

# Characterizing $K_{r+1} - C_k$ -graphic Sequences \*

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## Abstract

For given a graph  $H$ , a graphic sequence  $\pi = (d_1, d_2, \dots, d_n)$  is said to be potentially  $H$ -graphic if there exists a realization of  $\pi$  containing  $H$  as a subgraph. Let  $K_{r+1} - C_k$  be the graph obtained from  $K_{r+1}$  by removing the  $k$  edges of a  $k$ -cycle. In this paper, we first characterize potentially  $A_{r+1} - C_k$  ( $3 \leq k \leq r + 1$ )-graphic sequences which is analogous to Yin et.al characterization [19] using a system of inequalities. Then we obtain a sufficient and necessary condition for a graphic sequence  $\pi$  to have a realization containing  $K_{r+1} - C_k$  as an induced subgraph.

**Key words:** graph; degree sequence; potentially  $A_{r+1} - C_k$ -graphic sequences; potentially  $K_{r+1} - C_k$ -graphic sequences

**Mathematics Subject Classification(2000):** 05C07

## 1 Introduction

We consider finite simple graphs. Any undefined notation follows that of Bondy and Murty [1]. The set of all non-increasing nonnegative integer sequence  $\pi = (d_1, d_2, \dots, d_n)$  is denoted by  $NS_n$ . A sequence  $\pi \in NS_n$  is said to be graphic if it is the degree sequence of a simple graph  $G$  of order  $n$ ; such a graph  $G$  is called a realization of  $\pi$ . The set of all graphic sequences

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\*Research is Supported by NSF of China(11401290) .

in  $NS_n$  is denoted by  $GS_n$ . A graphic sequence  $\pi$  is potentially  $H$ -graphic if there is a realization of  $\pi$  containing  $H$  as a subgraph. Let  $C_k$  and  $P_k$  denote a cycle on  $k$  vertices and a path on  $k + 1$  vertices, respectively. Let  $G - H$  denote the graph obtained from  $G$  by removing the edges set  $E(H)$  where  $H$  is a subgraph of  $G$ . In the degree sequence,  $r^t$  means  $r$  repeats  $t$  times, that is, in the realization of the sequence there are  $t$  vertices of degree  $r$ .

In the research of degree sequences, an important question is to characterize the potentially  $G$ -graphic sequences without zero terms, where  $G$  is a simple graph. Erdős and Gallai[3] gave a characterization for  $\pi$  to be graphic. Rao [14] and Kézdy and Lehel [10] independently gave a characterization for a sequence  $\pi$  to be potentially  $K_{r+1}$ -graphic. Lai and Hu in [12] proposed the following question: Characterizing  $K_{r+1} - H$ -graphic sequences for the graph  $H \subseteq K_{r+1}$  and  $H \neq K_{r+1}$ . For  $K_{r+1} - H = C_k$ , Luo [13] characterized the potentially  $C_k$ -graphic sequences for each  $k = 3, 4, 5$ . Chen [2] characterized the potentially  $C_6$ -graphic sequences. If  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  has a realization  $G$  with the vertex set  $V(G) = \{v_1, v_2, \dots, v_n\}$  such that  $d_G(v_i) = d_i$  for  $1 \leq i \leq n$  and  $v_1 v_2 \dots v_r v_1$  is a cycle of length  $r$  in  $G$ , then  $\pi$  is said to be potentially  $C_r''$ -graphic. Recently, Yin[16] characterized the potentially  $C_r''$ -graphic sequences. Let  $r \geq 3$  and  $S(r)$  be the set of all circular arrangements of  $1, 2, \dots, r$ . Let  $\alpha = i_1 i_2 \dots i_r \in S(r)$  and  $\pi = (d_1, d_2, \dots, d_n)$  be a graphic sequence with  $n \geq r$ . If  $\pi$  has a realization  $G$  with vertex set  $V(G) = \{1, 2, \dots, n\}$  such that  $d_G(i) = d_i$  for  $1 \leq i \leq n$  and  $i_1 i_2 \dots i_r i_1$  is a cycle of length  $r$  in  $G$ , then  $\pi$  is said to be potentially  $C_r^\alpha$ -graphic. Yin and Wang[17] characterized the potentially  $C_r^\alpha$ -graphic sequences for each  $\alpha \in S(r)$ . An extremal problem on potentially  $C_k$ -graphic sequences was investigated by Lai [11].

For the case  $H = C_k (k \geq 3)$ , Yin et.al[18-19] characterized the potentially  $K_6 - C_3$  and  $K_{r+1} - C_3$ -graphic sequences. Hu and Lai[6-9] characterized the potentially  $K_5 - C_3$ ,  $K_5 - C_4$ ,  $K_6 - C_4$  and  $K_6 - C_6$ -graphic sequences. Xu and Lai[15] characterized the potentially  $K_6 - C_5$ -graphic sequences. In this paper, we first characterize potentially  $A_{r+1} - C_k (3 \leq k \leq r + 1)$ -graphic sequences which is analogous to Yin et.al characterization [19] using a system of inequalities. Then we obtain a sufficient and necessary condition for a graphic sequence  $\pi$  to have a realization containing  $K_{r+1} - C_k$  as an induced subgraph.

## 2 Preparations

The following definitions will be useful for us to prove our main theorems.

If  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  has a realization  $G$  with the vertex set  $V(G) = \{v_1, v_2, \dots, v_n\}$  such that  $d_G(v_i) = d_i$  for  $1 \leq i \leq n$  and  $G[\{v_1, v_2, \dots, v_{r+1}\}] = K_{r+1} - C_k$  ( $3 \leq k \leq r+1$ ) such that  $d_{K_{r+1}-C_k}(v_i) = r$  for  $1 \leq i \leq r+1-k$  and  $d_{K_{r+1}-C_k}(v_i) = r-2$  for  $r+2-k \leq i \leq r+1$ , then  $\pi$  is said to be potentially  $A_{r+1} - C_k$ -graphic. For given graphs  $H_1, H_2, \dots, H_k$ ,  $\pi$  is said to be potentially  $\{H_1, H_2, \dots, H_k\}$ -graphic if there is a realization of  $\pi$  containing one of  $H_1, H_2, \dots, H_k$  as a subgraph. Let  $H$  be a simple graph. We say that  $H$  satisfies the odd-cycle condition if between any two disjoint odd cycles there is an edge. We need the following results.

**Theorem 2.1** [5] If  $\pi = (d_1, d_2, \dots, d_n)$  is a graphic sequence with a realization  $G$  containing  $H$  as a subgraph, then there exists a realization  $G'$  of  $\pi$  containing  $H$  as a subgraph so that the vertices of  $H$  have the largest degrees of  $\pi$ .

**Theorem 2.2** [4] Assume that  $H = (V(H), E(H))$  satisfies the odd-cycle condition, where  $V(H) = \{v_1, v_2, \dots, v_n\}$ . There exists a subgraph  $G \subseteq H$  such that every vertex  $v_i$  has degree  $d_i$ , if and only if

$$(1) \sum_{i=1}^n d_i \text{ is even,}$$

(2) for every  $A, B \subseteq V(H)$  such that  $A \cap B = \emptyset$ , we have

$$\sum_{v_i \in A} d_i \leq |\{(v_i, v_j) : v_i v_j \in E(H), v_i \in A, v_j \in V(H) \setminus B\}| + \sum_{v_i \in B} d_i$$

**Theorem 2.3** [3] Let  $\pi = (d_1, d_2, \dots, d_n) \in NS_n$ , where  $\sum_{i=1}^n d_i$  is even.

Then  $\pi$  is graphic if and only if

$$\sum_{i=1}^t d_i \leq t(t-1) + \sum_{i=t+1}^n \min\{t, d_i\}$$

for each  $t, 1 \leq t \leq n$ .

**Lemma 2.4** [19] If  $\pi = (d_1, d_2, \dots, d_n)$  has a realization containing  $H$  as an induced subgraph so that the vertices of  $H$  have the largest degrees of  $\pi$ , then there exists a realization  $G$  of  $\pi$  with the vertex set  $V(G) = \{v_1, v_2, \dots, v_n\}$  such that  $d_G(v_i) = d_i$  for  $1 \leq i \leq n$ ,  $G[\{v_1, v_2, \dots, v_{|V(H)|}\}] = H$  and  $d_H(v_1) \geq d_H(v_2) \geq \dots \geq d_H(v_{|V(H)|})$ .

### 3 Main Theorems

**Theorem 3.1** Let  $n \geq r + 1$ ,  $3 \leq k \leq r + 1$ ,  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with  $d_{r+1-k} \geq r$  and  $d_{r+1} \geq r - 2$ . Then  $\pi$  is potentially  $A_{r+1} - C_k$ -graphic if and only if

$$\begin{aligned} \sum_{i=1}^p (d_i - r) + \sum_{i=r+2-k}^{r+1-k+q} (d_i - r + 2) + \sum_{i=r+2}^{s+r+1} d_i \leq & s(s-1) + 2(p+q)s \\ & + \sum_{i=p+1}^{r+1-k} \min\{s, d_i - r\} \\ & + \sum_{i=r+2-k+q}^{r+1} \min\{s, d_i - r + 2\} \\ & + \sum_{i=s+r+2}^n \min\{p+q+s, d_i\} \end{aligned}$$

for any  $p, q$  and  $s$ ,  $0 \leq p \leq r + 1 - k$ ,  $0 \leq q \leq k$  and  $0 \leq s \leq n - r - 1$ .

**Proof:** First we prove the necessity. Assume that  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  satisfies the conditions of Theorem 3.1, and,  $G$  is a realization of  $\pi$  with the vertex set  $V(G) = \{v_1, v_2, \dots, v_n\}$  such that  $d_G(v_i) = d_i$  for  $i = 1, 2, \dots, n$  and  $G[\{v_1, v_2, \dots, v_{r+1}\}] = K_{r+1} - C_k$  so that  $v_{r+2-k}v_{r+3-k}, v_{r+3-k}v_{r+4-k}, \dots, v_r v_{r+1}$  and  $v_{r+1}v_{r+2-k} \notin E(G)$ . For  $0 \leq p \leq r + 1 - k$ ,  $0 \leq q \leq k$  and  $0 \leq s \leq n - r - 1$ , denote  $P = \{v_i \mid 1 \leq i \leq p\}$ ,  $P' = \{v_i \mid p + 1 \leq i \leq r + 1 - k\}$ ,  $Q = \{v_i \mid r + 2 - k \leq i \leq r + 1 - k + q\}$ ,  $Q' = \{v_i \mid r + 2 - k + q \leq i \leq r + 1\}$ ,  $S = \{v_i \mid r + 2 \leq i \leq s + r + 1\}$ ,  $S' = \{v_i \mid s + r + 2 \leq i \leq n\}$ . The removal of the edges induced by  $\{v_1, v_2, \dots, v_{r+1}\}$  results in a graph  $G'$  in which all degrees in  $\{v_1, v_2, \dots, v_{r+1-k}\}$  are reduced by  $r$  and all degrees in  $\{v_{r+2-k}, \dots, v_{r+1}\}$  are reduced by  $r - 2$ . Hence,

$$m = \sum_{i=1}^p (d_i - r) + \sum_{i=r+2-k}^{r+1-k+q} (d_i - r + 2) + \sum_{i=r+2}^{s+r+1} d_i - (s(s-1) + 2(p+q)s)$$

is the minimum number of edges of  $G'$  with exactly one endvertex in  $P \cup Q \cup S$  and

$$M = \sum_{i=p+1}^{r+1-k} \min\{s, d_i - r\} + \sum_{i=r+2-k+q}^{r+1} \min\{s, d_i - r + 2\} + \sum_{i=s+r+2}^n \min\{p+q+s, d_i\}$$

is the maximum number of edges of  $G'$  with exactly one endvertex in  $P' \cup Q' \cup S'$ . Thus,  $m \leq M$  is true.

Now we prove the sufficiency. Let  $n \geq r+1$  and  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with  $d_{r+1-k} \geq r$ ,  $d_{r+1} \geq r-2$ . Let  $\pi' = (d'_1, d'_2, \dots, d'_{r+1-k}, d'_{r+2-k}, \dots, d'_{r+1}, d'_{r+2}, \dots, d'_n)$  where  $d'_i = d_i - r$  for  $1 \leq i \leq r+1-k$ ,  $d'_i = d_i - r + 2$  for  $r+2-k \leq i \leq r+1$  and  $d'_i = d_i$  for  $r+2 \leq i \leq n$ . Let  $H$  be the graph obtained from  $K_n$  with the vertex set  $V(K_n) = \{v_1, v_2, \dots, v_n\}$  by deleting all edges between  $v_i$  and  $v_j$  for any  $i, j \in \{1, \dots, r+1\}$ . It is easy to see that  $\pi$  is potentially  $A_{r+1} - C_k$ -graphic if and only if  $H$  has a subgraph  $G$  with the degree sequence  $\pi'$  such that every vertex  $v_i$  has degree  $d'_i$ . Notice that  $H$  satisfies the odd-cycle condition, we may use Theorem 2.2.

Let  $T_1 = \{v_1, v_2, \dots, v_{r+1-k}\}$ ,  $T_2 = \{v_{r+2-k}, \dots, v_{r+1}\}$  and  $A, B \subseteq V(H)$  such that  $A \cap B = \emptyset$ . Let  $A_1 = A \cap T_1$ ,  $A_2 = A \cap T_2$ ,  $A_3 = A \setminus \{T_1 \cup T_2\}$ ,  $B_1 = B \cap T_1$ ,  $B_2 = B \cap T_2$ ,  $B_3 = B \setminus \{T_1 \cup T_2\}$  and set  $p = |A_1|$ ,  $q = |A_2|$ ,  $s = |A_3|$ ,  $b_1 = |B_1|$ ,  $b_2 = |B_2|$ ,  $b_3 = |B_3|$ . For simplicity, we denote

$$\begin{aligned}
 K(p, q, s) &= \sum_{i=1}^p (d_i - r) + \sum_{i=r+2-k}^{r+1-k+q} (d_i - r + 2) + \sum_{i=r+2}^{s+r+1} d_i \\
 L(p, q, s) &= s(s-1) + 2(p+q)s + \sum_{i=p+1}^{r+1-k} \min\{s, d_i - r\} \\
 &\quad + \sum_{i=r+2-k+q}^{r+1} \min\{s, d_i - r + 2\} \\
 &\quad + \sum_{i=s+r+2}^n \min\{p+q+s, d_i\} \\
 K'(A, B) &= \sum_{v_i \in A} d'_i = \sum_{v_i \in A_1} (d_i - r) + \sum_{v_i \in A_2} (d_i - r + 2) + \sum_{v_i \in A_3} d_i \\
 L'(A, B) &= |\{(v_i, v_j) : v_i v_j \in E(H), v_i \in A, v_j \in V(H) \setminus B\}| + \sum_{v_i \in B} d'_i \\
 &= |\{(v_i, v_j) : v_i v_j \in E(H), v_i \in A, v_j \in V(H) \setminus B\}| \\
 &\quad + \sum_{v_i \in B_1} (d_i - r) + \sum_{v_i \in B_2} (d_i - r + 2) + \sum_{v_i \in B_3} d_i
 \end{aligned}$$

Clearly,  $K'(A, B) \leq K(p, q, s)$  and

$$|\{(v_i, v_j) : v_i v_j \in E(H), v_i \in A, v_j \in V(H) \setminus B\}|$$

$$\begin{aligned}
&= 2(p+q)s+s(s-1)+s(r+1-k-p-b_1)+s(k-q-b_2)+(p+q+s)(n-(r+1)-s-b_3) \\
&= 2(p+q)s+s(s-1)+\sum_{i=p+1}^{r+1-k-b_1} s+\sum_{i=r+2-k+q}^{r+1-b_2} s+\sum_{i=s+r+2}^{n-b_3} (p+q+s)
\end{aligned}$$

Thus,

$$\begin{aligned}
L'(A, B) &= 2(p+q)s+s(s-1)+\sum_{i=p+1}^{r+1-k-b_1} s+\sum_{i=r+2-k+q}^{r+1-b_2} s+\sum_{i=s+r+2}^{n-b_3} (p+q+s) \\
&\quad +\sum_{v_i \in B_1} (d_i-r)+\sum_{v_i \in B_2} (d_i-r+2)+\sum_{v_i \in B_3} d_i \\
&\geq 2(p+q)s+s(s-1)+\sum_{i=p+1}^{r+1-k-b_1} s+\sum_{i=r+2-k+q}^{r+1-b_2} s+\sum_{i=s+r+2}^{n-b_3} (p+q+s) \\
&\quad +\sum_{i=r+2-k-b_1}^{r+1-k} (d_i-r)+\sum_{i=r+2-b_2}^{r+1} (d_i-r+2)+\sum_{i=n+1-b_3}^n d_i \\
&\geq 2(p+q)s+s(q-1)+\sum_{i=p+1}^{r+1-k} \min\{s, d_i-r\}+\sum_{i=r+2-k+q}^{r+1} \min\{s, d_i-r+2\} \\
&\quad +\sum_{i=s+r+2}^n \min\{p+q+s, d_i\} \\
&= L(p, q, s)
\end{aligned}$$

Since  $K(p, q, s) \leq L(p, q, s)$ , we have  $K'(A, B) \leq L'(A, B)$ . By Theorem 2.2,  $H$  has a subgraph  $G$  with the degree sequence  $\pi'$  such that every vertex  $v_i$  has degree  $d'_i$ .

This completes the proof.

**Theorem 3.2** Let  $n \geq r+1$ ,  $3 \leq k \leq r+1$ ,  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with  $d_{r+1-k} \geq r$  and  $d_{r+1} \geq r-2$ . If  $\pi$  is not potentially  $\{K_{r+1}, K_{r+1} - H(H \subseteq C_k \text{ and } H \neq C_k)\}$ -graphic, then  $\pi$  is potentially  $K_{r+1} - C_k$ -graphic if and only if  $\pi$  is potentially  $A_{r+1} - C_k$ -graphic.

**Proof:** Clearly, we only need to show that if  $\pi$  is potentially  $K_{r+1} - C_k$ -graphic, then  $\pi$  is potentially  $A_{r+1} - C_k$ -graphic. This is the immediate consequence of Theorem 2.1 and Lemma 2.4.

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