a + k + 1$ . Then  $G$  is an  $(a, b, k)$ -critical graph if and only if for any  $S \subseteq V(G)$  and  $|S| \geq k$

$$\delta_G(S, T) = b|S| + d_{G-S}(T) - a|T| \geq bk,$$

where  $T = \{x : x \in V(G) \setminus S, d_{G-S}(x) \leq a - 1\}$ .

**Proof of Theorem 4** Suppose a graph  $G$  satisfies the condition of the theorem, but it is not an  $(a, b, k)$ -critical graph. Then, by Lemma 2.1, there exists a subset  $S$  of  $V(G)$  with  $|S| \geq k$  such that

$$\delta_G(S, T) = b|S| + d_{G-S}(T) - a|T| \leq bk - 1, \quad (1)$$

where  $T = \{x : x \in V(G) \setminus S, d_{G-S}(x) \leq a - 1\}$ . We choose subsets  $S$  and  $T$  such that  $|T|$  is minimum and  $S$  and  $T$  satisfy (1).

If  $T = \emptyset$ , then by (1),  $bk - 1 \geq \delta_G(S, T) = b|S| \geq bk$ , a contradiction. Hence,  $T \neq \emptyset$ . We choose a vertex  $x_1 \in T$  with

$$h = \min\{d_{G-S}(x) : x \in T\} = d_{G-S}(x_1).$$

Obviously,

$$\delta(G) \leq d_G(x_1) \leq d_{G-S}(x_1) + |S| = h + |S|. \quad (2)$$

According to the definition of  $T$ , we have

$$0 \leq h \leq a - 1.$$

We shall consider two cases according to the value of  $h$  and derive contradictions.

**Case 1**  $h = 0$ .

Let  $X = \{x \in T : d_{G-S}(x) = 0\}$ ,  $Y = \{x \in T : d_{G-S}(x) = 1\}$ ,  $Y_1 = \{x \in Y : N_{G-S}(x) \subseteq T\}$  and  $Y_2 = Y - Y_1$ . Then the graph induced by  $Y_1$  in  $G - S$  has maximum degree at most 1. Let  $Z$  be a maximum independent set of this graph. Clearly,  $|Z| \geq \frac{1}{2}|Y_1|$ . In view of our definitions,  $X \cup Z \cup Y_2$  is an independent set of  $G$ . Thus, we obtain

$$\alpha(G) \geq |X| + |Z| + |Y_2| \geq |X| + \frac{1}{2}|Y_1| + \frac{1}{2}|Y_2| = |X| + \frac{1}{2}|Y|. \quad (3)$$

By (1) and (2) and (3), we get

$$\begin{aligned} \alpha(G) &\geq |X| + \frac{1}{2}|Y| \\ &\geq b|S| + d_{G-S}(T) - a|T| - bk + 1 + |X| + \frac{1}{2}|Y| \\ &= b|S| + d_{G-S}(T \setminus (X \cup Y)) - a|T| - bk + 1 + |X| + \frac{3}{2}|Y| \\ &\geq b|S| + 2|T - (X \cup Y)| - a|T| - bk + 1 + |X| + \frac{3}{2}|Y| \\ &= b|S| + 2|T| - a|T| - bk + 1 - (|X| + \frac{1}{2}|Y|) \\ &\geq b\delta(G) - (a-2)|T| - bk + 1 - \alpha(G), \end{aligned}$$

which implies

$$(a-2)|T| \geq b\delta(G) - 2\alpha(G) - bk + 1. \quad (4)$$

If  $a = 2$ , then (4) is equivalent to  $\delta(G) \leq \frac{2\alpha(G) + bk - 1}{b}$ , which contradicts  $\delta(G) > \frac{(a-2)n + 2\alpha(G) + bk - 1}{a+b-2} = \frac{2\alpha(G) + bk - 1}{b}$ .

If  $a \geq 3$ , then by (2) and (4), we have

$$\begin{aligned} 0 &\leq n - |S| - |T| \\ &\leq n - \delta(G) - \frac{b\delta(G) - 2\alpha(G) - bk + 1}{a-2} \\ &= \frac{(a-2)n - (a+b-2)\delta(G) + 2\alpha(G) + bk - 1}{a-2} \end{aligned}$$

Thus, we obtain

$$\delta(G) \leq \frac{(a-2)n + 2\alpha(G) + bk - 1}{a+b-2},$$

that contradicts  $\delta(G) > \frac{(a-2)n+2\alpha(G)+bk-1}{a+b-2}$ .

**Case 2**  $1 \leq h \leq a-1$ .

By  $|S| + |T| \leq n$  and (1), we have

$$\begin{aligned} bk-1 &\geq \delta_G(S, T) = b|S| + d_{G-S}(T) - a|T| \\ &\geq b|S| - (a-h)|T| \\ &\geq b|S| - (a-h)(n-|S|) \\ &= (a+b-h)|S| - (a-h)n. \end{aligned}$$

This inequality implies

$$|S| \leq \frac{(a-h)n + bk - 1}{a+b-h}.$$

Combining this with (2) gives

$$\delta(G) \leq |S| + h \leq \frac{(a-h)n + bk - 1}{a+b-h} + h. \quad (5)$$

If  $h = 1$ , then by (5),  $\delta(G) \leq \frac{(a-1)n+bk-1}{a+b-1} + 1 = \frac{(a-1)n+a+b+bk-2}{a+b-1}$ , a contradiction. Hence, we may assume that  $2 \leq h \leq a-1$ , and let

$$f(h) = \frac{(a-h)n + bk - 1}{a+b-h} + h.$$

According to  $n > \frac{(a+b-1)(a+b-3)}{b} + k$ , we obtain  $f'(h) < 0$ . Then,  $f(h)$  attains its maximum value at  $h = 2$ . By (5) and  $\delta(G) \geq \frac{(a-1)n+a+b+bk-1}{a+b-1}$ , we have

$$\frac{(a-1)n + a + b + bk - 1}{a+b-1} \leq \delta(G) \leq f(h) \leq f(2) = \frac{(a-2)n + bk - 1}{a+b-2} + 2,$$

implying  $n \leq \frac{(a+b-1)(a+b-3)}{b} + k$ , which is a contradiction.

From the argument above, we deduce the contradictions, so the hypothesis can not hold. Hence,  $G$  is an  $(a, b, k)$ -critical graph.

Completing the proof of Theorem 4.

**Proof of Theorem 5** Suppose that  $G$  is not an  $(a, b, k)$ -critical graph. Then, by Lemma 2.1, there exist disjoint subsets  $S$  and  $T$  of  $V(G)$  satisfying

$$\delta_G(S, T) = b|S| + d_{G-S}(T) - a|T| \leq bk - 1. \quad (6)$$

We choose such subsets  $S$  and  $T$  so that  $|T|$  is as small as possible.

**Claim 1.**  $|T| \geq b + 1$ .

**Proof.** If  $|T| \leq b$ , then by (6) and  $|S| + d_{G-S}(x) \geq d_G(x) \geq \delta(G) \geq a + k$ , we get that

$$-1 \geq b|S| + d_{G-S}(T) - a|T| - bk \geq \sum_{x \in T} (|S| + d_{G-S}(x) - a - k) \geq 0,$$

a contradiction.

**Claim 2.**  $|S| \geq k + 1$ .

**Proof.** If  $|S| = k$ , then by (6), we obtain

$$\begin{aligned} bk - 1 &\geq \delta_G(S, T) = b|S| + d_{G-S}(T) - a|T| \\ &= bk + \sum_{x \in T} (d_{G-S}(x) - a) \\ &\geq bk + \sum_{x \in T} (d_G(x) - |S| - a) \\ &\geq bk + \sum_{x \in T} (\delta(G) - k - a) \geq bk, \end{aligned}$$

that is a contradiction.

**Claim 3.**  $|S| < \frac{an+bk}{a+b}$ .

**Proof.** According to (6) and  $|S| + |T| \leq n$ , we have

$$\begin{aligned} bk - 1 &\geq \delta_G(S, T) = b|S| + d_{G-S}(T) - a|T| \\ &\geq b|S| - a|T| \geq b|S| - a(n - |S|) \\ &= (a + b)|S| - an, \end{aligned}$$

implying  $|S| < \frac{an+bk}{a+b}$ .

**Claim 4.**  $a|T| \geq b|S| - bk + 1$ .

**Proof.** In view of (6), we get

$$bk - 1 \geq \delta_G(S, T) = b|S| + d_{G-S}(T) - a|T| \geq b|S| - a|T|,$$

which implies  $a|T| \geq b|S| - bk + 1$ .

**Claim 5.**  $|S| < \frac{an+bk}{a+b} - 2(a - 1)$ .

**Proof.** If  $|S| \geq \frac{an+bk}{a+b} - 2(a - 1)$ , that is to say,  $an - (a + b)|S| \leq 2(a - 1)(a + b) - bk$ . By (6) and  $|S| + |T| \leq n$ , we have

$$\begin{aligned} d_{G-S}(T) &\leq a|T| - b|S| + bk - 1 \\ &\leq a(n - |S|) - b|S| + bk - 1 \\ &= an - (a + b)|S| + bk - 1 \\ &\leq 2(a - 1)(a + b) - 1. \end{aligned}$$

According to  $n \geq \frac{2(a-1)(a+1)(a+b)(a+b-1)}{a(b-1)} - \frac{(a+b)(ab+b-1)}{ab(b-1)} + k$  and Claim 4, we obtain

$$\begin{aligned} \frac{d_{G-S}(T)}{a|T|} &\leq \frac{2(a-1)(a+b)-1}{a|T|} \leq \frac{2(a-1)(a+b)-1}{b|S|-bk+1} \\ &\leq \frac{2(a-1)(a+b)-1}{b(an+bk)/(a+b)-2b(a-1)-bk+1} \\ &\leq \frac{1}{a} \left(1 - \frac{1}{b}\right). \end{aligned}$$

Combining this with Claim 1, we get

$$d_{G-S}(T) \leq \left(1 - \frac{1}{b}\right)|T| < |T| - 1. \quad (7)$$

Let  $T_0 = \{x \in T : d_{G-S}(x) = 0\}$ . Note that  $|T_0| \geq 2$  holds by (7). According to Claim 3, for any two vertices  $x, y \in T_0$ , we obtain

$$|N_G(x) \cup N_G(y)| \leq |S| < \frac{an+bk}{a+b}.$$

Since  $T_0$  is an independent set of  $G$  and  $G$  satisfies the hypothesis of Theorem 5, then the neighborhoods of the vertices in  $T_0$  are disjoint. Hence, we get that

$$|S| \geq |\cup_{x \in T_0} N_G(x)| \geq \delta(G)|T_0| \geq (a+k)|T_0|. \quad (8)$$

In view of (7), we have

$$\left(1 - \frac{1}{b}\right)|T| \geq d_{G-S}(T) \geq |T| - |T_0|,$$

which implies  $|T_0| \geq \frac{|T|}{b}$ . Combining this with (8), we have

$$|S| \geq (a+k)|T_0| \geq \frac{a+k}{b}|T|. \quad (9)$$

By Claims 1 and 4 and (9), we obtain

$$b|S| \geq (a+k)|T| \geq b|S| - bk + 1 + k|T| \geq b|S| - bk + 1 + k(b+1) = b|S| + k + 1,$$

which is a contradiction. Completing the proof of Claim 5.

**Claim 6.**  $e_G(S, T) \leq a|S|$ .

**Proof.** By Claim 1 and the definition of  $T$ , then there exist at least two independent vertices  $x, y \in T$ . Moreover, by the definition of  $T$  and Claim 5, we have

$$|N_G(x) \cup N_G(y)| \leq |S| + d_{G-S}(x) + d_{G-S}(y) < \frac{an+bk}{a+b} \quad (10)$$



for any two vertices  $x, y \in T$ .

According to (10) and the assumption of Theorem 5,  $G[N_G(u) \cap T]$  is complete for any  $u \in S$ . By Claim 2 and the definition of  $T$ , we have

$$e_G(u, T) \leq \Delta(G[T]) + 1 \leq a.$$

This inequality above implies  $e_G(S, T) \leq a|S|$ .

According to (6), and Claims 1 and 6, and  $\delta(G) \geq a + k$ , we have

$$\begin{aligned} bk - 1 &\geq \delta_G(S, T) = b|S| + d_{G-S}(T) - a|T| \\ &= b|S| + d_G(T) - a|T| - e_G(S, T) \\ &\geq b|S| + \delta(G)|T| - a|T| - a|S| \\ &\geq b|S| + k|T| - a|S| > k|T| \geq bk. \end{aligned}$$

This is a contradiction.

This completes the proof.

The proof of Theorem 6 is quite similar to that of Theorem 5. The proof of Theorem 6 is omitted.

**Remark** Let us show that the condition  $|N_G(x) \cup N_G(y)| \geq \frac{an+bk}{a+b}$  in Theorem 5 can not be replaced by  $|N_G(x) \cup N_G(y)| \geq \frac{an+bk}{a+b} - 1$ . Let  $G = (A, B)$  be a complete bipartite graph such that  $|A| = at + k$  and  $|B| = bt + 1$ , where  $t$  is any positive integer. Then it follows that  $n = |A| + |B| = (a + b)t + k + 1$  and

$$\frac{an + bk}{a + b} > |N_G(x) \cup N_G(y)| > \frac{an + bk}{a + b} - 1$$

for any subset  $\{x, y\}$  of  $B$ . However, let  $U \subseteq A$  with  $|U| = k$ , it is easy to see that  $G - U$  has no  $[a, b]$ -factor since  $b|A - U| < a|B|$ . According to the definition of the  $(a, b, k)$ -critical graph,  $G$  is not an  $(a, b, k)$ -critical graph. In this sense, the condition  $|N_G(x) \cup N_G(y)| \geq \frac{an+bk}{a+b}$  is the best possible.

We may adopt the similar way to argue the condition  $\max\{d_G(x), d_G(y)\} \geq \frac{an+bk}{a+b}$  in Theorem 6, and the condition  $\max\{d_G(x), d_G(y)\} \geq \frac{an+bk}{a+b}$  in Theorem 6 is the best possible.

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# On the spectral radius of unicyclic graphs with fixed maximum degree

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*Abstract:* Let  $\Delta(G)$  be the maximum degree of a graph  $G$ , and  $\mathcal{U}(n, \Delta)$  be the set of all unicyclic graphs on  $n$  vertices with fixed maximum degree  $\Delta$ . Among all the graphs in  $\mathcal{U}(n, \Delta)$  ( $\Delta \geq \frac{n+3}{2}$ ), we characterize the graph with the maximal spectral radius. We also prove that the spectral radius of a unicyclic graph  $G$  on  $n$  ( $n \geq 30$ ) vertices strictly increases with its maximum degree when  $\Delta(G) \geq \lceil \frac{7n}{9} \rceil + 1$ .

*AMS classification:* 05C50

*Keywords:* Unicyclic graph; Maximum degree; Spectral radius

## 1 Introduction

Let  $G = (V(G), E(G))$  be a simple graph on  $n$  vertices. When vertices  $u$  and  $v$  are endpoints of an edge  $e$ , we write  $e = uv$ . Denote the set of all the neighbors of a vertex  $v$  in  $G$  by  $N_G(v)$  and the degree of  $v$  in

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