

# Notes on the Support of $t$ -Designs

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## Abstract

The support of a  $t$ -design is the set of all distinct blocks in the design. The notation  $t$ - $(v, k, \lambda \mid b^*)$  is used to denote a  $t$ -design with precisely  $b^*$  distinct blocks. We present some results about the structure of support in  $t$ -designs. Some of them are about the number and the range of occurrences of  $i$ -sets ( $1 \leq i \leq t$ ) in the support. A new bound for the support sizes of  $t$ -designs is presented. In particular given a  $t$ - $(v, k, \lambda \mid b^*)$  design with  $b > b_0$ , where  $b$  and  $b_0$  are the cardinality and the minimum cardinality of block sets in the design, respectively, then it is shown that  $b^* \geq \lceil \frac{\lfloor (2b/\lambda) + 7 \rfloor}{2} \rceil$ . We also show that when  $\lambda$  vary over all positive integers, then there is no  $t$ - $(v, k, \lambda \mid b^*)$ -design with the support sizes equal to  $b^*_{\min} + 1$ ,  $b^*_{\min} + 2$  and  $b^*_{\min} + 3$ , where  $b^*_{\min}$  denotes the least possible cardinality of the support sizes in this design.

## 1 Introduction

Let  $t, v, k$  and  $\lambda$  be positive integers with  $v \geq k \geq t$ . A  $t$ - $(v, k, \lambda)$  design is a set of collection  $\mathcal{D}$  of  $k$ -subsets called blocks of a  $v$ -set  $V$  ( $|V| = v$ ) such that every  $t$ -subset of  $V$  is contained in exactly  $\lambda$  blocks. It is well known that if  $1 \leq i \leq t$ , then every  $t$ -design is also an  $i$ -design. In other words every  $i$ -subset is contained in exactly  $\lambda_i$  blocks in  $\mathcal{D}$ . Let  $\lambda = \lambda_t$  and let  $b$  be the number of all blocks in  $\mathcal{D}$  ( $|\mathcal{D}| = b$ ), then the following are the necessary relations for the existence of a  $t$ - $(v, k, \lambda)$  design:

$$\lambda \binom{v-i}{t-i} = \lambda_i \binom{k-i}{t-i}; \quad b \binom{k}{i} = \lambda_i \binom{v}{i}, \quad 1 \leq i \leq t.$$

Let  $b_0, \lambda_0$  and  $\lambda_{0i}$  denote the least positive integers which satisfy the above relations, then these relations are hold only for  $b = b_0 s, \lambda = \lambda_0 s, \lambda_i = \lambda_{0i} s$ ,

where  $s \in N$ . The support of a  $t$ -design  $\mathcal{D}$  denoted by  $\mathcal{D}^*$  is the set of all distinct blocks of  $\mathcal{D}$ . We use  $b^* = |\mathcal{D}^*|$  to denote the support size. The least possible value of  $b^*$  in a given design is denoted by  $b_{min}^*$ . We sometimes denote a  $t$ - $(v, k, \lambda)$  design with the support size  $b^*$ ,  $b = b_0s, \lambda = \lambda_0s$  and  $\lambda_i = \lambda_{0i}s$ , by a full configuration  $t$ - $(v, b_0s, k, \lambda_{0i}s, \lambda_0s, |b^*)$  or with a more detailed format, by  $t$ - $(v, b_0s, k, \lambda_{01}s, \lambda_{02}s, \dots, \lambda_{0s}, |b^*)$ .

For a family of  $t$ - $(v, k, \lambda)$  design one of the important mathematical problems is to determine the set of all possible  $b^*$  or their existence bounds[see for example 4,5]. In this paper we give a new existence bound for the support sizes of  $t$ -designs, in general.

Let  $\mathcal{D}$  be a  $t$ - $(v, k, \lambda | b^*)$  design. If  $b^* < b$ , then  $\mathcal{D}$  is called a  $t$ -design with repeated blocks. Let  $\mathcal{D}^* = \{B_1, B_2, \dots, B_{b^*}\}$  be the support of  $\mathcal{D}$ . We denote by  $f_B$  the multiplicity or frequency of a block  $B \in \mathcal{D}^*$  in  $\mathcal{D}$ . Consider  $f$  as the greatest common divisor of frequency of blocks or  $f = gcd(f_{B_1}, \dots, f_{B_{b^*}})$ . If  $gcd(f, \lambda) > 1$ , then by applying the *Trade Off Method* [6],  $b$  can be reduced by dividing it over the greatest common divisor of  $\lambda$  and  $f$  without any change on the size of  $\mathcal{D}^*$  (note that  $b = f_{B_1} + \dots + f_{B_{b^*}}$ ). Since the main interest in this note is the study of support sizes, we usually assume that  $gcd(f, \lambda) = 1$ . Now consider the following subsets of  $\mathcal{D}^*$  for every  $j \in N$ :

$$E_j \stackrel{def}{=} \{B \in \mathcal{D}^* \mid f_B = j\}; \quad H_j \stackrel{def}{=} \{B \in \mathcal{D}^* \mid f_B \geq j\} = \bigcup_{i \geq j} E_i,$$

$$\Delta_j \stackrel{def}{=} \{B \in \mathcal{D}^* \mid f_B = nj, n \in N\} = \bigcup E_{jn}.$$

Also, the notations  $E'_j, \Delta'_j$  and  $H'_j$  are used for their set complements in  $\mathcal{D}^*$ , respectively. Let  $E \subseteq \mathcal{D}^*$ , where  $\mathcal{D}$  is a  $t$ -design with the element set  $V$ . Let  $X$  be an  $i$ -subset of  $V$ . By  $\lambda_{iE}(X)$ , we denote the number of blocks in  $E$  containing  $X$ . By  $\lambda_{iE}(X)$  we denote the sum of frequency of blocks in  $E$  containing  $X$ . When we write  $\lambda_{iE}$  or  $\lambda_{iE}^*$  without any specification of a special  $i$ -set  $X$  then a property about all  $i$ -subsets in  $E$  is considered. When  $E = \mathcal{D}^*$  we usually drop  $E$  in  $\lambda_{iE}$  or  $\lambda_{iE}^*$  and write them as  $\lambda_i$  and  $\lambda_i^*$ . We use the notation  $a | b$ , when integer  $a$  divides integer  $b$ .

## 2 On the structure of support

The first theorem is about the number of occurrences of  $i$ -subsets in some special subsets of the support set.

**Theorem 1.** Let  $\mathcal{D}$  be a  $t$ - $(v, b_0s, k, \lambda_{0i}s, \lambda_0s, |b^*)$  design, for a fixed  $s \in N$  and let  $1 \leq i \leq t$ . Then we have:

- (1)  $s \mid \lambda_{i\Delta'_s}$  and  $\lambda_{i\Delta'_s}^* \neq 1$ . If  $i < t$  we also have  $\lambda_{i\Delta'_s}^* \neq 1, 2$ .
- (2) If  $i < t$  and  $k - i \geq 2$ , then  $\lambda_{i\Delta'_s}^* \neq 1, 2, 3$ .
- (3) Either  $|\Delta'_s| = 0$ , or  $|\Delta'_s| \geq 7$ .
- (4) If  $i \leq t$ , then  $\lambda_{iE_s}^* \neq (\lambda_{0i}^* - 1)$ .
- (5) If  $i < t$  and  $\lambda_0 \mid \lambda_{0i}$ , then  $\lambda_{iE_s}^* \neq ((\lambda_{0i}/\lambda_0) - 1)$ . Also if for an  $i$ -set  $X$  we have  $\lambda_{iE_s}^* = (\lambda_{0i}/\lambda_0)$ , then for all  $(t - i)$ -sets in  $V \setminus X$  we have  $\lambda_{(t-i)E_s}^* \neq 0$ .
- (6) In case of  $\lambda_0 = 2$  and  $1 \leq i \leq t$ , if for an  $i$ -set  $\lambda_{iH_s}^* > 0$ , then for the same set  $\lambda_{iH_s}^* \neq \lambda_{0i} - 1$ .

**Proof.** (1) We have  $\lambda_i = \lambda_{i0}s = \lambda_{i\Delta_s} + \lambda_{i\Delta'_s}$ . By definition of  $\Delta_s$ , it is clear that  $s \mid \lambda_{i\Delta_s}$ , hence  $s \mid \lambda_{i\Delta'_s}$ , this implies to  $\lambda_{i\Delta'_s}^* = 0$  or  $\geq 2$ . Now let  $i < t$  and  $X$  be an  $i$ -set with  $\lambda_{i\Delta'_s}^*(X) = 2$ . Since  $i < t$  then by above, all  $(i + 1)$ -sets, containing  $X$  in one of these two blocks of  $\Delta'_s$ , should occur in the second block too. This leads to the equality of two blocks in  $\mathcal{D}^*$  which is impossible.

(2) Let  $X$  be an  $i$ -set with  $\lambda_{i\Delta'_s}^*(X) = 3$  number of occurrences in blocks  $B_1, B_2, B_3$  of  $\Delta'_s$ . Since  $\Delta'_s \subseteq \mathcal{D}^*$ , by part (1) every two blocks of these three blocks have a common point out of  $X$ , which do not appear in the third one. Since  $k - i \geq 2$  there are enough points to distinct these three blocks. Now let  $y \in V \setminus X$  be a point such that  $y \in B_1 \cap B_2$ , but  $y \notin B_3$ . Hence the  $(i + 1)$ -set  $(X \cup \{y\}) \subset B_1 \cap B_2$  and do not appears elsewhere in  $\Delta'_s$ . By Part (1),  $s \mid (f_{B_1} + f_{B_2})$ . Also,  $s \mid (f_{B_1} + f_{B_2} + f_{B_3})$ , consequently  $s \mid f_{B_3}$ , which is impossible, since  $B_3 \in \Delta'_s$ .

(3) If  $\Delta'_s \neq \emptyset$ , then by part (2) we may consider a point  $x \in V$  with maximum  $\lambda_{i\Delta'_s}^*$ , greater than or equal to 4. We study three cases  $\lambda_{i\Delta'_s}^*({x}) = 4, 5$  and  $\geq 6$  and show that in each case the claim holds. Let  $\lambda_{i\Delta'_s}^*({x}) = 4$ , so  $\Delta'_s$  has at least 4 blocks  $B_1, B_2, B_3, B_4$  all of which contain the point  $x$ . Let  $y \in V \setminus \{x\}$  and  $y \in B_1 \setminus B_2$ . By part (2) above  $y$  appears at least 4 times in  $\Delta'_s$ . By Parts (1) and (2) the point  $y$  does not appear in both blocks  $B_3$  and  $B_4$ , for otherwise, considering  $\lambda_{2\Delta'_s}^*({x, y})$  implies that  $s \mid f_3$  or  $s \mid f_4$ , which is not possible. Hence  $y$  needs at least two other appearances in  $\Delta'_s$  to satisfy the conditions of part (2). In other words  $\Delta'_s$  has at least 6 blocks. Considering distinctness of the 5-th and the 6-th block, implies that  $|\Delta'_s| \geq 7$ . For the other two cases  $\lambda_{i\Delta'_s}^*({x}) = 5$  and  $\lambda_{i\Delta'_s}^*({x}) \geq 6$ , a similar argument implies the claim.

(4) Let  $\lambda^*_{iE_s}(X) = (\lambda_{0i}^* - 1)$  for an  $i$ -set  $X \subset V$ . Then  $\lambda_{iE'_s}(X) = s$ , which by part (1) implies the equality of two blocks and this is not possible.

(5) Let  $X \subset V$  be an  $i$ -subset with  $\lambda^*_{iE_\lambda}(X) = ((\lambda_{0i}/\lambda_0) - 1)$ . Since each  $t$ -set appears in at most one block of  $E_\lambda$ , the number of all  $t$ -sets containing  $X$  that do not appear in  $E_\lambda$  is

$$\binom{v-i}{t-i} - \left(\frac{\lambda_{0i}}{\lambda_0} - 1\right) \binom{k-i}{t-i} = \binom{k-i}{t-i}$$

The  $i$ -set  $X$  has at least two occurrences in  $E'_\lambda$  (note that  $\lambda_i - \lambda_{iE_\lambda} = \lambda$ ), which leads to a common occurrences of all these  $\binom{k-i}{t-i}$ ,  $(t-i)$ -sets with  $X$ . This leads to the equality of at least two blocks in  $E'_\lambda$  which is impossible. Now, let  $X$  be an  $i$ -set with  $\lambda^*_{iE_\lambda}(X) = (\lambda_{0i}/\lambda_0)$ , so  $\lambda_{iE_\lambda}(X) = \lambda_i$  and hence  $X$  does not appear in  $E'_\lambda$ . This implies that all  $\binom{v-i}{t-i}$ ,  $(t-i)$ -sets from  $V \setminus X$  appear in  $E_\lambda$ . In other words  $\lambda^*_{(t-i)E_\lambda} \neq 0$  for all these  $(t-i)$ -sets.

(6) Let  $X \subset V$  be an  $i$ -set with  $\lambda^*_{iH_s}(X) = \lambda_{0i} - 1$ . Since  $\lambda_0 = 2$ , no  $t$ -sets appear more than two times in  $H_s$ . Let  $M$  and  $N$  be the collections of all  $t$ -sets containing  $X$ , which appear in one and two of these  $\lambda_{0i} - 1$  blocks in  $H_s$ , respectively. Let  $|M| = m$  and  $|N| = n$ . Counting the number of all these  $t$ -sets in  $H_s$  (not necessarily different) imply the equation  $m + 2n = (\lambda_{0i} - 1) \binom{k-i}{t-i}$ . Also all possible  $\binom{v-i}{t-i}$  number of these  $t$ -sets (including  $X$ ) should appear in  $H_s$ , hence clearly  $m + n = \binom{v-i}{t-i}$ . Solving these two equations simultaneously, yields  $m = \binom{k-i}{t-i}$  and  $n = \binom{v-i}{t-i} - \binom{k-i}{t-i}$ . All elements of  $N$  do not appear in  $H'_s$ . Elements of  $M$  appear in  $H'_s$  (since  $\lambda^*_{iH'_s}(X) > 0$ ), but they may make only one block there. This implies that in  $H'_s$  they occur in blocks with frequency greater than  $s$ . Hence they build their unique block in  $H_s$  again, which is not possible.  $\square$

The following theorem presents a new bound for the support sizes.

**Theorem 2.** Let  $D$  be a  $t$ - $(v, b_0s, k, \lambda_{0i}s, \lambda_0s, |b^*)$  design, we have:

- (i) If  $b > b_0$ , then  $b^* \geq \lceil \frac{[(2b_0/\lambda_0)]+7}{2} \rceil$ .
- (ii) If  $b > b_0$  and  $k - i \geq 2$ , then  $b^* \geq \lceil \frac{[(4b_0/\lambda_{0i})]+21}{4} \rceil$  for  $1 \leq i < t$ .
- (iii) If  $H_s = \phi$ , then  $b^* \geq \lceil b_0/\lambda_{0i} \rceil + b_0$  for  $1 \leq i \leq t$ .

**Proof.** (i) If  $b > b_0$ , then clearly  $s > 1$  hence  $\Delta'_s \neq \phi$ . For otherwise we have  $\mathcal{D} = \Delta_s$  and this contradicts our general assumption (in the introduction) that  $\gcd(f, \lambda) = 1$ . In this situation at least  $\binom{v}{t} - \binom{k}{t}|\Delta_s|$  number of all  $t$ -sets do not appear in  $\Delta_s$ . By Part (1) of Theorem 1 these  $t$ -sets have at least 2 occurrences in  $\Delta'_s$ , hence  $|\Delta'_s| \geq \lceil 2 \frac{\binom{v}{t} - \binom{k}{t}|\Delta_s|}{\binom{t}{t}} \rceil$  or  $|\Delta'_s| \geq \lceil 2(b_0/\lambda_0) \rceil - 2|\Delta_s|$ , so  $b^* = |\Delta'_s| + |\Delta_s| \geq \lceil (2b_0/\lambda_0) \rceil - |\Delta_s|$ . By Part (3) of Theorem 1,  $|\Delta_s| \geq 7$ , therefore  $b^* \geq 7 + |\Delta_s|$ . Summing up these two inequalities, we get  $b^* \geq \lceil \frac{\lceil (2b_0/\lambda_0) \rceil + 7}{2} \rceil$ .

(ii) Now let  $i < t$  and  $k - i \geq 2$ , then as above at least  $\binom{v}{i} - \binom{k}{i}|\Delta_s|$  number of all  $i$ -sets do not appear in  $\Delta_s$ . By Part (2) of Theorem 1 these  $i$ -sets have at least 4 occurrences in  $\Delta'_s$ , hence  $|\Delta'_s| \geq \lceil 4 \frac{\binom{v}{i} - \binom{k}{i}|\Delta_s|}{\binom{i}{i}} \rceil$  or  $|\Delta'_s| \geq \lceil 4(b_0/\lambda_{0i}) \rceil - 4|\Delta_s|$ , so  $b^* = |\Delta'_s| + |\Delta_s| \geq \lceil (4b_0/\lambda_{0i}) \rceil - 3|\Delta_s|$ . By Part (3) of Theorem 1,  $|\Delta_s| \geq 7$ , therefore  $3b^* \geq 21 + 3|\Delta_s|$ . Summing up the above two inequalities for  $b^*$ , we get  $b^* \geq \lceil \frac{\lceil (4b_0/\lambda_{0i}) \rceil + 21}{4} \rceil$ .

(iii) If  $H_s = \phi$ , then  $D^* = H'_s$  and each  $i$ -set has at least  $\lambda_{0i} + 1$  occurrences in  $D^*$ . Hence  $D^*$  should contain at least  $\binom{v}{i}(\lambda_{0i} + 1)$  of  $i$ -sets. Consequently

$$b^* \geq \lceil \binom{v}{i}(\lambda_{0i} + 1) / \binom{k}{i} \rceil = \lceil b_0 + (b_0/\lambda_{0i}) \rceil = b_0 + \lceil b_0/\lambda_{0i} \rceil.$$

□

**Corollary 3.** *Let  $\mathcal{D}$  be a  $t$ -( $v, k, \lambda$ ) design with  $\lambda_0 = 1$ . Then  $\mathcal{D}$  has no support sizes equal to  $b_0 + 1$ ,  $b_0 + 2$  and  $b_0 + 3$ . This, in particular implies that when  $b_0 = b^*_{min}$  then the support sizes equal to  $b^*_{min} + 1$ ,  $b^*_{min} + 2$ ,  $b^*_{min} + 3$  do not exist.*

**Proof.** Setting  $b_0 = b^*_{min}$  and  $\lambda_0 = 1$  in case (i) of the above Theorem, implies the claim. □

In the following we study the range and dependence of the number of occurrences of  $i$ -sets in the support of a  $t$ -design.

**Proposition 4.**(i) Let  $\lambda_i^*$  be as defined above the number of occurrences of  $i$ -sets in the support of a  $t$ -( $v, k, \lambda$ ) design, then

$$\lceil \lambda_i/h \rceil \leq \lambda_i^* \leq \min\{\lambda_i, \binom{v-i}{k-i}\},$$

where  $h = \min\{\lambda_i, b/v\}$ .

(ii) Let  $A_j$  be the set of  $i$ -sets with  $j$  occurrences in  $D^*$ . Also let  $a_j = |A_j|$ . Then

$$\begin{cases} \sum_{j=\lambda_i^*} j a_j &= \binom{k}{i} b^* \\ \sum_{j=\lambda_i^*} a_j &= \binom{v}{i} \end{cases},$$

where  $\lambda_i^*$  vary over the range given in (i).

**Proof.** (i) The least number of occurrences of an  $i$ -set in the support of a design is obtained if it occurs in blocks with the highest possible frequency, where by Mann's inequality [7] is at most equal to  $h$ , so clearly  $\lceil \lambda_i/h \rceil \leq \lambda_i^*$ . On the other hand, the least possible frequency of blocks is 1. This gives at most  $\lambda_i$  occurrences of an  $i$ -set in  $D^*$ . Also the maximum number of times that an  $i$ -set occur in  $D^*$  is just the maximum number of blocks that could be made by a specified  $i$ -set which is clearly equal to  $\binom{v-i}{k-i}$ . Therefore  $\lambda_i^* \leq \min\{\lambda_i, \binom{v-i}{k-i}\}$ .

(ii) The first equation in this system is obtained by computing the total number of "occurrences" of  $i$ -sets that appear in the support. The second equation is obtained by computing the total number of  $i$ -sets that appear in the support.  $\square$

**Remark.** If we apply the above proposition to study a special subset of  $D^*$ , then the values of the right sides of the above equations and the ranges of  $\lambda_i^*$  may change.

**Application.** One can build a method from the above theorems to search for the existence of support sizes near the  $b_0$ . The following are a few examples:

**2-(9,3) designs.** It is proved that the support sizes  $b^* = 13, 14, 15, 16, 17$  and 19 do not exist. A design with the support size  $b^* = 18$  is also presented [2].

**2-(13,3) designs.** It is shown that the support sizes  $b^* = 27, 28, 29, 31$  do not exist. A design with the support size  $b^* = 30$  is also given [1].

**2-(15,3) designs.** It is shown that the support sizes  $b^* = 36, 37, 38, 40$  do not exist. A design with the support size  $b^* = 39$  is also given [1].

**2-(11,5) designs.** It is shown that the support sizes  $b^* = 12, 13, 14, 15$

do not exist [1].

**4-(11,5) designs.** There is no design with the support sizes  $b^* = 67, 68, 69$ , for we have  $\lambda_0 = 1$  and  $b_0 = 66$ , now the corollary 3 yields the claim[3].

**3-(8,4) designs.** There is no design with the support sizes  $b^* = 15, 16, 17$ . For we have  $\lambda_0 = 1$  and  $b_0 = 14$ , now the corollary 3 yields the claim[3].

**3-(10,4) designs.** There is no design with the support sizes  $b^* = 31, 32, 33$ . For we have  $\lambda_0 = 1$  and  $b_0 = 30$ , now the corollary 3 yields the claim[3].

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