

A New Construction of Authentication Code with Arbitration from  
( $2\nu + 2 + l$ )-dimensional Singular Pseudo-Symplectic Space

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**Abstract** A new construction of authentication codes with arbitration from ( $2\nu + 2 + l$ )-dimensional singular pseudo-symplectic geometry on finite fields is given. Assuming that the encoding rules are chosen according to a uniform probability distribution, the parameters and the probabilities of success for different types of deceptions are also computed.

**Keywords:** authentication codes; arbitration; construction; singular pseudo-symplectic geometry

## 1. Introduction and main results

To solve the distrust problem of the transmitter and the receiver in the communications system, Simmons<sup>[1]</sup> introduced a model of authentication codes with arbitration, we write simply  $A^2$ -code defined as follows:

Let  $S, E_T, E_R$  and  $M$  be four non-empty finite sets,  $f : S \times E_T \rightarrow M$  and  $g : M \times E_R \rightarrow S \cup \{reject\}$  be two maps. The six-tuple  $(S, E_T, E_R, M; f, g)$  is called an authentication code with arbitration ( $A^2$ -code), if

- (1) The maps  $f$  and  $g$  are surjective;
- (2) For any  $m \in M$  and  $e_T \in E_T$ , if there is an  $s \in S$  satisfying  $f(s, e_T) = m$ , then such an  $s$  is uniquely determined by the given  $m$  and  $e_T$ ;
- (3)  $p(e_T, e_R) \neq 0$  and  $f(s, e_T) = m$  implies  $g(m, e_R) = s$ , otherwise,  $g(m, e_R) = \{reject\}$ .

$S, E_T, E_R$  and  $M$  are called the set of source states, the set of the transmitter's encoding rules, the set of the receiver's decoding rules and the set of messages, respectively;  $f$  and  $g$  are called the encoding map and decoding map respectively. The cardinals  $|S|, |E_T|, |E_R|$  and  $|M|$  are called the parameters of this code.

In an authentication system that permits arbitrations, this model includes four attendance: the transmitter, the receiver, the opponent and the arbiter, and includes five attacks: the opponent's impersonation attack, the

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opponent's substitution attack, the transmitter's impersonation attack, the receiver's impersonation attack and the receiver's substitution attack.

Wan Zhexian , Feng Rongquan, You Hong etc. constructed authentication codes without arbitration from geometry space of classical groups over finite fields [2-4]. Ma Wenping, Li Ruihu Chen Shangdi etc. constructed  $A^2$ -code from geometry space of classical groups over finite fields[5-7]. In the present paper, a new  $A^2$ -code will be constructed from singular pseudo-symplectic geometry over finite fields, the parameters and the probabilities of successful attacks of this authentication codes are also computed.

Assume that  $F_q$  is a finite field of characteristic 2,  $n = 2\nu + \delta + l$  and  $\delta = 1, 2$ . Let

$$S_{\delta,l} = \begin{pmatrix} S_{\delta} & \\ & 0^{(l)} \end{pmatrix}$$

where  $S_{\delta}$  is the  $(2\nu + \delta) \times (2\nu + \delta)$  non-alternate symmetric matrix:

$$S_1 = \begin{pmatrix} 0 & I^{(\nu)} & & \\ I^{(\nu)} & 0 & & \\ & & & 1 \end{pmatrix}, \quad S_2 = \begin{pmatrix} 0 & I^{(\nu)} & & \\ I^{(\nu)} & 0 & & \\ & & 0 & 1 \\ & & 1 & 1 \end{pmatrix}$$

The singular pseudo-symplectic group of degree  $2\nu + \delta + l$  over  $F_q$  is defined to be the set of matrices

$$P_{S_{2\nu+\delta+l, 2\nu+\delta}}(F_q) = \{g : gS_{\delta,l}g^T = S_{\delta,l}\}$$

denoted by  $P_{S_{2\nu+\delta+l, 2\nu+\delta}}(F_q)$ .

Let  $F_q^{(2\nu+\delta+l)}$  be the  $(2\nu + \delta + l)$ -dimensional row vector space over  $F_q$ ,  $P_{S_{2\nu+\delta+l, 2\nu+\delta}}(F_q)$  has an action on  $F_q^{(2\nu+\delta+l)}$  defined as follows:

$$F_q^{(2\nu+\delta+l)} \times P_{S_{2\nu+\delta+l, 2\nu+\delta}}(F_q) \longrightarrow F_q^{(2\nu+\delta+l)}$$

$$((x_1, x_2 \cdots, x_{2\nu+\delta+l}), T) \longmapsto (x_1, x_2 \cdots, x_{2\nu+\delta+l})T$$

The vector space  $F_q^{(2\nu+\delta+l)}$  together with this action is called the singular pseudo-symplectic space of dimension  $2\nu + \delta + l$  over  $F_q$ . An  $m$ -dimensional subspace  $P$  of  $F_q^{(2\nu+\delta+l)}$  is said to be of type  $(m, 2s + \tau, s, \varepsilon)$ , where  $\tau = 0, 1$  or  $2$  and  $\varepsilon = 0$  or  $1$ , if  $PS_{\delta,l}^t P$  is cogredient to  $M(m, 2s + \tau, s)$  and  $P$  does not or does contain a vector of the form

$$\left\{ \begin{array}{l} (\underbrace{0, 0 \cdots 0}_{2\nu}, 1, x_{2\nu+2} \cdots, x_{2\nu+1+l}), \quad \text{where } \delta = 1 \\ (\underbrace{0, 0 \cdots 0}_{2\nu}, 1, 0, x_{2\nu+3} \cdots, x_{2\nu+2+l}), \quad \text{where } \delta = 2 \end{array} \right.$$

corresponding to the cases  $\varepsilon = 0$  or  $1$ , respectively. Let  $E$  be the subspace of  $F_q^{(2\nu+\delta+l)}$  generated by  $e_{2\nu+\delta+1}, \dots, e_{2\nu+\delta+l}$ , then  $\dim E = l$ . An  $m$ -dimensional subspace  $P$  of  $F_q^{(2\nu+\delta+l)}$  is called a subspace of type  $(m, 2s + \tau, s, \varepsilon, k)$ , if

- (i)  $P$  is a subspace of type  $(m, 2s + \tau, s, \varepsilon)$  and
- (ii)  $\dim(P \cap E) = k$ .

From [8] we know that the set of all subspaces of type  $(m, 2s + \tau, s, \varepsilon, k)$  in  $F_q^{(2\nu+\delta+l)}$  forms an orbit under  $PS_{2\nu+\delta+l, 2\nu+\delta}(F_q)$ . Let  $P$  is a subspace of  $F_q^{(2\nu+\delta+l)}$ , we define the dual subspace of  $P$  is

$$P^\perp = \{x | x \in F_q^{(2\nu+\delta+l)}, xS_{\delta, l}y^\top = 0, \forall y \in P\}.$$

## 2. Construction

Suppose that  $n = 2\nu+2+l, 2 \leq r_2 < r_1 < \nu, \nu \geq 5$  and  $1 \leq k_2 < k_1 < l$ . Let  $U$  be a fixed subspace of type  $(3, 0, 0, 0, 1)$  in the  $(2\nu+2+l)$ -dimensional singular pseudo-symplectic space  $\mathbb{F}_q^{(2\nu+2+l)}$ , then  $U^\perp$  is a subspace of type  $(2\nu+l, 2\nu-2, \nu-2, 1, l)$ ;  $P_0$  is a fixed subspace of type  $(r_1+k_1, 0, 0, 0, k_1)$  and  $U \subset P_0 \subset U^\perp$ ; the set of source states  $S = \{s | s \text{ is a subspace of type } (r_2+k_2, 0, 0, 0, k_2) \text{ and } U \subset S \subset P_0\}$ ; the set of the transmitter's encoding rules  $E_T = \{e_T | e_T \text{ is a subspace of type } (5, 4, 2, 0, 1), U \subset e_T \text{ and } e_T \cap P_0 = U\}$ ; the set of the receiver's decoding rules  $E_R = \{e_R | e_R \text{ is a subspace of type } (4, 2, 1, 0, 1) \text{ and } U \subset e_R\}$ ; the set of messages  $M = \{m | m \text{ is a subspace of type } (r_2+2+k_2, 4, 2, 0, k_2) \text{ and } U \subset m, m \cap P_0 \text{ is a subspace of type } (r_2+k_2, 0, 0, 0, k_2)\}$ .

Define the encoding map:

$$f : S \times E_T \rightarrow M, (s, e_T) \rightarrow m = s + e_T,$$

and the decoding map:

$$g : M \times E_R \rightarrow s \cup \{\text{reject}\}$$

$$(m, e_R) \mapsto \begin{cases} s & \text{if } e_R \subset m, \text{ where } s = m \cap P_0. \\ \{\text{reject}\} & \text{otherwise.} \end{cases}$$

We know the six-tuple  $(S, E_T, E_R, M, f, g)$  is an authentication code with arbitration.

Let  $n_1$  denote the number of subspaces of type  $(r_2+k_2, 0, 0, 0, k_2)$  contained in  $U^\perp$  and containing  $U$ ;  $n_2$  denote the number of subspaces of type  $(r_1+k_1, 0, 0, 0, k_1)$  contained in  $U^\perp$  and containing a fixed subspace of type  $(r_2+k_2, 0, 0, 0, k_2)$  as above; and  $n_3$  denote the number of subspaces of type  $(r_1+k_1, 0, 0, 0, k_1)$  contained in  $U^\perp$  and containing  $U$ .

- Lemma 2.1** (1)  $n_1 = N(r_2 - 2, 0, 0, 0; 2\nu - 2)N(k_2 - 1, l - 1)q^{(r_2 - 2)(l - k_2)}$ ;  
(2)  $n_2 = N(r_1 - r_2, 0, 0, 0; 2\nu + 2 - 2r_2)N(k_1 - k_2, l - k_2)q^{(l - k_1)(r_1 - r_2)}$ ;  
(3)  $n_3 = N(r_1 - 2, 0, 0, 0; 2\nu - 2)N(k_1 - 1, l - 1)q^{(r_1 - 2)(l - k_1)}$ .

**Proof.** (1) We can assume that  $s$  is a subspace of type  $(r_2 + k_2, 0, 0, 0, k_2)$  and  $U \subset s \subset U^\perp$ . Clearly,  $s$  has a form as follows

$$s = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & I^{(k_2 - 1)} & 0 \\ 0 & 0 & R_3 & R_4 & 0 & 0 & R_7 & R_8 & R_9 & R_{10} & 0 & 0 & R_{13} \end{pmatrix} \begin{matrix} 1 \\ 1 \\ 1 \\ k_2 - 1 \\ r_2 - 2 \end{matrix}$$

$$\begin{matrix} 1 & 1 & r_2 - 2 & \nu - r_2 & 1 & 1 & r_2 - 2 & \nu - r_2 & 1 & 1 & 1 & k_2 - 1 & l - k_2 \end{matrix}$$

where  $(R_3, R_4, R_7, R_8, R_9, R_{10})$  is a vector subspace of type  $(r_2 - 2, 0, 0, 0)$  in the pseudo-symplectic space  $F_q^{(2\nu - 2)}$  and  $R_{13}$  is arbitrary. Therefore,  $n_1 = N(r_2 - 2, 0, 0, 0; 2\nu - 2)N(k_2 - 1, l - 1)q^{(r_2 - 2)(l - k_2)}$ .

(2) Suppose that  $P$  is a subspace of type  $(r_1 + k_1, 0, 0, 0, k_1)$  containing a fixed subspace of type  $(r_2 + k_2, 0, 0, 0, k_2)$  as above and  $P \subset U^\perp$ . It is easy to know that  $P$  has a form as follows

$$P = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & I^{(r_2 - 2)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & I^{(k_2)} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & I^{(k_1 - k_2)} & 0 \\ 0 & 0 & 0 & R_4 & 0 & 0 & 0 & R_8 & R_9 & R_{10} & 0 & 0 & R_{13} \end{pmatrix} \begin{matrix} 1 \\ 1 \\ r_2 - 2 \\ k_2 \\ k_1 - k_2 \\ r_1 - r_2 \end{matrix}$$

$$\begin{matrix} 1 & 1 & r_2 - 2 & \nu - r_2 & 1 & 1 & r_2 - 2 & \nu - r_2 & 1 & 1 & k_2 & k_1 - k_2 & l - k_1 \end{matrix}$$

where  $(R_4, R_8, R_9, R_{10})$  is a subspace of type  $(r_1 - r_2, 0, 0, 0)$  in the pseudo-symplectic space  $F_q^{(2\nu + 2 - 2r_2)}$  and  $R_{13}$  is arbitrary. Therefore,  $n_2 = N(r_1 - r_2, 0, 0, 0; 2\nu + 2 - 2r_2)N(k_1 - k_2, l - k_2)q^{(l - k_1)(r_1 - r_2)}$ .

(3) Similar to the proof of (1), we have  $n_3 = N(r_1 - 2, 0, 0, 0; 2\nu - 2)N(k_1 - 1, l - 1)q^{(r_1 - 2)(l - k_1)}$ .

**Lemma 2.2** The number of the source states is

$$|S| = \frac{n_1 \cdot n_2}{n_3} = \frac{q^{(r_2 - 2)(2(r_2 - r_1) + (k_1 - k_2))} N(k_2 - 1, l - 1) N(k_1 - k_2, l - k_2)}{N(k_1 - 1, l - 1)}$$

**Lemma 2.3** The number of the encoding rules of the transmitter is

$$|E_T| = q^{2(2\nu - 4 + l)}$$

**Proof.** Since  $e_T$  is a subspace of type  $(5, 4, 2, 0, 1)$  and  $e_T \cap P_0 = U$ , hence  $|E_T| = N'(3, 0, 0, 0, 1; 5, 4, 2, 0, 1; 2\nu + 2 + l, 2\nu + 2) = q^{2(2\nu - 4 + l)}$ .

**Lemma 2.4** The number of the decoding rules of the receiver is

$$|E_R| = q^{2(\nu-2)+l}(q+1)$$

**Proof.** Since  $e_R$  is a subspace of type  $(4,2,1,0,1)$  in the  $(2\nu+2+l)$ -dimensional singular pseudo-symplectic space  $F_q^{(2\nu+2+l)}$  and  $U \subset e_R$ , hence  $|E_R| = N'(3,0,0,0,1; 4,2,1,0,1; 2\nu+2+l, 2\nu+2) = q^{2(\nu-2)+l}(q+1)$ .

**Lemma 2.5** For any  $m \in M$ , let the number of  $e_T$  and  $e_R$  contained in  $m$  be  $a$  and  $b$ , respectively. Then  $a = q^{2(r_2+k_2-3)}$ ,  $b = q^{(r_2+k_2-3)}N(1,2)$ .

**Proof.** Let  $m$  be a message, from the definition of  $m$ , we may take  $m$  as follows

$$m = \begin{pmatrix} I^{(2)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & I^{(r_2-2)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & I^{(2)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & I^{(k_2)} & 0 & 0 \\ 2 & r_2-2 & \nu-r_2 & 2 & r_2-2 & \nu-r_2 & 1 & 1 & k_2 & l-k_2 & \end{pmatrix}$$

If  $e_T \subset m$ , then we can assume

$$e_T = \begin{pmatrix} I^{(2)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & h_2 & 0 & h_4 & 0 & 0 & 0 & 0 & 0 & h_{10} & 0 & 0 \\ 2 & r_2-2 & \nu-r_2 & 2 & r_2-2 & \nu-r_2 & 1 & 1 & 1 & k_2-1 & l-k_2 & \end{pmatrix}$$

where  $h_2, h_{10}$  arbitrarily and  $(h_4)$  is nonsingular. Therefore,  $a = q^{2(r_2+k_2-3)}$ .  
If  $e_R \subset m$ , then we can assume

$$e_R = \begin{pmatrix} I^{(2)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & h'_2 & 0 & h'_4 & 0 & 0 & 0 & 0 & 0 & h'_{10} & 0 & 0 \\ 2 & r_2-2 & \nu-r_2 & 2 & r_2-2 & \nu-r_2 & 1 & 1 & 1 & k_2-1 & l-k_2 & \end{pmatrix}$$

where  $h'_2, h'_{10}$  arbitrarily and  $(h'_4)$  is a 1 dimensional vector subspace of 2 dimensional vector space. Therefore,  $b = q^{(r_2+k_2-3)}N(1,2)$ .

**Lemma 2.6** (1) For any  $e_T \in E_T$ , the number of  $e_R$  which is incidence with  $e_T$  is  $c = N(1,2)$ .

(2) For any  $e_R \in E_R$ , the number of  $e_T$  which is incidence with  $e_R$  is  $d = q^{2\nu-4+l}$ .

**Proof.** (1) Assume that  $e_T \in E_T$ ,  $e_T$  is a subspace of type  $(5,4,2,0,1)$  and  $e_T \cap P_0 = U$ , we may take  $e_T$  as follows

$$e_T = \begin{pmatrix} I^{(2)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & I^{(2)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 2 & r_2-2 & \nu-r_2 & 2 & r_2-2 & \nu-r_2 & 1 & 1 & 1 & l-1 & \end{pmatrix}$$

If  $e_R \subset e_T$ , then we can assume

$$e_R = \begin{pmatrix} I^{(2)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & h_4 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix} \begin{matrix} 2 \\ 1 \\ 1 \\ 2 & r_2-2 & \nu-r_2 & 2 & r_2-2 & \nu-r_2 & 1 & 1 & 1 & l-1 \end{matrix}$$

where  $(h_4)$  is a 1 dimensional vector subspace of 2 dimensional vector space, hence  $c = N(1, 2)$ .

(2) Assume that  $e_R \in E_R$ ,  $e_R$  is a subspace of type  $(4, 2, 1, 0, 1)$  in the  $(2\nu + 2 + l)$ -dimensional singular pseudo-symplectic space  $F_q^{(2\nu+2+l)}$ , we may take  $e_R$  as follows

$$e_R = \begin{pmatrix} I^{(2)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix} \begin{matrix} 2 \\ 1 \\ 1 \\ 2 & r_2-2\nu-r_2 & 1 & 1 & r_2-2 & \nu-r_2 & 1 & 1 & 1 & l-1 \end{matrix}$$

If  $e_T \supset e_R$ , then we can assume

$$e_T = \begin{pmatrix} I^{(2)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & h_2 & h_3 & 0 & h_5 & h_6 & h_7 & h_8 & 0 & 0 & h_{11} \end{pmatrix} \begin{matrix} 2 \\ 1 \\ 1 \\ 2 & r_2-2 & \nu-r_2 & 1 & 1 & r_2-2 & \nu-r_2 & 1 & 1 & 1 & l-1 \end{matrix}$$

where  $\begin{pmatrix} 1 & 0 \\ 0 & h_5 \end{pmatrix}$  is nonsingular and  $h_2, h_3, h_6, h_7, h_8, h_{11}$  arbitrarily, therefore  $d = q^{2\nu-4+l}$ .

**Lemma 2.7** For any  $m \in M$  and  $e_R \subset m$ , the number of  $e_T$  contained in  $m$  and containing  $e_R$  is  $q^{r_2+k_2-3}$ .

**Proof.** Similar to the proof of Lemma 2.6, we can obtain Lemma 2.7.

**Lemma 2.8** Suppose that  $m_1$  and  $m_2$  are two distinct messages which commonly contain a transmitter's encoding rule  $e'_T$ ,  $s_1$  and  $s_2$  contained in  $m_1$  and  $m_2$  are two source states, respectively. Assume that  $s_0 = s_1 \cap s_2$ ,  $\dim s_0 = k$ , then  $3 \leq k \leq r_2 + k_2 - 1$  and

(1) The number of  $e_R$  contained in  $m_1 \cap m_2$  is  $q^{k-3}N(1, 2)$ ;

(2) For any  $e_R \subset m_1 \cap m_2$ , the number of  $e_T$  contained in  $m_1 \cap m_2$  and containing  $e_R$  is  $q^{k-3}$ .

**Proof.** Since  $m_1 = s_1 + e'_T$ ,  $m_2 = s_2 + e'_T$  and  $m_1 \neq m_2$ , then  $s_1 \neq s_2$ . Because  $U \subset s_1, s_2$ , therefore,  $3 \leq k \leq r_2 + k_2 - 1$ .

(1) Suppose that  $s'_i$  is the complementary subspace of  $s_0$  in the  $s_i$ , then  $s_i = s_0 + s'_i$  ( $i = 1, 2$ ). From  $m_i = s_i + e'_T = s_0 + s'_i + e'_T$  and  $s_i = m_i \cap P_0$  ( $i = 1, 2$ ), we have  $s_0 = (m_1 \cap P_0) \cap (m_2 \cap P_0) = m_1 \cap m_2 \cap P_0 = s_1 \cap m_2 =$

$s_2 \cap m_1$  and  $m_1 \cap m_2 = (s_1 + e'_T) \cap m_2 = (s_0 + s'_1 + e'_T) \cap m_2 = ((s_0 + e'_T) + s'_1) \cap m_2$ . Because  $s_0 + e'_T \subset m_2$ ,  $m_1 \cap m_2 = (s_0 + e'_T) + (s'_1 \cap m_2)$ . While  $s'_1 \cap m_2 \subseteq s_1 \cap m_2 = s_0$ ,  $m_1 \cap m_2 = s_0 + e'_T$ . Therefore  $\dim(m_1 \cap m_2) = k+2$ . From  $e'_T \subset m_1 \cap m_2$ , we may take  $m_1$  as follows

$$m_1 = \begin{pmatrix} I^{(2)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & I^{(2)} & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & R_2 & 0 & R_4 & R_5 & 0 & 0 & R_8 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & R'_8 \end{pmatrix} \begin{matrix} 2 \\ 2 \\ 1 \\ r_2-2 \\ k_2-1 \end{matrix}$$

$$\begin{matrix} 2 & \nu-2 & 2 & \nu-2 & 1 & 1 & 1 & l-1 \end{matrix}$$

because the type of  $m_2$  is the same as  $m_1$ , therefore

$$m_1 \cap m_2 = \begin{pmatrix} I^{(2)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & I^{(2)} & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & P_2 & 0 & P_4 & P_5 & 0 & 0 & P_8 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & P'_8 \end{pmatrix} \begin{matrix} 2 \\ 2 \\ 1 \\ r_2-2 \\ k_2-1 \end{matrix}$$

$$\begin{matrix} 2 & \nu-2 & 2 & \nu-2 & 1 & 1 & 1 & l-1 \end{matrix}$$

and

$$\dim \begin{pmatrix} 0 & P_2 & 0 & P_4 & P_5 & 0 & 0 & P_8 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & P'_8 \end{pmatrix} = k-3$$

if for any  $e_R \subset m_1 \cap m_2$ , then

$$e_R = \begin{pmatrix} I^{(2)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & h_2 & h_3 & h_4 & h_5 & 0 & 0 & h_8 \end{pmatrix} \begin{matrix} 2 \\ 1 \\ 1 \end{matrix}$$

$$\begin{matrix} 2 & \nu-2 & 2 & \nu-2 & 1 & 1 & 1 & l-1 \end{matrix}$$

where the number of  $h_3$  is  $N(1, 2)$  and every row of  $(0 \ h_2 \ 0 \ h_4 \ h_5 \ 0 \ 0 \ h_8)$  is the linear combination of the base of  $\begin{pmatrix} 0 & P_2 & 0 & P_4 & P_5 & 0 & 0 & P_8 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & P'_8 \end{pmatrix}$ . So it is easy to know that the number of  $e_R$  contained in  $m_1 \cap m_2$  is  $q^{k-3}N(1, 2)$ .

(2) Assume that  $m_1 \cap m_2$  has the form of (1), then for any  $e_R \subset m_1 \cap m_2$ , we can assume that

$$e_R = \begin{pmatrix} I^{(2)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & h_2 & h_3 & h_4 & h_5 & h_6 & 0 & h_8 \end{pmatrix} \begin{matrix} 2 \\ 1 \\ 1 \end{matrix}$$

$$\begin{matrix} 2 & \nu-2 & 2 & \nu-2 & 1 & 1 & 1 & l-1 \end{matrix}$$

If  $e_T \subset m_1 \cap m_2$  and  $e_R \subset e_T$ , then  $e_T$  has the form as follows

$$e_T = \begin{pmatrix} I^{(2)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & \\ 0 & h_2 & h_3 & h_4 & h_5 & 0 & 0 & h_8 & \\ 0 & h'_2 & h'_3 & h'_4 & h'_5 & h'_6 & 0 & h'_8 & \\ 2 & \nu-2 & 2 & \nu-2 & 1 & 1 & 1 & l-1 & \end{pmatrix} \begin{matrix} 2 \\ 1 \\ 1 \\ 1 \\ 2 \\ 2 \\ 1 \\ 1 \\ 1 \end{matrix}$$

where  $\begin{pmatrix} h_3 \\ h'_3 \end{pmatrix}$  is nonsingular and every row of  $(0 \ h'_2 \ h'_3 \ h'_4 \ h'_5 \ 0 \ 0 \ h'_8)$  is the linear combination of the base of  $\begin{pmatrix} 0 & P_2 & 0 & P_4 & P_5 & 0 & 0 & P_8 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & P'_8 \end{pmatrix}$  then the number of  $e_T$  contained in  $m_1 \cap m_2$  and containing  $e_R$  is  $q^{k-3}$ .

**Theorem 2.1** The parameters of constructed authentication codes with arbitration are

$$|S| = \frac{q^{(\tau_2-2)(2(\tau_2-\tau_1)+(k_1-k_2))} N(k_2-1, l-1) N(k_1-k_2, l-k_2)}{N(k_1-1, l-1)};$$

$$|E_T| = q^{2(2\nu-4+l)}; \quad |E_R| = q^{2(\nu-2)+l}(q+1); \quad M = |S||E_T|/a.$$

**Theorem 2.2** In the  $A^2$ -codes, if the transmitter's encoding rules and the receiver's decoding rules are chosen according to a uniform probability distribution, the largest probabilities of success for different types of deceptions:

$$P_I = \frac{1}{q^{2\nu-\tau_2-k_2+l-1}}; \quad P_S = \frac{1}{q}; \quad P_T = \frac{1}{q+1};$$

$$P_{R_0} = \frac{1}{q^{2\nu+l-\tau_2-k_2-1}}; \quad P_{R_1} = \frac{1}{q}$$

**Proof.** (1) The number of the transmitter's encoding rules contained in a message is  $b$ , then the probability of opponent's successful impersonation attack is

$$P_I = \max_{m \in M} \left\{ \frac{|\{e_R \in E_R | e_R \subset m\}|}{|E_R|} \right\}$$

$$= \frac{b}{|E_R|} = \frac{1}{q^{2\nu-\tau_2-k_2+l-1}}.$$

(2) Suppose that opponent get  $m_1$  which is from the transmitter and send  $m_2$  instead of  $m_1$ , when  $s_1$  contained in  $m_1$  is different from  $s_2$  contained in  $m_2$ , the opponent's substitution attack can success. Because  $e_R \subset$



$e_T \subset m_1$ , thus the opponent select  $e'_T \subset m_1$ , satisfying  $m_2 = s_2 + e'_T$  and  $\dim(s_1 \cap s_2) = k$ , then the probability of opponent's substitution attack is

$$P_S = \max_{m \in M} \left\{ \frac{\max_{m' \neq m \in M} |\{e_R \in E_R | e_R \subset m \text{ and } e_R \subset m'\}|}{|\{e_R \in E_R | e_R \subset m\}|} \right\}$$

where  $k = r_2 + k_2 - 1$ ,  $P_s = \frac{1}{q}$  is the largest.

(3) Let  $e_T$  be a transmitter's encoding rules,  $s$  be a source state and  $m_1$  be a message corresponding to the source state  $s$  encoded by  $e_T$ . Then the number of the receiver's decoding rules contained in  $m_1$  is  $c$ . Assume that  $m_2$  is a distinct message corresponding to  $s$ , but  $m_2$  cannot be encoded by  $e_T$ . Then  $m_1 \cap m_2$  contains 1 receiver's decoding rules which is incidence with  $e_T$  at most. Therefore the probability of transmitter's successful impersonation attack is

$$P_T = \max_{e_T \in E_T} \left\{ \frac{\max_{m \in M, e_T \not\subset m} |\{e_R \in E_R | e_R \subset m \cap e_T\}|}{|\{e_R \in E_R | e_R \subset e_T\}|} \right\}$$

$$= 1/(q+1)$$

(4) Let  $e_R$  be a receiver's decoding rule, we have known that the number of transmitter's encoding rules containing  $e_R$  is  $q^{2\nu-4+l}$  and a message has  $q^{r_2+k_2-3}$  transmitter's encoding rules containing  $e_R$ . Hence the probability of receiver's successful impersonation attack is

$$P_{R_0} = \max_{e_R \in E_R} \left\{ \frac{\max_{m \in M} |\{e_T \in E_T | e_T \subset m \text{ and } e_R \subset e_T\}|}{|\{e_T \in E_T | e_R \subset e_T\}|} \right\}$$

$$= 1/q^{(2\nu+l-r_2-k_2-1)}$$

(5) Assume that the receiver declares to receive a message  $m_2$  instead of  $m_1$ , when  $s_1$  contained in  $m_1$  is different from  $s_2$  contained in  $m_2$ , the receiver's substitution attack can be successful. Since  $e_R \subset e_T \subset m_1$ , the receiver is superior to select  $e'_T$ , satisfying  $e_R \subset e'_T \subset m_1$ , thus  $m_2 = s_2 + e'_T$  and  $\dim(s_1 \cap s_2) = k$  as large as possible. Therefore, the probability of receiver's successful substitution attack is

$$P_{R_1} = \max_{e_R \in E_R, m \in M} \left\{ \frac{\max_{m' \in M} |\{e_T \in E_T | e_T \subset m, m' \text{ and } e_R \subset e_T\}|}{|\{e_T \in E_T | e_R \subset e_T \subset m\}|} \right\}$$

$$= q^{(k-3)}/q^{(r_2+k_2-3)}$$

where  $k = r_2 + k_2 - 1$ ,  $P_{R_1} = \frac{1}{q}$  is the largest.

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