

# Extremal polyomino chains with respect to general sum-connectivity index

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**Abstract:** For a (molecular) graph  $G$ , the general sum-connectivity index  $\chi_\alpha(G)$  is defined as the sum of the weights  $[d_u + d_v]^\alpha$  of all edges  $uv$  of  $G$ , where  $d_u$  (or  $d_v$ ) denotes the degree of a vertex  $u$  (or  $v$ ) in  $G$  and  $\alpha$  is an arbitrary real number. In this paper, we give an efficient formula for computing the general sum-connectivity index of polyomino chains and characterize the extremal polyomino chains with respect to this index, which generalizes one of the main results in [Z. Yarahmadi, A. Ashrafi, S. Moradi, Extremal polyomino chains with respect to Zagreb indices, Appl. Math. Lett. 25 (2012): 166-171].

**Keywords:** General sum-connectivity index; Polyomino chain.

## 1. Introduction

All graphs considered in this paper are simple and connected. Let  $G = (V, E)$  be a graph, with vertex set  $V$  and edge set  $E$ . The degree of a vertex  $u \in V$  is the number of edges incident to  $u$ , denoted by  $d_G(u)$ , or  $d_u$  when no confusion is possible. For other undefined terminology and notations from graph theory, the readers are referred to [1].

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A topological index is a numerical descriptor of the molecular structure derived from the corresponding molecular graph. There are numerous topological descriptors that have found some applications in theoretical chemistry, especially in quantitative structure-activity relationship (QSAR) and quantitative structure-property relationship (QSPR) researches [8]. Among these useful topological descriptors, we will present several ones that are relevant for our work.

The first indices presented here are the **Zagreb indices**, which have been introduced more than thirty years ago by Gutman and Trinajstić, [2]. They are originally defined as follows:

$$M_1(G) = \sum_{u \in V(G)} d_u^2,$$

$$M_2(G) = \sum_{e=uv \in E(G)} d_u d_v.$$

Here  $M_1(G)$  and  $M_2(G)$  denote the first and the second Zagreb indices, respectively. These two topological indices reflect the extent of branching of the molecular carbon-atom skeleton [8]. The first Zagreb index can be also expressed as a sum over edges of  $G$ ,

$$M_1(G) = \sum_{e=uv \in E(G)} [d_u + d_v].$$

For the proof of this fact and more information on Zagreb indices we encourage the interested reader to [6].

Later, in 1975, Randić proposed a molecular structure descriptor in studying the properties of alkane [7] which he called the branching index, and is now called the **Randić index**. It is defined as the sum over all edges of the (molecular) graph of the terms  $[d_u d_v]^{-\frac{1}{2}}$ , i.e.,

$$R(G) = \sum_{e=uv \in E(G)} [d_u d_v]^{-\frac{1}{2}}.$$

This index is also called as the product-connectivity index of  $G$ .

Recently, a closely related variant of the Randić index called the **sum-connectivity index** was introduced by Zhou and Trinajstić [14] in 2009,

which is denoted by  $\chi = \chi(G)$  and originally defined as:

$$\chi(G) = \sum_{e=uv \in E(G)} [d_u + d_v]^{-\frac{1}{2}}.$$

These two molecular descriptors are highly intercorrelated quantities; for example, the value of the correlation coefficient is 0.99088 for 136 trees representing the lower alkanes taken from Ivanciuc et al. [3].

The ordinary Randić index has been extended to the **general Randić index** by Li and Gutman, which is defined as [5]:

$$R_\alpha(G) = \sum_{e=uv \in E(G)} [d_u d_v]^\alpha,$$

where  $\alpha$  is an arbitrary real number. The properties of the general Randić index can be found in [e.g., 5, 13].

Motivated by the above definition, Zhou and Trinajstić proposed the **general sum-connectivity index** [15], which is defined as:

$$\chi_\alpha(G) = \sum_{e=uv \in E(G)} [d_u + d_v]^\alpha,$$

where  $\alpha$  is an arbitrary real number. They obtained some properties, especially lower and upper bounds in terms of other graph invariants, of this index.

Evidently,  $\chi_{-\frac{1}{2}}$  is the ordinary sum-connectivity index and  $\chi_1$  is the first Zagreb index. Thus, the general sum-connectivity index generalizes both the ordinary sum-connectivity index and the first Zagreb index.

Zeng et al. [16] distinguished the extremal polyomino chains on  $k$ -matchings and  $k$ -independent sets. Xu et al. studied the PI index of polyomino chains, [9]. Later, Yang et al. continued this problem to the Randić index and sum-connectivity index, respectively, see [10, 11]. Recently, Yarahmadi et al. [12] studied this item to the Zagreb indices. In this work, we continue this program to calculate the general sum-connectivity index of polyomino chains, and determine the extremal polyomino chains with respect to this index, which generalizes one of the main results in [12].

## 2. Preliminaries

A polyomino system is a finite 2-connected plane graph such that each interior face (or say a cell) is surrounded by a regular square of length one. In other words, it is an edge-connected union of cells in the planar square lattice. This figure divides the plane into one infinite external region and a number of finite internal, all internal region must be squares. Polyominoes have a long and rich history, we convey for the origin polyominoes, [4]. A polyomino chain is a polyomino system, in which the joining of the centers of its adjacent regular forms a path  $c_1c_2 \cdots c_n$ , where  $c_i$  is the center of the  $i$ -th square.

Let  $\mathbf{B}_n$  be the set of polyomino chains with  $n$  squares. For  $B_n \in \mathbf{B}_n$ , it is easy to see that  $|V(B_n)| = 2n + 2$  and  $|E(B_n)| = 3n + 1$ . If the subgraph of  $B_n$  induced by the vertices with degree 3 is a graph with exactly  $n - 2$  squares, then  $B_n$  is called a linear chain and denoted by  $L_n$ . If the subgraph of  $B_n$  induced by the vertices with a degree bigger than two is a path with  $n - 1$  edges, then  $B_n$  is called a zig-zag chain and denoted by  $Z_n$ . Fig. 1(a) and (b) illustrate  $L_5$  and  $Z_7$ , respectively.

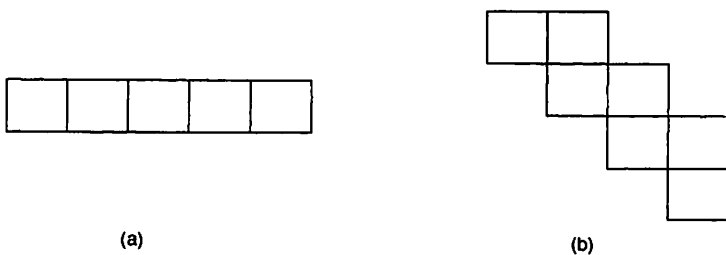


Fig. 1. (a) The graph  $L_5$ ; (b) The graph  $Z_7$ .

For calculating the general sum-connectivity index of a polyomino chains, we introduce some conceptions in a polyomino chain. A **kink** of a polyomino chain is the branched or angularly connected squares. A **segment**

of a polyomino chain is a maximal linear chain in the polyomino chain, including the kinks and/or terminal squares at its end, the segments of  $Z_7$  are shown in Fig. 2. The number of squares in a segment  $S$  is called its length and is denoted by  $l(S)$ . For any segment  $S$  of a polyomino chain with  $n \geq 2$  squares,  $2 \leq l(S) \leq n$ . Particularly, a polyomino chain is a linear chain if and only if it contains only one segment; a polyomino chain is a zig-zag chain if and only if the length of each segment is 2.

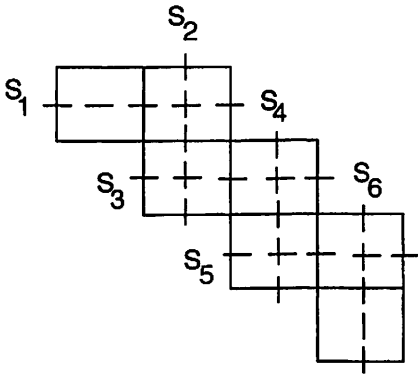


Fig. 2. The segments of  $Z_7$ .

A polyomino chain with  $n$  squares consists of a sequence of segments  $S_1, S_2, \dots, S_r, r \geq 1$ , with lengths  $l(S_i) = l_i, i = 1, 2, \dots, r$ , where  $l_1 + l_2 + \dots + l_r = n + r - 1$ .

### 3. The general sum-connectivity index of polyomino chains

In this section, we give an explicit formula for computing the general sum-connectivity index of polyomino chains. We first define two parameters  $\alpha(S_i), 1 \leq i \leq r$ , and  $\phi(\alpha, r)$  as follows:

$$\alpha(S_i) = \begin{cases} 1 & l_i = 2 \\ 0 & l_i > 2, \end{cases}$$

$$\phi(\alpha, r) = \begin{cases} 0 & \alpha = 1 \\ 1 & \alpha > 1 \text{ and } r = 1 \\ 0 & \alpha > 1 \text{ and } r > 1, \end{cases}$$

where  $\alpha \geq 1$  is an arbitrary real number.

For example, the simple polyomino chain with three segments  $S_1, S_2$  and  $S_3$  illustrated in Fig. 3 satisfies that  $\alpha(S_1) = \alpha(S_3) = 0, \alpha(S_2) = 1$  and  $\phi(\alpha, 3) = 0$ , for arbitrary real number  $\alpha \geq 1$ .

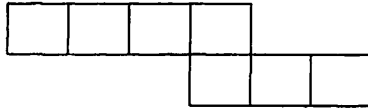


Fig. 3. A simple polyomino chain with three segments  $S_1, S_2$  and  $S_3$ .

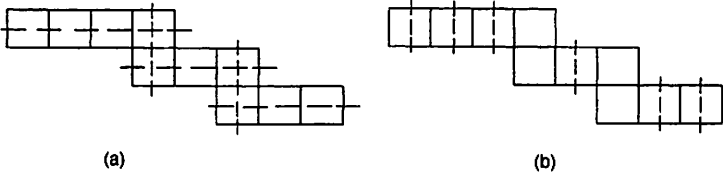


Fig. 4. (a) The edges of  $E_1$ ; (b) The edges of  $E_2$ .

**Theorem 3.1.** Let  $B_n$  be a polyomino chain with  $n$  squares,  $n \geq 3$ , and consisting of  $r$  segments  $S_1, S_2, \dots, S_r, r \geq 1$ , with lengths  $l_1, l_2, \dots, l_r$ , respectively. Let  $\alpha \geq 1$  be an arbitrary real number. Then

$$\begin{aligned} \chi_\alpha(B_n) = & 4(r-1) \cdot 7^\alpha + (3n - 6r + 1) \cdot 6^\alpha + 2[3 - \phi(\alpha, r)] \cdot 5^\alpha \\ & + 2 \sum_{i=2}^{r-1} [5 + \alpha(S_i)]^\alpha - (4 \cdot 7^\alpha - 8^\alpha - 3 \cdot 6^\alpha) \sum_{i=2}^{r-1} \alpha(S_i) \\ & - (7^\alpha - 2 \cdot 6^\alpha + 5^\alpha) [\alpha(S_1) + \alpha(S_r)] + 2 \cdot 4^\alpha. \end{aligned}$$

**Proof.** We partition the edge set of  $B_n$  into two subsets. The subset  $E_1$

contains, all edge  $e = uv$  which is cut across by straight dashed line passed through the centers of squares  $S_i$  for  $1 \leq i \leq r$ , Fig. 4(a).

Suppose  $E_2 = E(B_n) \setminus E_1$ , the elements of  $E_2$  are shown in Fig. 4(b), by straight dashed lines. Then if  $r = 1$ , by direct calculation,

$$\chi_\alpha(B_n) = (3n - 5) \cdot 6^\alpha + 4 \cdot 5^\alpha + 2 \cdot 4^\alpha.$$

If  $r > 1$ , by definition

$$\begin{aligned} \chi_\alpha(B_n) &= \sum_{e=uv \in E(G)} [d_u + d_v]^\alpha \\ &= \sum_{e=uv \in E_1} [d_u + d_v]^\alpha + \sum_{e=uv \in E_2} [d_u + d_v]^\alpha. \end{aligned}$$

For the first summation, one can see that:

$$\sum_{e=uv \in E_1} [d_u + d_v]^\alpha = \sum_{i=1}^r \sum_{e=uv \in E_1 \cap E(S_i)} [d_u + d_v]^\alpha.$$

In what follows, each summation is evaluated, separately. For  $1 < i < r$ , we have:

$$\begin{aligned} \sum_{e=uv \in E_1 \cap E(S_i)} [d_u + d_v]^\alpha &= (l_i - 3) \cdot 6^\alpha + 2 \cdot 7^\alpha [5 + \alpha(S_{i-1})]^\alpha \\ &\quad + [5 + \alpha(S_{i+1})]^\alpha - (2 \cdot 7^\alpha - 8^\alpha - 6^\alpha) \cdot \alpha(S_i), \end{aligned}$$

$$\sum_{e=uv \in E_1 \cap E(S_1)} [d_u + d_v]^\alpha = (l_1 - 2) \cdot 6^\alpha + 7^\alpha + [5 + \alpha(S_2)]^\alpha + 4^\alpha,$$

$$\sum_{e=uv \in E_1 \cap E(S_r)} [d_u + d_v]^\alpha = (l_r - 2) \cdot 6^\alpha + 7^\alpha + [5 + \alpha(S_{r-1})]^\alpha + 4^\alpha.$$

Therefore,

$$\begin{aligned} \sum_{e=uv \in E_1} [d_u + d_v]^\alpha &= 6^\alpha \sum_{i=1}^r l_i + 2 \sum_{i=2}^{r-1} [5 + \alpha(S_i)]^\alpha + 2(r-1) \cdot 7^\alpha + 2 \cdot 5^\alpha \\ &\quad - (2 \cdot 7^\alpha - 8^\alpha - 6^\alpha) \sum_{i=2}^{r-1} \alpha(S_i) - (3r-2) \cdot 6^\alpha \\ &\quad + 2 \cdot 4^\alpha. \end{aligned}$$

On the other hand, we have

$$\sum_{e=uv \in E_2} [d_u + d_v]^\alpha = \sum_{i=1}^r \sum_{e=uv \in E_2 \cap E(S_i)} [d_u + d_v]^\alpha.$$

For  $1 < i < r$ ,

$$\sum_{e=uv \in E_2 \cap E(S_i)} [d_u + d_v]^\alpha = (2l_i - 6) \cdot 6^\alpha + 2 \cdot 7^\alpha - 2(7^\alpha - 6^\alpha) \cdot \alpha(S_i),$$

$$\begin{aligned} \sum_{e=uv \in E_2 \cap E(S_1)} [d_u + d_v]^\alpha &= (2l_1 - 6) \cdot 6^\alpha + 7^\alpha + 6^\alpha + 2 \cdot 5^\alpha \\ &\quad - (7^\alpha - 2 \cdot 6^\alpha + 5^\alpha) \cdot \alpha(S_1), \end{aligned}$$

$$\begin{aligned} \sum_{e=uv \in E_2 \cap E(S_r)} [d_u + d_v]^\alpha &= (2l_r - 6) \cdot 6^\alpha + 7^\alpha + 6^\alpha + 2 \cdot 5^\alpha \\ &\quad - (7^\alpha - 2 \cdot 6^\alpha + 5^\alpha) \cdot \alpha(S_r). \end{aligned}$$

Thus,

$$\begin{aligned} \sum_{e=uv \in E_2} [d_u + d_v]^\alpha &= 2 \cdot 6^\alpha \sum_{i=1}^r l_i - 2(7^\alpha - 6^\alpha) \sum_{i=2}^{r-1} \alpha(S_i) + 2(r-1) \cdot 7^\alpha \\ &\quad - (7^\alpha - 2 \cdot 6^\alpha + 5^\alpha) [\alpha(S_1) + \alpha(S_r)] \\ &\quad - 2(3r-1) \cdot 6^\alpha + 4 \cdot 5^\alpha. \end{aligned}$$

By the above demonstration one can see that:

$$\begin{aligned} \chi_\alpha(B_n) &= 4(r-1) \cdot 7^\alpha + (3n - 6r + 1) \cdot 6^\alpha + 6 \cdot 5^\alpha + 2 \cdot 4^\alpha \\ &\quad + 2 \sum_{i=2}^{r-1} [5 + \alpha(S_i)]^\alpha - (4 \cdot 7^\alpha - 8^\alpha - 3 \cdot 6^\alpha) \sum_{i=2}^{r-1} \alpha(S_i) \\ &\quad - (7^\alpha - 2 \cdot 6^\alpha + 5^\alpha) [\alpha(S_1) + \alpha(S_r)]. \end{aligned}$$

Hence for all  $r \geq 1$  and  $\alpha \geq 1$ ,

$$\begin{aligned} \chi_\alpha(B_n) &= 4(r-1) \cdot 7^\alpha + (3n - 6r + 1) \cdot 6^\alpha + 2[3 - \phi(\alpha, r)] \cdot 5^\alpha \\ &\quad + 2 \sum_{i=2}^{r-1} [5 + \alpha(S_i)]^\alpha - (4 \cdot 7^\alpha - 8^\alpha - 3 \cdot 6^\alpha) \sum_{i=2}^{r-1} \alpha(S_i) \\ &\quad - (7^\alpha - 2 \cdot 6^\alpha + 5^\alpha) [\alpha(S_1) + \alpha(S_r)] + 2 \cdot 4^\alpha. \blacksquare \end{aligned}$$

Note that  $\chi_1 = M_1$ , the following corollary is obtained straightforwardly



from Theorem 3.1.

**Corollary 3.2.** (Theorem 2.1, [12]) Let  $B_n$  be a polyomino chain with  $n$  squares,  $n \geq 3$ , and consisting of  $r$  segments  $S_1, S_2, \dots, S_r, r \geq 1$ , with lengths  $l_1, l_2, \dots, l_r$ , respectively. Then

$$M_1(B_n) = 18n + 2r - 4.$$

As an immediate consequence of Corollary 3.2, we obtain the following result.

**Corollary 3.3.** The first Zagreb indices of linear and zig-zag chains are computed as follows:

$$(i) M_1(L_n) = 18n - 2,$$

$$(ii) M_1(Z_n) = 20n - 6.$$

We also get the following:

**Corollary 3.4.** The general sum-connectivity indices of linear and zig-zag chains with  $n \geq 3$  squares are computed as follows:

$$(i) \chi_\alpha(L_n) = (3n - 5) \cdot 6^\alpha + 4 \cdot 5^\alpha + 2 \cdot 4^\alpha,$$

$$(ii) \chi_\alpha(Z_n) = (n - 3) \cdot 8^\alpha + 2 \cdot 7^\alpha + 2(n - 2) \cdot 6^\alpha + 4 \cdot 5^\alpha + 2 \cdot 4^\alpha.$$

**Proof.** If  $\alpha = 1$ , then the desired result holds by Corollary 3.3; If  $\alpha > 1$ , then the desired result follows directly from Theorem 3.1. ■

#### 4. Extremal polyomino chains with respect to general sum-connectivity index

In this section, we will characterize the extremal polyomino chains with respect to general sum-connectivity index. We first give two lemmas which

will be used repeatedly in our proof.

**Lemma 4.1.** If  $\alpha > 1$ , then  $4 \cdot 7^\alpha - 6^{\alpha+1} + 2 \cdot 5^\alpha > 0$ .

**Proof.** Let  $g(\alpha) = 4 \cdot 7^\alpha - 6^{\alpha+1} + 2 \cdot 5^\alpha$ . Then,

$$\begin{aligned}g(\alpha) &= 4[7^\alpha - 6^\alpha] - 2[6^\alpha - 5^\alpha] \\ &= 4\alpha\xi^{\alpha-1} - 2\alpha\eta^{\alpha-1} \\ &= 2\alpha\xi^{\alpha-1} + 2\alpha[\xi^{\alpha-1} - \eta^{\alpha-1}] > 0,\end{aligned}$$

where  $5 < \eta < 6 < \xi < 7$ . ■

By the similar method used in the above proof we get the following.

**Lemma 4.2.** If  $\alpha > 1$ , then  $8^\alpha - 2 \cdot 7^\alpha + 2 \cdot 6^\alpha - 5^\alpha > 0$ .

We also need the following result.

**Lemma 4.3.** (Corollary 2.3, [12]) For any  $B_n \in \mathbf{B}_n$ , we have

$$M_1(L_n) \leq M_1(B_n) \leq M_1(Z_n),$$

with left (right, respectively) equality if and only if  $B_n \cong L_n$  ( $B_n \cong Z_n$ , respectively).

Now we present our main result.

**Theorem 4.4.** For any  $B_n \in \mathbf{B}_n$ , if  $n \geq 3$  and  $\alpha \geq 1$ , then we have

$$\chi_\alpha(L_n) \leq \chi_\alpha(B_n) \leq \chi_\alpha(Z_n),$$

with left (right, respectively) equality if and only if  $B_n \cong L_n$  ( $B_n \cong Z_n$ , respectively).

**Proof.** If  $\alpha = 1$ , then the desired result follows by Lemma 4.3. Hence we assume  $\alpha > 1$  in the following. For convenience, we let  $f_i(r)_{max}$  and  $f_i(r)_{min}$  denote the maximum and minimum values of the function  $f_i(r)$  of parameter  $r$ ,  $i = 1, 2, 3, 4$ , respectively. Let  $A = (3n + 1) \cdot 6^\alpha - 4 \cdot 7^\alpha + 6 \cdot 5^\alpha + 2 \cdot 4^\alpha$ . Then by Theorem 3.1,

$$\begin{aligned} f(r) := \chi_\alpha(B_n) = & A + (4 \cdot 7^\alpha - 6^{\alpha+1})r + 2 \sum_{i=2}^{r-1} [5 + \alpha(S_i)]^\alpha \\ & - (4 \cdot 7^\alpha - 8^\alpha - 3 \cdot 6^\alpha) \sum_{i=2}^{r-1} \alpha(S_i) - 2\phi(\alpha, r) \cdot 5^\alpha \\ & - (7^\alpha - 2 \cdot 6^\alpha + 5^\alpha) [\alpha(S_1) + \alpha(S_r)]. \end{aligned}$$

We distinguish the following two cases to discuss.

*Case 1.* Each  $l_i > 2$ , for  $i = 1, 2, \dots, r$ . Note that in this case,  $1 \leq r \leq \frac{n-1}{2}$ , since  $n + r - 1 \geq 3r$ . Then if  $r > 1$ ,

$$\begin{aligned} f_1(r) := & A + (4 \cdot 7^\alpha - 6^{\alpha+1})r + 2(r-2) \cdot 5^\alpha \\ = & A + (4 \cdot 7^\alpha - 6^{\alpha+1} + 2 \cdot 5^\alpha)r - 4 \cdot 5^\alpha. \end{aligned}$$

If  $r = 1$ ,

$$\begin{aligned} f_1(r) := & A + (4 \cdot 7^\alpha - 6^{\alpha+1}) \cdot 1 - 2 \cdot 5^\alpha \\ = & (3n - 5) \cdot 6^\alpha + 4 \cdot 5^\alpha + 2 \cdot 4^\alpha. \end{aligned}$$

By Lemma 4.1,

$$\begin{aligned} f_1(r)_{max} = & A + (4 \cdot 7^\alpha - 6^{\alpha+1} + 2 \cdot 5^\alpha) \cdot \frac{n-1}{2} - 4 \cdot 5^\alpha \\ = & (2n - 6) \cdot 7^\alpha + 4 \cdot 6^\alpha + (n+1) \cdot 5^\alpha + 2 \cdot 4^\alpha, \end{aligned}$$

$$f_1(r)_{min} = (3n - 5) \cdot 6^\alpha + 4 \cdot 5^\alpha + 2 \cdot 4^\alpha.$$

*Case 2.* There is at least one segment with length at most 2. Without loss of generality, we assume that there exist  $k$  segments  $S_{i_1}, S_{i_2}, \dots, S_{i_k}$ , such that  $l_{i_1}, l_{i_2}, \dots, l_{i_k} \leq 2$ . Clearly,  $1 \leq k \leq r$  and  $2 \leq r \leq \frac{n-1+k}{2}$ , since  $n + r - 1 \geq 3(r - k) + 2k$ . Then we consider the following three cases.

*Subcase 2.1.*  $\{S_1, S_r\} \subseteq \{S_{i_1}, S_{i_2}, \dots, S_{i_k}\}$ . In this case,  $3 \leq r \leq \frac{n-1+k}{2}$  and  $2 \leq k \leq r$ .

$$\begin{aligned} f_2(r) &:= A + (4 \cdot 7^\alpha - 6^{\alpha+1})r + 2(k-2) \cdot 6^\alpha + 2(r-k) \cdot 5^\alpha \\ &\quad - (k-2)(4 \cdot 7^\alpha - 8^\alpha - 3 \cdot 6^\alpha) - 2(7^\alpha - 2 \cdot 6^\alpha + 5^\alpha) \\ &= A + (4 \cdot 7^\alpha - 6^{\alpha+1} + 2 \cdot 5^\alpha)r + (k-2) \cdot 8^\alpha - (4k-6) \cdot 7^\alpha \\ &\quad + (5k-6) \cdot 6^\alpha - (2k+2) \cdot 5^\alpha. \end{aligned}$$

By Lemma 4.1,

$$\begin{aligned} f_2(r)_{max} &= A + (4 \cdot 7^\alpha - 6^{\alpha+1} + 2 \cdot 5^\alpha) \cdot \frac{n-1+k}{2} + (k-2) \cdot 8^\alpha \\ &\quad - (4k-6) \cdot 7^\alpha + (5k-6) \cdot 6^\alpha - (2k+2) \cdot 5^\alpha \\ &= (k-2) \cdot 8^\alpha + (2n-2k) \cdot 7^\alpha + (2k-2) \cdot 6^\alpha \\ &\quad + (n-k+3) \cdot 5^\alpha + 2 \cdot 4^\alpha, \end{aligned} \tag{1}$$

$$\begin{aligned} f_2(r)_{min} &= A + (4 \cdot 7^\alpha - 6^{\alpha+1} + 2 \cdot 5^\alpha) \cdot 3 + (k-2) \cdot 8^\alpha \\ &\quad - (4k-6) \cdot 7^\alpha + (5k-6) \cdot 6^\alpha - (2k+2) \cdot 5^\alpha \\ &= (k-2) \cdot 8^\alpha + (14-4k) \cdot 7^\alpha + (3n+5k-23) \cdot 6^\alpha \\ &\quad + (10-2k) \cdot 5^\alpha + 2 \cdot 4^\alpha. \end{aligned}$$

The equality (1) is easily transformed into  $f_2(r)_{max} = (8^\alpha - 2 \cdot 7^\alpha + 2 \cdot 6^\alpha - 5^\alpha)k - 2 \cdot 8^\alpha + 2n \cdot 7^\alpha - 2 \cdot 6^\alpha + (n+3) \cdot 5^\alpha + 2 \cdot 4^\alpha$ , which is an increasing function of  $k$  on the interval  $[2, r]$  by Lemma 4.2. Thus  $f_2(r)_{max}$  attains the maximum value when  $k = r$ . Combining this with  $r = \frac{n-1+k}{2}$  we obtain  $k = r = n - 1$ . So

$$f_2(r)_{max} = (n-3) \cdot 8^\alpha + 2 \cdot 7^\alpha + 2(n-2) \cdot 6^\alpha + 4 \cdot 5^\alpha + 2 \cdot 4^\alpha.$$

Note that  $f_2(r)$  attains the minimum value when  $r = 3$ , this implies  $k = 2$  since  $n$  is generally larger than 4. Thus

$$f_2(r)_{min} = 6 \cdot 7^\alpha + (3n-13) \cdot 6^\alpha + 6 \cdot 5^\alpha + 2 \cdot 4^\alpha.$$

*Subcase 2.2.*  $\{S_1, S_r\} \cap \{S_{i_1}, S_{i_2}, \dots, S_{i_k}\} = \emptyset$ . Evidently, in this case

$3 \leq r \leq \frac{n-1+k}{2}, 1 \leq k \leq r-2$  and  $n > 5$ . Then

$$\begin{aligned} f_3(r) &:= A + (4 \cdot 7^\alpha - 6^{\alpha+1})r + 2k \cdot 6^\alpha + 2(r-k-2) \cdot 5^\alpha \\ &\quad - k(4 \cdot 7^\alpha - 8^\alpha - 3 \cdot 6^\alpha) \\ &= A + (4 \cdot 7^\alpha - 6^{\alpha+1} + 2 \cdot 5^\alpha)r + k \cdot 8^\alpha - 4k \cdot 7^\alpha + 5k \cdot 6^\alpha \\ &\quad - (4+2k) \cdot 5^\alpha. \end{aligned}$$

By Lemma 4.1,

$$\begin{aligned} f_3(r)_{max} &= A + (4 \cdot 7^\alpha - 6^{\alpha+1} + 2 \cdot 5^\alpha) \cdot \frac{n-1+k}{2} + k \cdot 8^\alpha - 4k \cdot 7^\alpha \\ &\quad + 5k \cdot 6^\alpha - (4+2k) \cdot 5^\alpha \\ &= k \cdot 8^\alpha + (2n-2k-6) \cdot 7^\alpha + (4+2k) \cdot 6^\alpha \\ &\quad + (n-k+1) \cdot 5^\alpha + 2 \cdot 4^\alpha, \end{aligned} \tag{2}$$

$$\begin{aligned} f_3(r)_{min} &= A + (4 \cdot 7^\alpha - 6^{\alpha+1} + 2 \cdot 5^\alpha) \cdot 3 + k \cdot 8^\alpha - 4k \cdot 7^\alpha \\ &\quad + 5k \cdot 6^\alpha - (4+2k) \cdot 5^\alpha \\ &= k \cdot 8^\alpha + (8-4k) \cdot 7^\alpha + (3n+5k-17) \cdot 6^\alpha \\ &\quad + (8-2k) \cdot 5^\alpha + 2 \cdot 4^\alpha. \end{aligned}$$

The equality (2) is easily transformed into  $f_3(r)_{max} = (8^\alpha - 2 \cdot 7^\alpha + 2 \cdot 6^\alpha - 5^\alpha)k + (2n-6) \cdot 7^\alpha + 4 \cdot 6^\alpha + (n+1) \cdot 5^\alpha + 2 \cdot 4^\alpha$ , which is an increasing function of  $k$  on the interval  $[1, r-2]$  by Lemma 4.2. Thus  $f_3(r)_{max}$  attains the maximum value when  $k = r-2$ . Combining this with  $r = \frac{n-1+k}{2}$  we obtain  $r = n-3$  and  $k = n-5$ . So

$$f_3(r)_{max} = (n-5) \cdot 8^\alpha + 4 \cdot 7^\alpha + 2(n-3) \cdot 6^\alpha + 6 \cdot 5^\alpha + 2 \cdot 4^\alpha.$$

Note that  $f_3(r)$  attains the minimum value when  $r = 3$ , then  $k = 1$  by the hypothesis. Thus

$$f_3(r)_{min} = 8^\alpha + 4 \cdot 7^\alpha + 3(n-4) \cdot 6^\alpha + 6 \cdot 5^\alpha + 2 \cdot 4^\alpha.$$

*Subcase 2.3.*  $|\{S_1, S_r\} \cap \{S_{i_1}, S_{i_2}, \dots, S_{i_k}\}| = 1$ .

Say  $S_1 \in \{S_{i_1}, S_{i_2}, \dots, S_{i_k}\}$ . Obviously, in this case  $2 \leq r \leq \frac{n-1+k}{2}$  and  $1 \leq k \leq r-1$ . Then

$$\begin{aligned} f_4(r) &:= A + (4 \cdot 7^\alpha - 6^{\alpha+1})r + 2(k-1) \cdot 6^\alpha + 2(r-k-1) \cdot 5^\alpha \\ &\quad - (k-1) \cdot (4 \cdot 7^\alpha - 8^\alpha - 3 \cdot 6^\alpha) - (7^\alpha - 2 \cdot 6^\alpha + 5^\alpha) \\ &= A + (4 \cdot 7^\alpha - 6^{\alpha+1} + 2 \cdot 5^\alpha)r + (k-1) \cdot 8^\alpha + (3-4k) \cdot 7^\alpha \\ &\quad + (5k-3) \cdot 6^\alpha - (2k+3) \cdot 5^\alpha. \end{aligned}$$

By Lemma 4.1,

$$\begin{aligned} f_4(r)_{max} &= A + (4 \cdot 7^\alpha - 6^{\alpha+1} + 2 \cdot 5^\alpha) \cdot \frac{n-1+k}{2} + (k-1) \cdot 8^\alpha \\ &\quad + (3-4k) \cdot 7^\alpha + (5k-3) \cdot 6^\alpha - (2k+3) \cdot 5^\alpha \\ &= (k-1) \cdot 8^\alpha + (2n-2k-3) \cdot 7^\alpha + (2k+1) \cdot 6^\alpha \\ &\quad + (n-k+2) \cdot 5^\alpha + 2 \cdot 4^\alpha, \end{aligned} \tag{3}$$

$$\begin{aligned} f_4(r)_{min} &= A + (4 \cdot 7^\alpha - 6^{\alpha+1} + 2 \cdot 5^\alpha) \cdot 2 + (k-1) \cdot 8^\alpha \\ &\quad + (3-4k) \cdot 7^\alpha + (5k-3) \cdot 6^\alpha - (2k+3) \cdot 5^\alpha \\ &= (k-1) \cdot 8^\alpha + (7-4k) \cdot 7^\alpha + (3n+5k-14) \cdot 6^\alpha \\ &\quad + (7-2k) \cdot 5^\alpha + 2 \cdot 4^\alpha. \end{aligned}$$

The equality (3) is easily transformed into  $f_4(r)_{max} = (8^\alpha - 2 \cdot 7^\alpha + 2 \cdot 6^\alpha - 5^\alpha)k - 8^\alpha + (2n-3) \cdot 7^\alpha + 6^\alpha + (n+2) \cdot 5^\alpha + 2 \cdot 4^\alpha$ , which is an increasing function of  $k$  on the interval  $[1, r-1]$  by Lemma 4.2. Thus  $f_2(r)_{max}$  attains the maximum value when  $k = r-1$ . Combining this with  $r = \frac{n-1+k}{2}$  we obtain  $r = n-2$  and  $k = n-3$ . So

$$f_4(r)_{max} = (n-4) \cdot 8^\alpha + 3 \cdot 7^\alpha + (2n-5) \cdot 6^\alpha + 5 \cdot 5^\alpha + 2 \cdot 4^\alpha.$$

Note that  $f_4(r)$  attains the minimum value when  $r = 2$ , then  $k = 1$  by the hypothesis. Thus

$$f_4(r)_{min} = 3 \cdot 7^\alpha + 3(n-3) \cdot 6^\alpha + 5 \cdot 5^\alpha + 2 \cdot 4^\alpha.$$

In order to find out the maximum and minimum values of the function  $f(r)$ , we need to compare these values  $f_i(r)_{max}$  and  $f_i(r)_{min}$ ,  $i = 1, 2, 3, 4$ ,

respectively. First, we determine the maximum value.

$$\begin{aligned}
 f_2(r)_{max} - f_3(r)_{max} &= 2 \cdot 8^\alpha - 2 \cdot 7^\alpha + 2 \cdot 6^\alpha - 2 \cdot 5^\alpha & (4) \\
 &= 2[8^\alpha - 7^\alpha] + 2[6^\alpha - 5^\alpha] \\
 &= 2\alpha\xi^{\alpha-1} + 2\alpha\eta^{\alpha-1} > 0,
 \end{aligned}$$

where  $5 < \eta < 6$  and  $7 < \xi < 8$ .

Therefore,  $f_2(r)_{max} > f_3(r)_{max}$ .

$$\begin{aligned}
 f_3(r)_{max} - f_1(r)_{max} &= (n-5) \cdot 8^\alpha + (10-2n) \cdot 7^\alpha + (2n-10) \cdot 6^\alpha \\
 &\quad + (5-n) \cdot 5^\alpha \\
 &= (n-5)[8^\alpha - 2 \cdot 7^\alpha + 2 \cdot 6^\alpha - 5^\alpha] > 0,
 \end{aligned}$$

since  $n > 5$  in Subcase 2.2 and by Lemma 4.2.

Therefore,  $f_3(r)_{max} > f_1(r)_{max}$ .

Hence,  $f_2(r)_{max} > f_3(r)_{max} > f_1(r)_{max}$ .

On the other hand,

$$f_2(r)_{max} - f_4(r)_{max} = 8^\alpha - 7^\alpha + 6^\alpha - 5^\alpha > 0,$$

the reason is the same as Eq. (4). Therefore,  $f_2(r)_{max} > f_4(r)_{max}$ .

Hence,  $f_2(r)_{max}$  attains the maximum value among all values of  $f(r)$  of the parameter  $r$ . Note that  $r = n - 1$  in this case, so  $B_n \cong Z_n$ .

Next, we determine the minimum value.

$$\begin{aligned}
 f_4(r)_{min} - f_1(r)_{min} &= 3 \cdot 7^\alpha - 4 \cdot 6^\alpha + 5^\alpha & (5) \\
 &= 3[7^\alpha - 6^\alpha] - [6^\alpha - 5^\alpha] \\
 &= 2\alpha\xi^{\alpha-1} + \alpha[\xi^{\alpha-1} - \eta^{\alpha-1}] > 0,
 \end{aligned}$$

where  $5 < \eta < 6 < \xi < 7$ .

Therefore,  $f_4(r)_{min} > f_1(r)_{min}$ .

$$\begin{aligned}
 f_3(r)_{min} - f_4(r)_{min} &= 8^\alpha + 7^\alpha - 3 \cdot 6^\alpha + 5^\alpha \\
 &= [8^\alpha - 6^\alpha] + [7^\alpha - 6^\alpha] - [6^\alpha - 5^\alpha] \\
 &= 2\alpha\xi^{\alpha-1} + \alpha[\eta^{\alpha-1} - \zeta^{\alpha-1}] > 0,
 \end{aligned}$$

where  $5 < \zeta < 6 < \eta < 7$  and  $6 < \xi < 8$ .

Therefore,  $f_3(r)_{min} > f_4(r)_{min}$ .

Hence,  $f_3(r)_{min} > f_4(r)_{min} > f_1(r)_{min}$ .

On the other hand,

$$\begin{aligned} f_2(r)_{min} - f_1(r)_{min} &= 6 \cdot 7^\alpha - 8 \cdot 6^\alpha + 2 \cdot 5^\alpha \\ &= 2[3 \cdot 7^\alpha - 4 \cdot 6^\alpha + 5^\alpha] > 0, \end{aligned}$$

the reason is the same as Eq. (5). Thus  $f_2(r)_{min} > f_1(r)_{min}$ .

Hence,  $f_1(r)_{min}$  attains the minimum value among all values of  $f(r)$  of the parameter  $r$ . Note that in this case  $r = 1$ , so  $B_n \cong L_n$ .

This completes the proof of Theorem 4.4. ■

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