

# The smallest degree sum that yields potentially $K_{r+1} - Z$ -graphical Sequences \*

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## Abstract

Let  $K_m - H$  be the graph obtained from  $K_m$  by removing the edges set  $E(H)$  of the graph  $H$  ( $H$  is a subgraph of  $K_m$ ). We use the symbol  $Z_4$  to denote  $K_4 - P_2$ . A sequence  $S$  is potentially  $K_m - H$ -graphical if it has a realization containing a  $K_m - H$  as a subgraph. Let  $\sigma(K_m - H, n)$  denote the smallest degree sum such that every  $n$ -term graphical sequence  $S$  with  $\sigma(S) \geq \sigma(K_m - H, n)$  is potentially  $K_m - H$ -graphical. In this paper, we determine the values of  $\sigma(K_{r+1} - Z, n)$  for  $n \geq 5r + 19$ ,  $r + 1 \geq k \geq 5$ ,  $j \geq 5$  where  $Z$  is a graph on  $k$  vertices and  $j$  edges which contains a graph  $Z_4$  but not contains a cycle on 4 vertices. We also determine the values of  $\sigma(K_{r+1} - Z_4, n)$ ,  $\sigma(K_{r+1} - (K_4 - e), n)$ ,  $\sigma(K_{r+1} - K_4, n)$  for  $n \geq 5r + 16$ ,  $r \geq 4$ .

**Key words:** subgraph; degree sequence; potentially  $K_{r+1} - Z$ -graphic; potentially  $K_{r+1} - Z_4$ -graphic sequence

**AMS Subject Classifications:** 05C07, 05C35

## 1 Introduction

The set of all non-increasing nonnegative integers sequence  $\pi = (d_1, d_2, \dots, d_n)$  is denoted by  $NS_n$ . A sequence  $\pi \in NS_n$  is said to be graphic if it is the degree sequence of a simple graph  $G$  on  $n$  vertices, and such a graph  $G$  is

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\*Project Supported by NNSF of China(10271105), NSF of Fujian(Z0511034), Fujian Provincial Training Foundation for "Bai-Quan-Wan Talents Engineering", Project of Fujian Education Department and Project of Zhangzhou Teachers College.

called a realization of  $\pi$ . The set of all graphic sequences in  $NS_n$  is denoted by  $GS_n$ . A graphical sequence  $\pi$  is potentially  $H$ -graphical if there is a realization of  $\pi$  containing  $H$  as a subgraph, while  $\pi$  is forcibly  $H$ -graphical if every realization of  $\pi$  contains  $H$  as a subgraph. If  $\pi$  has a realization in which the  $r + 1$  vertices of largest degree induce a clique, then  $\pi$  is said to be potentially  $A_{r+1}$ -graphic. Let  $\sigma(\pi) = d_1 + d_2 + \dots + d_n$ , and  $[x]$  denote the largest integer less than or equal to  $x$ . If  $G$  and  $G_1$  are graphs, then  $G \cup G_1$  is the disjoint union of  $G$  and  $G_1$ . If  $G = G_1$ , we abbreviate  $G \cup G_1$  as  $2G$ . We denote  $G + H$  as the graph with  $V(G + H) = V(G) \cup V(H)$  and  $E(G + H) = E(G) \cup E(H) \cup \{xy : x \in V(G), y \in V(H)\}$ . Let  $K_k$ ,  $C_k$ ,  $T_k$ , and  $P_k$  denote a complete graph on  $k$  vertices, a cycle on  $k$  vertices, a tree on  $k + 1$  vertices, and a path on  $k + 1$  vertices, respectively. Let  $K_m - H$  be the graph obtained from  $K_m$  by removing the edges set  $E(H)$  of the graph  $H$  ( $H$  is a subgraph of  $K_m$ ). We use the symbol  $Z_4$  to denote  $K_4 - P_2$ . We use the symbol  $G\{v_1, v_2, \dots, v_k\}$  to denote the subgraph of  $G$  induced by vertex set  $\{v_1, v_2, \dots, v_k\}$ . We use the symbol  $\epsilon(G)$  to denote the number of edges in graph  $G$ .

Given a graph  $H$ , what is the maximum number of edges of a graph with  $n$  vertices not containing  $H$  as a subgraph? This number is denoted  $ex(n, H)$ , and is known as the Turán number. This problem was proposed for  $H = C_4$  by Erdős [2] in 1938 and in general by Turán [19]. In terms of graphic sequences, the number  $2ex(n, H) + 2$  is the minimum even integer  $l$  such that every  $n$ -term graphical sequence  $\pi$  with  $\sigma(\pi) \geq l$  is forcibly  $H$ -graphical. Here we consider the following variant: determine the minimum even integer  $l$  such that every  $n$ -term graphical sequence  $\pi$  with  $\sigma(\pi) \geq l$  is potentially  $H$ -graphical. We denote this minimum  $l$  by  $\sigma(H, n)$ . Erdős, Jacobson and Lehel [4] showed that  $\sigma(K_k, n) \geq (k - 2)(2n - k + 1) + 2$  and conjectured that the equality holds. They proved that if  $\pi$  does not contain zero terms, this conjecture is true for  $k = 3$ ,  $n \geq 6$ . The conjecture is confirmed in [5],[14],[15],[16] and [17].

Gould, Jacobson and Lehel [5] also proved that  $\sigma(pK_2, n) = (p - 1)(2n - 2) + 2$  for  $p \geq 2$ ;  $\sigma(C_4, n) = 2\lceil \frac{3n-1}{2} \rceil$  for  $n \geq 4$ . They also pointed out that it would be nice to see where in the range for  $3n - 2$  to  $4n - 4$ , the value  $\sigma(K_4 - e, n)$  lies. Luo [18] characterized the potentially  $C_k$  graphic sequence for  $k = 3, 4, 5$ . Lai [7] determined  $\sigma(K_4 - e, n)$  for  $n \geq 4$ . Yin, Li and Mao [21] determined  $\sigma(K_{r+1} - e, n)$  for  $r \geq 3$ ,  $r + 1 \leq n \leq 2r$  and  $\sigma(K_5 - e, n)$  for  $n \geq 5$ , and Yin and Li [20] further determined  $\sigma(K_{r+1} - e, n)$  for  $r \geq 2$  and  $n \geq 3r^2 - r - 1$ . Moreover, Yin and Li in [20] also gave two sufficient conditions for a sequence  $\pi \in GS_n$  to be potentially  $A_{r+1}$ -graphic and two sufficient conditions for a sequence  $\pi \in GS_n$  to be potentially  $K_{r+1} - e$ -graphic. Yin [22] determined  $\sigma(K_{r+1} - K_3, n)$  for  $n \geq 3r + 5, r \geq 3$ . Lai [8] determined  $\sigma(K_5 - K_3, n)$ , for  $n \geq 5$ . Lai [9] gave a lower bound of  $\sigma(K_{t+p} - K_p, n)$ . Lai [10,11] determined  $\sigma(K_5 - C_4, n), \sigma(K_5 - P_3, n)$  and

$\sigma(K_5 - P_4, n)$ , for  $n \geq 5$ . Lai and Hu[12] determined  $\sigma(K_{r+1} - H, n)$  for  $n \geq 4r + 10, r \geq 3, r + 1 \geq k \geq 4$  and  $H$  be a graph on  $k$  vertices which containing a tree on 4 vertices but not containing a cycle on 3 vertices and  $\sigma(K_{r+1} - P_2, n)$  for  $n \geq 4r + 8, r \geq 3$ . Lai and Sun[13] determined  $\sigma(K_{r+1} - (kP_2 \cup tK_2), n)$  for  $n \geq 4r + 10, r + 1 \geq 3k + 2t, k + t \geq 2, k \geq 1, t \geq 0$ . In this paper, we prove the following two theorems.

**Theorem 1.1.** If  $r \geq 4$  and  $n \geq 5r + 16$ , then

$$\sigma(K_{r+1} - K_4, n) = \sigma(K_{r+1} - (K_4 - e), n) = \sigma(K_{r+1} - Z_4, n) = \begin{cases} (r-1)(2n-r) - 3(n-r) + 1, & \text{if } n-r \text{ is odd} \\ (r-1)(2n-r) - 3(n-r) + 2, & \text{if } n-r \text{ is even} \end{cases}$$

**Theorem 1.2.** If  $n \geq 5r + 19, r + 1 \geq k \geq 5$ , and  $j \geq 5$ , then

$$\sigma(K_{r+1} - Z, n) = \begin{cases} (r-1)(2n-r) - 3(n-r) - 1, & \text{if } n-r \text{ is odd} \\ (r-1)(2n-r) - 3(n-r) - 2, & \text{if } n-r \text{ is even} \end{cases}$$

where  $Z$  is a graph on  $k$  vertices and  $j$  edges which contains a graph  $Z_4$  but not contains a cycle on 4 vertices.

There are a number of graphs on  $k$  vertices and  $j$  edges which contains a graph  $Z_4$  but not contains a cycle on 4 vertices.

## 2 Preparations

In order to prove our main result, we need the following notations and results.

Let  $\pi = (d_1, \dots, d_n) \in NS_n, 1 \leq k \leq n$ . Let

$$\pi''_k = \begin{cases} (d_1 - 1, \dots, d_{k-1} - 1, d_{k+1} - 1, \dots, d_{d_k+1} - 1, d_{d_k+2}, \dots, d_n), & \text{if } d_k \geq k, \\ (d_1 - 1, \dots, d_{d_k} - 1, d_{d_k+1}, \dots, d_{k-1}, d_{k+1}, \dots, d_n), & \text{if } d_k < k. \end{cases}$$

Denote  $\pi'_k = (d'_1, d'_2, \dots, d'_{n-1})$ , where  $d'_1 \geq d'_2 \geq \dots \geq d'_{n-1}$  is a rearrangement of the  $n - 1$  terms of  $\pi''_k$ . Then  $\pi'_k$  is called the residual sequence obtained by laying off  $d_k$  from  $\pi$ .

**Theorem 2.1[20]** Let  $n \geq r + 1$  and  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with  $d_{r+1} \geq r$ . If  $d_i \geq 2r - i$  for  $i = 1, 2, \dots, r - 1$ , then  $\pi$  is potentially  $A_{r+1}$ -graphic.

**Theorem 2.2[20]** Let  $n \geq 2r + 2$  and  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with  $d_{r+1} \geq r$ . If  $d_{2r+2} \geq r - 1$ , then  $\pi$  is potentially  $A_{r+1}$ -graphic.

**Theorem 2.3[20]** Let  $n \geq r + 1$  and  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with  $d_{r+1} \geq r - 1$ . If  $d_i \geq 2r - i$  for  $i = 1, 2, \dots, r - 1$ , then  $\pi$  is potentially  $K_{r+1} - e$ -graphic.

**Theorem 2.4[20]** Let  $n \geq 2r + 2$  and  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with  $d_{r-1} \geq r$ . If  $d_{2r+2} \geq r - 1$ , then  $\pi$  is potentially  $K_{r+1} - e$ -graphic.

**Theorem 2.5[6]** Let  $\pi = (d_1, \dots, d_n) \in NS_n$  and  $1 \leq k \leq n$ . Then  $\pi \in GS_n$  if and only if  $\pi'_k \in GS_{n-1}$ .

**Theorem 2.6[3]** Let  $\pi = (d_1, \dots, d_n) \in NS_n$  with even  $\sigma(\pi)$ . Then  $\pi \in GS_n$  if and only if for any  $t, 1 \leq t \leq n - 1$ ,

$$\sum_{i=1}^t d_i \leq t(t-1) + \sum_{j=t+1}^n \min\{t, d_j\}.$$

**Theorem 2.7[5]** If  $\pi = (d_1, d_2, \dots, d_n)$  is a graphic sequence with a realization  $G$  containing  $H$  as a subgraph, then there exists a realization  $G'$  of  $\pi$  containing  $H$  as a subgraph so that the vertices of  $H$  have the largest degrees of  $\pi$ .

**Theorem 2.8[9]** If  $n \geq p + t$ , then  $\sigma(K_{p+t} - K_p, n) \geq 2\lceil((p+2t-3)n + p + 2t + 1 - pt - t^2)/2\rceil$ .

**Lemma 2.1 [22]** If  $\pi = (d_1, d_2, \dots, d_n) \in NS_n$  is potentially  $K_{r+1} - e$ -graphic, then there is a realization  $G$  of  $\pi$  containing  $K_{r+1} - e$  with the  $r + 1$  vertices  $v_1, \dots, v_{r+1}$  such that  $d_G(v_i) = d_i$  for  $i = 1, 2, \dots, r + 1$  and  $e = v_r v_{r+1}$ .

**Lemma 2.2 [12]** Let  $n \geq 2r + 2$  and  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with  $d_{r-2} \geq r$ . If  $d_{2r+2} \geq r - 1$ , then  $\pi$  is potentially  $K_{r+1} - P_2$ -graphic.

**Lemma 2.3** Let  $\pi = (d_1, \dots, d_n) \in GS_n$  and  $G$  be a realization of  $\pi$ . If  $\epsilon(G[v_1, v_2, \dots, v_{r+1}]) \leq \epsilon(K_{r+1}) - 1$ , then there is a realization  $H$  of  $\pi$  such that  $d_H(v_i) = d_i$  for  $i = 1, 2, \dots, r + 1$  and  $v_r v_{r+1} \notin E(H)$ .

The proof is similar to the proof of Lemma 2.1.

### 3 Proof of Main results.

**Lemma 3.1.** Let  $n \geq 2r$  and  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with  $d_{r-1} \geq r$ ,  $d_{r+1} \geq r - 1$ . If  $d_i \geq 2r - i$  for  $i = 1, 2, \dots, r - 2$ , then  $\pi$  is potentially  $K_{r+1} - e$ -graphic.

**Proof.** We consider the following two cases.

Case 1:  $d_{r+1} \geq r$ .

If  $d_{r-1} \geq r + 1$ .

Then  $\pi$  is potentially  $K_{r+1} - e$ -graphic by Theorem 2.3.

If  $d_{r-1} = r$ , then  $d_{r-1} = d_r = d_{r+1} = r$

Suppose  $\pi$  is not potentially  $K_{r+1} - e$ -graphic. Let  $H$  be a realization of  $\pi$ , then  $\epsilon(H[v_1, v_2, \dots, v_{r+1}]) \leq \epsilon(K_{r+1}) - 2$ . Let  $S = (d_1, d_2, \dots, d_{r-2}, d_{r-1}, d_r + 1, d_{r+1} + 1, \dots, d_n)$ , then by Theorem 2.1,  $S$  is potentially  $A_{r+1}$ -graphic (Denote  $S' = (d'_1, d'_2, \dots, d'_n)$ , where  $d'_1 \geq d'_2 \geq \dots \geq d'_n$  is a rearrangement of the  $n$  terms of  $S$ . Therefore  $S' \in GS_n$  by Lemma 2.3. Then  $S'$  satisfies the conditions of Theorem 2.1). Therefore, there is a realization  $G$  of  $S$  with  $v_1, v_2, \dots, v_{r+1}$  ( $d(v_i) = d_i, i = 1, 2, \dots, r - 1, d(v_r) = d_r + 1, d(v_{r+1}) = d_{r+1} + 1$ ), the  $r + 1$  vertices of highest degree containing a  $K_{r+1}$ . Hence,  $G - v_{r+1}v_r$  is a realization of  $\pi$ . Thus,  $\pi$  is potentially  $K_{r+1} - e$ -graphic, which is a contradiction.

Case 2:  $d_{r+1} = r - 1$ , then the residual sequence  $\pi'_{r+1} = (d'_1, \dots, d'_{n-1})$  obtained by laying off  $d_{r+1} = r - 1$  from  $\pi$  satisfies:  $d'_1 \geq 2(r - 1) - 1, \dots, d'_{(r-1)-1} = d'_{r-2} \geq 2(r - 1) - (r - 2), d'_{(r-1)+1} = d'_r \geq r - 1$ . By Theorem 2.1,  $\pi'_{r+1}$  is potentially  $A_{(r-1)+1}$ -graphic. Therefore,  $\pi$  is potentially  $K_{r+1} - e$ -graphic by  $\{d_1 - 1, \dots, d_{r-1} - 1\} \subseteq \{d'_1, \dots, d'_r\}$  and Theorem 2.7.

**Lemma 3.2.** Let  $n \geq 2r$  and  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with  $d_{r-2} \geq r + 1, d_{r+1} \geq r, d_r - 1 \geq d_{d_{r+1}+2}$ . If  $d_i \geq 2r - i$  for  $i = 1, 2, \dots, r - 3$ , then  $\pi$  is potentially  $A_{r+1}$ -graphic.

**Proof.** The residual sequence  $\pi'_{r+1} = (d'_1, \dots, d'_{n-1})$  obtained by laying off  $d_{r+1}$  from  $\pi$  satisfies:  $d'_1 \geq 2(r - 1) - 1, \dots, d'_{(r-1)-2} = d'_{r-3} \geq 2(r - 1) - (r - 3), d'_{(r-1)-1} = d'_{r-2} \geq 2(r - 1) - (r - 2), d'_{(r-1)+1} = d'_r \geq r - 1$ . By Theorem 2.1,  $\pi'_{r+1}$  is potentially  $A_{(r-1)+1}$ -graphic. Therefore,  $\pi$  is potentially  $A_{r+1}$ -graphic by  $\{d_1 - 1, \dots, d_r - 1\} = \{d'_1, \dots, d'_r\}$  and Theorem 2.7.

**Lemma 3.3** Let  $n \geq 2r + 2, r \geq 4$  and  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with  $d_{r-2} \geq r - 1$  and  $d_{r+1} \geq r - 2$ ,

$$\sigma(\pi) \geq \begin{cases} (r - 1)(2n - r) - 3(n - r) - 1, \\ \text{if } n - r \text{ is odd} \\ (r - 1)(2n - r) - 3(n - r) - 2, \\ \text{if } n - r \text{ is even} \end{cases}$$

If  $d_i \geq 2r - i$  for  $i = 1, 2, \dots, r - 3$ , then  $\pi$  is potentially  $K_{r+1} - Z_4$ -graphic.

**Proof.** We consider the following two cases.

Case 1:  $d_{r+1} \geq r - 1$ .

Subcase 1.1:  $d_{r-1} \geq r + 1$ .

If  $d_{r-2} \geq r + 2$ , then  $\pi$  is potentially  $K_{r+1} - e$ -graphic by Theorem 2.3. Hence,  $\pi$  is potentially  $K_{r+1} - Z_4$ -graphic.

If  $d_{r-2} = r + 1$ , then  $d_{r-3} - 1 \geq d_{r-2}$ . The residual sequence  $\pi'_{r+1} = (d'_1, \dots, d'_{n-1})$  obtained by laying off  $d_{r+1}$  from  $\pi$  satisfies:  $d'_1 \geq 2(r - 1) - 1, \dots, d'_{(r-1)-2} = d'_{r-3} \geq 2(r - 1) - (r - 3), d'_{(r-1)-1} = d'_{r-2} \geq r - 1, d'_{(r-1)+1} = d'_r \geq (r - 1) - 1$ . By Lemma 3.1,  $\pi'_{r+1}$  is potentially

$K_{(r-1)+1} - e$ -graphic. Therefore,  $\pi$  is potentially  $K_{r+1} - Z_4$ -graphic by  $\{d_1 - 1, \dots, d_{r-3} - 1\} \subseteq \{d'_1, \dots, d'_r\}$  and Lemma 2.1.

Subcase 1.2:  $d_{r-1} \leq r$ . then  $d_{r-3} - 1 \geq d_{r-1}$ . The residual sequence  $\pi'_{r+1} = (d'_1, \dots, d'_{n-1})$  obtained by laying off  $d_{r+1}$  from  $\pi$  satisfies:  $d'_1 \geq 2(r-1) - 1, \dots, d'_{(r-1)-2} = d'_{r-3} \geq 2(r-1) - (r-3), d'_{(r-1)-1} = d'_{r-2} \geq r-1, d'_{(r-1)+1} = d'_r \geq (r-1) - 1$ . By Lemma 3.1,  $\pi'_{r+1}$  is potentially  $K_{(r-1)+1} - e$ -graphic. Therefore,  $\pi$  is potentially  $K_{r+1} - Z_4$ -graphic by  $\{d_1 - 1, \dots, d_{r-3} - 1\} \subseteq \{d'_1, \dots, d'_r\}$  and Lemma 2.1.

Case 2:  $d_{r+1} = r - 2$ .

Subcase 2.1:  $d_{r-1} < d_{r-2}$ .

If  $d_{r-2} \geq r$ , then the residual sequence  $\pi'_{r+1} = (d'_1, \dots, d'_{n-1})$  obtained by laying off  $d_{r+1} = r - 2$  from  $\pi$  satisfies: (1)  $d'_i = d_i - 1$  for  $i = 1, 2, \dots, r - 2$ , (2)  $d'_1 = d_1 - 1 \geq 2(r-1) - 1, \dots, d'_{(r-1)-2} = d'_{r-3} \geq d_{r-3} - 1 \geq 2(r-1) - [(r-1) - 2], d'_{(r-1)-1} = d'_{r-2} \geq r - 1$ , and  $d'_{(r-1)+1} = d'_r = d_r \geq r - 2$ . By Lemma 3.1,  $\pi'_{r+1}$  is potentially  $K_{(r-1)+1} - e$ -graphic. Therefore,  $\pi$  is potentially  $K_{r+1} - Z_4$ -graphic by  $\{d_1 - 1, \dots, d_{r-2} - 1, d_{r-1}, d_r\} = \{d'_1, \dots, d'_r\}$  and Lemma 2.1.

If  $d_{r-2} = r - 1$ , then  $d_{r-1} = d_r = r - 2$  and

$$\begin{aligned} \sigma(\pi) &\leq (r-3)(n-1) + r - 1 + (r-2)(n-r+2) \\ &= (r-1)(n-1) - 2(n-1) + (r-1)(n-r+3) - (n-r+2) \\ &= (r-1)(2n-r) - 3(n-r) - 2 \end{aligned}$$

Hence,  $\pi = ((n-1)^{r-3}, (r-1)^1, (r-2)^{n-r+2})$  and  $n - r$  is even. Clearly,  $\pi$  is potentially  $K_{r+1} - Z_4$ -graphic.

Subcase 2.2:  $d_{r-1} = d_{r-2}$  and  $d_{r-3} \geq d_r$ , then  $\pi'_{r+1}$  satisfies:  $d'_1 \geq d_1 - 1 \geq 2(r-1) - 1, \dots, d'_{(r-1)-2} = d'_{r-3} \geq d_{r-3} - 1 \geq 2(r-1) - [(r-1) - 2], d'_{(r-1)-1} = d'_{r-2} \geq r - 1$  and  $d'_{(r-1)+1} = d'_r \geq r - 2$ . By Lemma 3.1,  $\pi'_{r+1}$  is potentially  $K_{(r-1)+1} - e$ -graphic. Therefore,  $\pi$  is potentially  $K_{r+1} - Z_4$ -graphic by  $\{d_{r-1}, d_r, d_1 - 1, \dots, d_{r-2} - 1\} = \{d'_1, \dots, d'_r\}$  and Lemma 2.1.

Subcase 2.3:  $d_{r-1} = d_{r-2}$  and  $d_{r-3} = d_r$ , then  $d_{r-3} = d_{r-2} = d_{r-1} = d_r \geq r + 3$ . Let  $H$  be a realization of  $\pi$ . Since  $d_{r+1} = r - 2$ , then there is  $i, j \leq r$  such that  $v_{r+1}v_i, v_{r+1}v_j \notin E(H)$ . Let  $S = (d_1, d_2, \dots, d_i + 1, \dots, d_j + 1, \dots, d_r, d_{r+1} + 2, \dots, d_n)$ , then by Theorem 2.1,  $S$  is potentially  $A_{r+1}$ -graphic (Denote  $S' = (d'_1, d'_2, \dots, d'_n)$ , where  $d'_1 \geq d'_2 \geq \dots \geq d'_n$  is a rearrangement of the  $n$  terms of  $S$ . Therefore  $S' \in GS_n$ . Then  $S'$  satisfies the conditions of Theorem 2.1). Therefore, there is a realization  $G$  of  $S$  with  $v_1, v_2, \dots, v_{r+1}$  ( $d(v_t) = d_t, t \neq i, j, r + 1, d(v_i) = d_i + 1, d(v_j) = d_j + 1, d(v_{r+1}) = d_{r+1} + 2$ ), the  $r + 1$  vertices of highest degree containing a  $K_{r+1}$ . Hence,  $G - \{v_{r+1}v_i, v_{r+1}v_j\}$  is a realization of  $\pi$ . Thus,  $\pi$  is potentially  $K_{r+1} - Z_4$ -graphic.

**Lemma 3.4** Let  $n \geq 2r + 2$  and  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with  $d_{r-t} \geq r$ . If  $d_{2r+2} \geq r - 1$ , then  $\pi$  is potentially  $K_{r+1} - K_{1,t}$ -graphic.

**Proof.** We consider the following two cases.

Case 1: If  $d_{r-1} \geq r$ . Then  $\pi$  is potentially  $K_{r+1} - e$ -graphic by Theorem 2.4. Hence,  $\pi$  is potentially  $K_{r+1} - K_{1,t}$ -graphic.

Case 2:  $d_{r-1} \leq r - 1$ , that is,  $d_{r-1} = r - 1$ , then  $d_{r-1} = d_r = d_{r+1} = \dots = d_{2r+2} = r - 1$  and  $\pi'_{r+1}$  satisfies:  $d'_{(r-1)+1} = d'_r \geq r - 1$  and  $d'_{2(r-1)+2} = d'_{2r} \geq (r - 1) - 1$ . By Theorem 2.2,  $\pi'_{r+1}$  is potentially  $A_r$ -graphic. Therefore,  $\pi$  is potentially  $K_{r+1} - K_{1,t}$ -graphic by  $\{d_1 - 1, \dots, d_{r-t} - 1\} \subseteq \{d'_1, \dots, d'_r\}$  and Theorem 2.7.

**Lemma 3.5** Let  $n \geq 2r + 2$  and  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with  $d_{r-4} \geq r$ ,

$$\sigma(\pi) \geq \begin{cases} (r-1)(2n-r) - 3(n-r) - 1, & \text{if } n-r \text{ is odd} \\ (r-1)(2n-r) - 3(n-r) - 2, & \text{if } n-r \text{ is even} \end{cases}$$

If  $d_{2r+2} \geq r - 1$ , then  $\pi$  is potentially  $K_{r+1} - (P_2 \cup K_2)$ -graphic.

**Proof.** We consider the following two cases.

Case 1: If  $d_{r-2} \geq r$ . Then  $\pi$  is potentially  $K_{r+1} - P_2$ -graphic by Lemma 2.2. Hence,  $\pi$  is potentially  $K_{r+1} - (P_2 \cup K_2)$ -graphic.

Case 2:  $d_{r-2} = r - 1$ .

Subcase 2.1:  $d_{r-3} \geq r$ , then  $d_{r-3} \geq d_r + 1 = d_{r+1} + 1 = r > r - 1 = d_{r-2} = d_{r-1}$ . Suppose  $\pi$  is not potentially  $K_{r+1} - (P_2 \cup K_2)$ -graphic. Let  $H$  be a realization of  $\pi$ , then  $\epsilon(H[v_1, v_2, \dots, v_{r+1}]) \leq \epsilon(K_{r+1}) - 3$ . Let  $S = (d_1, d_2, \dots, d_{r-2}, d_{r-1}, d_r + 1, d_{r+1} + 1, \dots, d_n)$ , then by Theorem 2.4,  $S$  is potentially  $K_{r+1} - e$ -graphic (Denote  $S' = (d'_1, d'_2, \dots, d'_n)$ , where  $d'_1 \geq d'_2 \geq \dots \geq d'_n$  is a rearrangement of the  $n$  terms of  $S$ . Therefore  $S' \in GS_n$  by Lemma 2.3. Then  $S'$  satisfies the conditions of Theorem 2.4). Therefore, there is a realization  $G$  of  $S$  with  $v_1, v_2, \dots, v_{r+1}$  ( $d(v_i) = d_i, i = 1, 2, \dots, r - 1, d(v_r) = d_r + 1, d(v_{r+1}) = d_{r+1} + 1$ ), the  $r + 1$  vertices of highest degree containing a  $K_{r+1} - e$  and  $e = v_{r-1}v_{r-2}$  by Lemma 2.1. Hence,  $G - v_{r+1}v_r$  is a realization of  $\pi$ . Thus,  $\pi$  is potentially  $K_{r+1} - (P_2 \cup K_2)$ -graphic, which is a contradiction.

Subcase 2.2:  $d_{r-3} = r - 1$ , then

$$\begin{aligned} \sigma(\pi) &\leq (r-4)(n-1) + (r-1)(n-r+4) \\ &= (r-1)(n-1) - 3(n-1) + (r-1)(n-r+1) + 3(r-1) \\ &= (r-1)(2n-r) - 3(n-r) \end{aligned}$$

Since,

$$\sigma(\pi) \geq \begin{cases} (r-1)(2n-r) - 3(n-r) - 1, & \text{if } n-r \text{ is odd} \\ (r-1)(2n-r) - 3(n-r) - 2, & \text{if } n-r \text{ is even} \end{cases}$$

Hence,  $\pi$  is one of the following:  $((n-1)^{r-5}, (n-2)^1, (r-1)^{n-r+4})$ ,  $((n-1)^{r-4}, (r-1)^{n-r+3}, (r-2)^1)$ , for  $n-r$  is odd,  $\pi$  is one of the following:  $((n-1)^{r-4}, (r-1)^{n-r+4})$ ,  $((n-1)^{r-6}, (n-2)^2, (r-1)^{n-r+4})$ ,  $((n-1)^{r-5}, (n-3)^1, (r-1)^{n-r+4})$ ,  $((n-1)^{r-5}, (n-2)^1, (r-1)^{n-r+3}, (r-2)^1)$ ,  $((n-1)^{r-4}, (r-1)^{n-r+3}, (r-3)^1)$ ,  $((n-1)^{r-4}, (r-1)^{n-r+2}, (r-2)^2)$ , for  $n-r$  is even. Clearly,  $\pi$  is potentially  $K_{r+1} - (P_2 \cup K_2)$ -graphic.

**Lemma 3.6.** If  $r \geq 4$  and  $n \geq r+1$ , then

$$\sigma(K_{r+1} - Z_4, n) \geq \sigma(K_{r+1} - K_4, n).$$

and

$$\sigma(K_{r+1} - K_4, n) \geq \begin{cases} (r-1)(2n-r) - 3(n-r) + 1, \\ \text{if } n-r \text{ is odd} \\ (r-1)(2n-r) - 3(n-r) + 2, \\ \text{if } n-r \text{ is even} \end{cases}$$

**Proof.** Obviously, for  $r \geq 4$  and  $n \geq r+1$ ,  $\sigma(K_{r+1} - Z_4, n) \geq \sigma(K_{r+1} - K_4, n)$ . By Theorem 2.8, for  $r \geq 4$  and  $n \geq r+1$ ,  $\sigma(K_{r+1} - K_4, n) = \sigma(K_{4+(r-3)} - K_4, n) \geq 2[(4+2(r-3)-3)n+4+2(r-3)+1-4(r-3)-(r-3)^2]/2$ . Hence,

$$\sigma(K_{r+1} - K_4, n) \geq \begin{cases} (r-1)(2n-r) - 3(n-r) + 1, \\ \text{if } n-r \text{ is odd} \\ (r-1)(2n-r) - 3(n-r) + 2, \\ \text{if } n-r \text{ is even} \end{cases}$$

**Lemma 3.7.** If  $n \geq r+1, r+1 \geq k \geq 4$ , then

$$\sigma(K_{r+1} - H, n) \geq \begin{cases} (r-1)(2n-r) - 3(n-r) - 1, \\ \text{if } n-r \text{ is odd} \\ (r-1)(2n-r) - 3(n-r) - 2, \\ \text{if } n-r \text{ is even} \end{cases}$$

where  $H$  is a graph on  $k$  vertices which not contains a cycle on 4 vertices.

**Proof.** Let

$$G = \begin{cases} K_{r-3} + (\frac{n-r+1}{2} + 1)K_2, \\ \text{if } n-r \text{ is odd} \\ K_{r-3} + (\frac{n-r+2}{2})K_2 \cup K_1, \\ \text{if } n-r \text{ is even} \end{cases}$$

Then  $G$  is a unique realization of

$$\pi = \begin{cases} ((n-1)^{r-3}, (r-2)^{n-r+3}), \\ \text{if } n-r \text{ is odd} \\ ((n-1)^{r-3}, (r-2)^{n-r+2}, (r-3)^1), \\ \text{if } n-r \text{ is even} \end{cases}$$



and  $G$  clearly does not contain  $K_{r+1} - H$ , where the symbol  $x^y$  means  $x$  repeats  $y$  times in the sequence. Thus  $\sigma(K_{r+1} - H, n) \geq \sigma(\pi) + 2$ . Therefore,

$$\sigma(K_{r+1} - H, n) \geq \begin{cases} (r-1)(2n-r) - 3(n-r) - 1, \\ \text{if } n-r \text{ is odd} \\ (r-1)(2n-r) - 3(n-r) - 2, \\ \text{if } n-r \text{ is even} \end{cases}$$

**The Proof of Theorem 1.1** According to Lemma 3.6 and  $\sigma(K_{r+1} - K_4, n) \leq \sigma(K_{r+1} - (K_4 - e), n) \leq \sigma(K_{r+1} - Z_4, n)$ , it is enough to verify that for  $n \geq 5r + 16$ ,

$$\sigma(K_{r+1} - Z_4, n) \leq \begin{cases} (r-1)(2n-r) - 3(n-r) + 1, \\ \text{if } n-r \text{ is odd} \\ (r-1)(2n-r) - 3(n-r) + 2, \\ \text{if } n-r \text{ is even} \end{cases}$$

We now prove that if  $n \geq 5r + 16$  and  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with

$$\sigma(\pi) \geq \begin{cases} (r-1)(2n-r) - 3(n-r) + 1, \\ \text{if } n-r \text{ is odd} \\ (r-1)(2n-r) - 3(n-r) + 2, \\ \text{if } n-r \text{ is even} \end{cases}$$

then  $\pi$  is potentially  $K_{r+1} - Z_4$ -graphic.

If  $d_{r-3} \leq r-1$ , then

$$\begin{aligned} \sigma(\pi) &\leq (r-4)(n-1) + (r-1)(n-r+4) \\ &= (r-1)(n-1) - 3(n-1) + (r-1)(n-r+4) \\ &= (r-1)(2n-r) - 3(n-r) \\ &< (r-1)(2n-r) - 3(n-r) + 1, \end{aligned}$$

which is a contradiction. Thus,  $d_{r-3} \geq r$ .

If  $d_{r-2} \leq r-2$ , then

$$\begin{aligned} \sigma(\pi) &\leq (r-3)(n-1) + (r-2)(n-r+3) \\ &= (r-1)(n-1) - 2(n-1) + (r-1)(n-r+3) - (n-r+3) \\ &= (r-1)(2n-r) - 3(n-r) - 3 \\ &< (r-1)(2n-r) - 3(n-r) + 1, \end{aligned}$$

which is a contradiction. Thus,  $d_{r-2} \geq r-1$ .

If  $d_{r+1} \leq r-3$ , then

$$\begin{aligned} \sigma(\pi) &= \sum_{i=1}^r d_i + \sum_{i=r+1}^n d_i \\ &\leq (r-1)r + \sum_{i=r+1}^n \min\{r, d_i\} + \sum_{i=r+1}^n d_i \\ &= (r-1)r + 2 \sum_{i=r+1}^n d_i \\ &\leq (r-1)r + 2(n-r)(r-3) \\ &= (r-1)(2n-r) - 4(n-r) \\ &< (r-1)(2n-r) - 3(n-r) + 1, \end{aligned}$$

which is a contradiction. Thus,  $d_{r+1} \geq r - 2$ .

If  $d_i \geq 2r - i$  for  $i = 1, 2, \dots, r - 3$  or  $d_{2r+2} \geq r - 1$ , then  $\pi$  is potentially  $K_{r+1} - Z_4$ -graphic by Lemma 3.3 or Lemma 3.4. If  $d_{2r+2} \leq r - 2$  and there exists an integer  $i$ ,  $1 \leq i \leq r - 3$  such that  $d_i \leq 2r - i - 1$ , then

$$\begin{aligned} \sigma(\pi) &\leq (i-1)(n-1) + (2r+1-i+1)(2r-i-1) \\ &\quad + (r-2)(n+1-2r-2) \\ &= i^2 + i(n-4r-2) - (n-1) \\ &\quad + (2r-1)(2r+2) + (r-2)(n-2r-1). \end{aligned}$$

Since  $n \geq 5r + 16$ , it is easy to see that  $i^2 + i(n-4r-2)$ , consider as a function of  $i$ , attains its maximum value when  $i = r - 3$ . Therefore,

$$\begin{aligned} \sigma(\pi) &\leq (r-3)^2 + (n-4r-2)(r-3) - (n-1) \\ &\quad + (2r-1)(2r+2) + (r-2)(n-2r-1) \\ &= (r-1)(2n-r) - 3(n-r) - n + 5r + 16 \\ &< \sigma(\pi), \end{aligned}$$

which is a contradiction.

Thus,

$$\sigma(K_{r+1} - Z_4, n) \leq \begin{cases} (r-1)(2n-r) - 3(n-r) + 1, & \text{if } n-r \text{ is odd} \\ (r-1)(2n-r) - 3(n-r) + 2, & \text{if } n-r \text{ is even} \end{cases}$$

for  $n \geq 5r + 16$ .

**The Proof of Theorem 1.2** According to Lemma 3.7, it is enough to verify that for  $n \geq 5r + 19$ ,

$$\sigma(K_{r+1} - Z, n) \leq \begin{cases} (r-1)(2n-r) - 3(n-r) - 1, & \text{if } n-r \text{ is odd} \\ (r-1)(2n-r) - 3(n-r) - 2, & \text{if } n-r \text{ is even} \end{cases}$$

We now prove that if  $n \geq 5r + 19$  and  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with

$$\sigma(\pi) \geq \begin{cases} (r-1)(2n-r) - 3(n-r) - 1, & \text{if } n-r \text{ is odd} \\ (r-1)(2n-r) - 3(n-r) - 2, & \text{if } n-r \text{ is even} \end{cases}$$

then  $\pi$  is potentially  $K_{r+1} - Z$ -graphic.

If  $d_{r-4} \leq r - 1$ , then

$$\begin{aligned} \sigma(\pi) &\leq (r-5)(n-1) + (r-1)(n-r+5) \\ &= (r-1)(n-1) - 4(n-1) + (r-1)(n-r+5) \\ &= (r-1)(2n-r) - 4(n-r) \\ &< (r-1)(2n-r) - 3(n-r) - 2, \end{aligned}$$

which is a contradiction. Thus,  $d_{r-4} \geq r$ .

If  $d_{r-2} \leq r-2$ , then

$$\begin{aligned}\sigma(\pi) &\leq (r-3)(n-1) + (r-2)(n-r+3) \\ &= (r-1)(n-1) - 2(n-1) + (r-1)(n-r+3) - (n-r+3) \\ &= (r-1)(2n-r) - 3(n-r) - 3 \\ &< (r-1)(2n-r) - 3(n-r) - 2,\end{aligned}$$

which is a contradiction. Thus,  $d_{r-2} \geq r-1$ .

If  $d_{r+1} \leq r-3$ , then

$$\begin{aligned}\sigma(\pi) &= \sum_{i=1}^r d_i + \sum_{i=r+1}^n d_i \\ &\leq (r-1)r + \sum_{i=r+1}^n \min\{r, d_i\} + \sum_{i=r+1}^n d_i \\ &= (r-1)r + 2 \sum_{i=r+1}^n d_i \\ &\leq (r-1)r + 2(n-r)(r-3) \\ &= (r-1)(2n-r) - 4(n-r) \\ &< (r-1)(2n-r) - 3(n-r) - 2,\end{aligned}$$

which is a contradiction. Thus,  $d_{r+1} \geq r-2$ .

If  $d_i \geq 2r-i$  for  $i = 1, 2, \dots, r-3$  or  $d_{2r+2} \geq r-1$ , then  $\pi$  is potentially  $K_{r+1} - Z$ -graphic by Lemma 3.3 or Lemma 3.5. If  $d_{2r+2} \leq r-2$  and there exists an integer  $i$ ,  $1 \leq i \leq r-3$  such that  $d_i \leq 2r-i-1$ , then

$$\begin{aligned}\sigma(\pi) &\leq (i-1)(n-1) + (2r+1-i+1)(2r-i-1) \\ &\quad + (r-2)(n+1-2r-2) \\ &= i^2 + i(n-4r-2) - (n-1) \\ &\quad + (2r-1)(2r+2) + (r-2)(n-2r-1).\end{aligned}$$

Since  $n \geq 5r+19$ , it is easy to see that  $i^2 + i(n-4r-2)$ , consider as a function of  $i$ , attains its maximum value when  $i = r-3$ . Therefore,

$$\begin{aligned}\sigma(\pi) &\leq (r-3)^2 + (n-4r-2)(r-3) - (n-1) \\ &\quad + (2r-1)(2r+2) + (r-2)(n-2r-1) \\ &= (r-1)(2n-r) - 3(n-r) - n + 5r + 16 \\ &< \sigma(\pi),\end{aligned}$$

which is a contradiction.

Thus,

$$\sigma(K_{r+1} - Z, n) \leq \begin{cases} (r-1)(2n-r) - 3(n-r) - 1, \\ \text{if } n-r \text{ is odd} \\ (r-1)(2n-r) - 3(n-r) - 2, \\ \text{if } n-r \text{ is even} \end{cases}$$

for  $n \geq 5r+19$ .

## Acknowledgment

The author thanks the referees for many helpful comments.

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