

# On the minimum spectral radius of trees with given matching number\*

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## Abstract

Let  $\mathfrak{R}_\beta$  denote the set of trees on  $n = k\beta + 1$  ( $k \geq 2$ ) vertices with matching number  $\beta$ . In this paper, the trees with minimal spectral radius among  $\mathfrak{R}_\beta$  ( $2 \leq \beta \leq 4$ ) are determined, respectively.

AMS classification: 05C50

Keywords: Matching number; diameter; spectral radius

## 1 Introduction

Let  $G = (V, E)$  be a simple connected graph with vertex set  $V = \{v_1, v_2, \dots, v_n\}$  and edge set  $E = \{e_1, e_2, \dots, e_m\}$ . For  $v_i \in V$ , the degree of  $v_i$ , written by  $d(v_i)$ , is the number of edges incident with  $v_i$ . The set of vertices adjacent to  $v_i$  is denoted by  $N(v_i)$ . The maximum degree of  $G$

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\*This research is supported by NSFC (No. 11371372).

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is denoted by  $\Delta(G)$ . Let  $A(G) = (a_{ij})$  be the adjacency matrix of  $G$  with  $a_{ij} = 1$  if  $v_i$  is adjacent to  $v_j$ ; and  $a_{ij} = 0$  otherwise. It is well known that  $A(G)$  is real and symmetric, so its eigenvalues are real. The spectral radius of  $G$ , denoted by  $\rho(G)$ , is the largest eigenvalue of  $A(G)$ . Since  $G$  is connected, by the Perron-Frobenius theory of nonnegative matrices,  $\rho(G)$  has multiplicity one and there exists a unique unit positive eigenvector, which is called Perron vector of  $G$ , corresponding to  $\rho(G)$ . The characteristic polynomial of  $A(G)$  is called the characteristic polynomial of  $G$ , denoted by  $\Phi(G)$ .

The diameter of  $G$ , denoted by  $d(G)$ , is the maximum distance between any two vertices of  $G$ . A set of pairwise independent edges in  $G$  is called a matching in  $G$ . While a matching of maximum cardinality is a maximum matching in  $G$ . The matching number  $\beta$  of  $G$  is the cardinality of a maximum matching of  $G$ . It is well known that  $\beta \leq \frac{n}{2}$ , with equality if and only if  $G$  has a perfect matching.

Belardo et. al [6] determined the minimal spectral radius of trees with diameter at most four. Feng et. al [5] determined the minimal Laplacian spectral radius among  $\mathfrak{R}_\beta$  ( $2 \leq \beta \leq 4$ ), where  $\mathfrak{R}_\beta$  denotes the set of trees on  $n = k\beta + 1$  ( $k \geq 2$ ) vertices with matching number  $\beta$ . In this paper, the trees with minimal spectral radius among  $\mathfrak{R}_\beta$  for  $2 \leq \beta \leq 4$  are determined, respectively.

## 2 Preliminaries

**Lemma 2.1** ([1]) *Let  $G$  be a connected graph with maximum degree  $\Delta$ . Then  $\rho(G) \geq \sqrt{\Delta}$ , with equality if and only if  $G$  is a star with  $1 + \Delta$  vertices.*

**Lemma 2.2** ([4]) *Let  $G$  be a connected graph and  $G'$  be a proper subgraph of  $G$ . Then  $\rho(G') < \rho(G)$ .*

An internal path of a graph  $G$  is a sequence of vertices  $v_1, v_2, \dots, v_k$  with  $k \geq 2$  such that:

(1). The vertices in the sequence are distinct (except possibly  $v_1 = v_k$ );

(2).  $v_i$  is adjacent to  $v_{i+1}$ , ( $i = 1, 2, \dots, k - 1$ );

(3). The vertex degrees  $d(v_i)$  satisfy  $d(v_1) \geq 3, d(v_2) = \dots = d(v_{k-1}) = 2$  (unless  $k = 2$ ) and  $d(v_k) \geq 3$ .

Let  $W_n$  be the tree on  $n$  vertices obtained from a path  $P_{n-4}$  (of length  $n - 5$ ) by attaching two new pendant edges to each end vertex of  $P_{n-4}$ , respectively.

**Lemma 2.3** ([2]) *Let  $G$  be a connected graph that is not isomorphic to  $W_n$ ,  $G_{uv}$  be the graph obtained from  $G$  by subdividing the edge  $uv$  of  $G$ . If  $uv$  lies on an internal path of  $G$ , then  $\rho(G_{uv}) < \rho(G)$ .*

**Lemma 2.4** ([3]) *Let  $u, v$  be two vertices of the connected graph  $G$ . Suppose  $v_1, v_2, \dots, v_s$  ( $1 \leq s \leq d(v)$ ) are some vertices of  $N(v) \setminus \{N(u) \cup u\}$  and  $x = (x_1, x_2, \dots, x_n)^T$  be the Perron vector of  $G$ , where  $x_i$  corresponds to  $v_i$  ( $1 \leq i \leq n$ ). Let  $G^*$  be the graph obtained from  $G$  by deleting  $vv_i$  and adding  $uv_i$  ( $1 \leq i \leq s$ ). If  $x_u \geq x_v$ , then  $\rho(G) < \rho(G^*)$ .*

**Lemma 2.5** ([1]) *Let  $e = uv$  be an edge and  $v$  be a vertex of the tree  $T$ , respectively. Then*

$$\Phi(T) = \Phi(T-e) - \Phi(T-u-v) \text{ and } \Phi(T) = x\Phi(T-v) - \sum_{uv \in E} \Phi(T-w-v).$$

**Lemma 2.6** ([4]) *Let  $F, H$  be two graphs. If  $\Phi(F, x) < \Phi(H, x)$  for any  $x \geq \rho(H)$ , then  $\rho(F) > \rho(H)$ .*

**Lemma 2.7** ([6]) *Let  $T$  be a tree on  $n = 2k + 1$  vertices with diameter  $d(T) = 3$ . Then*

$$\rho(T) \geq \rho(H_2(k, k - 1)),$$

*with equality if and only if  $T = H_2(k, k - 1)$ , where  $H_2(k, k - 1)$  is the tree obtained from an edge  $uv$  by attaching  $k$  and  $k - 1$  pendant vertices at  $u$  and  $v$ , respectively.*

### 3 Main results

Let  $T_2(s, t)$  be the tree with  $n = s + t + 5$  vertices obtained from the path  $v_1 v_2 v_3$  by attaching  $s + 1$  and  $t + 1$  pendant vertices at  $v_1$  and  $v_3$ , respectively. Let  $\mathcal{T}_2 = \{T_2(s, t) \mid s + t + 5 = 2k + 1\}$ , especially  $T_2^* = T_2(k - 2, k - 2)$ . Let  $T_3(s, r, t)$  be the tree with  $n = s + t + r + 7$  vertices obtained from the path  $v_1 v_2 v_3 v_4 v_5$  by attaching  $s + 1$ ,  $r$  and  $t + 1$  pendant vertices at  $v_1, v_3, v_5$ , respectively. Let  $\mathcal{T}_3 = \{T_3(s, r, t) \mid s + r + t + 7 = 3k + 1\}$ , especially  $T_3^* = T_3(k - 2, k - 2, k - 2)$ . Let  $T_4(s_1, s_2, s_3, s_4)$  be the tree obtained from the path  $v_1 v_2 v_3 v_4 v_5 v_6 v_7$  by attaching  $s_1 + 1, s_2, s_3, s_4 + 1$  pendant vertices at  $v_1, v_3, v_5, v_7$ , respectively. By symmetry, we have  $T_4(s_1, s_2, s_3, s_4) = T_4(s_4, s_3, s_2, s_1)$ . Let  $\mathcal{T}_4 = \{T_4(s_1, s_2, s_3, s_4) \mid s_1 + s_2 + s_3 + s_4 + 9 = 4k + 1\}$ , especially,  $T_4^{(1)} = T_4(k - 2, k - 2, k - 2, k - 2)$ ,  $T_4^{(2)} = T_4(k - 1, k - 3, k - 2, k - 2)$ ,  $T_4^{(3)} = T_4(k - 1, k - 3, k - 3, k - 1)$ .

**Lemma 3.1** *Under the above notations, we have  $\rho(T_2^*) = \sqrt{k + 1}$ ,  $\rho(T_3^*) = \sqrt{k + \sqrt{2}}$  and  $\rho(T_4^{(1)}) = \rho(T_4^{(2)}) = \rho(T_4^{(3)}) = \sqrt{k + \frac{1 + \sqrt{5}}{2}}$ .*

**Proof.** From Lemma 2.5, we have

$$\Phi(T_2^*) = x^{2k-3}(x^2 - k - 1)(x^2 - k + 1);$$

$$\Phi(T_3^*) = x^{3k-5}(x^2 - k)(x^4 - 2kx^2 + k^2 - 2);$$

$$\Phi(T_4^{(1)}) = x^{4k-7}(x^4 - (2k - 1)x^2 + k^2 - k - 1)(x^4 - (2k + 1)x^2 + k^2 + k - 1);$$

$$\Phi(T_4^{(2)}) = x^{4k-7}(x^4 - (2k - 1)x^2 + k^2 - k - 2)(x^4 - (2k + 1)x^2 + k^2 + k - 1);$$

$$\Phi(T_4^{(3)}) = x^{4k-7}(x^4 - (2k - 1)x^2 + k^2 - k - 3)(x^4 - (2k + 1)x^2 + k^2 + k - 1).$$

By direct calculation, the result follows.  $\square$

If  $k = 2$ , it is well known that  $T_2^*, T_3^*$  and  $T_4^{(1)}$  have the minimal spectral radius in  $\mathfrak{R}_2, \mathfrak{R}_3$  and  $\mathfrak{R}_4$ , respectively [1]. In the following, we prove that  $T_2^*, T_3^*, T_4^{(i)}$  ( $i = 1, 2, 3$ ) have the minimal spectral radius in  $\mathfrak{R}_2, \mathfrak{R}_3, \mathfrak{R}_4$ , respectively, when  $k \geq 3$ .

### 3.1 The minimal spectral radius of trees in $\mathfrak{R}_2$

**Theorem 3.2** *If  $T \in \mathfrak{R}_2$ , then  $\rho(T) \geq \rho(T_2^*)$ , with equality if and only if  $T = T_2^*$ .*

**Proof.** Since  $T \in \mathfrak{R}_2$ , we have  $3 \leq d(T) \leq 4$ . If  $d(T) = 4$ , then  $T \in \mathcal{T}_2$ . If  $T \neq T_2^*$ , then from Lemma 2.4, we have  $\rho(T) > \rho(T_2^*)$ . If  $d(T) = 3$ , then  $T_2^*$  can be obtained from  $H_2(k, k-1)$  by subdividing the nonpendant edge and deleting a suitable pendant vertex. From Lemmas 2.2, 2.3 and 2.7, we have  $\rho(T) \geq \rho(H_2(k, k-1)) > \rho(T_2^*)$ .  $\square$

### 3.2 The minimal spectral radius of trees in $\mathfrak{R}_3$

**Lemma 3.3** *Fix  $r$ , let  $T_3(s, r, t) \in \mathcal{T}_3$ . Then  $\rho(T_3(s, r, t)) \geq \rho(T_3(\lfloor \frac{s+t}{2} \rfloor, r, \lceil \frac{s+t}{2} \rceil))$  with equality if and only if  $T_3(s, r, t) = T_3(\lfloor \frac{s+t}{2} \rfloor, r, \lceil \frac{s+t}{2} \rceil)$ .*

**Proof.** If  $|s-t| \leq 1$ , then  $T_3(s, r, t) = T_3(\lfloor \frac{s+t}{2} \rfloor, r, \lceil \frac{s+t}{2} \rceil) = T_3(\lceil \frac{s+t}{2} \rceil, r, \lfloor \frac{s+t}{2} \rfloor)$ ; if  $|s-t| > 1$ , applying Lemma 2.4 to  $T_3(\lfloor \frac{s+t}{2} \rfloor, r, \lceil \frac{s+t}{2} \rceil)$ , we have either  $\rho(T_3(s, r, t)) > \rho(T_3(\lfloor \frac{s+t}{2} \rfloor, r, \lceil \frac{s+t}{2} \rceil))$  or  $\rho(T_3(t, r, s)) > \rho(T_3(\lfloor \frac{s+t}{2} \rfloor, r, \lceil \frac{s+t}{2} \rceil))$ . Note that  $\rho(T_3(s, r, t)) = \rho(T_3(t, r, s))$ . The result follows.  $\square$

**Lemma 3.4** *If  $T \in \mathfrak{R}_3$ ,  $d(T) = 6$ , then  $\rho(T) \geq \rho(T_3^*)$ , with equality if and only if  $T = T_3^*$ .*

**Proof.** Since  $T \in \mathfrak{R}_3$ ,  $d(T) = 6$ , we have  $T = T_3(s, r, t) \in \mathcal{T}_3$ , where  $s+r+t+7 = 3k+1$ . From Lemma 3.3, we have  $\rho(T) \geq \rho(T_3(\lfloor \frac{s+t}{2} \rfloor, r, \lceil \frac{s+t}{2} \rceil))$ , with equality if and only if  $T = T_3(\lfloor \frac{s+t}{2} \rfloor, r, \lceil \frac{s+t}{2} \rceil)$ . We distinguish the following three cases.

**Case 1.**  $k-r-2$  is an even number. If  $k-r-2 = 0$ , then  $\lfloor \frac{s+t}{2} \rfloor = \lceil \frac{s+t}{2} \rceil = k-2$ . The result follows. If  $k-r-2 \neq 0$ , then  $\lfloor \frac{s+t}{2} \rfloor = \lceil \frac{s+t}{2} \rceil = \frac{3k-6-r}{2}$ .

From Lemma 2.5, we have

$$\begin{aligned} & \Phi(T_3(\frac{3k-6-r}{2}, r, \frac{3k-6-r}{2})) \\ &= x^{3k-5} (x^2 - \frac{3k-r-2}{2}) (x^4 - \frac{3k+r+2}{2} x^2 + \frac{3kr-r^2}{2} + 3k - 2r - 4). \end{aligned}$$

So,  $\rho(T) \geq \rho(T_3(\frac{3k-6-r}{2}, r, \frac{3k-6-r}{2})) = \sqrt{k + \frac{\sqrt{9(\frac{k-2-r}{2})^2 + 8 - \frac{k-2-r}{2}}}{2}} > \sqrt{k + \sqrt{2}} = \rho(T_3^*)$

**Case 2.**  $k-2-r$  is an odd number and  $k-2-r \geq 1$ . Then  $\lfloor \frac{s+t}{2} \rfloor = \frac{3k-7-r}{2}$ ,  $\lceil \frac{s+t}{2} \rceil = \frac{3k-5-r}{2}$ . By Lemmas 2.1 and 3.3, we have

$$\rho(T) \geq \rho(T_3(\frac{3k-7-r}{2}, r, \frac{3k-5-r}{2})) > \sqrt{\frac{3k-1-r}{2}}. \quad (3.1)$$

If  $\rho(T_3(\frac{3k-7-r}{2}, r+1, \frac{3k-7-r}{2})) \leq \sqrt{\frac{3k-1-r}{2}}$ , then from Eq. (3.1), we have

$$\begin{aligned} \rho(T) &\geq \rho(T_3(\frac{3k-7-r}{2}, r, \frac{3k-5-r}{2})) \\ &> \rho(T_3(\frac{3k-7-r}{2}, r+1, \frac{3k-7-r}{2})). \end{aligned} \quad (3.2)$$

If  $\rho(T_3(\frac{3k-7-r}{2}, r+1, \frac{3k-7-r}{2})) > \sqrt{\frac{3k-1-r}{2}}$ , then from Lemma 2.5, we have for  $x > \sqrt{\frac{3k-1-r}{2}}$ ,

$$\begin{aligned} &\Phi(T_3(\frac{3k-7-r}{2}, r, \frac{3k-5-r}{2})) - \Phi(T_3(\frac{3k-7-r}{2}, r+1, \frac{3k-7-r}{2})) \\ &= x^{3k-5} \left( \frac{3r-3k+7}{2} x^2 + \frac{(3k-3r-7)(3k-r-3)}{4} + 1 \right) \\ &< x^{3k-5} \left( \frac{3r-3k+9}{2} \right) \leq 0. \end{aligned}$$

From Lemma 2.6 and Eq. (3.1), we have

$$\begin{aligned} \rho(T) &\geq \rho(T_3(\frac{3k-7-r}{2}, r, \frac{3k-5-r}{2})) \\ &> \rho(T_3(\frac{3k-7-r}{2}, r+1, \frac{3k-7-r}{2})). \end{aligned} \quad (3.3)$$

Since  $k-2-r$  is an odd number,  $k-2-(r+1)$  is an even number. Thus from Eqs. (3.2), (3.3) and Case 1, we have  $\rho(T) > \rho(T_3(\frac{3k-7-r}{2}, r+1, \frac{3k-7-r}{2})) \geq \rho(T_3^*)$ .

**Case 3.**  $k-2-r$  is an odd number and  $k-2-r \leq -1$ . Then  $\lfloor \frac{s+t}{2} \rfloor = \frac{3k-7-r}{2}$ ,  $\lceil \frac{s+t}{2} \rceil = \frac{3k-5-r}{2}$ . From Lemmas 2.1 and 3.3, we have

$$\rho(T) \geq \rho(T_3(\frac{3k-7-r}{2}, r, \frac{3k-5-r}{2})) > \sqrt{r+2}. \quad (3.4)$$

If  $\rho(T_3(\frac{3k-5-r}{2}, r-1, \frac{3k-5-r}{2})) \leq \sqrt{r+2}$ , from Eq. (3.4), we have

$$\begin{aligned} \rho(T) &\geq \rho(T_3(\frac{3k-7-r}{2}, r, \frac{3k-5-r}{2})) \\ &> \rho(T_3(\frac{3k-5-r}{2}, r-1, \frac{3k-5-r}{2})). \end{aligned} \quad (3.5)$$

If  $\rho(T_3(\frac{3k-5-r}{2}, r-1, \frac{3k-5-r}{2})) > \sqrt{r+2}$ , then from Lemma 2.5, we have for  $x > \sqrt{r+2}$ ,

$$\begin{aligned} &\Phi(T_3(\frac{3k-7-r}{2}, r, \frac{3k-5-r}{2})) - \Phi(T_3(\frac{3k-5-r}{2}, r-1, \frac{3k-5-r}{2})) \\ &= x^{3k-5}(-\frac{3r-3k+5}{2}x^2 - \frac{(3k-r-1)(3k-3r-5)}{4} - 1) \\ &< x^{3k-5}(-(\frac{3r-3k+5}{2})^2 - 1) < 0. \end{aligned}$$

Form Lemma 2.6 and Eq. (3.4), we have

$$\begin{aligned} \rho(T) &\geq \rho(T_3(\frac{3k-7-r}{2}, r, \frac{3k-5-r}{2})) \\ &> \rho(T_3(\frac{3k-5-r}{2}, r-1, \frac{3k-5-r}{2})). \end{aligned} \quad (3.6)$$

Since  $k-2-r$  is an odd number,  $k-2-(r-1)$  is an even number. From Eqs. (3.5), (3.6) and Case 1, we have  $\rho(T) > \rho(T_3(\frac{3k-5-r}{2}, r-1, \frac{3k-5-r}{2})) \geq \rho(T_3^*)$ .  $\square$

Let  $H_3(s_1, s_2, s_3), F_3(s_1, s_2, s_3), L_3(s_1, s_2, s_3), L_3^{(a)}(s_1, s_2, s_3)$  be the graphs as Fig. 1. Let  $\mathcal{H}_3 = \{H_3(s_1, s_2, s_3) \mid s_1, s_3 \geq 1, s_2 \geq 0, \sum_{i=1}^3 s_i + 4 = 3k+1, k \geq 3\}$ ,  $\mathcal{F}_3 = \{F_3(s_1, s_2, s_3) \mid s_1, s_2, s_3 \geq 1, \sum_{i=1}^3 s_i + 3 = 3k+1, k \geq 3\}$ ,  $\mathcal{L}_3 = \{L_3(s_1, s_2, s_3) \mid s_1, s_2, s_3 \geq 1, \sum_{i=1}^3 s_i + 4 = 3k+1, k \geq 3\}$ ,  $\mathcal{L}_3^{(a)} = \{L_3^{(a)}(s_1, s_2, s_3) \mid s_1, s_2, s_3 \geq 1, \sum_{i=1}^3 s_i + 5 = 3k+1, k \geq 3\}$ .

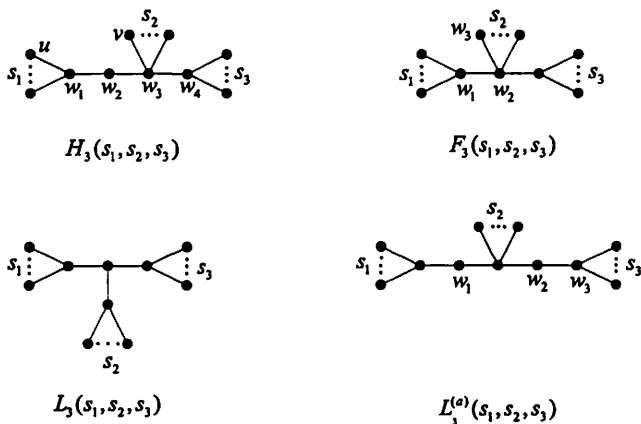


Figure 1: Trees  $H_3(s_1, s_2, s_3)$ ,  $F_3(s_1, s_2, s_3)$ ,  $L_3(s_1, s_2, s_3)$ ,  $L_3^{(a)}(s_1, s_2, s_3)$ .

**Theorem 3.5** *If  $T \in \mathfrak{R}_3$ , then  $\rho(T) \geq \rho(T_3^*)$ , with equality if and only if  $T = T_3^*$ .*

**Proof.** Since  $T \in \mathfrak{R}_3$ , we have  $4 \leq d(T) \leq 6$ . Without loss of generality, we distinguish the following three cases.

**Case 1.** If  $d(T) = 6$ , then from Lemma 3.4, the result follows.

**Case 2.** If  $d(T) = 5$ , then  $T \in \mathcal{H}_3$ . Thus  $T = H_3(s_1, s_2, s_3)$ , where  $\sum_{i=1}^3 s_i + 4 = 3k + 1$ . We consider the following two subcases.

**Subcase 2.1.** If  $s_2 = 0$ , then  $s_1 + s_3 = 3k - 3$  ( $k \geq 3$ ). Suppose that  $s_1 \geq s_3$ . If  $s_3 = 1$ , then  $s_1 = 3k - 4$ ,  $\Delta(T) = s_1 + 1 = 3k - 3 > k + 2$ . From Lemmas 2.1 and 3.1, we have  $\rho(T) = \rho(H_3(s_1, 0, 1)) > \rho(T_3^*)$ . If  $s_3 \geq 2$ , then we obtain  $T_3(s_1 - 2, 0, s_3 - 1) \in \mathcal{T}_3$  from  $H_3(s_1, 0, s_3)$  by subdividing the edge  $w_3w_4$  and deleting the vertex  $u$ . From Lemmas 2.2, 2.3 and 3.4, we have  $\rho(T) = \rho(H_3(s_1, 0, s_3)) > \rho(T_3(s_1 - 2, 0, s_3 - 1)) \geq \rho(T_3^*)$ .

**Subcase 2.2.**  $s_2 \geq 1$ . If  $s_3 = 1$ , then  $s_1 + s_2 = 3k - 4$ . Thus  $\Delta(T) \geq 2k > k + 2$  ( $k \geq 3$ ). From Lemmas 2.2 and 3.1, we have  $\rho(T) = \rho(H_3(s_1, s_2, 1)) > \rho(T_3^*)$ . If  $s_3 \geq 2$ , then we obtain  $T_3(s_1, s_2 - 1, s_3 - 1) \in \mathcal{T}_3$  from  $H_3(s_1, s_2, s_3)$  by subdividing the edge  $w_3w_4$  and deleting the vertex  $v$ . From Lemmas 2.2,



2.3 and 3.4, we have  $\rho(T) = \rho(H_3(s_1, s_2, s_3)) > \rho(T_3(s_1-1, s_2-1, s_3-1)) \geq \rho(T_3^*)$ .

**Case 3.** If  $d(T) = 4$ , then  $T \in \mathcal{F}_3 \cup \mathcal{L}_3$ . We distinguish the following two subcases.

**Subcase 3.1.** If  $T \in \mathcal{F}_3$ , then  $T = F_3(s_1, s_2, s_3)$ , where  $\sum_{i=1}^3 s_i + 3 = 3k + 1$ . If  $s_1 = s_3 = 1$ , then  $s_2 = 3k - 4$ ,  $\Delta(T) = s_2 + 2 = 3k - 4 \geq k + 2$  ( $k \geq 3$ ). From Lemmas 2.1 and 3.1, we have  $\rho(T) = \rho(F_3(1, s_2, 1)) > \rho(T_3^*)$ . If  $s_1 \geq 2$  or  $s_2 \geq 2$ , then we can suppose  $s_1 \geq 2$  by symmetry. Furthermore, the graph  $H_3(s_1, s_2 - 1, s_3) \in \mathcal{H}_3$  can be obtained from  $F_3(s_1, s_2, s_3)$  by subdividing the edge  $w_1w_2$  and deleting the vertex  $w_3$ . From Lemmas 2.2, 2.3 and the proof of Case 2, we have  $\rho(T) = \rho(F_3(s_1, s_2, s_3)) > \rho(H_3(s_1, s_2 - 1, s_3)) > \rho(T_3^*)$ .

**Subcase 3.2.** If  $T \in \mathcal{L}_3$ , then  $T = L_3(s_1, s_2, s_3)$ , where  $\sum_{i=1}^3 s_i + 4 = 3k + 1$ . Let  $L_3^{(a)}(s_1, s_2 - 1, s_3) \in \mathcal{L}_3^{(a)}$ , then  $L_3^{(a)}(s_1, s_2 - 1, s_3) \in \mathcal{T}_3$  and  $L_3^{(a)}(s_1, s_2 - 1, s_3) - w_2w_3 + w_1w_3 = L_3(s_1, s_2, s_3) \in \mathcal{L}_3$ . Let  $x = (x_1, x_2, \dots, x_n)^T$  be the Perron vector of  $L_3(s_1, s_2, s_3)$  and suppose  $x_{w_1} \geq x_{w_2}$ . From Lemmas 2.4 and 3.4, we have  $\rho(T) = \rho(L_3(s_1, s_2, s_3)) = \rho(L_3^{(a)}(s_1, s_2 - 1, s_3) - w_2w_3 + w_1w_3) > \rho(L_3^{(a)}(s_1, s_2 - 1, s_3)) \geq \rho(T_3^*)$ .  $\square$

### 3.3 The minimal spectral radius of trees in $\mathfrak{R}_4$

**Lemma 3.6** *If  $T \in \mathfrak{R}_4$ , then  $\Delta(T) \geq k$ . Moreover, if  $T$  has the minimal spectral radius, then  $k \leq \Delta(T) \leq k + 1$ .*

**Proof.** From [5], it is easy to see that  $\Delta(T) \geq k$ . If  $T$  has the minimal spectral radius and  $\Delta(T) \geq k + 2$ , from Lemma 2.1, we have  $\rho(T) > \sqrt{\Delta(T)} \geq \sqrt{k + 2} > \sqrt{k + \frac{1 + \sqrt{5}}{2}} = \rho(T_4^{(1)})$ , a contradiction.  $\square$

**Lemma 3.7** *If  $T \in \mathfrak{R}_4$ ,  $d(T) = 8$ , then  $\rho(T) \geq \rho(T_4^{(1)})$ , with equality if and only if  $T \in \{T_4^{(1)}, T_4^{(2)}, T_4^{(3)}\}$ .*

**Proof.** Since  $T \in \mathfrak{R}_4$ ,  $d(T) = 8$ , we have  $T \in \mathcal{T}_4$ . So, we can suppose that  $T = T_4(s_1, s_2, s_3, s_4)$ , where  $\sum_{i=1}^4 s_i + 9 = 4k + 1$ . From Lemma 2.5, we have

$$\begin{aligned}
\Phi(T) &= x^{\sum_{i=1}^4 s_i + 1} [x^8 - (\sum_{i=1}^4 s_i + 8)x^6 + (\frac{1}{2} \sum_{\substack{i,j=1 \\ i \neq j}}^4 s_i s_j + 6 \sum_{i=1}^4 s_i + 21)x^4 \\
&\quad - (\frac{1}{6} \sum_{\substack{i,j,k=1 \\ i \neq j \neq k}}^4 s_i s_j s_k + 2 \sum_{\substack{i,j=1 \\ i \neq j}}^4 s_i s_j + 10 \sum_{i=1}^4 s_i + s_2 + s_3 + 20)x^2 \\
&\quad + \prod_{i=1}^4 s_i + \frac{1}{3} \sum_{\substack{i,j,k=1 \\ i \neq j \neq k}}^4 s_i s_j s_k + 2 \sum_{\substack{i,j=1 \\ i \neq j}}^4 s_i s_j - s_1 s_2 - s_1 s_4 - s_3 s_4 \\
&\quad + 6 \sum_{i=1}^4 s_i - 2s_1 - 2s_4 + 5]. \tag{3.7}
\end{aligned}$$

From the proof of Lemma 3.6, we suppose  $k \leq \Delta(T) \leq k + 1$ . If  $\Delta(T) = k$ , then  $T = T_4^{(1)}$ . If  $\Delta(T) = k + 1$ , then  $s_i \leq k - 1 (1 \leq i \leq 4)$ ,  $\sum_{i=1}^4 s_i = 4k - 8$ . Thus, at least one and at most three of the  $s_i$ 's are  $k - 1$ . If there are three of the  $s_i$ 's are  $k - 1$ , then another one is  $k - 5$ . Thus  $T \in \{T_4(k - 1, k - 1, k - 5, k - 1), T_4(k - 1, k - 1, k - 1, k - 5)\}$ . If there are two of the  $s_i$ 's are  $k - 1$ , then another two are  $k - 2, k - 4$  or  $k - 3, k - 3$ .

Thus  $T$  must be one of the following trees:

$$\begin{aligned}
&T_4(k - 1, k - 1, k - 2, k - 4), T_4(k - 1, k - 1, k - 4, k - 2), T_4(k - 1, k - 2, k - 1, k - 4), \\
&T_4(k - 1, k - 4, k - 1, k - 2), T_4(k - 1, k - 2, k - 4, k - 1), \\
&T_4(k - 2, k - 1, k - 1, k - 4), T_4(k - 1, k - 1, k - 3, k - 3), T_4(k - 1, k - 3, k - 1, k - 3), \\
&T_4(k - 1, k - 3, k - 3, k - 1) = T_4^{(3)}, T_4(k - 3, k - 1, k - 1, k - 3).
\end{aligned}$$

If there is only one of the  $s_i$ 's is  $k - 1$ , then another three are  $k - 2, k - 2, k - 3$ .

Thus  $T$  must be one of the following trees:

$$\begin{aligned}
&T_4(k - 1, k - 2, k - 2, k - 3), T_4(k - 1, k - 2, k - 3, k - 2), T_4(k - 1, k - 3, k - 2, k - 2) = T_4^{(2)}, \\
&T_4(k - 2, k - 1, k - 2, k - 3), T_4(k - 2, k - 1, k - 3, k - 2), \\
&T_4(k - 3, k - 1, k - 2, k - 2).
\end{aligned}$$

When  $x \geq \rho(T_4^{(1)}) = \sqrt{k + \frac{1+\sqrt{5}}{2}}$ , from Eq. (3.7), we have

$$\Phi(T_4(k-1, k-1, k-5, k-1)) - \Phi(T_4^{(1)}) = x^{4k-7}[-6x^4 + (12k+10)x^2 - 6k^2 - 10k - 2] \leq x^{4k-7}(2\sqrt{5} - 6) < 0;$$

$$\Phi(T_4(k-1, k-1, k-1, k-5)) - \Phi(T_4^{(1)}) = x^{4k-7}[-6x^4 + (12k+6)x^2 - 6k^2 - 6k + 2] \leq x^{4k-7}(-4) < 0;$$

$$\Phi(T_4(k-1, k-1, k-2, k-4)) - \Phi(T_4^{(1)}) = x^{4k-7}[-3x^4 + (6k+1)x^2 - 3k^2 - 3k + 1] \leq x^{4k-7}(-3 - \sqrt{5}) < 0;$$

$$\Phi(T_4(k-1, k-1, k-4, k-2)) - \Phi(T_4^{(1)}) = x^{4k-7}[-3x^4 + (6k+3)x^2 - 3k^2 - k - 1] \leq x^{4k-7}(-4) < 0;$$

$$\Phi(T_4(k-1, k-2, k-1, k-4)) - \Phi(T_4^{(1)}) = x^{4k-7}[-3x^4 + (6k+1)x^2 - 3k^2 - k + 4] \leq x^{4k-7}(-\sqrt{5}) < 0;$$

$$\Phi(T_4(k-1, k-4, k-1, k-2)) - \Phi(T_4^{(1)}) = x^{4k-7}[-3x^4 + (6k+3)x^2 - 3k^2 - 3k + 2] \leq x^{4k-7}(-1) < 0;$$

$$\Phi(T_4(k-1, k-2, k-4, k-1)) - \Phi(T_4^{(1)}) = x^{4k-7}[-3x^4 + (6k+4)x^2 - 3k^2 - 4k + 1] \leq x^{4k-7}\left(\frac{\sqrt{5}-3}{2}\right) < 0;$$

$$\Phi(T_4(k-2, k-1, k-1, k-4)) - \Phi(T_4^{(1)}) = x^{4k-7}(-3x^4 + 6kx^2 - 3k^2 + 2) \leq x^{4k-7}\left(\frac{-3\sqrt{5}-5}{2}\right) < 0;$$

$$\Phi(T_4(k-1, k-1, k-3, k-3)) - \Phi(T_4^{(1)}) = x^{4k-7}(-2x^4 + 4kx^2 - 2k^2) \leq x^{4k-7}(-\sqrt{5} - 3) < 0;$$

$$\Phi(T_4(k-1, k-3, k-1, k-3)) - \Phi(T_4^{(1)}) = x^{4k-7}(-2x^4 + 4kx^2 - 2k^2 + 4) \leq x^{4k-7}(-\sqrt{5} + 1) < 0;$$

$$\Phi(T_4(k-3, k-1, k-1, k-3)) - \Phi(T_4^{(1)}) = x^{4k-7}[-2x^4 + (4k-2)x^2 - 2k^2 + 2k + 2] \leq x^{4k-7}(-2 - 2\sqrt{5}) < 0;$$

$$\Phi(T_4(k-1, k-2, k-2, k-3)) - \Phi(T_4^{(1)}) = x^{4k-7}(-x^4 + 2kx^2 - k^2 + 1) \leq x^{4k-7}\left(\frac{-\sqrt{5}-1}{2}\right) < 0;$$

$$\Phi(T_4(k-1, k-2, k-3, k-2)) - \Phi(T_4^{(1)}) = x^{4k-7}[-x^4 + (2k+1)x^2 - k^2 - k] \leq x^{4k-7}(-1) < 0;$$

$$\Phi(T_4(k-2, k-1, k-2, k-3)) - \Phi(T_4^{(1)}) = x^{4k-7}[-x^4 + (2k-1)x^2 - k^2 + k] \leq x^{4k-7}(-2 - \sqrt{5}) < 0;$$

$$\Phi(T_4(k-2, k-1, k-3, k-2)) - \Phi(T_4^{(1)}) = x^{4k-7}[-x^4 + 2kx^2 - k^2] \leq x^{4k-7}\left(\frac{-\sqrt{5}-3}{2}\right) < 0;$$

$$\Phi(T_4(k-3, k-1, k-2, k-2)) - \Phi(T_4^{(1)}) = x^{4k-7}[-x^4 + (2k-1)x^2 - k^2 + k + 1] \leq x^{4k-7}(-\sqrt{5} - 1) < 0.$$

By Lemmas 2.6 and 3.1, we have  $\rho(T) \geq \rho(T_4^{(1)})$ , with equality if and only if  $T \in \{T_4^{(1)}, T_4^{(2)}, T_4^{(3)}\}$ .  $\square$

Let  $F_4(s_1, s_2, s_3, s_4)$  and  $H_4(s_1, s_2, s_3, s_4)$  be trees defined as Fig. 2. Let  $\mathcal{F}_4 = \{F_4(s_1, s_2, s_3, s_4) \mid s_1, s_4 \geq 1, s_2, s_3 \geq 0, \sum_{i=1}^4 s_i + 6 = 4k + 1, k \geq 3\}$ ,  $\mathcal{H}_4 = \{F_4(s_1, s_2, s_3, s_4) \mid s_i \geq 1, \sum_{i=1}^4 s_i + 6 = 4k + 1, 1 \leq i \leq 4, k \geq 3\}$ .

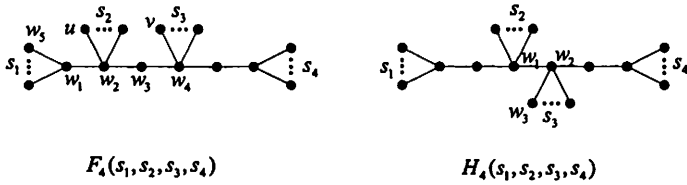


Figure 2: Trees  $F_4(s_1, s_2, s_3, s_4), H_4(s_1, s_2, s_3, s_4)$ .

**Lemma 3.8** *If  $T \in \mathcal{R}_4$  and  $d(T) = 7$ , then  $\rho(T) > \rho(T_4^{(1)})$ .*

**Proof.** Since  $T \in \mathcal{R}_4$ ,  $d(T) = 7$ , we have  $T \in \{\mathcal{F}_4, \mathcal{H}_4\}$ . We separate into the following two cases.

**Case 1.**  $T \in \mathcal{F}_4$ , then  $T = F_4(s_1, s_2, s_3, s_4)$ , where  $\sum_{i=1}^4 s_i + 6 = 4k + 1$ ,  $s_1, s_4 \geq 1, s_2, s_3 \geq 0$ . We consider the following four subcases.

**Subcase 1.1.** If  $s_2 = s_3 = 0$ , then  $s_1 + s_4 = 4k - 5$ . So we suppose that  $s_1 > s_4$ . If  $s_4 = 1$ , then  $s_1 = 4k - 6$ ,  $\Delta(T) = s_1 + 1 = 4k - 5 > k + 1$  ( $k \geq 3$ ). From Lemma 3.6, we have  $\rho(T) = \rho(F_4(s_1, 0, 0, 1)) > \rho(T_4^{(1)})$ . If  $s_4 \geq 2$ , then  $s_1 > s_4 \geq 2$ . Thus we obtain  $T_4(s_1 - 2, 0, 0, s_4 - 1) \in \mathcal{T}_4$  from  $F_4(s_1, 0, 0, s_4)$  by subdividing the edge  $w_1 w_2$  and deleting the vertex  $w_5$ . From Lemma 3.7, we have  $\rho(T_4(s_1 - 2, 0, 0, s_4 - 1)) \geq \rho(T_4^{(1)})$ . Therefore  $\rho(T) = \rho(F_4(s_1, 0, 0, s_4)) > \rho(T_4^{(1)})$ .

**Subcase 1.2.** If  $s_2 = 0, s_3 \geq 1$ , then  $s_1 + s_3 + s_4 = 4k - 5$ . If  $s_1 = 1$ , then

$s_3 + s_4 = 4k - 6, \Delta(T) \geq 2k - 1 \geq k + 2$  ( $k \geq 3$ ). From Lemma 3.6, we have  $\rho(T) = \rho(F_4(1, 0, s_3, s_4)) > \rho(T_4^{(1)})$ . If  $s_1 \geq 2$ , then we obtain  $T_4(s_1 - 1, 0, s_3 - 1, s_4 - 1) \in \mathcal{T}_4$  from  $F_4(s_1, 0, s_3, s_4)$  by subdividing the edge  $w_1w_2$  and deleting the vertex  $v$ . From Lemmas 2.2 and 2.3, we have  $\rho(F_4(s_1, 0, s_3, s_4)) > \rho(T_4(s_1 - 1, 0, s_3 - 1, s_4 - 1))$ . From Lemma 3.7, we have  $\rho(T_4(s_1 - 1, 0, s_3 - 1, s_4 - 1)) \geq \rho(T_4^{(1)})$ . Therefore  $\rho(T) = \rho(F_4(s_1, 0, s_3, s_4)) > \rho(T_4^{(1)})$ .

**Subcase 1.3.** If  $s_2 \geq 1, s_3 = 0$ , then  $s_1 + s_2 + s_4 = 4k - 5$ . If  $s_1 = 1$ , then  $s_2 + s_4 = 4k - 6, \Delta(T) \geq 2k - 1 \geq k + 2$  ( $k \geq 3$ ). From Lemma 3.6, we have  $\rho(T) = \rho(F_4(1, s_2, 0, s_4)) > \rho(T_4^{(1)})$ . If  $s_1 \geq 2$ , then we obtain  $T_4(s_1 - 1, s_2 - 1, 0, s_4 - 1) \in \mathcal{T}_4$  from  $F_4(s_1, s_2, 0, s_4)$  by subdividing  $w_1w_2$  and deleting  $u$ . From Lemmas 2.2 and 2.3, we have  $\rho(F_4(s_1, s_2, 0, s_4)) > \rho(T_4(s_1 - 1, s_2 - 1, 0, s_4 - 1))$ . From Lemma 3.7, we have  $\rho(T_4(s_1 - 1, s_2 - 1, 0, s_4 - 1)) \geq \rho(T_4^{(1)})$ . Therefore  $\rho(T) = \rho(F_4(s_1, s_2, 0, s_4)) > \rho(T_4^{(1)})$ .

**Subcase 1.4.**  $s_2, s_3 \geq 1$ . If  $s_1 = 1$ , then we obtain  $F_4(s_2 + 1, 0, s_3, s_4) \in \mathcal{F}_4$  from  $F_4(1, s_2, s_3, s_4)$  by subdividing the edge  $w_2w_3$  and deleting the vertex  $w_5$ . From Lemmas 2.2, 2.3 and Subcase 1.2, we have  $\rho(F_4(1, s_2, s_3, s_4)) > \rho(F_4(s_2 + 1, 0, s_3, s_4)) > \rho(T_4^{(1)})$ . If  $s_2 \geq 2$ , then we get  $T_4(s_1 - 1, s_2 - 1, s_3, s_4 - 1) \in \mathcal{T}_4$  by subdividing  $w_1w_2$  and deleting  $u$  of  $F_4(s_1, s_2, s_3, s_4)$ . From Lemmas 2.2, 2.3 and 3.7, we have  $\rho(F_4(s_1, s_2, s_3, s_4)) > \rho(T_4(s_1 - 1, s_2 - 1, s_3, s_4 - 1)) \geq \rho(T_4^{(1)})$ . Thus  $\rho(T) = \rho(F_4(s_1, s_2, s_3, s_4)) > \rho(T_4^{(1)})$ .

**Case 2.** If  $T \in \mathcal{H}_4$ , then  $T = H_4(s_1, s_2, s_3, s_4)$ , where  $s_i \geq 1, \sum_{i=1}^4 s_i + 6 = 4k + 1, 1 \leq i \leq 4$ . We obtain  $T_4(s_1 - 1, s_2, s_3 - 1, s_4 - 1) \in \mathcal{T}_4$  from  $H_4(s_1, s_2, s_3, s_4)$  by subdividing the edge  $w_1w_2$  and deleting the vertex  $w_3$ . From Lemmas 2.2, 2.3 and 3.7, we have  $\rho(H_4(s_1, s_2, s_3, s_4)) > \rho(T_4(s_1 - 1, s_2, s_3 - 1, s_4 - 1)) \geq \rho(T_4^{(1)})$ . Therefore  $\rho(T) = \rho(H_4(s_1, s_2, s_3, s_4)) > \rho(T_4^{(1)})$ .  $\square$

Let  $I_4(s_1, s_2, s_3, s_4), J_4(s_1, s_2, s_3, s_4), L_4(s_1, s_2, s_3, s_4), M_4(s_1, s_2, s_3, s_4), N_4(s_1, s_2, s_3, s_4)$  be trees defined as Fig. 3. Let  $\mathcal{I}_4 = \{I_4(s_1, s_2, s_3, s_4) |$

$$\begin{aligned}
& s_1, s_2, s_4 \geq 1, s_3 \geq 0, \sum_{i=1}^4 s_i + 5 = 4k + 1, k \geq 3\}, \mathcal{J}_4 = \{J_4(s_1, s_2, s_3, s_4) \mid \\
& s_1, s_2, s_3, s_4 \geq 1, \sum_{i=1}^4 s_i + 5 = 4k + 1, k \geq 3\}, \mathcal{L}_4 = \{L_4(s_1, s_2, s_3, s_4) \mid \\
& s_1, s_2, s_3 \geq 1, s_4 \geq 0, \sum_{i=1}^4 s_i + 6 = 4k + 1, k \geq 3\}, \mathcal{M}_4 = \{M_4(s_1, s_2, s_3, s_4) \mid \\
& s_1, s_2, s_3 \geq 1, s_4 \geq 0, \sum_{i=1}^4 s_i + 7 = 4k + 1, k \geq 3\}, \mathcal{N}_4 = \{N_4(s_1, s_2, s_3, s_4) \mid \\
& s_1, s_2, s_3 \geq 1, s_4 \geq 0, \sum_{i=1}^4 s_i + 6 = 4k + 1, k \geq 3\}.
\end{aligned}$$

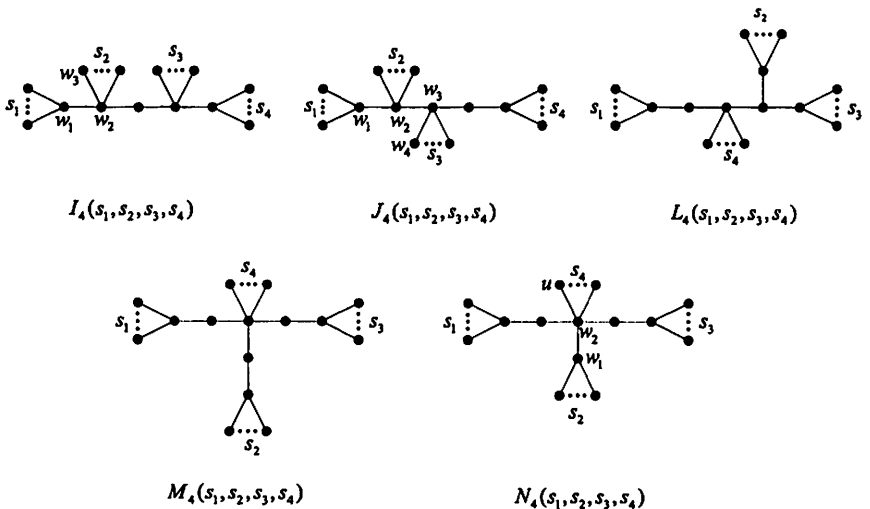


Figure 3: Trees  $I_4(s_1, s_2, s_3, s_4)$ ,  $J_4(s_1, s_2, s_3, s_4)$ ,  $L_4(s_1, s_2, s_3, s_4)$ ,  $M_4(s_1, s_2, s_3, s_4)$ ,  $N_4(s_1, s_2, s_3, s_4)$ .

**Lemma 3.9** *If  $T \in \mathcal{R}_4$  and  $d(T) = 6$ , then  $\rho(T) > \rho(T_4^{(1)})$ .*

**Proof.** Since  $T \in \mathcal{R}_4$ ,  $d(T) = 6$ , we have  $T \in \{\mathcal{I}_4, \mathcal{J}_4, \mathcal{L}_4, \mathcal{M}_4, \mathcal{N}_4\}$ . We distinguish the following five cases.

**Case 1.** If  $T \in \mathcal{I}_4$ , then  $T = I_4(s_1, s_2, s_3, s_4)$ ,  $s_1, s_2, s_4 \geq 1$ ,  $s_3 \geq 0$ ,  $\sum_{i=1}^4 s_i + 5 = 4k + 1$ . We consider the following two subcases.

**Subcase 1.1.**  $s_3 = 0$ . If  $s_1 = 1$ , then  $s_2 + s_4 = 4k - 5$ ,  $\Delta(T) \geq 2k - 1 \geq k + 2$  ( $k \geq 3$ ). From the proof of Lemma 3.6, we have  $\rho(T) > \rho(T_4^{(1)})$ . If  $s_1 \geq 2$ ,

we obtain  $F_4(s_4, 0, s_2 - 1, s_1) \in \mathcal{F}_4$  from  $I_4(s_1, s_2, 0, s_4)$  by subdividing the edge  $w_1w_2$  and deleting the vertex  $w_3$ . From Lemmas 2.2, 2.3 and 3.8, we have  $\rho(I_4(s_1, s_2, 0, s_4)) > \rho(F_4(s_4, 0, s_2 - 1, s_1)) > \rho(T_4^{(1)})$ . Therefore,  $\rho(T) = \rho(I_4(s_1, s_2, 0, s_4)) > \rho(T_4^{(1)})$ .

**Subcase 1.2.**  $s_3 \geq 1$ . If  $s_1 = s_4 = 1$ , then  $s_2 + s_3 = 4k - 6$ ,  $\Delta(T) \geq 2k - 2 \geq k + 2$  ( $k \geq 3$ ). From the proof of Lemma 3.6, we have  $\rho(T) > \rho(T_4^{(1)})$ . If  $s_1 \geq 2$ , then we obtain  $\bar{F}_4(s_4, s_3, s_2 - 1, s_1) \in \mathcal{F}_4$  from  $I_4(s_1, s_2, s_3, s_4)$  by subdividing the edge  $w_1w_2$  and deleting the vertex  $w_3$ . From Lemmas 2.2, 2.3 and 3.8, we have  $\rho(I_4(s_1, s_2, s_3, s_4)) > \rho(\bar{F}_4(s_4, s_3, s_2 - 1, s_1)) > \rho(T_4^{(1)})$ . Therefore,  $\rho(T) > \rho(T_4^{(1)})$ . If  $s_4 \geq 2$ , we get the result by the similar method.

**Case 2.** If  $T \in \mathcal{J}_4$ , then  $T = J_4(s_1, s_2, s_3, s_4)$ , where  $s_1, s_2, s_3, s_4 \geq 1$ ,  $\sum_{i=1}^4 s_i + 5 = 4k + 1$ . We obtain  $F_4(s_1, s_2, s_3 - 1, s_4) \in \mathcal{F}_4$  from  $J_4(s_1, s_2, s_3, s_4)$  by subdividing the edge  $w_2w_3$  and deleting the vertex  $w_4$ . From Lemmas 2.2, 2.3 and 3.8, we have  $\rho(J_4(s_1, s_2, s_3, s_4)) > \rho(F_4(s_1, s_2, s_3 - 1, s_4)) > \rho(T_4^{(1)})$ . Therefore  $\rho(T) = \rho(J_4(s_1, s_2, s_3, s_4)) > \rho(T_4^{(1)})$ .

**Case 3.** If  $T \in \mathcal{L}_4$ , then  $T = L_4(s_1, s_2, s_3, s_4)$ , where  $s_1, s_2, s_3 \geq 1, s_4 \geq 0$ ,  $\sum_{i=1}^4 s_i + 6 = 4k + 1$ . From Lemma 2.5, we have

$$\begin{aligned} & \Phi(L_4(s_1, s_2, s_3, s_4)) \\ &= x^{\sum_{i=1}^4 s_i - 2} [x^8 - (\sum_{i=1}^4 s_i + 5)x^6 + (\frac{1}{2} \sum_{\substack{i,j=1 \\ i \neq j}}^4 s_i s_j + 4 \sum_{i=1}^4 s_i - s_4 + 5)x^4 \\ & \quad - (\frac{1}{6} \sum_{\substack{i,j,k=1 \\ i \neq j \neq k}}^4 s_i s_j s_k + \sum_{\substack{i,j=1 \\ i \neq j}}^4 s_i s_j + \frac{1}{2} \sum_{\substack{i,j=1 \\ i \neq j}}^3 s_i s_j + 2 \sum_{i=1}^4 s_i + s_2 + s_3)x^2 \\ & \quad + \prod_{i=1}^4 s_i + \frac{1}{6} \sum_{\substack{i,j,k=1 \\ i \neq j \neq k}}^4 s_i s_j s_k + \prod_{i=1}^3 s_i + \frac{1}{2} \sum_{\substack{i,j=1 \\ i \neq j}}^4 s_i s_j - s_1 s_4]. \end{aligned} \tag{3.8}$$

We consider the following two subcases.

**Subcase 3.1.** If  $s_4 = 0$ , then  $s_1 + s_2 + s_3 = 4k - 5$ . If  $k > 5$ , then  $\Delta(T) \geq k + 2$ , from the proof of Lemma 3.6, we have  $\rho(T) > \rho(T_4^{(1)})$ . If

$k = 3$ , then  $s_1 + s_2 + s_3 = 7$ ,  $s_i \geq 1$  ( $i = 1, 2, 3$ ),  $3 \leq \Delta(T) \leq 4$ . Therefore  $T$  must be one of the following trees:

$$L_4(1, 3, 3, 0), L_4(3, 1, 3, 0), L_4(3, 2, 2, 0), L_4(2, 2, 3, 0).$$

If  $k = 4$ , then  $s_1 + s_2 + s_3 = 11$ ,  $s_i \geq 1$  ( $i = 1, 2, 3$ ),  $4 \leq \Delta(T) \leq 5$ . Therefore  $T \in \{L_4(3, 4, 4, 0), L_4(4, 4, 3, 0)\}$ . If  $k = 5$ , then  $s_1 + s_2 + s_3 = 15$ ,  $s_i \geq 1$  ( $i = 1, 2, 3$ ),  $5 \leq \Delta(T) \leq 6$ . Therefore  $T = L_4(5, 5, 5, 0)$ . By direct calculation, we have  $\rho(T) > \rho(T_4^{(1)})$ ,  $3 \leq k \leq 5$ .

**Subcase 3.2.** If  $s_4 \geq 1$ , then  $\sum_{i=1}^4 s_i = 4k - 5$ . From Lemma 3.6, we can suppose that  $k \leq \Delta(T) \leq k + 1$ . If  $\Delta(T) = k$ , then  $s_1, s_2, s_3 \leq k - 1$ ,  $s_4 \leq k - 2$ ,  $\sum_{i=1}^4 s_i = 4k - 5$ . Therefore  $T = L_4(k - 1, k - 1, k - 1, k - 2)$ .

If  $\Delta(T) = k + 1$ , then  $s_1, s_2, s_3 \leq k$ ,  $s_4 \leq k - 1$ ,  $\sum_{i=1}^4 s_i = 4k - 5$ . Thus, at most three of the  $s_i$ 's are  $k$ . If there are three of the  $s_i$ 's are  $k$ , then  $T = L_4(k, k, k, k - 5)$ . If there are two of the  $s_i$ 's are  $k$ , then another two must be  $k - 1, k - 4$  or  $k - 2, k - 3$ . Therefore  $T$  must be one of the following trees:

$$L_4(k, k, k - 1, k - 4), L_4(k - 1, k, k, k - 4), L_4(k, k, k - 4, k - 1), L_4(k - 4, k, k, k - 1), L_4(k, k, k - 2, k - 3), L_4(k - 2, k, k, k - 3), L_4(k, k, k - 3, k - 2), L_4(k - 3, k, k, k - 2).$$

If there is only one of the  $s_i$ 's is  $k$ , then another three must be  $k - 1, k - 1, k - 3$  or  $k - 1, k - 2, k - 2$ . Therefore  $T$  must be one of the following trees:

$$L_4(k, k - 1, k - 1, k - 3), L_4(k - 1, k, k - 1, k - 3), L_4(k, k - 1, k - 3, k - 1), L_4(k - 1, k, k - 3, k - 1), L_4(k - 3, k, k - 1, k - 1), L_4(k, k - 1, k - 2, k - 2), L_4(k - 1, k, k - 2, k - 2), L_4(k - 2, k, k - 1, k - 2), L_4(k, k - 2, k - 2, k - 1), L_4(k - 2, k, k - 2, k - 1).$$

If  $s_1, s_2, s_3, s_4 \leq k$ , then  $s_4 = k - 1$ . Therefore  $T \in \{L_4(k - 1, k - 1, k - 2, k - 1), L_4(k - 2, k - 1, k - 1, k - 1)\}$ .

When  $x \geq \rho(T_4^{(1)}) = \sqrt{k + \frac{1+\sqrt{5}}{2}}$ , from Eqs. (3.7) and (3.8), we have

$$\Phi(L_4(k - 1, k - 1, k - 1, k - 2)) - \Phi(T_4^{(1)}) = x^{4k-7}[-x^4 + (2k - 2)x^2 - k^2 + 2k] \leq x^{4k-7} \left( \frac{-5-3\sqrt{5}}{2} \right) < 0;$$





$$\Phi(L_4(k-1, k, k-2, k-2)) - \Phi(T_4^{(1)}) = x^{4k-7}[-2x^4 + (4k-2)x^2 - 2k^2 + 2k + 1] \leq x^{4k-7}(-3 - 2\sqrt{5}) < 0;$$

$$\Phi(L_4(k-2, k, k-1, k-2)) - \Phi(T_4^{(1)}) = x^{4k-7}[-2x^4 + (4k-3)x^2 - 2k^2 + 3k - 1] \leq x^{4k-7}\left(\frac{-11-5\sqrt{5}}{2}\right) < 0;$$

$$\Phi(L_4(k, k-2, k-2, k-1)) - \Phi(T_4^{(1)}) = x^{4k-7}[-3x^4 + (6k-2)x^2 - 3k^2 + 2k + 3] \leq x^{4k-7}\left(\frac{-5-5\sqrt{5}}{2}\right) < 0;$$

$$\Phi(L_4(k-2, k, k-2, k-1)) - \Phi(T_4^{(1)}) = x^{4k-7}[-3x^4 + (6k-4)x^2 - 3k^2 + 4k + 1] \leq x^{4k-7}\left(\frac{-11-7\sqrt{5}}{2}\right) < 0;$$

$$\Phi(L_4(k-1, k-1, k-2, k-1)) - \Phi(T_4^{(1)}) = x^{4k-7}[-2x^4 + (4k-3)x^2 - 2k^2 + 3k] \leq x^{4k-7}\left(\frac{-9-5\sqrt{5}}{2}\right) < 0;$$

$$\Phi(L_4(k-2, k-1, k-1, k-1)) - \Phi(T_4^{(1)}) = x^{4k-7}[-2x^4 + (4k-4)x^2 - 2k^2 + 4k - 1] \leq x^{4k-7}(-6 - 3\sqrt{5}) < 0.$$

From Lemma 2.6, we have  $\rho(T) > \rho(T_4^{(1)})$ .

**Case 4.** If  $T \in \mathcal{M}_4$ , then  $T = M_4(s_1, s_2, s_3, s_4)$ , where  $s_1, s_2, s_3 \geq 1$ ,  $s_4 \geq 0$ ,  $\sum_{i=1}^4 s_i = 4k - 6$ . From Lemma 2.5, we have

$$\begin{aligned} & \Phi(M_4(s_1, s_2, s_3, s_4)) \\ &= x^{\sum_{i=1}^4 s_i - 1} [x^8 - \left(\sum_{i=1}^4 s_i + 6\right)x^6 + \left(\frac{1}{2} \sum_{\substack{i,j=1 \\ i \neq j}}^4 s_i s_j + 5 \sum_{i=1}^4 s_i - 2s_4 + 9\right)x^4 \\ & - \left(\frac{1}{6} \sum_{\substack{i,j,k=1 \\ i \neq j \neq k}}^4 s_i s_j s_k + \sum_{\substack{i,j=1 \\ i \neq j}}^4 s_i s_j + \sum_{\substack{i,j=1 \\ i \neq j}}^3 s_i s_j + 5 \sum_{i=1}^4 s_i - 2s_4 + 4\right)x^2 + \prod_{i=1}^4 s_i \\ & + \frac{1}{6} \sum_{\substack{i,j,k=1 \\ i \neq j \neq k}}^4 s_i s_j s_k + 2 \prod_{i=1}^3 s_i + \frac{1}{2} \sum_{\substack{i,j=1 \\ i \neq j}}^4 s_i s_j + \frac{1}{2} \sum_{\substack{i,j=1 \\ i \neq j}}^3 s_i s_j + \sum_{i=1}^4 s_i]. \end{aligned} \tag{3.9}$$

We distinguish the following two subcases.

**Subcase 4.1.** If  $s_4 = 0$ , then  $s_1 + s_2 + s_3 = 4k - 6$ . If  $k > 6$ , then  $\Delta(T) \geq k + 2$ . From the proof of Lemma 3.6, we have  $\rho(T) = \rho(M_4(s_1, s_2, s_3, 0)) > \rho(T_4^{(1)})$ . If  $k = 3$ , then  $T \in \{M_4(1, 2, 3, 0), M_4(2, 2, 2, 0)\}$ ; if  $k = 4$ , then  $T \in \{M_4(2, 4, 4, 0), M_4(3, 3, 4, 0)\}$ ; if  $k = 5$ , then  $T = M_4(4, 5, 5, 0)$ ; if  $k =$

6, then  $T = M_4(6, 6, 6, 0)$ . We have  $\rho(T) > \rho(T_4^{(1)})$  by direct calculation for  $3 \leq k \leq 6$ .

**Subcase 4.2.** If  $s_4 \geq 1$ , then  $\sum_{i=1}^4 s_i = 4k - 6$ . From Lemma 3.6, we suppose that  $k \leq \Delta(T) \leq k + 1$ . If  $\Delta(T) = k$ , it is easy to see that  $s_1, s_2, s_3 \leq k - 1$ ,  $s_4 \leq k - 3$ . Therefore  $T = M_4(k - 1, k - 1, k - 1, k - 3)$ . If  $\Delta(T) = k + 1$ , then  $s_1, s_2, s_3 \leq k$ ,  $s_4 \leq k - 2$ . If  $s_1 = s_2 = s_3 = k$ , and for  $\sum_{i=1}^4 s_i = 4k - 6$ , then  $s_4 = k - 6$ . So  $T = M_4(k, k, k, k - 6)$ . If there are two with value of  $k$ , we just discuss  $s_1 = s_2 = k$  for symmetry. For  $s_1 = s_2 = k$ , and  $\sum_{i=1}^4 s_i = 4k - 6$ , we have  $s_3 + s_4 = 2k - 6$  and  $s_3, s_4 \in \{k - 2, k - 3, k - 4\}$ . Thus  $T$  must be one of the following trees:

$M_4(k, k, k - 1, k - 5)$ ,  $M_4(k, k, k - 2, k - 4)$ ,  $M_4(k, k, k - 4, k - 2)$ ,  $M_4(k, k, k - 3, k - 3)$ .

If there is only one with value of  $k$ , we just discuss  $s_1 = k$  for symmetry. For  $s_1 = k$  and  $\sum_{i=1}^4 s_i = 4k - 6$ , then  $s_2 + s_3 + s_4 = 3k - 6$  and  $s_2, s_3 \in \{k - 1, k - 2, k - 3, k - 4\}$ ,  $s_4 \in \{k - 2, k - 3, k - 4\}$ . Thus  $T$  must be one of the following trees:

$M_4(k, k - 1, k - 1, k - 4)$ ,  $M_4(k, k - 1, k - 2, k - 3)$ ,  $M_4(k, k - 1, k - 3, k - 2)$ ,  $M_4(k, k - 2, k - 2, k - 2)$ .

If  $s_i \leq k - 1$  ( $i = 1, 2, 3$ ), for  $\sum_{i=1}^4 s_i = 4k - 6$  and  $\Delta(T) = k + 1$ , then  $s_4 = k - 2$ .

Thus  $T = M_4(k - 1, k - 1, k - 2, k - 2)$ . When  $x \geq \rho(T_4^{(1)}) = \sqrt{k + \frac{1 + \sqrt{5}}{2}}$ , from lemma 3.7 and 3.9, we have

$$\Phi(M_4(k - 1, k - 1, k - 1, k - 3)) - \Phi(T_4^{(1)}) = x^{4k-7}(-1) < 0;$$

$$\Phi(M_4(k, k, k, k - 6)) - \Phi(T_4^{(1)}) = x^{4k-7}(-6x^4 + (12k + 14)x^2 - 6k^2 - 14k - 7) \leq x^{4k-7}(4\sqrt{5} - 9) < 0;$$

$$\Phi(M_4(k, k, k - 1, k - 5)) - \Phi(T_4^{(1)}) = x^{4k-7}(-3x^4 + (6k + 6)x^2 - 3k^2 - 6k - 2) \leq x^{4k-7}\left(\frac{3\sqrt{5}-7}{2}\right) < 0;$$

$$\Phi(M_4(k, k, k - 2, k - 4)) - \Phi(T_4^{(1)}) = x^{4k-7}(-2x^4 + (4k + 2)x^2 - 2k^2 - 2k + 1) \leq x^{4k-7}(-1) < 0;$$

$$\Phi(M_4(k, k, k-4, k-2)) - \Phi(T_4^{(1)}) = x^{4k-7}(-6x^4 + (12k+6)x^2 - 6k^2 - 6k + 1) \leq x^{4k-7}(-5) < 0;$$

$$\Phi(M_4(k, k, k-3, k-3)) - \Phi(T_4^{(1)}) = x^{4k-7}(-3x^4 + (6k+2)x^2 - 3k^2 - 2k + 2) \leq x^{4k-7}\left(\frac{-3-\sqrt{5}}{2}\right) < 0;$$

$$\Phi(M_4(k, k-1, k-1, k-4)) - \Phi(T_4^{(1)}) = x^{4k-7}(-x^4 + (2k+2)x^2 - k^2 - 2k - 1) \leq x^{4k-7}\left(\frac{-3+\sqrt{5}}{2}\right) < 0;$$

$$\Phi(M_4(k, k-1, k-2, k-3)) - \Phi(T_4^{(1)}) = x^{4k-7}(-x^4 + 2kx^2 - k^2) \leq x^{4k-7}\left(\frac{-3-\sqrt{5}}{2}\right) < 0;$$

$$\Phi(M_4(k, k-1, k-3, k-2)) - \Phi(T_4^{(1)}) = x^{4k-7}(-3x^4 + 6kx^2 - 3k^2 + 1) \leq x^{4k-7}\left(\frac{-3\sqrt{5}-7}{2}\right) < 0;$$

$$\Phi(M_4(k, k-2, k-2, k-2)) - \Phi(T_4^{(1)}) = x^{4k-7}(-2x^4 + (4k-2)x^2 - 2k^2 + 2k + 1) \leq x^{4k-7}(-3 - 2\sqrt{5}) < 0;$$

$$\Phi(M_4(k-1, k-1, k-2, k-2)) - \Phi(T_4^{(1)}) = x^{4k-7}(-x^4 + (2k-2)x^2 - k^2 + 2k - 1) \leq x^{4k-7}\left(\frac{-3\sqrt{5}-7}{2}\right) < 0.$$

From Lemma 2.6, we have  $\rho(T) = \rho(M_4(s_1, s_2, s_3, s_4)) > \rho(T_4^{(1)})$ .

**Case 5.** If  $T \in \mathcal{N}_4$ , then  $T = N_4(s_1, s_2, s_3, s_4)$ , where  $s_1, s_2, s_3 \geq 1, s_4 \geq 0$ ,  $\sum_{i=1}^4 s_i = 4k - 5$ . We consider the following two subcases.

**Subcase 5.1** If  $s_4 = 0$ , then  $s_1 + s_2 + s_3 = 4k - 5$ . If  $k > 5$ ,  $\Delta(T) \geq k + 2$ , then from the proof of Lemma 3.6, we have  $\rho(T) = \rho(N_4(s_1, s_2, s_3, 0)) > \rho(T_4^{(1)})$ . From Lemma 3.6, we can suppose that  $k \leq \Delta(T) \leq k + 1$ . Then  $s_1, s_2, s_3 \leq k$ . If  $k = 3$ , then  $T$  must be one of the following trees:  $N_4(1, 3, 3, 0)$ ,  $N_4(3, 1, 3, 0)$ ,  $N_4(2, 2, 3, 0)$ , and  $N_4(2, 3, 2, 0)$ . If  $k = 4$ , then  $T \in \{N_4(3, 4, 4, 0), N_4(4, 3, 4, 0)\}$ . If  $k = 5$ , then  $T = N_4(5, 5, 5, 0)$ . So we have  $\rho(T) > \rho(T_4^{(1)})$ ,  $3 \leq k \leq 5$ .

**Subcase 5.2.** If  $s_4 \geq 1$ , then  $T = N_4(s_1, s_2, s_3, s_4)$ . If  $s_2 = 1$ , then  $s_1 + s_3 + s_4 = 4k - 6$ ,  $s_1 \leq k, s_3 \leq k, s_4 \leq k - 2$ . If  $k > 4$ , then  $s_1 + s_3 + s_4 = 4k - 6 > 3k - 2$  and  $\Delta(T) \geq k + 2$ . Therefore from the proof of Lemma 3.6, we have  $\rho(T) = \rho(N_4(s_1, 1, s_3, s_4)) > \rho(T_4^{(1)})$  ( $k > 4$ ). Suppose  $k \leq \Delta(T) \leq k + 1$  from Lemma 3.6. If  $k = 3$ , then  $s_1 + s_3 + s_4 = 6$ ,  $s_1, s_3 \leq 3, s_4 = 1$ . So  $T = N_4(3, 1, 2, 1)$ . If  $k = 4$ ,

then  $s_1 + s_3 + s_4 = 10$ ,  $s_1, s_3 \leq 4$ ,  $s_4 \leq 2$ . So  $T = N_4(4, 1, 4, 2)$ . Thus, we have  $\rho(T) = \rho(N_4(s_1, 1, s_3, s - 4)) > \rho(T_4^{(1)})$ ,  $3 \leq k \leq 4$ . If  $s_2 \geq 2$ , then  $M_4(s_1, s_2, s_3, s_4) \in \mathcal{M}_4$  is the tree obtained from  $N_4(s_1, s_2, s_3, s_4)$  by subdividing  $w_1w_2$  and deleting  $u$ . From Lemmas 2.2, 2.3 and Case 4, we have  $\rho(T) = \rho(N_4(s_1, s_2, s_3, s_4)) > \rho(M_4(s_1, s_2, s_3, s_4 - 1)) > \rho(T_4^{(1)})$ .  $\square$

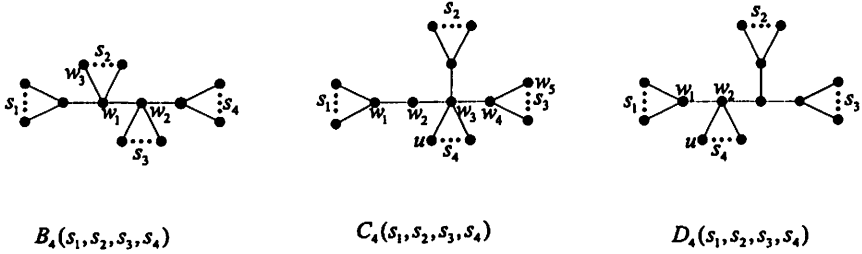


Figure 4: Trees  $B_4(s_1, s_2, s_3, s_4), C_4(s_1, s_2, s_3, s_4), D_4(s_1, s_2, s_3, s_4)$ .

Let  $B_4(s_1, s_2, s_3, s_4), C_4(s_1, s_2, s_3, s_4), D_4(s_1, s_2, s_3, s_4)$  be trees defined as Fig. 4. Let  $\mathcal{B}_4 = \{B_4(s_1, s_2, s_3, s_4) \mid s_1, s_2, s_3, s_4 \geq 1, \sum_{i=1}^4 s_i + 4 = 4k + 1, k \geq 3\}$ ,  $\mathcal{C}_4 = \{C_4(s_1, s_2, s_3, s_4) \mid s_1, s_2, s_3 \geq 1, s_4 \geq 0, \sum_{i=1}^4 s_i + 5 = 4k + 1, k \geq 3\}$ ,  $\mathcal{D}_4 = \{D_4(s_1, s_2, s_3, s_4) \mid s_1, s_2, s_3, s_4 \geq 1, \sum_{i=1}^4 s_i + 5 = 4k + 1, k \geq 3\}$ .

**Lemma 3.10** *If  $T \in \mathfrak{R}_4$  and  $d(T) = 5$ , then  $\rho(T) > \rho(T_4^{(1)})$ .*

**Proof.** If  $T \in \mathfrak{R}_4$ ,  $d(T) = 5$ , then  $T \in \{\mathcal{B}_4, \mathcal{C}_4, \mathcal{D}_4\}$ . We distinguish the following three cases.

**Case 1.** If  $T \in \mathcal{B}_4$ , then  $T = B_4(s_1, s_2, s_3, s_4)$ . Therefore,  $I_4(s_4, s_3, s_2 - 1, s_1) \in \mathcal{I}_4$  is the tree obtained from  $B_4(s_1, s_2, s_3, s_4)$  by subdividing the edge  $w_1w_2$  and deleting the vertex  $w_3$ . From Lemmas 2.2, 2.3 and 3.9, we have  $\rho(T) = \rho(B_4(s_1, s_2, s_3, s_4)) > \rho(I_4(s_4, s_3, s_2 - 1, s_1)) > \rho(T_4^{(1)})$ .

**Case 2.** If  $T \in \mathcal{C}_4$ , then  $T = C_4(s_1, s_2, s_3, s_4)$ ,  $s_1, s_2, s_3 \geq 1$ ,  $s_4 \geq 0$ ,  $\sum_{i=1}^4 s_i = 4k - 4$ . We consider the following two subcases.

**Subcase 2.1.** If  $s_4 = 0$ , then  $s_1 + s_2 + s_3 = 4k - 4$ . If  $k > 4$ , then  $s_1 + s_2 + s_3 = 4k - 4 > 3k$ . So  $\Delta(T) \geq k + 2$ . From the proof of Lemma 3.6, we have  $\rho(T) = \rho(C_4(s_1, s_2, s_3, 0)) > \rho(T_4^{(1)})$ ,  $k > 4$ . From Lemma 3.6, we suppose  $k \leq \Delta(T) \leq k + 1$ . If  $k = 3$ , then  $s_1, s_2, s_3 \leq 3$ ,  $s_1 + s_2 + s_3 = 8$ . So  $T \in \{C_4(2, 3, 3, 0), C_4(3, 3, 2, 0)\}$ . If  $k = 4$ , then  $s_1, s_2, s_3 \leq 4$ ,  $s_1 + s_2 + s_3 = 12$ . So  $T = C_4(4, 4, 4, 0)$ . We have  $\rho(T) = \rho(C_4(s_1, s_2, s_3, 0)) > \rho(T_4^{(1)})$  by direct calculation for  $3 \leq k \leq 4$ .

**Subcase 2.2.**  $s_4 \geq 1$ . If  $s_2 = s_3 = 1$ , then  $s_1 + s_4 = 4k - 6$  and  $\Delta(T) \geq 2k - 1 \geq k + 2$  ( $k \geq 3$ ). From the proof of Lemma 3.6, we have  $\rho(T) = \rho(C_4(s_1, 1, 1, s_4)) > \rho(T_4^{(1)})$ . If  $s_2 \geq 2$  or  $s_3 \geq 2$ , then we suppose  $s_3 \geq 2$  by symmetry. So  $N_4(s_1, s_2, s_3, s_4 - 1) \in N_4$  is the tree obtained from  $C_4(s_1, s_2, s_3, s_4)$  by subdividing the edge  $w_3w_4$  and deleting the vertex  $u$ . From Lemmas 2.2, 2.3 and 3.9, we have  $\rho(T) = \rho(c_4(s_1, 1, 1, s_4)) > \rho(N_4(s_1, s_2, s_3, s_4 - 1)) > \rho(T_4^{(1)})$ .

**Case 3.** If  $T \in \mathcal{D}_4$ , then  $T = D_4(s_1, s_2, s_3, s_4)$ , where  $s_1, s_2, s_3, s_4 \geq 1$ ,  $\sum_{i=1}^4 s_i = 4k - 4$ . If  $s_1 = 1$ , then  $s_2 + s_3 + s_4 = 4k - 5$ . If  $k > 4$ ,  $s_2 + s_3 + s_4 = 4k - 5 > 3k - 1$ , then  $\Delta(T) \geq k + 2$ . From the proof of Lemma 3.6, we have  $\rho(T) = \rho(D_4(1, s_2, s_3, s_4)) > \rho(T_4^{(1)})$ ,  $k > 4$ . If  $k = 3$ ,  $s_2, s_3 \leq 3$ ,  $s_4 \leq 2$ ,  $s_2 + s_3 + s_4 = 7$ , then  $T \in \{D_4(1, 3, 3, 1), D_4(1, 3, 2, 2)\}$ . If  $k = 4$ ,  $s_2, s_3 \leq 4$ ,  $s_4 \leq 3$ ,  $s_2 + s_3 + s_4 = 11$ , then  $T = D_4(1, 4, 4, 3)$ . We have  $\rho(T) > \rho(T_4^{(1)})$  by direct calculation for  $3 \leq k \leq 4$ . If  $s_1 \geq 2$ , then  $L_4(s_1, s_2, s_3, s_4 - 1) \in \mathcal{L}_4$  is the tree obtained from  $D_4(s_1, s_2, s_3, s_4)$  by subdividing the edge  $w_1w_2$  and deleting  $u$ . From Lemmas 2.2, 2.3 and 3.9, we have  $\rho(T) = \rho(D_4(s_1, 1, 1, s_4)) > \rho(L_4(s_1, s_2, s_3, s_4 - 1)) > \rho(T_4^{(1)})$ .  $\square$

Let  $Y_4(s_1, s_2, s_3, s_4)$ ,  $Z_4(s_1, s_2, s_3, s_4)$  be trees defined as Fig. 5. Let  $\mathcal{Y}_4 = \{Y_4(s_1, s_2, s_3, s_4) \mid s_1, s_2, s_3, s_4 \geq 1, \sum_{i=1}^4 s_i + 5 = 4k + 1, k \geq 3\}$ ,  $\mathcal{Z}_4 = \{Z_4(s_1, s_2, s_3, s_4) \mid s_1, s_2, s_3, s_4 \geq 1, \sum_{i=1}^4 s_i + 4 = 4k + 1, k \geq 3\}$ .

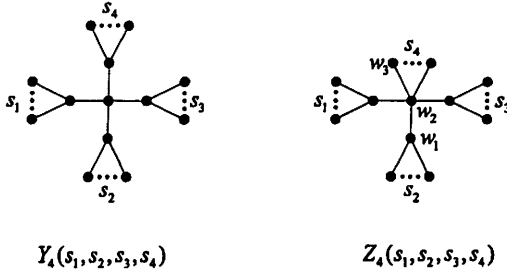


Figure 5: Trees  $Y_4(s_1, s_2, s_3, s_4), Z_4(s_1, s_2, s_3, s_4)$ .

**Lemma 3.11** *If  $T \in \mathcal{R}_4$  and  $d(T) = 4$ , then  $\rho(T) > \rho(T_4^{(1)})$ .*

**Proof.** If  $T \in \mathcal{R}_4, d(T) = 4$ , then  $T \in \{\mathcal{Y}_4, \mathcal{Z}_4\}$ . We consider the following two cases.

**case 1.** If  $T \in \mathcal{Y}_4$ , then  $T = Y_4(s_1, s_2, s_3, s_4)$ , where  $\sum_{i=1}^4 s_i = 4k - 4, s_1, s_2, s_3, s_4 \geq 1$ . We suppose  $k \leq \Delta(T) \leq k + 1$  from Lemma 3.6. If  $\Delta(T) = k$ , then  $s_1, s_2, s_3, s_4 \leq k - 1$ . For  $\sum_{i=1}^4 s_i = 4k - 4$ , we have  $T = Y_4(k - 1, k - 1, k - 1, k - 1)$ . If  $\Delta(T) = k + 1$ , then  $s_1, s_2, s_3, s_4 \leq k$ . For  $\sum_{i=1}^4 s_i = 4k - 4$ , at least one and at most three of the  $s_i$ 's are  $k$ . If there are three of the  $s_i$ 's are  $k$ , then another one is  $k - 4$ . So  $T = Y_4(k, k, k, k - 4)$ . If there are two of the  $s_i$ 's are  $k$ , then another two must be  $k - 1, k - 3$  or  $k - 2, k - 2$ . So  $T \in \{Y_4(k, k, k - 1, k - 3), Y_4(k, k, k - 2, k - 2)\}$ . If there is only one of the  $s_i$ 's is  $k$ , then another three must be  $k - 1, k - 1, k - 2$ . So  $T = Y_4(k, k - 1, k - 1, k - 2)$ . By Lemma 2.5, we have

$$\Phi(Y_4(k - 1, k - 1, k - 1, k - 1)) = x^{4k-7}(x^2 - k + 1)^3(x^2 - k - 3).$$

Thus,  $\rho(T) = \rho(Y_4(k - 1, k - 1, k - 1, k - 1)) = \sqrt{k + 3} > \rho(T_4^{(1)})$ . From Lemma 2.4, we have  $\rho(Y_4(k, k, k, k - 4)) > \rho(Y_4(k, k, k - 2, k - 2)) > \rho(Y_4(k, k - 1, k - 1, k - 2)) > \rho(Y_4(k - 1, k - 1, k - 1, k - 1))$  and  $\rho(Y_4(k, k, k - 1, k - 3)) > \rho(Y_4(k, k, k - 2, k - 2))$ .

**case 2.** If  $T \in \mathcal{Z}_4$ , then  $T = Z_4(s_1, s_2, s_3, s_4)$ , where  $\sum_{i=1}^4 s_i = 4k - 3$ . If  $s_1 = s_2 = s_3 = 1$ , then  $s_4 = 4k - 6$  and  $\Delta(T) = s_4 + 3 = 4k - 3 >$

$k + 2$  ( $k \geq 3$ ). From Lemma 3.6 we have  $\rho(T) = \rho(Z_4(1, 1, 1, 4k - 6)) > \rho(T_4^{(1)})$ . If  $s_1 \geq 2$ ,  $s_2 \geq 2$  or  $s_3 \geq 2$ , we suppose  $s_1 \geq 2$  by symmetry. So  $C_4(s_2, s_1, s_3, s_4 - 1) \in \mathcal{C}_4$  is the tree obtained from  $Z_4(s_1, s_2, s_3, s_4)$  by subdividing  $w_1w_2$  and deleting  $w_3$ . From Lemmas 2.2, 2.3 and 3.10, we have  $\rho(T) = \rho(Z_4(s_1, s_2, s_3, s_4)) > \rho(C_4(s_1, s_2, s_3, s_4 - 1)) > \rho(T_4^{(1)})$ .  $\square$

Now we give the main result of the paper.

**Theorem 3.12** *If  $T \in \mathfrak{R}_4$ , then  $\rho(T) \geq \rho(T_4^{(1)})$ , with equality if and only if  $T \in \{T_4^{(1)}, T_4^{(2)}, T_4^{(3)}\}$ .*

**Proof.** For  $T \in \mathfrak{R}_4$ , then  $4 \leq d(T) \leq 8$ . From Lemmas 3.7, 3.8, 3.9, 3.10 and 3.11, the result follows.  $\square$

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