

# The smallest realization of a given vector

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## Abstract

For a vector  $R = (r_1, r_2, \dots, r_m)$  of non-negative integers, a mixed hypergraph  $\mathcal{H}$  is a realization of  $R$  if its chromatic spectrum is  $R$ . In this paper, we determine the minimum number of vertices of realizations of a special kind of vectors  $R_2$ . As a result, we partially solve an open problem proposed by Král in 2004.

*Key words:* mixed hypergraph; strict coloring; feasible set; chromatic spectrum; realization

## 1 Introduction

A *mixed hypergraph* is a triple  $\mathcal{H} = (X, \mathcal{C}, \mathcal{D})$  where  $X$  is a finite set and  $\mathcal{C}$  and  $\mathcal{D}$  are families of subsets of  $X$  called the *C-edges* and *D-edges*, respectively. If  $X' \subset X$ ,  $\mathcal{C}' = \{C \in \mathcal{C} \mid C \subseteq X'\}$  and  $\mathcal{D}' = \{D \in \mathcal{D} \mid D \subseteq X'\}$ , then the hypergraph  $\mathcal{H}' = (X', \mathcal{C}', \mathcal{D}')$  is called the *induced sub-hypergraph* of  $\mathcal{H}$  on  $X'$ , denoted by  $\mathcal{H}[X']$ .

A coloring of the vertices of  $\mathcal{H}$  is *proper* if there are two vertices with a *Common* color in each *C-edge* and there are two vertices with *Distinct* colors in each *D-edge*. A proper coloring using exactly  $k$ -colors is called a *strict k-coloring* and a mixed hypergraph is *k-colorable* if it has a strict  $k$ -coloring. A coloring may also be viewed as a *partition (feasible partition)* of the vertex set, where the *color classes* (partition classes) are the sets of vertices assigned to the same color. An edge is said to be *monochromatic* (resp. *polychromatic*) if all of its vertices have the same color (resp. different colors). The maximum (resp. minimum) number of colors which can be

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used in a strict coloring of  $\mathcal{H}$  is called the *upper chromatic number* (resp. *lower chromatic number*) and denoted by  $\chi(\mathcal{H})$  (resp.  $\chi(\mathcal{H})$ ). The study of the colorings of mixed hypergraphs has made a lot of progress since its inception [6]. For more information, we refer readers to [3, 5, 7, 8].

The *feasible set*  $\mathcal{F}(\mathcal{H})$  of a mixed hypergraph  $\mathcal{H}$  is the set of all the values  $k$  such that  $\mathcal{H}$  has a strict  $k$ -coloring. For each  $k$ , let  $r_k$  denote the number of feasible partitions of the vertex set into  $k$  nonempty subsets. The vector  $R(\mathcal{H}) = (r_1, r_2, \dots, r_{\bar{\chi}})$  is called the *chromatic spectrum* of  $\mathcal{H}$ , where  $\bar{\chi}$  is the upper chromatic number of  $\mathcal{H}$ . If  $S$  is a set of positive integers, we say that a mixed hypergraph  $\mathcal{H}$  is a *realization* of  $S$  if  $\mathcal{F}(\mathcal{H}) = S$ ; and a mixed hypergraph  $\mathcal{H}$  is a *one-realization* of  $S$  if it is a realization of  $S$  and all the entries of the chromatic spectrum of  $\mathcal{H}$  are either 0 or 1. The concept of one-realization was introduced by Jiang et al. in [2] with an extra condition as proper realizations and further studied by Král in [4]. Moreover, for a vector  $R$  of non-negative integers, a mixed hypergraph  $\mathcal{H}$  is called a *realization* of  $R$  if  $R(\mathcal{H}) = R$ .

Bujtás and Tuza [1] gave a necessary and sufficient condition for  $S$  to be the feasible set of an  $r$ -uniform mixed hypergraph. Jiang et al. [2] proved that the minimum number of vertices of realizations of  $S$  is  $2 \max(S) - \min(S)$  if  $|S| = 2$  and  $\max(S) - 1 \notin S$ . Moreover, they also mentioned that the question of finding the minimum number of vertices in a mixed hypergraph with feasible set  $S$  of size at least 3 remains open. Král [4] proved that there exists a one-realization of  $S$  with at most  $|S| + 2 \max(S) - \min(S)$  vertices and proposed the following problem: what is the number of vertices of the smallest mixed hypergraph whose spectrum is equal to a given spectrum  $(r_1, r_2, \dots, r_m)$ ? In [9], P. Zhao et al. obtained an upper bound on the minimum number of vertices of 3-uniform bi-hypergraphs with a given feasible set. Moreover, P. Zhao et al. [10] proved that the minimum number of vertices of one-realizations of a given set is  $2 \max(S) - \min(S)$  if  $\max(S) - 1 \notin S$  or  $2 \max(S) - \min(S) - 1$  otherwise. In this paper, we generalize this result to the minimum number of vertices of realizations of a special kind of vector.

In the rest of this paper, we always assume that  $n_i$  and  $l_i$  are two sets of integers with  $2 \leq n_s < \dots < n_1$ ,  $l_1 = 0$  and  $0 \leq l_i < n_{i-1} - n_i$  for all  $i \in \{2, \dots, s\}$ ; moreover,  $R_2 = (r_1, r_2, \dots, r_{n_1})$  is the vector with  $r_1 = 0$  and  $r_{n_i} = 2^{l_i}$ ,  $i \in \{1, 2, \dots, s\}$ . For any positive integer  $n$ , let  $[n]$  denote the set  $\{1, 2, \dots, n\}$ . The main result of this paper is as follows:

**Theorem 1.1** *If  $\delta(R_2)$  is the minimum number of vertices of realizations of  $R_2$ , then*

$$\delta(R_2) = \begin{cases} 2n_1 - n_s, & \text{if } n_1 > n_2 + 1, \\ 2n_1 - n_s - 1, & \text{if } n_1 = n_2 + 1, \end{cases}$$

As a result, we partially solve the open problem proposed by Král.

## 2 The proof of Theorem 1.1

In this section, we first show that the number  $\delta(R_2)$  given in Theorem 1.1 is a lower bound on the number of vertices of the smallest realization of  $R_2$ , then construct two families of mixed hypergraphs which meet the bound in each case. For a mixed hypergraph  $\mathcal{H} = (X, \mathcal{C}, \mathcal{D})$  and a strict coloring  $c$  of  $\mathcal{H}$ , let  $c(v)$  denote the color of  $v \in X$  under  $c$ .

### Lemma 2.1

$$\delta(R_2) \geq \begin{cases} 2n_1 - n_s, & \text{if } n_1 > n_2 + 1, \\ 2n_1 - n_s - 1, & \text{if } n_1 = n_2 + 1. \end{cases}$$

*Proof.* Since the first part was given by Theorem 3 in [2] and Lemma 2.2 in [10] respectively, it suffices to prove the second part. Let  $n_1 = n_2 + 1$  and  $\mathcal{H} = (X, \mathcal{C}, \mathcal{D})$  be a realization of  $R_2$ . Then  $l_2 = 0$ , i.e.,  $r_{n_2} = 1$ . Suppose  $|X| \leq 2n_1 - (n_s + 2)$ . Then for any strict  $n_1$ -coloring  $c_1 = \{C_1, C_2, \dots, C_{n_1}\}$  of  $\mathcal{H}$ , there exist at least  $n_s + 2$  color classes of size one. Assume that  $C_1 = \{\alpha_1\}, C_2 = \{\alpha_2\}, \dots, C_{n_s+2} = \{\alpha_{n_s+2}\}$ . For any strict  $n_s$ -coloring  $c_s$  of  $\mathcal{H}$ , there are the following two possible cases.

**Case 1.** There exist three vertices in  $\{\alpha_1, \alpha_2, \dots, \alpha_{n_s+2}\}$  with the same color under  $c_s$ . Suppose  $c_s(\alpha_1) = c_s(\alpha_2) = c_s(\alpha_3)$ . Then  $\{\alpha_1, \alpha_2\}, \{\alpha_1, \alpha_3\}, \{\alpha_2, \alpha_3\} \notin \mathcal{D}$ , which follows that  $\{C_1 \cup C_2, C_3, \dots, C_{n_1}\}, \{C_1, C_2 \cup C_3, C_4, \dots, C_{n_1}\}$  are strict  $n_2$ -colorings of  $\mathcal{H}$ , a contradiction to that  $r_{n_2} = 1$ .

**Case 2.** There exist two pairs of vertices in  $\{\alpha_1, \alpha_2, \dots, \alpha_{n_s+2}\}$  such that each pair have the same color under  $c_s$ . Suppose  $c_s(\alpha_1) = c_s(\alpha_2)$  and  $c_s(\alpha_3) = c_s(\alpha_4)$ . Then  $\{\alpha_1, \alpha_2\}, \{\alpha_3, \alpha_4\} \notin \mathcal{D}$ , which implies that  $\{C_1 \cup C_2, C_3, \dots, C_{n_1}\}$  and  $\{C_1, C_2, C_3 \cup C_4, C_5, \dots, C_{n_1}\}$  are strict  $n_2$ -colorings of  $\mathcal{H}$ , also a contradiction to that  $r_{n_2} = 1$ .  $\square$

In the rest of this section, we shall construct the desired mixed hypergraphs. Our construction here is based on the Construction I in [10]. In order to get the desired  $r_{n_k}$  strict  $n_k$ -colorings, we define the vertices of  $\gamma_{pk}^1$  and  $\gamma_{pk}^2$  in the construction.

**Construction I.** For  $i \in [n_s], p \in [s] \setminus \{1\}, j \in \{0, l_p + 1, l_p + 2, \dots, n_{p-1} - n_p - 1\}$  and  $k \in [l_p]$ , write

$$\theta_i = (\underbrace{i, i, \dots, i}_{\sum_{i=1}^s 2^i}), \beta_1 = (n_1, \underbrace{n_2, \dots, n_2}_{2^2}, \dots, \underbrace{n_s, \dots, n_s}_{2^s})$$

$$\alpha_{pj} = (\underbrace{n_p + j, \dots, n_p + j}_{\sum_{i=1}^{p-1} 2^i}, \underbrace{1, \dots, 1}_{\sum_{i=p}^s 2^i}).$$

$$\beta_{pj} = (\underbrace{n_p + j, \dots, n_p + j}_{\sum_{i=1}^{p-1} 2^i}, \underbrace{n_p, \dots, n_p}_{2^{l_p}}, \underbrace{n_s, \dots, n_s}_{2^{l_s}}),$$

$$\gamma_{pk}^1 = (\underbrace{n_p + k, \dots, n_p + k}_{\sum_{i=1}^{p-1} 2^i}, \underbrace{n_p, \dots, n_p}_{2^{k-1}}, \underbrace{1, \dots, 1}_{2^{k-1}}, \dots, \underbrace{n_p, \dots, n_p}_{2^{k-1}}, \underbrace{1, \dots, 1}_{2^{k-1}}, \underbrace{1, \dots, 1}_{\sum_{i=p+1}^s 2^i}),$$

$$\gamma_{pk}^2 = (\underbrace{n_p + k, \dots, n_p + k}_{\sum_{i=1}^{p-1} 2^i}, \underbrace{1, \dots, 1}_{2^{k-1}}, \underbrace{n_p, \dots, n_p}_{2^{k-1}}, \dots, \underbrace{1, \dots, 1}_{2^{k-1}}, \underbrace{n_p, \dots, n_p}_{2^{k-1}}, \underbrace{n_{p+1}, \dots, n_{p+1}, \dots, n_s, \dots, n_s}_{\sum_{i=p+1}^s 2^i}),$$

$$X = \bigcup_{i=1}^{n_s} \bigcup_{p=2}^s \bigcup_{j=0, l_p+1}^{n_{p-1}-n_p-1} \bigcup_{k=1}^{l_p} \{\theta_i, \alpha_{pj}, \beta_{pj}, \gamma_{pk}^1, \gamma_{pk}^2, \beta_1\} \text{ and}$$

$$C = \{ \{\alpha_1, \alpha_2, \alpha_3\} \mid \alpha_l \in X, l \in [3], | \{\alpha_{1(j)}, \alpha_{2(j)}, \alpha_{3(j)} \} | = 2, j \in [\sum_{i=1}^s 2^{l_i}] \},$$

$$D = \{ \{\alpha_1, \alpha_2\} \mid \alpha_l \in X, l \in [2], \alpha_{1(j)} \neq \alpha_{2(j)}, j \in [\sum_{i=1}^s 2^{l_i}] \} \cup$$

$$\left( \bigcup_{p=2}^s \bigcup_{k=1}^{l_p} \{ \{\beta_1, \gamma_{pk}^1, \gamma_{pk}^2\} \} \right) \cup \left( \bigcup_{p_1=2}^s \bigcup_{p_2=2}^s \bigcup_{k=1}^{l_{p_2}} \bigcup_{j=0, l_{p_1}+1}^{n_{p_1-1}-n_{p_1}-1} \{ \{\alpha_{p_1 j}, \beta_{p_1 j}, \gamma_{p_2 k}^1\}, \{\alpha_{p_1 j}, \beta_{p_1 j}, \gamma_{p_2 k}^2\}, \{\beta_{p_1 j}, \gamma_{p_2 k}^1, \gamma_{p_2 k}^2\}, \{\gamma_{p_1 j}^1, \gamma_{p_2 k}^1, \gamma_{p_2 k}^2\} \} \right),$$

where  $\alpha_{l(j)}$  is the  $j^{\text{th}}$  entry of the vertex  $\alpha_l$ . Then  $\mathcal{H} = (X, C, D)$  is a mixed hypergraph with  $2n_1 - n_s$  vertices.

For example, when  $S = \{2, 4\}$  and  $l_2 = 1$ , then

$X = \{\theta_1, \alpha_{20}, \beta_{20}, \gamma_{21}^1, \gamma_{21}^2, \beta_1\}$ , where  $\theta_1 = (1, 1, 1)$ ,  $\theta_2 = (2, 2, 2)$ ,  $\alpha_{20} = (2, 1, 1)$ ,  $\beta_{20} = (2, 2, 2)$ ,  $\gamma_{21}^1 = (3, 2, 1)$ ,  $\gamma_{21}^2 = (3, 1, 2)$ ,  $\beta_1 = (4, 2, 2)$  and

$$C = \{ \{\theta_1, \alpha_{20}, \beta_{20}\}, \{\theta_1, \gamma_{21}^1, \gamma_{21}^2\}, \{\alpha_{20}, \gamma_{21}^1, \gamma_{21}^2\}, \{\beta_{20}, \gamma_{21}^1, \gamma_{21}^2\}, \{\gamma_{21}^1, \alpha_{20}, \beta_{20}\}, \{\gamma_{21}^2, \alpha_{20}, \beta_{20}\}, \{\beta_1, \alpha_{20}, \beta_{20}\}, \{\beta_1, \gamma_{21}^1, \gamma_{21}^2\} \},$$

$$D = \{ \{\theta_1, \beta_{20}\}, \{\theta_1, \beta_1\}, \{\alpha_{20}, \beta_1\}, \{\beta_1, \gamma_{21}^1, \gamma_{21}^2\} \},$$

$$\{\alpha_{20}, \beta_{20}, \gamma_{21}^1\}, \{\alpha_{20}, \beta_{20}, \gamma_{21}^2\}, \{\alpha_{20}, \gamma_{21}^1, \gamma_{21}^2\}, \{\beta_{20}, \gamma_{21}^1, \gamma_{21}^2\}.$$

Moreover, when  $S = \{2, t\}$  and  $l_2 = 0$ , our construction is similar to the first construction in [2], but our edge-set gives a one-realizer whereas the construction in [2] does not. The later construction in [2] of their proper realizers uses many more than the minimum number of vertices.

Note the inspiration for our construction is so that for each  $i \in [s]$  and  $h \in [2^i]$ ,  $c_{ih} = \{X_{ih1}, X_{ih2}, \dots, X_{ihn_i}\}$  is a strict  $n_i$ -coloring of  $\mathcal{H}$ , where  $X_{iht}$  consists of vertices

$$(x_1^1, x_2^1, \dots, x_2^{2^{l_2}}, \dots, x_i^1, \dots, x_i^{h-1}, t, x_i^{h+1}, \dots, x_i^{2^i}, \dots, x_s^1, \dots, x_s^{2^{l_s}}) \in X.$$

**Lemma 2.2** *Let  $c = \{C_1, C_2, \dots, C_m\}$  be a strict coloring of  $\mathcal{H}$ . Then we may reorder the color classes such that the following conditions hold:*

- (i)  $\theta_i \in C_i$ ,  $i \in [n_s]$ ;
- (ii)  $\alpha_{pj} \notin C_i$ ,  $i \in [n_s - 1] \setminus \{1\}$ ;
- (iii)  $\beta_1, \beta_{pj} \notin C_i$ ,  $i \in [n_s - 1]$ ;
- (iv)  $\gamma_{pk}^1, \gamma_{pk}^2 \notin C_i$ ,  $i \in [n_s - 1] \setminus \{1\}$ ;
- (v)  $\alpha_{s0} \in C_1 \cup C_{n_s}$ .

*Proof.* The  $\mathcal{D}$ -edge  $\{\theta_i, \theta_j\}$  implies that  $c(\theta_i) \neq c(\theta_j)$  if  $i \neq j$ . Hence, we may reorder the color classes such that  $\theta_i \in C_i$ ,  $i \in [n_s]$ . It follows that (i) holds.

For  $i \in [n_s - 1] \setminus \{1\}$ , from the  $\mathcal{D}$ -edges  $\{\alpha_{pj}, \theta_i\}$ ,  $\{\gamma_{pk}^1, \theta_i\}$ ,  $\{\gamma_{pk}^2, \theta_i\}$ , one gets  $\alpha_{pj}, \gamma_{pk}^1, \gamma_{pk}^2 \notin C_i$ . Hence, (ii) and (iv) hold.

Since  $\{\beta_1, \theta_i\}$ ,  $\{\beta_{pj}, \theta_i\}$  are  $\mathcal{D}$ -edges, we have  $\beta_1, \beta_{pj} \notin C_i$  for  $i \in [n_s - 1]$ , which implies that (iii) holds. The  $\mathcal{C}$ -edge  $\{\alpha_{s0}, \theta_{n_s}, \theta_1\}$  implies that  $\alpha_{s0} \in C_1 \cup C_{n_s}$ , as desired.  $\square$

**Lemma 2.3** *Let  $c = \{C_1, C_2, \dots, C_m\}$  be a strict coloring of  $\mathcal{H}$  satisfying the conditions (i)-(v) in Lemma 2.2.*

- (i) *Suppose  $c(\alpha_{tj_t}) \neq c(\beta_{tj_t})$  for some  $t \in [s] \setminus \{1\}$  and  $j_t \in \{0, l_t + 1, \dots, n_{t-1} - n_t - 1\}$ . Then there exists an  $a \in [m] \setminus [n_s - 1]$  such that  $\alpha_{pj} \in C_1$  and  $\beta_1, \beta_{pj} \in C_a$  for all  $p \in [t] \setminus \{1\}$ ;*
- (ii) *Suppose  $c(\alpha_{qj_q}) = c(\beta_{qj_q})$  for some  $q \in [s] \setminus \{1\}$  and  $j_q \in \{0, l_q + 1, \dots, n_{q-1} - n_q - 1\}$ . Then  $c(\alpha_{pj}) = c(\beta_{pj})$  and  $c(\gamma_{pk}^1) = c(\gamma_{pk}^2)$  for all  $p \in \{q, \dots, s\}$ .*

*Proof.* (i) From the  $C$ -edge  $\{\alpha_{tj_t}, \beta_{tj_t}, \theta_1\}$ , we have  $\alpha_{tj_t} \in C_1$ . From Lemma 2.2, there is an  $a \in [m] \setminus [n_s - 1]$  with  $\beta_{tj_t} \in C_a$ . For  $p \in [t] \setminus \{1\}$ , if  $n_p + j \neq n_t + j_t$ , then the  $C$ -edges  $\{\beta_1, \alpha_{tj_t}, \beta_{tj_t}\}$  and  $\{\beta_{pj}, \alpha_{tj_t}, \beta_{tj_t}\}$  imply that  $\beta_1, \beta_{pj} \in C_a$ ; moreover, from the  $C$ -edge  $\{\alpha_{pj}, \beta_{pj}, \theta_1\}$  and the  $D$ -edge  $\{\alpha_{pj}, \beta_{tj_t}\}$ , we have  $\alpha_{pj} \in C_1$ , as desired.

(ii) If  $c(\alpha_{tj_t}) \neq c(\beta_{tj_t})$  holds for some  $t \in \{q, \dots, s\}$  and  $j_t \in \{0, l_t + 1, \dots, n_{t-1} - n_t - 1\}$ , then by (i) we have  $c(\alpha_{pj}) \neq c(\beta_{pj})$  for all  $p \in [t] \setminus \{1\}$ . It follows that  $c(\alpha_{qj_q}) \neq c(\beta_{qj_q})$ , a contradiction. Hence,  $c(\alpha_{pj}) = c(\beta_{pj})$  for  $p \in \{q, \dots, s\}$ . Moreover, for  $p \in \{q, \dots, s\}$ , from the  $D$ -edges  $\{\gamma_{pk}^1, \alpha_{p0}, \beta_{p0}\}$  and  $\{\gamma_{pk}^2, \alpha_{p0}, \beta_{p0}\}$ , we have  $c(\gamma_{pk}^1), c(\gamma_{pk}^2) \neq c(\alpha_{p0})$ ; and the  $C$ -edge  $\{\gamma_{pk}^1, \gamma_{pk}^2, \alpha_{p0}\}$  implies that  $c(\gamma_{pk}^1) = c(\gamma_{pk}^2)$ .  $\square$

**Lemma 2.4** *Let  $c = \{C_1, C_2, \dots, C_m\}$  be a strict coloring of  $\mathcal{H}$  satisfying that  $c(\alpha_{t0}) \neq c(\beta_{t0})$  for some  $t \in [s] \setminus \{1\}$ . Then there exists an  $a \in [m] \setminus [n_s - 1]$  such that*

- (i)  $\gamma_{ik}^1, \gamma_{ik}^2 \in C_1 \cup C_a$  and  $c(\gamma_{ik}^1) \neq c(\gamma_{ik}^2)$ ;
- (ii)  $\gamma_{pk}^1 \in C_1$  and  $\gamma_{pk}^2 \in C_a$  for each  $p \in [t - 1] \setminus \{1\}$ .

*Proof.* For  $p \in [t - 1] \setminus \{1\}$ , from Lemma 2.3, there is an  $a \in [m] \setminus [n_s - 1]$  with  $\alpha_{p0}, \alpha_{t0} \in C_1$  and  $\beta_{p0}, \beta_{t0} \in C_a$ . For  $p \in [t] \setminus \{1\}$ , since  $\{\gamma_{pk}^1, \alpha_{p0}, \beta_{p0}\}$  and  $\{\gamma_{pk}^2, \alpha_{p0}, \beta_{p0}\}$  are  $C$ -edges,  $\gamma_{pk}^1, \gamma_{pk}^2 \in C_1 \cup C_a$ ; and the  $D$ -edges  $\{\gamma_{pk}^1, \gamma_{pk}^2, \beta_{p0}\}$  and  $\{\gamma_{pk}^1, \gamma_{pk}^2, \alpha_{p0}\}$  imply that  $c(\gamma_{pk}^1) \neq c(\gamma_{pk}^2)$ . Specially,  $\gamma_{ik}^1, \gamma_{ik}^2 \in C_1 \cup C_a$  and  $c(\gamma_{ik}^1) \neq c(\gamma_{ik}^2)$ . Hence, (i) holds.

For  $p \in [t - 1] \setminus \{1\}$ , from the  $C$ -edge  $\{\gamma_{pk}^1, \alpha_{t0}, \beta_{t0}\}$  and the  $D$ -edge  $\{\gamma_{pk}^1, \beta_{t0}\}$ , one gets  $\gamma_{pk}^1 \in C_1$ . Then by (i) we have  $\gamma_{pk}^2 \in C_a$ , which implies that (ii) holds.  $\square$

**Theorem 2.5**  $\mathcal{H}$  is a realization of  $R_2$ .

*Proof.* It suffices to prove that  $c_{11}, c_{21}, \dots, c_{22^2}, \dots, c_{s1}, \dots, c_{s2^s}$  are all of the strict colorings of  $\mathcal{H}$ . Suppose  $c = \{C_1, C_2, \dots, C_m\}$  is a strict coloring of  $\mathcal{H}$  satisfying the conditions (i)-(v) in Lemma 2.2. In particular,  $\alpha_{s0} \in C_1 \cup C_{n_s}$ .

**Case 1**  $\alpha_{s0} \in C_1$ .

Note that  $\beta_{s0} \in C_{n_s}$ . By Lemma 2.3 we have  $\alpha_{pj} \in C_1$  and  $\beta_1, \beta_{pj} \in C_{n_s}$  for all  $p \in [s] \setminus \{1\}$ . Then by Lemma 2.4 one gets that

- (i)  $\gamma_{sk}^1, \gamma_{sk}^2 \in C_1 \cup C_{n_s}$  and  $c(\gamma_{sk}^1) \neq c(\gamma_{sk}^2)$ ;
- (ii)  $\gamma_{pk}^1 \in C_1$  and  $\gamma_{pk}^2 \in C_{n_s}$  for  $p \in [s - 1] \setminus \{1\}$ .

It follows that  $c \in \{c_{sh} \mid h \in [2^{l_s}]\}$ .

**Case 2**  $\alpha_{s0} \in C_{n_s}$ .

In this case, we shall prove that  $c \in \{c_{ih} \mid i \in [s-1], h \in [2^{l_i}]\}$ . Let  $t \in [s] \setminus \{1\}$  be the minimum number such that  $c(\alpha_{t0}) = c(\beta_{t0})$ . By Lemma 2.3 we have  $c(\alpha_{pj}) = c(\beta_{pj})$  and  $c(\gamma_{pk}^1) = c(\gamma_{pk}^2)$  for each  $p \in \{t, \dots, s\}$ . For  $p_1, p_2 \in \{t, \dots, s\}$  and  $p_1 \neq p_2$ , from the  $\mathcal{D}$ -edges  $\{\alpha_{p_1 j_1}, \beta_{p_2 j_2}\}$ ,  $\{\alpha_{p_1 j_1}, \beta_{p_1 j_1}, \gamma_{p_2 k_2}^2\}$  and  $\{\alpha_{p_2 j_2}, \beta_{p_2 j_2}, \gamma_{p_1 k_1}^1\}$ ,  $\{\gamma_{p_1 k_1}^1, \gamma_{p_2 k_2}^1, \gamma_{p_2 k_2}^2\}$ , one gets  $c(\alpha_{p_1 j_1}) \neq c(\beta_{p_2 j_2})$ ,  $c(\alpha_{p_1 j_1}) \neq c(\gamma_{p_2 k_2}^2)$  and  $c(\gamma_{p_1 k_1}^1) \neq c(\beta_{p_2 j_2})$ ,  $c(\gamma_{p_1 k_1}^1) \neq c(\gamma_{p_2 k_2}^2)$  for  $j_i \in \{0, l_{p_i} + 1, \dots, n_{p_i-1} - n_{p_i} - 1\}$ ,  $k_i \in [l_{p_i}]$ ,  $i = 1, 2$ . Moreover, for  $p \in \{t, \dots, s\}$ , the  $\mathcal{D}$ -edge  $\{\beta_{p j_1}, \alpha_{p j_2}\}$  implies that  $c(\alpha_{p j_1}) \neq c(\alpha_{p j_2})$  if  $j_1 \neq j_2$ ; the  $\mathcal{D}$ -edge  $\{\alpha_{p j}, \beta_{p j}, \gamma_{p k}^1\}$  implies that  $c(\alpha_{p j}) \neq c(\gamma_{p k}^1)$ ; and the  $\mathcal{D}$ -edge  $\{\gamma_{p k_1}^1, \gamma_{p k_1}^1, \gamma_{p k_2}^2\}$  implies that  $c(\gamma_{p k_1}^1) \neq c(\gamma_{p k_2}^2)$  if  $k_1 \neq k_2$ . Hence, we may assume that  $\alpha_{p j}, \beta_{p j} \in C_{n_p+j}$  and  $\gamma_{p k}^1, \gamma_{p k}^2 \in C_{n_p+k}$  for  $p \in \{t, \dots, s\}$ ,  $j \in \{0, l_p + 1, \dots, n_{p-1} - n_p - 1\}$  and  $k \in [l_p]$ .

**Case 2.1**  $t > 2$ . That is to say,  $c(\alpha_{t-1,0}) \neq c(\beta_{t-1,0})$ . By Lemma 2.3 we have  $\alpha_{t-1,0} \in C_1$  and  $\beta_{t-1,0} \notin C_1$ . For  $p \in \{t, \dots, s\}$ , from the  $\mathcal{D}$ -edges  $\{\beta_{t-1,0}, \alpha_{p j}\}$  and  $\{\beta_{t-1,0}, \gamma_{p k}^1\}$ , we have  $\beta_{t-1,0} \notin C_{n_p+j} \cup C_{n_p+k}$ . Hence, we may assume that  $\beta_{t-1,0} \in C_{n_{t-1}}$ . By Lemma 2.3 we have  $\alpha_{p j} \in C_1$  and  $\beta_1, \beta_{p j} \in C_{n_{t-1}}$  for  $p \in [t-1] \setminus \{1\}$ . Moreover, by Lemma 2.4 we have

- (i)  $\gamma_{t-1,k}^1, \gamma_{t-1,k}^2 \in C_1 \cup C_{n_{t-1}}$  and  $c(\gamma_{t-1,k}^1) \neq c(\gamma_{t-1,k}^2)$ ;
- (ii)  $\gamma_{p k}^1 \in C_1$  and  $\gamma_{p k}^2 \in C_{n_{t-1}}$  for any  $p \in [t-2] \setminus \{1\}$ .

Therefore,  $c \in \{c_{t-1,h} \mid h \in [2^{l_{t-1}}]\}$ .

**Case 2.2**  $t = 2$ . From the  $\mathcal{D}$ -edges  $\{\beta_1, \alpha_{p j}\}$  and  $\{\beta_1, \gamma_{p k}^1, \gamma_{p k}^2\}$ , we have  $\beta_1 \notin C_{n_p+j} \cup C_{n_p+k}$  for all  $p \in [s] \setminus \{1\}$ . It follows that  $c = c_{11}$ .

The proof is completed.  $\square$

For the case of  $n_1 = n_2 + 1$ , we have the following construction.

**Construction II.** Let  $X' = X \setminus \{\alpha_{20}\}$  and  $\mathcal{H}' = \mathcal{H}[X']$ . Then for each  $i \in [s]$ ,  $h \in [2^{l_i}]$ ,  $c'_{ih} = \{X'_{ih1}, X'_{ih2}, \dots, X'_{ihn_i}\}$  is a strict  $n_i$ -coloring of  $\mathcal{H}'$ , where  $X'_{iht} = X_{iht} \cap X'$ .

**Theorem 2.6** *If  $n_1 = n_2 + 1$ , then  $\mathcal{H}'$  is a realization of*

$$R_2 = (0, r_2, \dots, r_{n_2-1}, 1, 1).$$

*Proof.* Let  $\mathcal{H}'' = (X'', C'', \mathcal{D}'')$  be the realization of  $R_2'' = (0, r_2, \dots, r_{n_2-1}, 1)$  with  $n_1'' = n_2$  given by Construction I. Let  $Y = \{\alpha \mid \alpha \in X, \alpha_{(1)} = \alpha_{(2)}\}$ , where  $\alpha_{(j)}$  is the  $j^{\text{th}}$  entry of the vertex  $\alpha$ . Then

$$\begin{aligned} \phi : \quad & Y \quad \rightarrow X'' \\ & (x_2^1, x_2^1, x_3^1, \dots, x_3^{2^3}, \dots, x_s^1, \dots, x_s^{2^s}) \quad \rightarrow (x_2^1, x_3^1, \dots, x_3^{2^3}, \dots, x_s^1, \dots, x_s^{2^s}) \end{aligned}$$

is an isomorphism from  $\mathcal{G} = \mathcal{H}[Y]$  to  $\mathcal{H}''$ . By Theorem 2.5, all of the strict colorings of  $\mathcal{G}$  are as follows:

$$e_{ih} = \{Y_{ih1}, Y_{ih2}, \dots, Y_{ihn_i}\}, i \in [s] \setminus \{1\}, h \in [2^{l_i}],$$

where  $Y_{ihl}$  consists of vertices

$$(x_2^1, x_2^1, x_3^1, \dots, x_3^{2^{l_3}}, \dots, x_i^1, \dots, x_i^{h-1}, t, x_i^{h+1}, \dots, x_i^{2^{l_i}}, \dots, x_s^1, \dots, x_s^{2^{l_s}}) \in X.$$

Note that the restriction on  $Y$  of any strict coloring of  $\mathcal{H}'$  corresponds to a strict coloring of  $\mathcal{G}$ . For any strict coloring  $c = \{C_1, C_2, \dots, C_m\}$  of  $\mathcal{H}'$ , there are the following two possible cases.

**Case 1**  $c|_Y = e_{21}$ .

By the proof of Theorem 2.5, we have  $\beta_1 \notin C_j$  for any  $j \in [n_2 - 1]$ . Then, it is immediate that  $c = c'_{21}$  if  $\beta_1 \in C_{n_2}$ , or  $c = c'_{11}$  if  $\beta_1 \notin C_{n_2}$ .

**Case 2**  $c|_Y = e_{ih}$  for some  $i \in [s] \setminus \{1, 2\}$  and  $h \in [2^{l_i}]$ .

Note that  $\beta_{i0} \in C_{n_i}$  and  $\alpha_{i0} \in C_1$ . From the  $\mathcal{C}$ -edge  $\{\alpha_{i0}, \beta_{i0}, \beta_1\}$  and the  $\mathcal{D}$ -edge  $\{\beta_1, \theta_1\}$ , we observe that  $\beta_1 \in C_{n_i}$ . Therefore,  $c = c'_{ih}$ .

Hence, the desired result follows.  $\square$

Combining Lemma 2.1, Theorem 2.5 and Theorem 2.6, the proof of Theorem 1.1 is completed.

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