

# One Construction of Multireceiver Authentication Codes from Pseudo-Symplectic Geometry over Finite Fields

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**Abstract:** Multireceiver authentication codes allow one sender to construct an authenticated message for a group of receivers such that each receiver can verify the authenticity of the received message. In this paper, we construct one multireceiver authentication codes from pseudo-symplectic geometry over finite fields. The parameters and the probabilities of deceptions of the two codes are also computed.

**Keywords:** Pseudo-symplectic geometry, Multireceiver authentication codes, Finite fields.

**2000 MR Subject Classification:** 15A03; 94A60; 94A62

## §1 Introduction

Multireceiver authentication codes (MRA-codes) were introduced by Desmedt, Frankel and Yung (DFY)<sup>[1]</sup> as an extension of Simmons' model of unconditionally secure authentication. In MRA-codes, a sender wants to authenticate a message for a group of receivers such that each receiver can verify authenticity of the received message. There are three phases in an MRA-codes:

1. *Key distribution.* The KDC (key distribution centre) privately transmits the key information to the sender and each receiver (the sender can also be the KDC).
2. *Broadcast.* For a source state, the sender generates the authenticated message using his/her key and broadcasts the authenticated message.
3. *Verification.* Each user can verify the authenticity of the broadcast message.

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Denote by  $X_1 \times \cdots \times X_n$  the direct product of sets  $X_1, \dots, X_n$ , and by  $p_i$  the projection mapping of  $X_1 \times \cdots \times X_n$  on  $X_i$ . That is,  $p_i : X_1 \times \cdots \times X_n \rightarrow X_i$  defined by  $p_i(x_1, x_2, \dots, x_n) = x_i$ . Let  $g_1 : X_1 \rightarrow Y_1$  and  $g_2 : X_2 \rightarrow Y_2$  be two mappings, we denote the direct product of  $g_1$  and  $g_2$  by  $g_1 \times g_2$ , where  $g_1 \times g_2 : X_1 \times X_2 \rightarrow Y_1 \times Y_2$  is defined by  $(g_1 \times g_2)(x_1, x_2) = (g_1(x_1), g_2(x_2))$ . The identity mapping on a set  $X$  is denoted by  $1_X$ .

Let  $C = (S, M, E, f)$  and  $C_i = (S, M_i, E_i, f_i)$  ( $i = 1, 2, \dots, n$ ) be authentication codes. We call  $(C; C_1, C_2, \dots, C_n)$  a multireceiver authentication code (MRA-code) if there exist two mappings  $\tau : E \rightarrow E_1 \times \cdots \times E_n$  and  $\pi : M \rightarrow M_1 \times \cdots \times M_n$  such that for any  $(s, e) \in S \times E$  and any  $1 \leq i \leq n$ , the following identity holds

$$p_i(\pi f(s, e)) = f_i((1_S \times p_i \tau)(s, e)).$$

Let  $\tau_i = p_i \tau$  and  $\pi_i = p_i \pi$ . For each  $(s, e) \in S \times E$ , then we have

$$\pi_i f(s, e) = f_i(1_S \times \tau_i)(s, e).$$

We adopt Kerckhoff's principle that everything in the system except the actual keys of the sender and receivers is public. This includes the probability distribution of the source states and the sender's keys.

*Attackers* could be *outsiders* who do not have access to any key information, or *insiders* who have some key information. We only need to consider the latter group as it is at least as powerful as the former. We consider the systems that protect against the coalition of groups of up to a maximum size of receivers, and we study impersonation and substitution attacks.

Assume there are  $n$  receivers  $R_1, \dots, R_n$ . Let  $L = \{i_1, \dots, i_l\} \subseteq \{1, \dots, n\}$ ,  $R_L = \{R_{i_1}, \dots, R_{i_l}\}$  and  $E_L = E_{R_{i_1}} \times \cdots \times E_{R_{i_l}}$ . We consider the attack from  $R_L$  on a receiver  $R_i$ , where  $i \notin L$ .

*Impersonation attack:*  $R_L$ , after receiving their secret keys, send a message  $m$  to  $R_i$ .  $R_L$  is successful if  $m$  is accepted by  $R_i$  as authentic. We denote by  $P_I[i, L]$  the success probability of  $R_L$  in performing an impersonation attack on  $R_i$ . This can be expressed as:

$$P_I[i, L] = \max_{e_L \in E_L} \max_{m \in M} P(m \text{ is accepted by } R_i | e_L) \text{ where } i \notin L.$$

*Substitution attack:*  $R_L$ , after observing a message  $m$  that is transmitted by the sender, replace  $m$  with another message  $m'$ .  $R_L$  is successful if  $m'$  is accepted by  $R_i$  as authentic. We denote by  $P_S[i, L]$  the success probability of  $R_L$  in performing a substitution attack on  $R_i$ . We have

$$P_S[i, L] = \max_{e_L \in E_L} \max_{m \in M} \max_{m' \neq m \in M} P(R_i \text{ accepts } m' | m, e_L) \text{ where } i \notin L.$$

## §1 Pseudo-Symplectic Geometry

Let  $F_q$  be the finite field with  $q$  elements, where  $q$  is a power of 2,  $n = 2\nu + \delta$  and  $\delta=1,2$ . Let

$$K = \begin{pmatrix} 0 & I^{(\nu)} \\ I^{(\nu)} & 0 \end{pmatrix}, \quad S_1 = \begin{pmatrix} K & \\ & 1 \end{pmatrix}, \quad S_2 = \begin{pmatrix} K & & \\ & 0 & 1 \\ & 1 & 1 \end{pmatrix}$$

and  $S_\delta$  is an  $(2\nu + \delta) \times (2\nu + \delta)$  non-alternate symmetric matrix.

The pseudo-symplectic group of degree  $(2\nu + \delta)$  over  $F_q$  is defined to be the set of matrices  $PS_{2\nu+\delta}(F_q) = \{T | TS_\delta {}^tT = S_\delta\}$  denoted by  $PS_{2\nu+\delta}(F_q)$ .

Let  $F_q^{(2\nu+\delta)}$  be the  $(2\nu + \delta)$ -dimensional row vector space over  $F_q$ .  $PS_{2\nu+\delta}(F_q)$  has an action on  $F_q^{(2\nu+\delta)}$  defined as follows:

$$F_q^{(2\nu+\delta)} \times PS_{2\nu+\delta}(F_q) \rightarrow F_q^{(2\nu+\delta)}$$

$$((x_1, x_2, \dots, x_{2\nu+\delta}), T) \rightarrow (x_1, x_2, \dots, x_{2\nu+\delta})T.$$

The vector space  $F_q^{(2\nu+\delta)}$  together with this group action is called the pseudo-symplectic space over the finite field  $F_q$  of characteristic 2.

Let  $P$  be an  $m$ -dimensional subspace of  $F_q^{(2\nu+\delta)}$ , then  $PS_\delta {}^tP$  is cogredient to one of the following three normal forms

$$M(m, 2s, s) = \begin{pmatrix} 0 & I^{(s)} & & \\ I^{(s)} & 0 & & \\ & & & 0^{(m-2s)} \end{pmatrix}$$

$$M(m, 2s + 1, s) = \begin{pmatrix} 0 & I^{(s)} & & & \\ I^{(s)} & 0 & & & \\ & & & 1 & \\ & & & & 0^{(m-2s-1)} \end{pmatrix}$$

$$M(m, 2s + 2, s) = \begin{pmatrix} 0 & I^{(s)} & & & & \\ I^{(s)} & 0 & & & & \\ & & & 0 & 1 & \\ & & & 1 & 1 & \\ & & & & & 0^{(m-2s-2)} \end{pmatrix}$$

for some  $s$  such that  $0 \leq s \leq [m/2]$ . We say that  $P$  is a subspace of type  $(m, 2s + \tau, s, \epsilon)$ , where  $\tau=0,1$  or  $2$  and  $\epsilon=0$  or  $1$ , if

- (i)  $PS_\delta {}^tP$  is cogredient to  $M(m, 2s + \tau, s)$ , and
- (ii)  $e_{2\nu+1} \notin P$  or  $e_{2\nu+1} \in P$  according to  $\epsilon = 0$  or  $\epsilon = 1$ , respectively.

Let  $P$  be an  $m$ -dimensional subspace of  $F_q^{(2\nu+\delta)}$ . Denote by  $P^\perp$  the set of vectors which is orthogonal to every vector of  $P$ , i.e.,

$$P^\perp = \{y \in F_q^{(2\nu+\delta)} | yS_\delta {}^t x = 0 \text{ for all } x \in P\}.$$

Obviously,  $P^\perp$  is a  $(2\nu + \delta - m)$ -dimensional subspace of  $F_q^{(2\nu+\delta)}$ .

More properties of pseudo-symplectic geometry over finite fields can be found in [2].

In [1], Desmedt, Frankel and Yung gave two constructions for MRA-codes based on polynomials and finite geometries, respectively. There are other constructions of multireceiver authentication codes be given in [3 – 7]. The construction of authentication codes is a combinational design in its nature. We know that the geometry of classical groups over finite fields, including symplectic geometry, pseudo-symplectic geometry, unitary geometry and orthogonal geometry, can provide a better combination of structure and is easy to count. In this paper, we construct one multireceiver authentication codes from pseudo-symplectic geometry over finite fields. The parameters and the probabilities of deceptions of the codes are also computed.

## §2 Construction

Let  $F_q$  be a finite field with  $q$  elements and  $e_i (1 \leq i \leq 2\nu + 2)$  be the row vector in  $F_q^{(2\nu+2)}$  whose  $i$ -th coordinate is 1 and all other coordinates are 0. Assume that  $2 < n < n' < r < \nu$ .  $U = \langle e_1, e_2, \dots, e_n \rangle$ , i.e.,  $U$  is an  $n$ -dimensional subspace of  $F_q^{(2\nu+2)}$  generated by  $e_1, e_2, \dots, e_n$ , then  $U^\perp = \langle e_1, \dots, e_\nu, e_{\nu+n+1}, \dots, e_{2\nu+2} \rangle$ . The set of source states  $S = \{s | s \text{ is a subspace of type } (2r-n+1, 2(r-n), r-n, 1) \text{ and } U \subset s \subset U^\perp\}$ ; the set of transmitter's encoding rules  $E_T = \{e_T | e_T \text{ is a subspace of type } (2n', 2n', n', 0) \text{ and } U \subset e_T\}$ ; the set of  $i$ th receiver's decoding rules  $E_{R_i} = \{e_{R_i} | e_{R_i} \text{ is a subspace of type } (n+1, 1, 0, 0) \text{ which is orthogonal to } \langle e_1, \dots, e_{i-1}, e_{i+1}, \dots, e_n \rangle\}$ ,  $1 \leq i \leq n$ ; the set of messages  $M = \{m | m \text{ is a subspace of type } (2(r+n'-n) + 1, 2(r+n'-n), (r+n'-n), 1) \text{ and } U \subset m\}$ .

1. *Key Distribution.* The KDC randomly chooses a subspace  $e_T \in E_T$ , then privately sends  $e_T$  to the sender  $T$ . Then KDC randomly chooses a subspace  $e_{R_i} \in E_{R_i}$  and  $e_{R_i} \subset e_T$ , then privately sends  $e_{R_i}$  to the  $i$ th receiver, where  $1 \leq i \leq n$ .

2. *Broadcast.* For a source state  $s \in S$ , the sender calculates  $m = s + e_T$  and broadcast  $m$ .

3. *Verification.* Since the receiver  $R_i$  holds the decoding rule  $e_{R_i}$ ,  $R_i$  accepts  $m$  as authentic if  $e_{R_i} \subset m$ .  $R_i$  can get  $s$  from  $s = m \cap U^\perp$ .

**Lemma 1** *The above construction of multireceiver authentication codes is reasonable, that is*

(1)  $s + e_T = m \in M$ , for all  $s \in S$  and  $e_T \in E_T$ ;

(2) for any  $m \in M$ ,  $s = m \cap U^\perp$  is the uniquely source state contained in  $m$  and there is  $e_T \in E_T$ , such that  $m = s + e_T$ .

**Proof:** (1) For any  $s \in S$ ,  $e_T \in E_T$ , because  $s$  is a subspace of type  $(2r - n + 1, 2(r - n), r - n, 1)$  and  $U \subset s \subset U^\perp$ , we can assume that

$$S = \begin{pmatrix} U \\ Q \\ e_{2\nu+1} \end{pmatrix}_n^{2(r-n)} \quad , \quad \begin{pmatrix} U \\ Q \\ e_{2\nu+1} \end{pmatrix}' S_2 \begin{pmatrix} U \\ Q \\ e_{2\nu+1} \end{pmatrix} = \begin{pmatrix} 0^{(n)} & 0 & 0 & 0 \\ 0 & 0 & I^{(r-n)} & 0 \\ 0 & I^{(r-n)} & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

$$e_T = \begin{pmatrix} U \\ V \end{pmatrix}_n^{2n'-n} \quad , \quad \begin{pmatrix} U \\ V \end{pmatrix}' S_2 \begin{pmatrix} U \\ V \end{pmatrix} = \begin{pmatrix} 0 & I^{(n')} \\ I^{(n')} & 0 \end{pmatrix}$$
, obviously, for any

$$\nu \in V \text{ and } \nu \neq 0, \nu \notin s, \text{ therefore, } m = s + e_T = \begin{pmatrix} U \\ V \\ Q \\ e_{2\nu+1} \end{pmatrix}, \text{ and}$$

$$\begin{pmatrix} U \\ V \\ Q \\ e_{2\nu+1} \end{pmatrix}' S_2 \begin{pmatrix} U \\ V \\ Q \\ e_{2\nu+1} \end{pmatrix} = \begin{pmatrix} 0 & I^{(n')} & 0 & 0 & 0 \\ I^{(n')} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & I^{(r-n)} & 0 \\ 0 & 0 & I^{(r-n)} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

From above,  $m$  is a subspace of type  $(2(r - n + n') + 1, 2(r - n + n'), (r - n + n'), 1)$  and  $U \subset m$ , i.e.,  $m \in M$ .

(2) For any  $m \in M$ ,  $m$  is a subspace of type  $(2(r + n' - n) + 1, 2(r + n' - n), (r + n' - n), 1)$  and  $U \subset m$ , so there is a subspace  $V \subset m$  and satisfies

$$\begin{pmatrix} U \\ V \end{pmatrix}' S_2 \begin{pmatrix} U \\ V \end{pmatrix} = \begin{pmatrix} 0 & I^{(n')} \\ I^{(n')} & 0 \end{pmatrix}$$
. Then we can assume that  $m = s + e_T =$

$$\begin{pmatrix} U \\ V \\ Q \\ e_{2\nu+1} \end{pmatrix} \text{ and satisfying}$$

$$\begin{pmatrix} U \\ V \\ Q \\ e_{2\nu+1} \end{pmatrix}' S_2 \begin{pmatrix} U \\ V \\ Q \\ e_{2\nu+1} \end{pmatrix} = \begin{pmatrix} 0 & I^{(n')} & 0 & 0 & 0 \\ I^{(n')} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & I^{(r-n)} & 0 \\ 0 & 0 & I^{(r-n)} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}, \quad S = \begin{pmatrix} U \\ Q \\ e_{2\nu+1} \end{pmatrix}$$

then  $s$  is a subspace of type  $(2r - n + 1, 2(r - n), r - n, 1)$  and  $U \subset s \subset U^\perp$ , i.e.,  $s \in S$  is a source state. For any  $\nu \in V$  and  $\nu \neq 0$ ,  $\nu \notin s$  is obvious, i.e.,  $V \cap U^\perp = \{0\}$ .

Therefore,  $m \cap U^\perp = \begin{pmatrix} U \\ Q \\ e_{2\nu+1} \end{pmatrix} = s$ . Let  $e_T = \begin{pmatrix} U \\ V \end{pmatrix}$ , then  $e_T$  is a transmitter's

encoding rule and satisfies  $m = s + e_T$ .

If  $s'$  is another source state contained in  $m$ , then  $U \subset s' \subset U^\perp$ . Therefore,  $s' \subset m \cap U^\perp = s$ , while  $\dim s' = \dim s$ , so  $s' = s$ , i.e.,  $s$  is the uniquely source state contained in  $m$ .

From Lemma 1, we know that such construction of multireceiver authentication codes is reasonable and there are  $n$  receivers in this system. Next we compute the parameters of this codes.

**Lemma 2** *The parameters of this construction are  $|S| = N(2r - n, 2(r - n), r - n, 0; 2v + 2)$ ;  $|E_T| = q^{n'(v-n'+1)}$ ;  $|E_{R_i}| = q^{v-n+1}$ .*

**Proof:** Since  $U \subset s \subset U^\perp$ ,  $s$  has the form as follows:

$$s = \begin{pmatrix} I^{(n)} & 0 & 0 & 0 & 0 & 0 \\ 0 & B_2 & 0 & B_4 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix},$$

where  $B_2, B_4$  are subspaces of type  $(2r - n, 2(r - n), r - n, 1)$  in the pseudo-symplectic space  $F_q^{(2v+2)}$ . So  $|S| = N(2r - n, 2(r - n), r - n, 0; 2v + 2)$ .

Since  $e_T$  is a subspace of type  $(2n', 2n', n', 0)$ ,  $e_T$  has the form as follows:

$$e_T = \begin{pmatrix} I^{(n')} & 0 & 0 & 0 & 0 & 0 \\ 0 & R_2 & I^{(n')} & R_4 & R_5 & R_6 \\ n' & v-n' & n' & v-n' & 1 & 1 \end{pmatrix}.$$

For  $e_T$  is a subspace of type  $(2n', 2n', n', 0)$ , so  $R_4 = 0$  and  $R_6 = 0$ , where  $R_2, R_5$  are arbitrary. Therefore  $|E_T| = q^{n'(v-n'+1)}$ .

For any  $e_{R_i} \in E_{R_i}$ ,  $e_{R_i}$  is a subspace of type  $(n + 1, 1, 0, 0)$  which is orthogonal to  $\langle e_1, \dots, e_{i-1}, e_{i+1}, \dots, e_n \rangle$ ,  $1 \leq i \leq n$ . So we can assume that

$$e_{R_i} = \begin{pmatrix} I^{(l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & I^{(n-l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & R'_3 & 0 & 0 & 1 & 0 & R'_8 & R'_9 & R'_{10} \\ l & n-l & v-n & l & i-l-1 & 1 & n-i & v-n & 1 & 1 \end{pmatrix}.$$

Since  $e_{R_i}$  is a subspace of type  $(n + 1, 1, 0, 0)$ , so  $R'_8 = 0, R'_{10} = 0$  and  $R'_3, R'_9$  are arbitrary. Therefore,  $|E_{R_i}| = q^{v-n+1}$ .

**Lemma 3** (1) *The number of  $e_T$  contained in  $m$  is  $q^{n'(r-n+1)}$ ;*

(2) *The number of the messages is  $|M| = q^{n'(v+n-r-n')}N(2(r - n), 2(r - n), r - n, 0; 2v + 2)$ .*

**Proof:** (1) Let  $m$  be a message, since the transitivity properties of the same

type subspace under the pseudo-symplectic groups, we may take  $m$  as follows:

$$m = \begin{pmatrix} I^{(n')} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & I^{(r-n)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & I^{(n')} & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & I^{(r-n)} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix},$$

$n' \quad r-n \quad v+n-r-n' \quad n' \quad r-n \quad v+n-r-n' \quad 1 \quad 1$

if  $e_T \subset m$ , then we can assume that

$$e_T = \begin{pmatrix} I^{(n')} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & R_2 & 0 & I^{(n')} & 0 & 0 & R_7 & 0 & 0 \end{pmatrix},$$

$n' \quad r-n \quad v+n-r-n' \quad n' \quad r-n \quad v+n-r-n' \quad 1 \quad 1$

where  $R_2, R_7$  are arbitrary, therefore the number of  $e_T$  contained in  $m$  is  $q^{n'(r-n+1)}$ ;

(2) We know that a message contains only one source state and the number of the transmitter's encoding rules contained in a message is  $q^{n'(r-n+1)}$ . Therefore we have  $|M| = |S||E_T|/q^{n'(r-n+1)} = q^{n'(v+n-r-n')}N(2(r-n), 2(r-n), r-n, 0; 2v+2)$

Assume there are  $n$  receivers  $R_1, \dots, R_n$ . Let  $L = \{i_1, \dots, i_l\} \subseteq \{1, \dots, n\}$ ,  $R_L = \{R_{i_1}, \dots, R_{i_l}\}$  and  $E_L = E_{R_{i_1}} \times \dots \times E_{R_{i_l}}$ . We consider the *impersonation attack* and *substitution attack* from  $R_L$  on a receiver  $R_i$ , where  $i \notin L$ .

Without loss of generality, we can assume that  $R_L = \{R_1, \dots, R_l\}$ ,  $E_L = E_{R_1} \times \dots \times E_{R_l}$ , where  $1 \leq l \leq n-1$ . First, we will proof the following results:

**Lemma 4** For any  $e_L = (e_{R_1}, \dots, e_{R_l}) \in E_L$ , the number of  $e_T$  containing  $e_L$  is  $q^{(n'-l)(v-n'+1)}$ .

**Proof:** For any  $e_L = (e_{R_1}, \dots, e_{R_l}) \in E_L$ , we can assume that

$$e_L = \begin{pmatrix} I^{(l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & I^{(n-l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & R_3 & R_4 & I^{(l)} & 0 & 0 & 0 & R_9 & 0 \end{pmatrix},$$

$l \quad n-l \quad n'-n \quad v-n' \quad l \quad n-l \quad n'-n \quad v-n' \quad 1 \quad 1$

therefore,  $e_T$  containing  $e_L$  has the form as follows:

$$e_T = \begin{pmatrix} I^{(l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & I^{(n-l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & I^{(n'-n)} & 0 & 0 & 0 & 0 & 0 & R_9 & 0 \\ 0 & 0 & R_3 & R_4 & I^{(l)} & 0 & 0 & 0 & R_9 & 0 \\ 0 & 0 & 0 & R'_4 & 0 & I^{(n-l)} & 0 & 0 & R'_9 & 0 \\ 0 & 0 & 0 & R''_4 & 0 & 0 & I^{(n'-n)} & 0 & R''_9 & 0 \end{pmatrix}$$

$l \quad n-l \quad n'-n \quad v-n' \quad l \quad n-l \quad n'-n \quad v-n' \quad 1 \quad 1$

where  $R'_4, R''_4, R'_9, R''_9$  are arbitrary. Therefore, the number of  $e_T$  containing  $e_L$  is  $q^{(n'-l)(v-n'+1)}$ .

**Lemma 5** For any  $m \in M$  and  $e_L, e_{R_i} \subset m$ ,

(1) the number of  $e_T$  contained in  $m$  and containing  $e_L$  is  $q^{(n'-l)(r-n+1)}$ ;

(2) the number of  $e_T$  contained in  $m$  and containing  $e_L, e_{R_i}$  is  $q^{(n'-l-1)(r-n+1)}$ .

**Proof:** (1) From the definition of  $m$ , we may take  $m$  as follows:

$$m = \begin{pmatrix} I^{(l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & I^{(n-l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & I^{(n'-n)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & I^{(r-n)} & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & I^{(l)} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & I^{(n-l)} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & I^{(n'-n)} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & I^{(r-n)} & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & I^{(r-n)} \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

$l \quad n-l \quad n'-n \quad r-n \quad v+n-r-n' \quad l \quad n-l \quad n'-n \quad r-n \quad v+n-r-n'$

$$\begin{pmatrix} 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 1 & 0 \\ 1 & 1 \end{pmatrix} \begin{matrix} l \\ n-l \\ n'-n \\ r-n \\ l \\ n-l \\ n'-n \\ r-n \\ 1 \end{matrix} . \text{ For any } e_L \subset m, \text{ we assume that}$$

$$e_L = \begin{pmatrix} I^{(l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & I^{(n-l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & R_3 & R_4 & 0 & I^{(l)} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

$l \quad n-l \quad n'-n \quad r-n \quad v+n-r-n' \quad l \quad n-l \quad n'-n \quad r-n \quad v+n-r-n'$

$$\begin{pmatrix} 0 & 0 \\ 0 & 0 \\ R_{11} & 0 \\ 1 & 1 \end{pmatrix} \begin{matrix} l \\ n-l \\ l \end{matrix} . \text{ If } e_T \subset m \text{ and } e_T \supset e_L, \text{ then}$$

$$e_T = \begin{pmatrix} I^{(l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & I^{(n-l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & I^{(n'-n)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & R_4 & 0 & I^{(l)} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & R'_4 & 0 & 0 & I^{(n-l)} & 0 & 0 & 0 \\ 0 & 0 & 0 & R''_4 & 0 & 0 & 0 & I^{(n'-n)} & 0 & 0 \end{pmatrix}$$

$l \quad n-l \quad n'-n \quad r-n \quad v+n-r-n' \quad l \quad n-l \quad n'-n \quad r-n \quad v+n-r-n'$



$$\begin{pmatrix} 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ R_{11} & 0 \\ R'_{11} & 0 \\ R''_{11} & 0 \\ 1 & 1 \end{pmatrix} \begin{matrix} l \\ n-l \\ n'-n \\ l \\ n-l \\ n'-n \\ 1 \end{matrix}, \text{ where } R'_4, R''_4, R'_{11}, R''_{11} \text{ are arbitrary. Therefore, the num-}$$

ber of  $e_T$  contained in  $m$  and containing  $e_L$  is  $q^{(n'-l)(r-n+1)}$

(2) Similarly, by computation, we can prove that the number of  $e_T$  contained in  $m$  and containing  $e_L, e_{R_i}$  has the following form:

$$e_T = \begin{pmatrix} I^{(l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & I^{(n-l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & I^{(n'-n)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & R_4 & 0 & I^{(l)} & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & R_4^1 & 0 & 0 & I^{(i-l-1)} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & R_4^2 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & R_4^3 & 0 & 0 & 0 & 0 & I^{(n-i)} & 0 & 0 \\ 0 & 0 & 0 & R_4'' & 0 & 0 & 0 & 0 & 0 & I^{(n'-n)} & 0 \\ l & n-l & n'-n & r-n & v+n-r-n' & l & i-l-1 & 1 & n-i & n'-n & r-n \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & R_{11} & 0 \\ 0 & R_{11}^1 & 0 \\ 0 & R_{11}^2 & 0 \\ 0 & R_{11}^3 & 0 \\ 0 & R_{11}'' & 0 \\ v+n-r-n' & 1 & 1 \end{pmatrix} \begin{matrix} l \\ n-l \\ n'-n \\ l \\ i-l-1 \\ 1 \\ n-i \\ n'-n \end{matrix}, \text{ where } R_4^1, R_4^3, R_4'' \text{ and } R_{11}^1, R_{11}^3, R_{11}'' \text{ are arbitrary. There-}$$

fore, the number of  $e_T$  contained in  $m$  and containing  $e_L, e_{R_i}$  is  $q^{(n'-l-1)(r-n+1)}$ .

**Lemma 6** Assume that  $m_1$  and  $m_2$  are two distinct messages which commonly contain a transmitter's encoding rule  $e_T$ .  $s_1$  and  $s_2$  contained in  $m_1$  and  $m_2$  are two source states, respectively. Assume that  $s_0 = s_1 \cap s_2$ ,  $\dim s_0 = k$ , then  $n \leq k \leq 2r - n$ . For any  $e_L, e_{R_i} \subset m_1 \cap m_2$ , the number of  $e_T$  contained in  $m_1 \cap m_2$  and containing  $e_L, e_{R_i}$  is  $q^{(n'-l-1)(k-r)}$ .

**Proof:** From the definition of the message, we may take  $m_i, i = 1, 2$  as follows:

$$m_i = \begin{pmatrix} I^{(l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & I^{(n-l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & I^{(n'-n)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & P_{i_4} & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & I^{(l)} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & I^{(n-l)} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & I^{(n'-n)} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & I^{(r-n)} & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

$$\begin{matrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \end{matrix} \begin{matrix} l \\ n-l \\ n'-n \\ r-n \\ l \\ n-l \\ n'-n \\ r-n \\ 1 \\ v+n-r-n' & 1 & 1 \end{matrix} , \quad m_1 \cap m_2 = \begin{pmatrix} I^{(l)} & 0 & 0 & 0 & 0 \\ 0 & I^{(n-l)} & 0 & 0 & 0 \\ 0 & 0 & I^{(n'-n)} & 0 & 0 \\ 0 & 0 & 0 & P_4 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} \begin{matrix} l \\ n-l \\ n'-n \\ r-n \\ v+n-r-n' & 1 & 1 \end{matrix}$$

of Lemma 5, since  $m_1 = s_1 + e_T, m_2 = s_2 + e_T$  and  $m_1 \neq m_2$ , then  $s_1 \neq s_2$ . Obviously,  $n \leq k \leq 2r - n$ . We assume that  $s'_i$  is the complementary subspace of  $s_0$  in the  $s_i$ , then  $s_i = s_0 + s'_i$  ( $i = 1, 2$ ). From  $m_i = s_i + e_T = s_0 + s'_i + e_T$ , we have  $m_1 \cap m_2 = s_0 + e_T$ . then  $\dim(m_1 \cap m_2) = k + 2n' - n$ , therefore,  $\dim \begin{pmatrix} P_4 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{pmatrix} = (k + 2n' - n) - (2n' + r - n) = k - r$ . For any  $e_L, e_{R_i} \subset m_1 \cap m_2$ , we can assume that

$$e_L = \begin{pmatrix} I^{(l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & I^{(n-l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & R_4 & 0 & I^{(l)} & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

$$\begin{matrix} l & n-l & n'-n & r-n & v+n-r-n' & l & i-l-1 & 1 & n-i & n'-n & r-n & v+n-r-n' \end{matrix}$$

$$R_{11} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \\ \dots & \dots \\ 0 & 0 \end{pmatrix} \begin{matrix} l \\ n-l \\ n'-n \\ l \end{matrix}, \quad e_{R_i} = \begin{pmatrix} I^{(l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & I^{(n-l)} & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & R_4^2 & 0 & 0 & 0 & 1 \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix} \begin{matrix} l & n-l & n'-n & r-n & v+n-r-n' & l & i-l-1 & 1 \end{matrix}$$

Since  $e_T \subset m_1 \cap m_2$  and contains  $e_L, e_{R_i}$ ,  $e_T$  has the form as follows:

$$e_T = \begin{pmatrix} I^{(l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & I^{(n-l)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & I^{(n'-n)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & R_4 & 0 & I^{(l)} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & R_4^1 & 0 & 0 & I^{(i-l-1)} & 0 & 0 & 0 \\ 0 & 0 & 0 & R_4^2 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & R_4^3 & 0 & 0 & 0 & 0 & I^{(n-i)} & 0 \\ 0 & 0 & 0 & R_4^4 & 0 & 0 & 0 & 0 & 0 & I^{(n'-n)} \end{pmatrix} \begin{matrix} l & n-l & n'-n & r-n & v+n-r-n' & l & i-l-1 & 1 & n-i & n'-n \end{matrix}$$

$$\begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ \dots & \dots & \dots & \dots \\ 0 & 0 & R_{11} & 0 \\ 0 & 0 & R_{11}^1 & 0 \\ 0 & 0 & R_{11}^2 & 0 \\ 0 & 0 & R_{11}^3 & 0 \\ 0 & 0 & R_{11}^4 & 0 \end{pmatrix} \begin{matrix} l \\ n-l \\ n'-n \\ l \\ i-l-1 \\ 1 \\ n-i \\ n'-n \end{matrix}, \quad \text{where every row of } \begin{pmatrix} R_4^1 & 0 & 0 & R_{11}^1 & 0 \\ R_4^2 & 0 & 0 & R_{11}^2 & 0 \\ R_4^3 & 0 & 0 & R_{11}^3 & 0 \\ R_4^4 & 0 & 0 & R_{11}^4 & 0 \end{pmatrix}$$

is the linear combination of the base of  $\begin{pmatrix} P_4 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{pmatrix}$ .

So it is easy to know that the number of  $e_T \subset m_1 \cap m_2$  and containing  $e_L, e_{R_i}$  is  $q^{(n'-l-1)(k-r)}$ .

**Theorem 1** In the constructed multireceiver authentication codes, the largest probabilities of success for impersonation attack and substitution attack from  $R_L$  on a receiver  $R_i$  are

$$P_I[i, L] = \frac{1}{q^{v+n-r-n'}}, \quad P_S[i, L] = \frac{1}{q}$$

respectively, where  $i \notin L$ .

**Proof:** Impersonation attack:  $R_L$ , after receiving their secret keys, send a

message  $m$  to  $R_i$ .  $R_L$  is successful if  $m$  is accepted by  $R_i$  as authentic. Therefore

$$\begin{aligned}
 P_I[i, L] &= \max_{e_L \in \tilde{E}_L} \left\{ \frac{\max_{m \in M} | \{e_T \in E_T | e_T \subset m \text{ and } e_T \supset e_L, e_{R_i}\} | }{ | \{e_T \in E_T | e_T \supset e_L\} | } \right\} \\
 &= \frac{q^{(n'-l)(r-n+1)}}{q^{(n'-l)(v-n'+1)}} = \frac{1}{q^{v+n-r-n'}}.
 \end{aligned}$$

*Substitution attack:*  $R_L$ , after observing a message  $m$  that is transmitted by the sender, replace  $m$  with another message  $m'$ .  $R_L$  is successful if  $m'$  is accepted by  $R_i$  as authentic. Therefore,

$$\begin{aligned}
 P_S[i, L] &= \max_{e_L \in \tilde{E}_L} \max_{m' \in M} \left\{ \frac{\max_{m \in M} | \{e_T \in E_T | e_T \subset m, m' \text{ and } e_T \supset e_L, e_{R_i}\} | }{ | \{e_T \in E_T | e_T \subset m \text{ and } e_T \supset e_L\} | } \right\} \\
 &= \max_{n \leq k \leq 2r-n} \frac{q^{(n'-l-1)(k-r)}}{q^{(n'-l-1)(r-n+1)}} = \frac{1}{q^{(n'-l-1)(2r+1-n-k)}} = \frac{1}{q}.
 \end{aligned}$$

We can find that  $P_S[i, L]$  gets to the minimum value  $\frac{1}{q}$ , so this construction about multireceiver authentication codes is good.

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