

# On the minimum influence of a maximal packing

James B. Phillips and Peter J. Slater

Department of Mathematical Sciences  
The University of Alabama in Huntsville  
Huntsville, AL 35899 USA

Dedicated to Professor E. J. Cockayne, seminal author of many topics in graph theory, on the occasion of his sixtieth birthday.

Abstract. A vertex set  $S \subseteq V(G)$  is a perfect code or efficient dominating set for a graph  $G$  if each vertex of  $G$  is dominated by  $S$  exactly once. Not every graph has an efficient dominating set, and the efficient domination number  $F(G)$  is the maximum number of vertices one can dominate given that no vertex is dominated more than once. That is,  $F(G)$  is the maximum influence of a packing  $S \subseteq V(G)$ . In this paper we begin the study of  $LF(G)$ , the lower efficient domination number of  $G$ , which is the minimum number of vertices dominated by a maximal packing. We show that the decision problem associated with deciding if  $LF(G) \leq K$  is an NP-complete problem. The principle result is a characterization of trees  $T$  where  $LF(T) = F(T)$ .

## 1 Introduction

For a graph  $G = (V, E)$ , a vertex  $v \in V(G)$  is considered to dominate itself and each vertex in its open neighborhood,  $N(v) = \{w \in V(G) : vw \in E(G)\}$ . That is,  $v$  dominates each vertex in its closed neighborhood,  $N[v] = \{v\} \cup N(v)$ . For  $S \subseteq V(G)$ , we have  $N[S] = \cup_{s \in S} N[s]$ , and  $S$  is a dominating set if  $N[S] = V(G)$ . The *domination number*  $\gamma(G)$  is the minimum cardinality of a dominating set, and the *upper domination number*  $\Gamma(G)$  (see Cockayne and Hedetniemi [4] and Slater [13]) is the maximum number of vertices in a minimal dominating set. Similarly, the *independence number*  $\beta(G)$  is the maximum number of vertices in an independent set in  $V(G)$ , and the *lower independence number*  $i(G)$  is the minimum number of vertices in a maximal independent set. These and many other such pairs of parameters are considered in Haynes, Hedetniemi, and Slater [10, 11]. In particular, Cockayne, Hedetniemi, and Miller [5] consider irredundance and

upper irredundance numbers  $ir(G)$  and  $IR(G)$ , and we have the following famous inequality chain.

**Theorem 1** (Cockayne, et al. [5]) *For any graph  $G$ ,*

$$ir(G) \leq \gamma(G) \leq i(G) \leq \beta(G) \leq \Gamma(G) \leq IR(G).$$

As described in [10], Plummer [12] introduced the now well-studied class of well-covered graphs, graphs  $G$  with  $i(G) = \beta(G)$ . Finbow, Hartnell, and Nowakowski [6] began the study of graphs with  $\gamma(G) = \Gamma(G)$ .

We can consider how many times a vertex  $w$  is dominated by a set  $S$ , that is,  $|N[w] \cap S|$ . Note that a vertex  $v$  of degree  $deg(v)$  dominates  $1 + deg(v) = |N[v]|$  vertices. As in Grinstead and Slater [8], the *influence* of vertex set  $S$  is  $I(S) = \sum_{s \in S} (1 + deg(s)) = \sum_{w \in V(G)} |N[w] \cap S|$ , which is the amount of domination done by  $S$ . For example, the *redundance* of  $G$  is  $R(G) = \min\{I(S) : N[S] = V(G)\}$ , the minimum amount of domination done by a dominating set. If we require that  $|N[w] \cap S| \leq 1$  for all  $w \in V(G)$ , then for any two vertices  $u$  and  $v$  in  $S$ , we must have the distance  $d(u, v) \geq 3$ , that is,  $S$  is a *packing*. The maximum order of a packing is denoted  $\rho(G)$ . Note that  $S$  is a packing if and only if  $I(S) = |N[S]|$ . As in Biggs [3], a packing  $S$  with  $N[S] = V(G)$  (equivalently, with  $I(S) = |V(G)|$ ) is a *perfect code*, a set that dominates every vertex exactly once. As in Bange, Barkauskas, and Slater [1, 2], not every graph has a perfect code, so the *efficient domination number* of  $G$  is  $F(G) = \max\{I(S) : S \text{ is a packing}\}$ , the maximum number of vertices one can dominate given that no vertex is dominated more than once. When  $F(G) = |V(G)|$ , a perfect code is also called an efficient dominating set. In a manner similar to defining  $\Gamma$  and  $i$ , in [14] several “upper” and “lower” parameters were defined. In particular, the lower efficient domination number  $LF(G) = \min\{I(S) : S \text{ is a maximal packing}\}$  is the minimum number of vertices dominated by a maximal packing, that is, the minimum influence of a maximal packing. For the tree  $T$  in Figure 1,  $F(T) = I(\{v_1, v_4\}) = I(\{v_3\}) = 4$  and  $LF(T) = I(\{v_2\}) = 3$ .

Thus,  $LF(G)$  and  $F(G)$  are the minimum and maximum influence of maximal packings, and  $R(G)$  and  $UR(G)$  are the minimum and maximum influence of minimal dominating sets. One of the parameters considered in Grinstead and Slater [9] is  $RI(G)$ , where  $RI(G)$  and  $URI(G)$  are the minimum and maximum influence of independent dominating sets. A referee of this paper has observed that the influence of maximal irredundant sets might also be of interest. We let  $I_{irr}^-(G)$  and  $I_{irr}^+(G)$  denote the minimum and maximum influence, respectively, of maximal irredundant sets. Using similar notation, one could write  $I_{dom}^-(G) = R(G)$ ,  $I_{dom}^+(G) = UR(G)$ ,  $I_{ind}^-(G) = RI(G)$ , and  $I_{ind}^+(G) = URI(G)$ , and we have the following proposition.

**Proposition 2** For any graph  $G$ ,

$$I_{irr}^-(G) \leq I_{dom}^-(G) \leq I_{ind}^-(G) \leq I_{ind}^+(G) \leq I_{dom}^+(G) \leq I_{irr}^+(G)$$

As noted in Grinstead and Slater [8],  $|V(G)| \leq R(G) = I_{dom}^-(G)$ , but it can be easily verified that  $|V(G)|$  and  $I_{irr}^-(G)$  are incomparable.

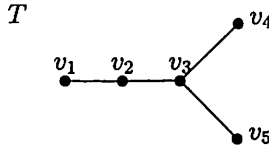


Figure 1:  $LF(T) = 3$  and  $F(T) = 4$ .

In this paper we begin the study of the parameter  $LF$ . In the next section we present some examples and show that deciding, for an arbitrary graph  $G$  and positive integer  $K$ , if  $LF(G) \leq K$  is an NP-complete problem. In Section 3, trees  $T$  with  $LF(T) = F(T)$  are characterized.

## 2 Examples and Complexity

Note that for the star  $K_{1,n-1}$  we have  $LF(K_{1,n-1}) = 2$  and  $F(K_{1,n-1}) = n$ . In general, if the packing number  $\rho(G) = 1$ , and  $\delta(G)$  and  $\Delta(G)$  denote the minimum and maximum vertex degrees, then  $LF(G) = 1 + \delta(G)$  and  $F(G) = 1 + \Delta(G)$ . More generally, we have the following observation, where  $L\rho(G)$  is the minimum cardinality of a maximal packing.

**Proposition 3** For any graph  $G$ ,  $(1 + \delta(G)) \cdot L\rho(G) \leq LF(G) \leq F(G) \leq (1 + \Delta(G)) \cdot \rho(G)$ . If  $G$  is regular of degree  $r$ , then  $LF(G) = (1 + r) \cdot L\rho(G)$  and  $F(G) = (1 + r) \cdot \rho(G)$ .

**Proposition 4** If a connected graph  $G$  with  $|V(G)| \geq 3$  has  $\delta(G) = 1$ , then  $LF(G) < |V(G)|$ .

**Proof.** Assume  $deg(v) = 1$ , and let  $w$  be a vertex with  $d(v, w) = 2$ . Simply note that any maximal packing  $S$  with  $w \in S$  has  $v \notin N[S]$  and  $I(S) \leq |V(G)| - 1$ .  $\square$

**Corollary 5** Any tree  $T$  with  $|V(T)| \geq 3$  has  $LF(T) < |V(T)|$ .

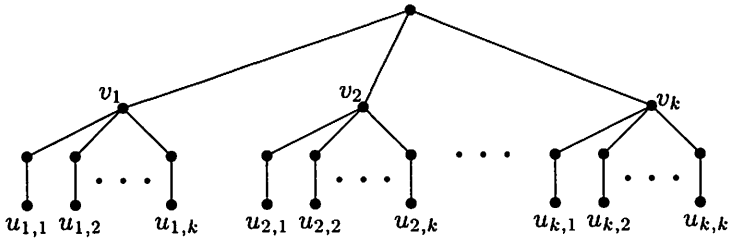


Figure 2: Tree  $T_n$  on  $n = 2k^2 + k + 1$  vertices.

For the tree  $T_n$  on  $n = 2k^2 + k + 1$  vertices in Figure 2, if  $S = \{v_1, u_{2,1}, \dots, u_{2,k}, u_{3,1}, \dots, u_{3,k}, \dots, u_{k,1}, \dots, u_{k,k}\}$ , then  $S$  is a maximal packing with  $I(S) = 2k^2 - k + 2$ . It can, in fact, be seen that  $LF(T_{2k^2+k+1}) = 2k^2 - k + 2$ . Note that  $\lim_{k \rightarrow \infty} LF(T_{2k^2+k+1})/|V(T_{2k^2+k+1})| = \lim_{k \rightarrow \infty} (2k^2 - k + 2)/(2k^2 + k + 1) = 1$ .

As a final example, if  $M$  is a perfect matching in  $K_{t,t}$ , then  $LF(K_{t,t} - M) = F(K_{t,t} - M) = 2t = |V(K_{t,t})|$ .

In order to show that the problem of deciding whether an arbitrary graph has a maximal packing with influence no greater than some positive integer  $K$  is an NP-complete problem, we present a transformation from the following restricted 3SAT problem which is known to be NP-complete [7].

### 3-SATISFIABILITY(3SAT)

**INSTANCE:** Set  $U = \{u_1, u_2, \dots, u_N\}$  of variables and collection  $\mathcal{C}$  of clauses such that each clause  $c \in \mathcal{C}$  has  $|c| = 3$ , and for each  $u_i \in U$  at most five clauses in  $\mathcal{C}$  contain  $u_i$  or  $\bar{u}_i$ .

**QUESTION:** Is there a satisfying truth assignment for  $\mathcal{C}$ ?

### LOWER EFFICIENT DOMINATION NUMBER(LED)

**INSTANCE:** Graph  $G = (V, E)$  and positive integer  $H \leq |V|$ .

**QUESTION:** Does  $G$  have a maximal packing  $S$  with  $I(S) \leq H$ ?  
(That is, is  $LF(G) \leq H$ ?)

**Theorem 6** *Problem LED is NP-complete.*

**Proof.** Since one can easily verify whether a vertex set  $S \subseteq V(G)$  is a maximal packing and whether  $I(S) \leq K$ , it is clear that  $LED \in NP$ . To see that LED is NP-complete, we reduce 3SAT to it.

Given an instance of 3SAT, with  $N$  variables and  $M$  clauses, construct the graph  $G$  illustrated in Figure 3 in which each clause vertex  $c_j$  is connected by paths of length two to the three vertices corresponding to the

literals contained in  $c_j$ . Because at most five clauses contain  $u_i$  or  $\bar{u}_i$ , for  $1 \leq i \leq N$ , we have  $M \leq 5N/3$ , and there are  $3M \leq 5N$  vertices of degree two on the paths of length two. We let  $H = 2 + 8N$ . Each  $N(v_i)$  contains  $u_i, \bar{u}_i$ , and  $H$  vertices that form a complete subgraph  $K_H$ , and each  $c_j$  has degree  $3 + H$ . (For example, in Figure 3 we have  $c_1 = \{u_1, \bar{u}_2, u_3\}$ ,  $c_2 = \{\bar{u}_1, \bar{u}_2, \bar{u}_3\}, \dots$ ) Graph  $G$  has  $8N^2 + 13N + 4 + 4M \leq 8N^2 + 59N/3 + 4$  vertices, and it can be constructed in polynomial time.

Note that if  $S$  is a maximal packing, then each  $S \cap N[v_i] \neq \emptyset$  and  $S \cap N[w] \neq \emptyset$ . Consequently, if  $S$  is a maximal packing either with  $x \notin S$  or  $S \cap \{u_i, \bar{u}_i\} = \emptyset$  for at least one value of  $i$  with  $1 \leq i \leq N$ , then the influence  $I(S) > H$ . On the other hand, if  $|S| = N + 1$  with  $x \in S$  and  $S \cap \{u_i, \bar{u}_i\} \neq \emptyset$  for  $1 \leq i \leq N$ , then  $I(S) \leq 2 + 3N + M \leq 2 + 8N = H$ . Hence, there is a maximal packing  $S$  with  $I(S) \leq H$  if and only if there is a maximal packing  $S$  with  $|S| = N + 1$  which contains one element from each  $\{u_i, \bar{u}_i\}$  and vertex  $x$ . It follows that the instance of 3SAT has a satisfying truth assignment if and only if the corresponding graph  $G$  has a maximal packing  $S$  with  $I(S) \leq H$ .  $\square$

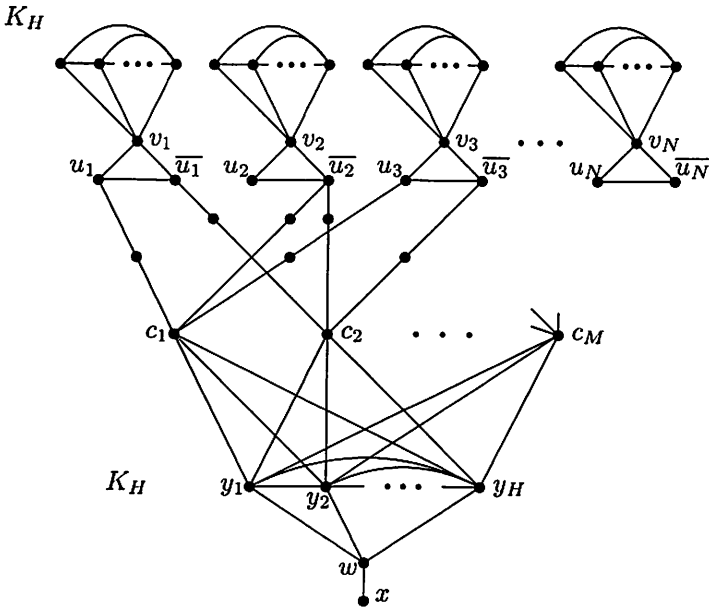


Figure 3: Graph  $G$ .

### 3 Characterization of Trees $T$ where $LF(T) = F(T)$

We shall make use of the following notation. Any vertex adjacent to an endvertex  $v$  is called the *support vertex* of  $v$  or just a *support vertex*. For any subset  $S \subseteq V(G)$ , recall that  $I(S) = \sum_{s \in S} (1 + \deg(s))$  denotes the *influence of  $S$* , that is, the total amount of domination done by  $S$ . Therefore given a graph  $G$ ,  $LF(G) = F(G)$  if and only if for all  $S \subseteq V(G)$  and  $S' \subseteq V(G)$  such that  $S$  and  $S'$  are maximal packings we have  $I(S) = I(S')$ .

In order to characterize the trees in which  $LF(T) = F(T)$ , we define the family  $\mathcal{T}$  where a tree  $T \in \mathcal{T}$  if and only if the following two properties hold:

- (1) If  $x \in V(T)$  such that  $x$  is a support vertex and  $j$  is the number of vertices adjacent to  $x$  that are also support vertices, then  $\deg(x) = 2j + 1$ .
- (2) If  $x \in V(T)$  such that  $x$  is not a support vertex and  $j$  is the number of vertices adjacent to  $x$  that are support vertices, then  $\deg(x) = 2j - 1$ .

See Figure 4 for an example of a tree in  $\mathcal{T}$ . Note, for example, that  $u$  is a support vertex adjacent to three other support vertices and  $\deg(u) = 2 \cdot 3 + 1 = 7$  and  $v$  is a non-support vertex adjacent to two support vertices and  $\deg(v) = 2 \cdot 2 - 1 = 3$ .

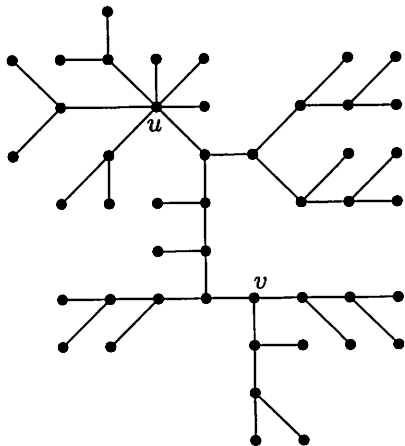


Figure 4: Tree  $T \in \mathcal{T}$ .

We will prove that  $T \in \mathcal{T}$  if and only if  $LF(T) = F(T)$ .

**Lemma 7** Suppose that  $T$  is a tree where  $LF(T) = F(T)$  and  $\text{diam}(T) \geq 4$ . If  $x$  is a support vertex and is adjacent to  $j$  other support vertices, then  $\text{deg}(x) = 2j + 1$ .

**Proof.** Let  $T$  be rooted at  $x$ . Let  $w$  be an endvertex adjacent to  $x$ . For  $1 \leq i \leq t$ , let  $u_i \in N(x)$  where  $\text{deg}(u_i) \geq 2$  and  $u_i$  is not a support vertex. Let  $u_{i,r_i}$  be the children of  $u_i$  where  $1 \leq r_i \leq \text{deg}(u_i) - 1$  and let  $u'_{i,r_i}$  be a child of  $u_{i,r_i}$ . For  $1 \leq h \leq j$ , let  $v_h \in N(x)$  where  $\text{deg}(v_h) \geq 2$  and  $v_h$  is a support vertex to some endvertex  $s_h$ . If  $v_h$  has any grandchildren, let  $v_{h,q_h}$  be the children of  $v_h$  such that  $\text{deg}(v_{h,q_h}) \geq 2$  and let  $v'_{h,q_h}$  be a child of  $v_{h,q_h}$ . Let  $LF(T) = F(T) = K$ .

**Case 1.** Let  $t \geq 1$  and  $j = 0$ , that is, suppose that no child of  $x$  is a support vertex. Choose any maximal packing  $P$  such that  $x \in P$  and such that for all  $u_{i,r_i}$ ,  $P$  contains a child of  $u_{i,r_i}$ , namely  $u'_{i,r_i}$ . In other words, choose  $P$  so that  $P$  contains  $x$  and is as tight around  $x$  as possible. Define  $P' = P - \{x\}$ . Since  $P$  is a maximal packing, the influence  $I(P) = I(x) + I(P') = K$ . However  $P - \{x\} \cup \{w\}$  must also be a maximal packing, thus  $I(w) + I(P') = K$ . This implies that  $I(x) = I(w) = 2$  and so  $\text{deg}(x) = 1$ , a contradiction. Thus there must exist at least one vertex adjacent to  $x$  that is a support vertex.

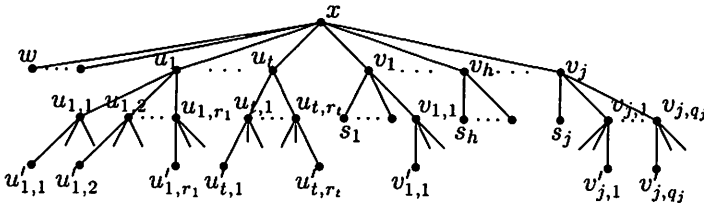


Figure 5: Tree  $T$  rooted at  $x$  where  $x$  is a support vertex.

**Case 2.** Let  $j \geq 1$ . Choose  $P$  to be a maximal packing that contains  $x$  and, for all  $u_{i,r_i}$ ,  $P$  contains a child of  $u_{i,r_i}$ , namely  $u'_{i,r_i}$ . Also for all  $v_h$  such that  $v_h$  has a grandchild, then for all  $v_{h,q_h}$ ,  $P$  contains a child of  $v_{h,q_h}$ , namely  $v'_{h,q_h}$ . Again, this is a maximal packing chosen in such a way that  $P$  contains  $x$  and is as tight around  $x$  as possible. Define  $P' = P - \{x\}$ . Since  $P$  is a maximal packing,  $I(x) + I(P') = K$ . However  $P' \cup \{w\} \cup \{s_1, s_2, \dots, s_j\}$  is also a maximal packing. Thus  $K = I(P') + I(w) + I(s_1) + \dots + I(s_j)$ . Hence  $I(x) = I(w) + I(s_1) + \dots + I(s_j) = 2 + 2j$ . Therefore  $\text{deg}(x) = 2j + 1$ .  $\square$

For ease of notation, define  $V_{u,v}$  to be the set of all vertices in the tree that are closer to  $u$  than to  $v$ .

**Lemma 8** Suppose that  $T$  is a tree where  $LF(T) = F(T)$  and  $\text{diam}(T) \geq 4$ . If  $x$  is not a support vertex and is adjacent to  $j$  support vertices, then  $\text{deg}(x) = 2j - 1$ .

**Proof.** Let  $T$  be rooted at  $x$ . Observe that if  $x$  is an endvertex, then  $x$  is adjacent to one support vertex and  $\text{deg}(x) = 2j - 1$  holds. Therefore, assume that  $\text{deg}(x) \geq 2$ .

For  $1 \leq i \leq t$ , let  $u_i \in N(x)$  where  $\text{deg}(u_i) \geq 2$  and  $u_i$  is not a support vertex. Let  $u_{i,r_i}$  be the children of  $u_i$  where  $1 \leq r_i \leq \text{deg}(u_i) - 1$ , and let  $u'_{i,r_i}$  be a child of  $u_{i,r_i}$ . For  $1 \leq h \leq j$ , let  $v_h \in N(x)$  where  $\text{deg}(v_h) \geq 2$  and  $v_h$  is a support vertex to some endvertex  $s_h$ . If  $v_h$  has any grandchildren, let  $v_{h,q_h}$  be the children of  $v_h$  such that  $\text{deg}(v_{h,q_h}) \geq 2$ , and let  $v'_{h,q_h}$  be a child of  $v_{h,q_h}$ . Thus  $\text{deg}(x) = t + j = k \geq 2$ . Let  $LF(T) = F(T) = K$ .

**Case 1.** Let  $t = k$  and  $j = 0$ , that is, assume that all children of  $x$  are not support vertices. There exists a maximal packing  $P$  such that  $x \in P$  and for

all  $u_{i,r_i}$ , we have  $u'_{i,r_i} \in P$ . Let  $P_{u'_i} = P \cap V_{u_i,x}$ . Hence  $P = \{x\} \cup \bigcup_{i=1}^t P_{u'_i}$ ,

and, since  $P$  is a maximal packing,  $I(x) + \sum_{i=1}^t I(P_{u'_i}) = K$ . However,

there exists a maximal packing  $P'$  of  $T$  such that for each  $u_i$  exactly one child of  $u_i$  is in  $P'$ , namely  $u_{i,1}$ , and for each other child  $u_{i,r_i}$ ,  $r_i \neq 1$ ,

of  $u_i$ , we have  $u'_{i,r_i} \in P'$ . Let  $P_{u_i} = P' \cap V_{u_i,x}$ . Thus  $P' = \bigcup_{i=1}^t P_{u_i}$  and

$\sum_{i=1}^t I(P_{u_i}) = K$ . Finally, for any  $i$ ,  $P' - P_{u_i} \cup P_{u'_i}$  is maximal. Therefore

$I(P_{u'_i}) + \sum_{l \neq i} I(P_{u_l}) = K$ . Hence, for all  $1 \leq i \leq k$ ,  $I(P_{u'_i}) = I(P_{u_i})$  and so

$\sum_{i=1}^t I(P_{u'_i}) = K$ , a contradiction.

**Case 2.** Let  $t = 0$  and  $j = k$ , that is, assume that all children of  $x$  are support vertices. Let  $P$  be a maximal packing such that  $x \in P$ . Consider

$P - \{x\}$ ,  $I(P) = I(P - \{x\}) + I(x) = K$ . But  $P - \{x\} \cup \bigcup_{h=1}^j \{s_h\}$  is a

maximal packing. Therefore  $I(P - \{x\}) + \sum_{h=1}^j I(s_h) = K$  and so  $I(x) =$

$\sum_{h=1}^j I(s_h) = 2k$ . However since  $\text{deg}(x) = k$ ,  $I(x) = k + 1$ . Whence  $k = j = 1$

and  $x$  is an endvertex adjacent to one support vertex. Thus  $\text{deg}(x) = 2j - 1$



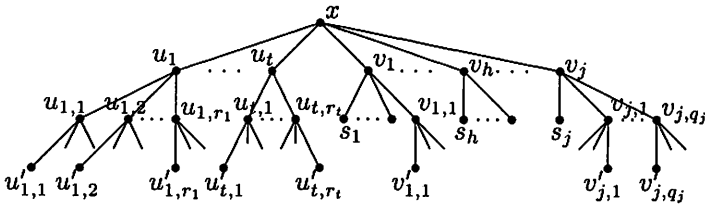


Figure 6: Tree  $T$  rooted at  $x$  where  $x$  is not a support vertex.

holds.

**Case 3.** Let  $t \geq 1$  and  $j \geq 1$ . Choose  $P$  to be a maximal packing that contains  $x$  and, for all  $u_{i,r_i}$ ,  $P$  contains a child of  $u_{i,r_i}$ , namely  $u'_{i,r_i}$ , and, for all  $v_h$  such that  $v_h$  has a grandchild, then for all  $v_{h,q_h}$ ,  $P$  contains a child of  $v_{h,q_h}$ , namely  $v'_{h,q_h}$ . Again, we have selected  $P$  to contain  $x$  and be as tight around  $x$  as possible. Let  $P_{u'_i} = P \cap V_{u_i,x}$ , and let  $P_{v'_h} = P \cap V_{v_h,x}$ ,

noting that  $P_{v'_h}$  could be empty for some  $h$ . So  $P = \{x\} \cup \bigcup_{i=1}^t P_{u'_i} \cup \bigcup_{h=1}^j P_{v'_h}$ ,

thus  $I(x) + \sum_{i=1}^t I(P_{u'_i}) + \sum_{h=1}^j I(P_{v'_h}) = K$ .

Consider the maximal packing  $P^* = P - \{x\} \cup \bigcup_{h=1}^j \{s_h\}$ . Thus  $\sum_{h=1}^j I(s_h) + \sum_{i=1}^t I(P_{u'_i}) + \sum_{h=1}^j I(P_{v'_h}) = K$ . Moreover,  $I(x) = \sum_{h=1}^j I(s_h) = 2j$ . Therefore  $\deg(x) = 2j - 1$ .  $\square$

**Theorem 9** For a tree  $T$ ,  $LF(T) = F(T)$  if and only if  $T \in \mathcal{T}$ .

**Proof.** Suppose that the  $\text{diam}(T) = 1$ . Then  $T = K_2$ ,  $LF(T) = F(T) = 2$  and  $T \in \mathcal{T}$ . Suppose that the  $\text{diam}(T) = 2$ . Consider a longest path in  $T$ , call it  $D$ , labeling an endvertex of the path  $x$  and the support vertex of  $x$  as  $y$ . Hence,  $\deg(x) = 1$  and  $\deg(y) \geq 2$ . Notice that  $\{x\}$  is a maximal packing in  $T$  and  $\{y\}$  is a maximal packing in  $T$ . Thus if  $LF(T) = F(T)$  then  $\deg(x) = \deg(y)$ , which is a contradiction. Therefore, there does not exist a tree of diameter two such that  $LF(T) = F(T)$ , nor does there exist a tree of diameter two such that  $T \in \mathcal{T}$ . Assume that  $\text{diam}(T) = 3$ . Consider (a longest path)  $D$  in  $T$ , and label the vertices of this longest path,  $x, y, z, u$ , where  $x$  and  $u$  are endvertices and  $y$  and  $z$

are their support vertices, respectively. Notice that  $\{x, u\}$ ,  $\{y\}$  and  $\{z\}$  are all maximal packings in  $T$ . Therefore, if  $LF(T) = F(T)$  then  $deg(y) = deg(z) = 3$ . Hence,  $T$  is the double star  $S_{2,2}$ . It can be easily verified that  $S_{2,2} \in \mathcal{T}$  and that there does not exist another tree  $T'$  of diameter three such that  $T' \in \mathcal{T}$ . Therefore, if  $diam(T) \leq 3$ ,  $LF(T) = F(T)$  if and only if  $T \in \mathcal{T}$ .

Let  $diam(T) \geq 4$ , then by Lemma 7 and Lemma 8,  $LF(T) = F(T)$  implies that  $T \in \overline{\mathcal{T}}$ . We will proceed to show that if  $T \in \mathcal{T}$  then  $LF(T) = F(T)$  by induction on the order of  $T$ . We know that the double star  $S_{2,2}$  is an element of  $\mathcal{T}$  and that  $LF(S_{2,2}) = F(S_{2,2}) = 4$ . Indeed,  $K_2$  and  $S_{2,2}$  are easily seen to be the only trees of order at most six that are in  $\mathcal{T}$ . Let  $T \in \mathcal{T}$  and assume that for all trees  $T^* \in \mathcal{T}$  where  $|T^*| < |T|$  then  $LF(T^*) = F(T^*)$ . Take a longest path  $D$  and root  $T$  at one of the endvertices of  $D$ . Consider the opposite endvertex of  $D$ . This vertex must have a support vertex in  $D$  adjacent to it, call this support vertex  $x$ . Let  $y$  be the parent of  $x$  and  $z$  be the parent of  $y$ . Since  $x$  is a support vertex and  $deg(x) \geq 2$ ,  $x$  must be adjacent to at least one other support vertex. If this support vertex is a child of  $x$ , then the endpoint adjacent to  $x$  would not be on a longest path, a contradiction. Thus,  $y$  must also be a support vertex, and  $deg(x) = 3$  where  $x$  has two children,  $x'$  and  $x''$ , both of which are endvertices.

Now,  $deg(y) = 2j + 1$  where  $j$  is the number of support vertices adjacent to  $y$ , and each child of  $y$  is either an endvertex or is a support vertex  $u_i$  that is similar to  $x$ . Each  $u_i$  has two children that are endvertices,  $u'_i$  and  $u''_i$ . Because  $deg(y) = 2j + 1$ , we can associate with each such  $u_i$  an endvertex of  $T$  adjacent to  $y$ , call it  $y_i$ , and associate with  $x$  an endvertex adjacent to  $y$ , call it  $y_x$ .

**Case 1.** Suppose there exists a support vertex  $u_i \neq x$  that is a child of  $y$ . Then consider  $T' = T - \{u_i, u'_i, u''_i, y_i\}$ . Note that  $T' \in \mathcal{T}$  since  $y$  is adjacent to  $j - 1$  support vertices in  $T'$  and  $deg_{T'}(y) = 2(j - 1) + 1 = 2j - 1$ . Consider any maximal packing  $P$  in  $T$ . Define  $P' = P \cap T'$ . By the induction hypothesis, all maximal packings of  $T'$  have the same influence, call it  $K$ .

**Subcase a.** Suppose that  $y \in P$ . Then  $y \in P'$  and  $P'$  is a maximal packing in  $T'$ . Thus  $I(P') = K$ . But then  $P = P'$  is a maximal packing in  $T$  and  $I(P) = K + 2$ , since  $deg_T(y) = deg_{T'}(y) + 2$ .

**Subcase b.** Suppose that  $P$  contains a neighbor of  $y$ .

i) Suppose that  $u_i \notin P$  and  $y_i \notin P$ . Then  $P'$  is maximal in  $T'$ . Thus  $I(P') = K$  and  $P = P' \cup \{u'_i\}$  or  $P = P' \cup \{u''_i\}$ . Hence  $I(P) = I(P') + I(u'_i) = I(P') + I(u''_i) = K + 2$ .

ii) Suppose that  $y_i \in P$ . Then  $P^* = P' - \{y_i\} \cup \{y_x\}$  is a maximal packing in  $T'$ , and  $I(P^*) = I(P' \cup \{y_x\}) = K$ . In  $T$ ,  $P = P' \cup \{y_i\} \cup \{u'_i\}$  or  $P = P' \cup \{y_i\} \cup \{u''_i\}$ . Thus  $I(P) = K + 2$ .

iii) Suppose that  $u_i \in P$ . Then  $P'$  is not maximal in  $T'$ , but  $P' \cup \{y_x\}$

is maximal in  $T'$ . Thus  $I(P') = K - 2$ . In  $T$ ,  $P = P' \cup \{u_i\}$  and  $I(P) = I(P') + I(u_i) = (K - 2) + 4 = K + 2$ .

Hence, for all maximal packings  $P$  of  $T$ ,  $I(P) = K + 2$ , and so  $LF(T) = F(T)$ .

We can assume that  $y$  has exactly one child that is a support vertex, namely  $x$ . We consider two cases, based on whether or not the parent  $z$  of  $y$  is a support vertex.

**Case 2.** Let  $z$  be a support vertex, and call one of its endvertices  $z_y$ . Since  $y$  is adjacent to two support vertices,  $deg(y) = 5$  and  $y$  is adjacent to three endvertices, call them  $\{y_x, y_1, y_2\}$  where  $y_x$  is associated with  $x$  as stated above. Let  $T' = T - \{x, x', x'', y_x\}$ . By an argument parallel to Case 1, for all maximal packings  $P$  of  $T$  when  $z$  is a support vertex,  $I(P)$  is a constant and  $LF(T) = F(T)$ .

**Case 3.** Suppose that  $z$  is not a support vertex. Then  $deg(y) = 3$  and  $y$  has only one child that is an endvertex, namely  $y_x$ . If  $deg(z) = 1$  then  $T = S_{2,2}$  and  $LF(T) = F(T) = 4$ , so let  $deg(z) \geq 3$ . By the argument that  $x, y$  and  $z$  are on a longest path and that  $z$  is not a support vertex, all the children of  $z$  must be support vertices that are similar to  $y$ . Thus,  $z$  can be adjacent to only one non-support vertex, which must be the parent of  $z$ , call it  $w$ . Hence  $deg(w) \geq 2$  and  $deg(z) = 3$ . Let the children of  $z$  be  $y$  and  $y^*$ , and let their respective descendants be labeled similarly to previous cases (see Figure 7). Consider the children of  $w$ . Since  $w$  is not a support vertex and is the parent of the non-support vertex  $z$ ,  $w$  must be adjacent to at least two support vertices. Note that if the parent of  $w$  is not a support vertex, then  $deg(w) \geq 5$ . Therefore, at least one child of  $w$  is a support vertex, call it  $v$ . We know by the longest path assumption that no non-endvertex child of  $v$  can be a non-support vertex, and we know by our previous arguments, that if support vertex  $v$  is on a longest path then  $T$  has a form similar to Case 1 or Case 2, and so we are done. Therefore,  $v$  must have a form similar to  $y$ . Let  $\bar{v}$  be the endvertex adjacent to  $v$ , and let  $s$  be the support vertex adjacent to  $v$ . Finally, label the endvertices of  $s$  by  $s'$  and  $s''$ . Let  $T' = T - \{z, y, y_x, x, x', x'', y^*, y_x^*, x^*, x^{*'}, x^{*''}, v, \bar{v}, s, s', s''\}$ . Notice that  $w$  lost both a support vertex  $v$  and a non-support vertex  $z$ , that is,  $w$  is adjacent to  $j - 1$  support vertices and  $deg(w) = 2(j - 1) - 1 = 2j - 3$ . Also, note that if the parent of  $w$  is not a support vertex then  $deg(w) \geq 5$ , and the parent of  $w$  did not become a support vertex. Hence,  $T' \in \mathcal{T}$ . Again let  $P$  be a maximal packing in  $T$  and define  $P' = P \cap T'$ . Let  $LF(T') = F(T') = K$

**Subcase a.** Suppose that  $w \in P$ . Then  $P'$  is a maximal packing in  $T'$ . Therefore  $I(P') = K$  in  $T'$ . In  $T$ ,  $I(P') = K + 2$ , since  $deg_T(w) = deg_{T'}(w) + 2$ . Let  $T_z$  be the tree containing  $z$  and all the descendants of  $z$ . Let  $T_v$  be the tree containing  $v$  and all the descendants of  $v$ . It can easily be verified that any maximal packing in  $T_z$ , that does not contain  $z$  or

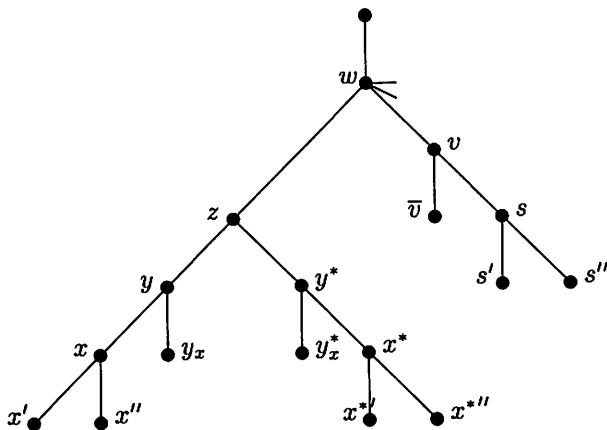


Figure 7: Tree  $T$  where  $z$  is not a support vertex.

the children of  $z$ , must have an influence of eight. Similarly, any maximal packing of  $T_v$  that does not contain  $v$  or the children of  $v$ , must have an influence of 2. Thus, for any  $P$  that contains  $w$ ,  $I(P) = (K + 2) + 8 + 2 = K + 12$ .

**Subcase b.** Suppose that  $P$  contains a neighbor of  $w$ .

i) Suppose that  $z \notin P$  and  $v \notin P$ . Then  $P'$  is maximal in  $T'$ . Hence,  $I(P') = K$  in  $T'$  and  $I(P') = K$  in  $T$ . Any maximal packing of  $T_z$  that does not contain  $z$  must have an influence of eight, and any maximal packing of  $T_v$  that does not contain  $v$  must have an influence of four. Thus, for all maximal packings  $P$  in  $T$ ,  $I(P) = K + 8 + 4 = K + 12$ .

ii) Suppose that  $z \in P$  and consider  $P'$ . Since  $T' \in \mathcal{T}$ ,  $w$  must be adjacent to a support vertex different from  $v$ , call it  $\bar{w}$ . Let  $\bar{w}'$  be an endvertex adjacent to  $\bar{w}$ . Also,  $\bar{w}$  must be adjacent to at least one other support vertex. Now,  $P'$  must contain a vertex in  $N(\bar{w}) - w$  or else  $P \cup \{\bar{w}'\}$  is a packing, contradicting the maximality of  $P$ . Therefore  $d(w, q) = 2$  for some  $q \in P'$ . By a similar argument, any non-support vertex adjacent to  $w$  must also be at most distance two from some element in  $P'$ . Therefore,  $P'$  is a maximal packing in  $T'$ , and so  $I(P') = K$  in  $T'$  and  $I(P') = K$  in  $T$ . Any maximal packing of  $T_z$  that contains  $z$  must have an influence of eight in  $T$ , and any maximal packing of  $T_v$  that does not contain  $v$  must have an influence of four in  $T$ . Thus,  $I(P) = K + 8 + 4 = K + 12$ .

iii) Suppose that  $v \in P$ . By the same argument as above,  $P'$  is maximal in  $T'$ . Thus,  $I(P') = K$  in  $T'$  and  $I(P') = K$  in  $T$ . Any maximal packing

of  $T_z$  that does not contain  $z$  must have an influence of eight in  $T$ , and the maximal packing  $\{v\}$  of  $T_v$  has an influence of four in  $T$ . Thus,  $I(P) = K + 8 + 4 = K + 12$ .

**Subcase c.** Suppose that  $P$  does not contain any neighbors of  $w$ . Then  $P'$  must be maximal in  $T'$  and  $I(P') = K$  in  $T'$  and in  $T$ . Also, for any maximal packing of  $T_z$  that does not contain  $z$ , the influence must be eight, and for any maximal packing of  $T_v$  that does not contain  $v$ , the influence must be four. Thus,  $I(P) = K + 12$ .

Therefore, for all maximal packings  $P$  of  $T$ ,  $I(P) = K + 12$ , and so  $LF(T) = F(T)$ . Hence, we have proven that for a tree  $T$ ,  $LF(T) = F(T)$  if and only if  $T \in \mathcal{T}$ .  $\square$

## 4 Concluding Remarks

As noted, this paper begins the study of the parameter  $LF$ . The duals of  $F$  and  $R$  are the parameters  $W$  and  $P$ , closed neighborhood order domination and closed neighborhood order packing, respectively. The parameters  $UW$ ,  $LP$ , and  $UR$  defined in [14] have yet to be studied.

Complexity questions concerning the parameter  $LF$  are of interest. For example, for which classes of graphs  $G$  (1) can  $LF(G)$  be computed in polynomial/linear time, or (2) is the decision problem associated with determining  $LF(G)$  NP-complete?

Characterizing other classes of graphs  $G$  with  $LF(G) = F(G)$  would be interesting. Note that, from Proposition 3, if  $G$  is regular then  $LF(G) = F(G)$  if and only if  $L\rho(G) = \rho(G)$ .

## References

- [1] D. W. Bange, A. E. Barkauskas, and P. J. Slater, Disjoint dominating sets in trees, Technical Report 78- 1087J, Sandia Laboratories, 1978.
- [2] D. W. Bange, A. E. Barkauskas, and P. J. Slater, Efficient dominating sets in graphs. In R. D. Ringeisen and F. S. Roberts, editors, *Applications of Discrete Mathematics*, pages 189-99. SIAM, Philadelphia, PA, 1988.
- [3] N. Biggs, Perfect codes in graphs, *J. Combin. Theory Ser. B*, 15 (1973) 289-296.
- [4] E. J. Cockayne and S. T. Hedetniemi, Towards a theory of domination in graphs, *Networks*, 7 (1977) 247-261.
- [5] E. J. Cockayne, S. T. Hedetniemi, and D. J. Miller, Properties of hereditary hypergraphs and middle graphs, *Canad. Math. Bull.*, 21 (1978) 461-468.
- [6] A. Finbow, B. L. Hartnell, and R. Nowakowski, Well-dominated graphs: a collection of well-covered ones, *Ars Combin.*, 25A (1988) 5-10.
- [7] M. R. Garey and D. S. Johnson, *Computers and Intractability: A Guide to the Theory of NP-Completeness*. Freeman, New York, 1979.
- [8] D. L. Grinstead and P. J. Slater, Fractional domination and fractional packing in graphs, *Congr. Numer.*, 71 (1990) 153-172.
- [9] D. L. Grinstead and P. J. Slater, A recurrence template for several parameters in series-parallel graphs, *Discrete Appl. Math.*, 54 (1994) 151-168.
- [10] T. W. Haynes, S. T. Hedetniemi, and P. J. Slater, *Fundamentals of Domination in Graphs*, Marcel Dekker, Inc., New York, NY, 1998.
- [11] T. W. Haynes, S. T. Hedetniemi, and P. J. Slater, eds. *Domination in Graphs: Advanced Topics*, Marcel Dekker, Inc., New York, NY, 1998.
- [12] M. Plummer, Some covering concepts in graphs, *J. Combin. Theory*, 8 (1970) 91-98.
- [13] P. J. Slater, Enclaveless sets and MK-systems, *J. Res. Nat. Bur. Standards*, 82 (1977) 197-202.
- [14] P. J. Slater, Closed neighborhood order domination and packing, *Congr. Numer.*, 97 (1993) 33-43.