

ON BINARY MATROIDS NOT ISOMORPHIC TO THEIR BASE MATROIDS

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Abstract. *Let $M = (E, \mathcal{F})$ be a matroid on a set E , B one of its bases and M_B the base matroid associated to B . In this paper we determine a characterization of simple binary matroids M which are not isomorphic to M_B , for every base B of M . We also extend to matroids some graph notions.*

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1 Introduction

Let $M = (E, \mathcal{I})$ be a matroid on a set E , having \mathcal{I} as its family of independent sets. Given a set $S \subseteq E$ let us denote by $r(S)$ the rank of S , i.e. the cardinality of the largest independent set contained in S ; moreover the closure of S , denoted by $cl(S)$, is the set obtained by adding to S all elements $e \in E$ such that

$$r(S \cup e) = r(S).$$

A set $\theta \subseteq E$ is closed if $\theta = cl(\theta)$, i.e.

$$r(\theta \cup e) = r(\theta) + 1$$

for all $e \in E \setminus \theta$. In the following we denote by Ξ the set of all closed sets of M .

Recall also that

$$\mathcal{I} = \{S \subseteq E : |S \cap \theta| \leq r(\theta), \forall \theta \in \Xi\}.$$

In [?] the notion of a set saturated with respect to a base has been introduced.

Definition 1 *A set $\theta \subseteq E$ is called saturated with respect to a base B of M , or B -saturated for short, if*

$$|\theta \cap B| = r(\theta).$$

We simply denote θ as saturated when it is clear from the context which base is involved.

If θ belongs to Ξ , we have a saturated closed set. The set of all the saturated closed sets of M , with respect to a base B , is denoted by Ξ_B . Note that a B -saturated closed set θ satisfies

$$cl(\theta \cap B) = \theta;$$

in other words θ coincides with the closure of its intersection with B . A circuit of M is a minimal dependent set, i.e. a set $S \notin \mathcal{I}$ such that for each $i \in S$, $S \setminus i \in \mathcal{I}$. Given a base B and an element $i \in E \setminus B$, the *fundamental circuit* of i , denoted $F(i)$, is the minimal subset of $B \cup \{i\}$ which is not in \mathcal{I} . A circuit is *fundamental with respect to B* (or simply *fundamental*) when it is the fundamental circuit of an element $i \in E \setminus B$. We use the notation

$$\mathcal{I}_B = \{S \subseteq E : |S \cap \theta| \leq r(\theta), \forall \theta \in \Xi_B\}$$

and

$$M_B = (E, \mathcal{I}_B).$$

In [?] it is proved that $M_B = (E, \mathcal{I}_B)$ is a matroid, in particular a transversal matroid.

An application of these matroids, named base matroids, is in the field of inverse combinatorial optimization problems; indeed many different inverse problems have been addressed in the recent literature [?], [?].

One such problem is the inverse matroid problem: given a matroid $M = (E, \mathcal{I})$, a non-negative weighting function c on E and a *target base* B of M , find *perturbation parameters* δ_e to be added to the weight c_e of each element of E such that B becomes a base of maximum total weight with respect to $c'_e = c_e + \delta_e$, and $\sum_{e \in S} |\delta_e|$ is minimum. In [3] it is shown how to exploit the Linear Programming (LP) formulation of the classical *matroid optimization problem*

$$\max \left\{ \sum_{e \in S} c_e : S \in \mathcal{I} \right\}$$

and LP duality in order to convert the inverse matroid problem defined above into a matroid optimization problem on a suitable base-matroid.

Recall that a matroid M is simple when it does not contain loops or non-trivial parallel classes. Simple binary matroids M are characterized by the condition that the symmetric difference of any two different circuits is a union of disjoint circuits. Graphic and cographic matroids are examples of binary matroids. For other definitions and properties of matroids readers are referred to [?].

It is easy to see that in relation to a base B of M , $M \simeq M_B$ if and only if every circuit of M is also a circuit of M_B .

In [?] we proved that a matroid is isomorphic to M_B for every basis B if and only if M is either uniform or is the direct sum of uniform matroids. In this paper we characterize simple binary matroids which are not isomorphic to M_B for every base B . To do this we extend some graph theory notions to matroids. In particular we introduce the concepts of p -intersecting circuits and crossing chords. The main result of this paper is given in the following theorem:

Theorem 3 A simple binary matroid M is not isomorphic to M_B for any base B if and only if M contains a pair of p -intersecting circuits or a covered circuit.

2 B - independent circuits

First recall the following definitions [?].

Definition 2 A circuit C of M is said independent with respect to B or B -independent if

$$|cl(C) \cap B| < |C| - 1;$$

C is dependent with respect to B or B -dependent if it is not independent with respect to B , that is

$$|cl(C) \cap B| = |C| - 1.$$

It follows that in this case $cl(C)$ is saturated with respect to B .

Notice that if a circuit C of M is B - dependent, then it is dependent also in M_B ; in particular it is a circuit of M_B .

On the contrary, if C is B - independent, then C is independent in M_B and consequently C is not a circuit of M_B and M is not isomorphic to M_B .

Definition 3 ([?])- Let M be an arbitrary matroid. A circuit C of M has a chord e if there are two circuits C_1 and C_2 such that $C_1 \cap C_2 = \{e\}$ and $C = C_1 \Delta C_2$. In this case we say that e splits the circuit C into the circuits C_1 and C_2 .

In other words an element $e \in E \setminus C$ is a chord of the circuit C if $C \cup \{e\}$ can be decomposed into two distinct circuits C_1 and C_2 , whose intersection coincides with e . Notice that in this case

$$|C| = |C_1| + |C_2| - 2.$$

If e belongs to B , it is called a B -chord. The circuit C is also denoted as the sum of C_1 and C_2 , in analogy with the similar notion for graphs [?].

Lemma 1 *Let B be a base of M , C a B -dependent circuit and e an edge of $cl(C)$ which does not belong to B . Then the fundamental circuit of e with respect to B is contained in $cl(C)$.*

Proof. As C is B -dependent, it follows that $|cl(C) \cap B| = m - 1$, where $m = |C|$. Then $(cl(C) \cap B) \cup e$ is a dependent set of M . This implies the result. \square

Lemma 2 *Let B be a base of a matroid M and C a B -independent circuit, sum of two circuits C_1 and C_2 . Then at least one of the circuits C_1, C_2 is B -independent.*

Proof. Let e be a chord of C and C_1, C_2 the circuits such that $C_1 \cap C_2 = \{e\}$ and $C_1 \Delta C_2 = C$.

Assume that C_1 and C_2 are B -dependent, so that $|cl(C_i) \cap B| = |C_i| - 1$, $i = 1, 2$.

Then

$$cl(C) \cap B \supseteq (cl(C_1) \cup cl(C_2)) \cap B = (cl(C_1) \cap B) \cup (cl(C_2) \cap B);$$

Thus

$$|cl(C) \cap B| \geq |cl(C_1) \cap B| + |cl(C_2) \cap B| - |(cl(C_1) \cap B) \cap (cl(C_2) \cap B)|. \quad (1)$$

If $e \in B$, then

$$|cl(C) \cap B| \geq |C_1| - 1 + |C_2| - 1 - 1 = |C| - 1.$$

Because $|cl(C) \cap B| < |C| - 1$, then we obtain an impossible relation.

If $e \notin B$, then we obtain that $(cl(C_1) \cap B) \cap (cl(C_2) \cap B) = \emptyset$ and then the inequality

$$|cl(C) \cap B| \geq |C_1| - 1 + |C_2| - 1 = |C|,$$

which is clearly impossible.

In any case we obtain a contradiction to the assumption; then at least one of the circuits C_1 and C_2 is B -independent. \square

As a consequence we have the following corollary.

Corollary 1 *Let B be a base of a matroid M and let M have a B -independent circuit, with a chord. Then M contains at least a B -independent circuit without chords.*

Proof. Let e be a chord of a B - independent circuit C and C_1, C_2 the circuits in which $C \cup \{e\}$ is splitted. By Lemma 2 at least one, say C_1 , is B - independent. Clearly the number of chords of C_1 is less than the number of C . By iterating, if necessary, the procedure we obtain the result. \square

Definition 4 A circuit C of M is said to be covered if every element e of C belongs to a circuit $C(e)$, such that $cl(C) \cap C(e) = \{e\}$.

Lemma 3 Let C be a covered circuit of M , such that C has one chord. Then M contains a covered circuit H such that H and every circuit $H(f)$, where $f \in H$ and $H \cap H(f) = \{f\}$, are without chords.

Proof. Let f be a chord of the circuit C , and C_1 and C_2 the circuits in which $C \cup f$ is splitted. Because a chord of C_1 or C_2 is also a chord of C , then the number of chords of every circuit C_i , for $i = 1, 2$, is less than the same number of C . Moreover every circuit C_i is covered. Indeed the elements of C_i , but $\{f\}$, are elements of C . But f , as element of C_1 , belongs to C_2 and coincides with $cl(C_1) \cap cl(C_2)$. Thus we replace C by one of these circuits, say C_1 . Iterating the procedure the result follows. \square

Theorem 1 Let M be a matroid containing a covered circuit. Then, for every base B of M , M contains a B -independent circuit and M is not isomorphic to M_B .

Proof. Let C be a covered circuit which we assume B - dependent. Moreover let h be an element of C which does not belong to B ; denote $C(h) = \{h\} \cup C'$ the circuit such that $cl(C) \cap C(h) = \{h\}$. By Lemma 3 we may assume that C and $C(h)$ are without chords. If C' contains another element which does not belong to B , then $C(h)$ is B - independent because

$$|cl(C(h)) \cap B| < |C(h)| - 1.$$

If $C' \subseteq B$, then there is another element of C , say j , which does not belong to B , because on the contrary $C \Delta C(h)$ turns out to be a circuit contained in B . If also the elements of $C(j) \setminus \{j\}$ belong to B , we may continue until we find an element q of C which does not belong to B and such that $C(q)$ contains an element q' , distinct from q , which does not belong to B . Because $C(q)$ is without chords, it implies

$$|cl(C(q)) \cap B| < |C(q)| - 1.$$

In other words $C(q)$ is independent with respect to B .

\square

3 P-intersecting circuits

In this section we study particular conditions on the circuits of a matroid M in order that, for every base B of M , M is not isomorphic to M_B . Recall that a *chordal path* of a cycle in a graph G is a path that is edge-disjoint from the cycle and that joins two non-neighbor vertices of the cycle. Moreover two cycles C and H of G are *intersecting in a path* when they have in common a path which connects two not consecutive vertices; this path turns out to be a chordal path of $C \Delta H$. Now we want to extend the notion of cycles intersecting in a path to matroids by introducing p -intersecting circuits.

Definition 5 Two circuits C and H of M are said p -intersecting when:

1. $cl(C) \cap cl(H)$ is an independent set of cardinality greater than 1;
2. $C \Delta H$ is a circuit.

A motivation for introducing this definition is given by the following result.

Theorem 2 If a matroid M contains two p -intersecting circuits, then, for every base B of M , it contains a B -independent circuit.

Proof. Let C and H be two p -intersecting circuits of M and B a base of M . If at least one of them is B -independent, the result follows.

Thus assume that both are B -dependent, i.e. $|cl(C) \cap B| = |C| - 1$ and $|cl(H) \cap B| = |H| - 1$.

Denote $cl(C) \cap cl(H) = P$, where $|P| = t > 1$ and P is independent.

1. First assume that $P \subseteq B$. This condition implies that B cannot contain all the elements of $C \setminus P$ and all the elements of $H \setminus P$. In other words there is at least one element, say e , of $C \setminus P$ and at least one element, say f , of $H \setminus P$ which do not belong to B . Then the circuit $D = C \Delta H$ contains at least two elements which do not belong to B .

If D does not contain B -chords, then the following inequality holds

$$|cl(D) \cap B| = |D \cap B| < |D| - 1$$

and D is B -independent.

Assume that D contains a B -chord.

Recall that if X and Y are flats of M , then

$$r(X) + r(Y) \geq r(X \cup Y) + r(X \cap Y) = r(cl(X \cup Y)) + r(X \cap Y). \quad (2)$$

Let $X = cl(C)$ and $Y = cl(H)$. Then $r(X) = |C| - 1$, $r(Y) = |H| - 1$, $r(X \cap Y) = t$ and by the assumption that $P \subseteq B$:

$$r(cl(X \cup Y)) \geq |cl(X \cup Y) \cap B| \geq |X \cap B| + |Y \cap B| - |X \cap Y| + 1. \quad (3)$$

Because $r(X \cup Y) = r(cl(X \cup Y))$, from (1) and (2) we obtain the impossible relation

$$|C| - 1 + |H| - 1 \geq |C| - 1 + |H| - 1 - t + t + 1.$$

- Now suppose that at least one element of P , say g , does not belong to B . Let $B_C = B \cap cl(C)$ and $B_H = B \cap cl(H)$. If g is added to B_C , we obtain a dependent set; then the fundamental circuit $F(g) \subseteq cl(C)$. In a similar way if we add g to B_H , we obtain a dependent set and the fundamental circuit $F(g) \subseteq cl(H)$. This implies the impossible condition that $cl(C)$ and $cl(H)$ have in common a dependent set.

□

Lemma 4 *Let C and H be circuits of a binary matroid M . If $C \Delta H$ is a set of disjoint circuits and D is one of them, then $C \Delta D$ and $H \Delta D$ contain only one circuit.*

Proof. The circuit D can be represented as $C' \cup H'$, where $C' \subset C$ and $H' \subset H$ and $C' \cap H' = \emptyset$. Thus $C \Delta D = (C \setminus C') \cup H'$ is the union of disjoint circuits because the matroid is binary; in particular it is a single circuit since otherwise the possible circuits would have been contained into $C \Delta H$ and not disjoint from D . □

4 Characterization

In this section we determine a characterization of the binary matroids M not isomorphic to M_B for every base B in terms of the previous notions of p -intersecting circuits and covered circuits.

Theorem 3 *A simple binary matroid M is not isomorphic to M_B for any base B if and only if M contains a pair of p -intersecting circuits or a covered circuit.*

Proof.

By Theorems 1 and 2 we have only to prove the necessary condition. Thus assume that M is not isomorphic to M_B , where B is a base of M . It follows that M contains a circuit C which is B -independent, that is it satisfies the inequality

$$|cl(C) \cap B| < |C| - 1.$$

By Corollary 1 we may assume that C has no chords; this implies

$$|cl(C) \cap B| = |C \cap B|.$$

Then there are at least two elements of C , say e and f , which do not belong to B . Let H be the B -fundamental circuit of one of them, say f . Thus $H = \{f\} \cup H'$, where $H' \subseteq B$. We have to distinguish the following two cases.

1. $H' \cap C = \emptyset$. Then either H is a covered cycle or there exists an element g of H' which does not belong to a circuit D , distinct from H and not contained in $cl(H)$. In this case we replace f by g in B ; then we obtain a new base B' with respect to which H remains fundamental, while the number of elements of C which do not belong to the base B' is less than the same number with respect to B . If C remains B' -independent we may consider the same procedure in relation to another element which does not belong to B' ; otherwise if C is B' -dependent by the assumption there is another independent circuit Q and we may repeat the procedure in relation to Q . In this way we arrive to obtain a base with respect to which there are not B -independent circuits, a contradiction.
2. $H' \cap C \neq \emptyset$. Let D be one of the circuits in which $H \Delta C$ is decomposed. Thus $D = C' \cup H^*$ where $C' \subseteq C$, $|C'| > 1$, $H^* \subseteq H'$ and $C' \cap H^* = \emptyset$. Notice that $|H^*| > 1$ because C does not contain B -chords. If $cl(D) \cap cl(C)$ is dependent, then there exists an element $c \in cl(C) \setminus C$, which turns out to be a chord. By the assumption this condition is impossible. Moreover by Lemma 4 $C \Delta D$ contains only one circuit. So C and D are p -intersecting.

□

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