

# NOTE ON A $q$ -OPERATOR IDENTITY

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**Abstract:** In this paper, we obtain an interesting identity by applying two  $q$ -operator identities. From this identity, we can recover the terminating Sears'  ${}_3\Phi_2$  transformation formulas and the Dilcher's identity and the Uchimura's identity. In addition, an interesting binomial identity can be concluded.

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## 1. Introduction and Main Result

Following Gasper and Rahman [5], the  $q$ -shifted factorial of  $a$  is defined by

$$(a; q)_0 = 1, \quad (a; q)_n = \prod_{k=0}^{n-1} (1 - aq^k), \quad n = 1, 2, \dots$$

When  $|q| < 1$ , we have the infinite product expressions

$$(a; q)_\infty = \prod_{k=0}^{\infty} (1 - aq^k) \quad \text{and} \quad (a; q)_\alpha = (a; q)_\infty / (aq^\alpha; q)_\infty, \quad (1)$$

where  $\alpha$  is a complex number.

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The product and fraction forms of the shifted factorials are abbreviated throughout the paper respectively to

$$(a_1, a_2, \dots, a_m; q)_n = (a_1; q)_n (a_2; q)_n \cdots (a_m; q)_n,$$

$$\left[ \begin{matrix} a_1, & a_2, & \dots, & a_r \\ b_1, & b_2, & \dots, & b_s \end{matrix}; q \right]_n = \frac{(a_1, a_2, \dots, a_r; q)_n}{(b_1, b_2, \dots, b_s; q)_n}, \quad n = 0, 1, \dots, \infty.$$

The basic hypergeometric series  ${}_r\Phi_s$  is defined by:

$${}_r\Phi_s \left( \begin{matrix} a_1, & \dots, & a_r \\ b_1, & \dots, & b_s \end{matrix}; q, x \right)$$

$$= \sum_{n=0}^{\infty} \left[ \begin{matrix} a_1, & a_2, & \dots, & a_r \\ q, & b_1, & \dots, & b_s \end{matrix}; q \right]_n \left[ (-1)^n q^{n(n-1)/2} \right]^{1+s-r} x^n.$$

The  $q$ -binomial coefficient is given by

$$\left[ \begin{matrix} n \\ k \end{matrix} \right] = \frac{(q; q)_n}{(q; q)_k (q; q)_{n-k}}. \tag{2}$$

The  $q$ -derivative operator  $D_q$  and  $q$ -shifted operator  $\eta$  (cf. [1]), acting on the variable  $x$ , are defined by:

$$D_q \{f(x)\} = \frac{f(x) - f(xq)}{x} \quad \text{and} \quad \eta \{f(x)\} = f(xq).$$

**Remark.** The definition of  $D_q$  is different from the ordinary  $q$ -differential operator. Multiplying both sides of this definition by  $1/(1 - q)$ , it should become the ordinary  $q$ -differential operator. The ordinary definition reduces to the ordinary differentiation for  $q \rightarrow 1$ . There are many people using this operator to obtain formulas of the  $q$ -series. The typical one is Cigler [2]. In [2], he applied this operator to give a system way of studying the  $q$ -series.

We can prove, by means of the induction principle, the following explicit formulae

$$D_q^n \left\{ \frac{(x\omega; q)_\infty}{(xs; q)_\infty} \right\} = s^n \frac{(\omega/s; q)_n (x\omega q^n; q)_\infty}{(xs; q)_\infty}, \tag{3}$$

$$D_q^n \{f(x)g(x)\} = \sum_{k=0}^n \left[ \begin{matrix} n \\ k \end{matrix} \right] q^{k(k-n)} D_q^k \{f(x)\} D_q^{n-k} \{g(xq^k)\}. \tag{4}$$

The following elegant identity

$$\sum_{k=1}^n \left[ \begin{matrix} n \\ k \end{matrix} \right] (-1)^{k+1} \frac{q^{k(k-1)/2+kr}}{(1 - q^k)^r} = \sum_{1 \leq n_r \leq n_{r-1} \leq \dots \leq n_1 \leq n} \prod_{i=1}^r \frac{q^{n_i}}{1 - q^{n_i}} \tag{5}$$

was given by Dilcher [3, p. 91, Eq. 5.3]. Fu and Lascoux [4] presented an extension of it. Later, Prodinger [7], Zeng [8] applied different ways to prove the extension given by Fu and Lascoux [4].

In this paper, we apply the following  $q$ -operator identity

$$D_q^n \{f(x)\} = x^{-n} \sum_{k=0}^n \frac{(q^{-n}; q)_k}{(q; q)_k} (q\eta)^k \{f(x)\} \tag{6}$$

to give an identity which contains (5) and (16) as its special cases. The identity (6) is simple but important. Recently, Chu [1] has given some applications of this operator identity. The main results of this paper are stated as:

**Theorem 1.** Let  $x, a_i, b_i$  be complex numbers,  $i = 1, 2, \dots, r$

$$\begin{aligned} & {}_{r+1}\Phi_r \left( \begin{matrix} q^{-n}, & xb_1, & \dots, & xb_r \\ & xa_1, & \dots, & xa_r \end{matrix}; q, q \right) \\ &= (b_1 x)^n \frac{(a_1/b_1; q)_n}{(xa_1; q)_n} \sum_{0 \leq k_{r-1} \leq k_{r-2} \leq \dots \leq k_1 \leq k_0 = n} \\ & \times \prod_{i=1}^{r-1} \left[ \begin{matrix} q^{-k_{i-1}}, & & & \\ q, & xb_i, & a_{i+1}/b_{i+1}; q \end{matrix} \right]_{k_i} \left( \frac{qb_{i+1}}{a_i} \right)^{k_i}. \end{aligned} \tag{7}$$

**Theorem 2.** Let  $a_i \neq 0, b_i \neq 0, x$  be complex numbers,  $i = 1, 2, \dots, r$

$$\begin{aligned} & \sum_{k=0}^n \left[ \begin{matrix} q^{-n}, & xb_1, & \dots, & xb_r \\ q, & xa_1, & \dots, & xa_r \end{matrix}; q \right]_k \left( \frac{q^n a_1 \dots a_r}{b_1 b_2 \dots b_r} \right)^k \\ &= \frac{(a_1/b_1; q)_n}{(xa_1; q)_n} \sum_{0 \leq k_{r-1} \leq k_{r-2} \leq \dots \leq k_1 \leq k_0 = n} \\ & \times \prod_{i=1}^{r-1} \left[ \begin{matrix} q^{-k_{i-1}}, & & & \\ q, & b_i q^{1-k_{i-1}}/a_i, & a_{i+1}/b_{i+1}; q \end{matrix} \right]_{k_i} q^{k_i}. \end{aligned} \tag{8}$$

## 2. The Proof of the Theorems

### Proof of Theorem 1

Taking

$$f(x) = \left[ \begin{matrix} xa_1, & xa_2, & \dots, & xa_r \\ xb_1, & xb_2, & \dots, & xb_r \end{matrix}; q \right]_{\infty}$$

into (6), the right hand side equates to

$$x^{-n} f(x) \sum_{k=0}^n \left[ \begin{matrix} q^{-n}, & xb_1, & xb_2, & \cdots, & xb_r; \\ q, & xa_1, & xa_2, & \cdots, & xa_r; \end{matrix} q \right]_k q^k. \quad (9)$$

From (4), the left hand side of (6) can be rewritten as follows

$$\begin{aligned} & \sum_{k_1=0}^n \left[ \begin{matrix} n \\ k \end{matrix} \right] q^{k_1(k_1-n)} D_q^{n-k_1} \left[ \begin{matrix} xa_1 q^{k_1} \\ xb_1 q_1^k; \end{matrix} q \right]_{\infty} \\ & \times D_q^{k_1} \left[ \begin{matrix} xa_2, & xa_3, & \cdots, & xa_r; \\ xb_2, & xb_3, & \cdots, & xb_r; \end{matrix} q \right]_{\infty} \\ & = \sum_{k_1=0}^n \frac{(q^{-n}; q)_{k_1}}{(q; q)_{k_1}} (-1)^{k_1} q^{\binom{k_1+1}{2}} D_q^{n-k_1} \left[ \begin{matrix} xa_1 q^{k_1} \\ xb_1 q^{k_1}; \end{matrix} q \right]_{\infty} \\ & \times D_q^{k_1} \left[ \begin{matrix} xa_2, & \cdots, & xa_r; \\ xb_2, & \cdots, & xb_r; \end{matrix} q \right]_{\infty} \\ & = b_1^n \frac{(a_1/b_1; q)_n (xa_1; q)_{\infty}}{(xa_1; q)_n (xb_1; q)_{\infty}} \sum_{k_1=0}^n \left[ \begin{matrix} q^{-n}, & & xb_1 \\ q, & & b_1 q^{1-n}/a_1; \end{matrix} q \right]_{k_1} \left( \frac{q}{a_1} \right)^{k_1} \\ & \times D_q^{k_1} \left[ \begin{matrix} xa_2, & \cdots, & xa_r; \\ xb_2, & \cdots, & xb_r; \end{matrix} q \right]_{\infty}. \end{aligned}$$

Iterating the process above, by induction and using (3), the left hand side of (6) comes to

$$\begin{aligned} & b_1^n \frac{(a_1/b_1; q)_n}{(xa_1; q)_n} f(x) \sum_{k_1=0}^n \left[ \begin{matrix} q^{-n}, & xb_1, & a_2/b_2; \\ q, & b_1 q^{1-n}/a_1, & xa_2; \end{matrix} q \right]_{k_1} \left( \frac{qb_2}{a_1} \right)^{k_1} \\ & \times \sum_{k_2=0}^{k_1} \left[ \begin{matrix} q^{-k_1}, & xb_2, & a_3/b_3; \\ q, & b_2 q^{1-k_1}/a_2, & xa_3; \end{matrix} q \right]_{k_2} \left( \frac{qb_3}{a_2} \right)^{k_2} \cdots \\ & \times \sum_{k_{r-1}=0}^{k_{r-2}} \left[ \begin{matrix} q^{-k_{r-2}}, & xb_{r-1}, & a_r/b_r; \\ q, & b_{r-1} q^{1-k_{r-2}}/a_{r-1}, & xa_r; \end{matrix} q \right]_{k_{r-1}} \left( \frac{qb_r}{a_{r-1}} \right)^{k_{r-1}} \\ & = b_1^n \frac{(a_1/b_1; q)_n}{(xa_1; q)_n} f(x) \sum_{0 \leq k_{r-1} \leq k_{r-2} \leq \cdots \leq k_1 \leq k_0 = n} \\ & \times \prod_{i=1}^{r-1} \left[ \begin{matrix} q^{-k_{i-1}}, & xb_i, & a_{i+1}/b_{i+1}; \\ q, & b_i q^{1-k_{i-1}}/a_i, & xa_{i+1}; \end{matrix} q \right]_{k_i} \left( \frac{qb_{i+1}}{a_i} \right)^{k_i}. \quad (10) \end{aligned}$$

This proves the Theorem. ■

### Proof of Theorem 2

In (7), taking  $q \rightarrow 1/q$ , then replacing  $(x, a_i, b_i)$  by  $(1/x, 1/a_i, 1/b_i)$  respectively, where  $i = 1, 2, \dots, r$ . We can get the Theorem 2. ■

### 3. Some special cases

Putting  $r = 2$  in (7), then replacing  $(a_1x, a_2x, b_1x, b_2x)$  by  $(a_1, a_2, b_1, b_2)$  respectively, we have the following Sears' transformation formula

**Corollary 1.** The terminating Sears'  ${}_3\Phi_2$  transformation formula [5, p. 61, Eq. 3.2.2]

$${}_3\Phi_2 \left( \begin{matrix} q^{-n}, & b_1, & b_2 \\ & a_1, & a_2 \end{matrix}; q, q \right) = (b_1)^n \frac{(a_1/b_1; q)_n}{(a_1; q)_n} \sum_{k_1=0}^n \left[ \begin{matrix} q^{-n}, & b_1, & a_2/b_2 \\ q, & b_1q^{1-n}/a_1, & a_2 \end{matrix}; q \right]_{k_1} \left( \frac{qb_2}{a_1} \right)^{k_1}. \quad (11)$$

Setting  $r = 2$  in (8), then replacing  $(a_1x, a_2x, b_1x, b_2x)$  by  $(a_1, a_2, b_1, b_2)$  respectively, we have another Sears' transformation formula

**Corollary 2.** The terminating Sears'  ${}_3\Phi_2$  transformation formula [5, p. 61, Eq. 3.2.5]

$${}_3\Phi_2 \left( \begin{matrix} q^{-n}, & b_1, & b_2 \\ & a_1, & a_2 \end{matrix}; q, q^n a_1 a_2 / b_1 b_2 \right) = \frac{(a_1/b_1; q)_n}{(a_1; q)_n} \sum_{k_1=0}^n \left[ \begin{matrix} q^{-n}, & b_1, & a_2/b_2 \\ q, & b_1q^{1-n}/a_1, & a_2 \end{matrix}; q \right]_{k_1} q^{k_1}. \quad (12)$$

Letting  $a_i = qb_i$  and  $a_1 = a_2 = \dots = a_r$  in (8), where  $i = 1, 2, \dots, r$ , then simplifying and putting  $xb_i = x$ , we have the following identity

**Corollary 3.** We have

$$\sum_{k=0}^n \begin{bmatrix} n \\ k \end{bmatrix} (-1)^k \frac{q^{\binom{k}{2} + kr}}{(1 - xq^k)^r} = \frac{(q; q)_n}{(x; q)_{n+1}} \sum_{0 \leq k_{r-1} \leq k_{r-2} \leq \dots \leq k_1 \leq k_0 = n} \times \prod_{i=1}^{r-1} \frac{q^{k_i}}{1 - xq^{k_i}}. \quad (13)$$

Putting  $x = q$ , then multiplying  $q^{r-1}$  on both sides of (13), we have the following finite extension of Uchimura's identity

**Corollary 4.** A finite extension of Uchimura's identity

$$\sum_{k=0}^n \begin{bmatrix} n \\ k \end{bmatrix} (-1)^k q^{\binom{k+2}{2} + (r-2)(k+1)} \frac{1}{(1 - q^{k+1})^r} = \frac{(q; q)_n}{(q; q)_{n+1}} \sum_{0 \leq k_{r-1} \leq k_{r-2} \leq \dots \leq k_1 \leq k_0 = n} \prod_{i=1}^{r-1} \frac{q^{k_i+1}}{1 - q^{k_i+1}}. \quad (14)$$

Taking  $x = q$  and  $n \rightarrow \infty$  in (14), we have the following extension of Uchimura's

**Corollary 5.** An extension of Uchimura's identity

$$\sum_{k=1}^{\infty} (-1)^{k-1} \frac{q^{\binom{k+1}{2} + k(r-2)}}{(q; q)_{k-1} (1 - q^k)^r} = \sum_{1 \leq k_{r-1} \leq k_{r-2} \leq \dots \leq k_0 = n} \prod_{i=1}^{r-1} \frac{q^{k_i}}{1 - q^{k_i}}. \quad (15)$$

In (15), setting  $r = 2$ , we have Uchimura's identity (cf. [9])

$$\sum_{k=1}^{\infty} (-1)^{k-1} \frac{q^{\binom{k+1}{2}}}{(q; q)_{k-1} (1 - q^k)^2} = \sum_{k_1=1}^{\infty} \frac{q^{k_1}}{1 - q^{k_1}}. \quad (16)$$

In fact, this identity was known much earlier (cf. [6]).

Taking the limit as  $q \rightarrow 1$  in (14), we have the following binomial identity

**Corollary 6.** We have

$$\begin{aligned} & \sum_{k=0}^n \binom{n}{k} (-1)^k \frac{1}{(k+1)^r} = \frac{1}{n+1} \\ & \times \sum_{0 \leq k_{r-1} \leq k_{r-2} \leq \dots \leq k_1 \leq k_0 = n} \frac{1}{(k_1 + 1) \cdots (k_{r-1} + 1)}. \end{aligned} \quad (17)$$

**Remark.** We can derive the identity (5) from (13) by using a slick trick: Let us take  $x - 1 = \omega$  and set  $k \neq 0, k_i \neq 0$  ( $i = 1, \dots, r - 1$ ). (13) can be rewritten as follows

$$\begin{aligned} & \sum_{k=1}^n \binom{n}{k} (-1)^k \frac{q^{\binom{k}{2} + kr}}{(1 - q^k)^r} \frac{(-1)^r \omega^r}{(1 - \omega q^k / (1 - q^k))^r} \\ & = \frac{1}{(1 - \omega q / (1 - q)) \cdots (1 - \omega q^n / (1 - q^n))} \\ & \times \sum_{1 \leq k_{r-1} \leq k_{r-2} \leq \dots \leq k_1 \leq k_0 = n} (-1)^{r-1} \\ & \times \prod_{i=1}^{r-1} \frac{q^{k_i}}{1 - q^{k_i}} \frac{\omega}{(1 - \omega q^{k_i} / (1 - q^{k_i}))}. \end{aligned} \quad (18)$$

Then we expand both sides of (18) into a power series of  $\omega$

$$\begin{aligned} & \sum_{k=1}^n \binom{n}{k} (-1)^{k+1} \frac{q^{\binom{k}{2}+kr}}{(1-q^k)^r} \omega^r \left( \sum_{j=0}^{\infty} \left( \frac{q^k}{1-q^k} \right)^j \omega^j \right)^r \\ &= \sum_{m=1}^n \sum_{j=0}^{\infty} \left( \frac{q^m}{1-q^m} \right)^j \omega^j \sum_{1 \leq k_{r-1} \leq k_{r-2} \leq \dots \leq k_1 \leq k_0 = n} \\ & \times \prod_{i=1}^{r-1} \frac{q^{k_i}}{1-q^{k_i}} \omega \sum_{l=0}^{\infty} \left( \frac{q^{k_i}}{1-q^{k_i}} \right)^l \omega^l. \end{aligned}$$

Thus we can get the Dilcher's identity (5) by comparing the coefficients of  $\omega^r$ .

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