

COMPUTATIONAL CONSIDERATIONS FOR EXCLUSIVE (M, N)-TRANSITIVE AUGMENTATIONS

Kenneth Williams, Alfred Boals

Department of Computer Science
Western Michigan University
Kalamazoo, MI 49008
U.S.A.

Abstract. Digraph D is defined to be exclusive (M, N)-transitive if, for each pair of vertices x and y , for each xy -path P_1 of length M there is an xy -path P_2 of length N such that $P_1 \cap P_2 = \{x, y\}$. It is proved that computation of a minimal edge augmentation to make K exclusive (M, N)-transitive is NP -hard for $M > N \geq 2$, even if D is acyclic. The corresponding decision problems are NP -complete. For $N = 1$ and $D = (V, E)$ with $|V| = n$, an $O(n^{M+3})$ algorithm to compute the exclusive ($M, 1$)-transitive closure of an arbitrary digraph is provided.

1. Introduction and terminology.

Digraph D is said to be *exclusive (M, N)-transitive* if, for each pair of vertices x and y , for each xy -path, (that is, simple path), P_1 of length M there is an xy -path P_2 of length N such that $P_1 \cap P_2 = \{x, y\}$. D is *(M, N)-transitive* if for each path of length M from vertex x to vertex y there is a subset of the vertices on the path which are the vertices of a path of length N from x to y . D is *free (M, N)-transitive* if for each path of length M from vertex x to vertex y there is a path (unspecified as to what vertices may be used) from x to y of length N .

Exclusive ($2, 1$)-transitivity, ($2, 1$)-transitivity and free ($2, 1$)-transitivity are all equivalent to "normal" transitivity. For all positive integers M , exclusive ($M, 1$)-transitivity, ($M, 1$)-transitivity and free ($M, 1$)-transitivity are equivalent. (M, N)-transitivity has recently been examined in [1], [2] and [7]. Free (M, N)-transitivity is investigated in [2].

An *exclusive (M, N)-transitive closure*, D_T , of a digraph D , is a new digraph formed by augmenting D with a minimal set of new edges so that D_T is exclusive (M, N)-transitive. It is easy to show that the exclusive ($M, 1$)-transitive closure of any digraph must be unique.

Further notation used is generally consistent with [3] and [5]. For a digraph $D = (V, E)$, the *vertex set* and *ordered edge set* are denoted by V and E respectively. A *path* of D is formed by a sequence of distinct vertices $P = (x_0, x_1, \dots, x_j)$, such that $\forall i \in \{1, 2, \dots, j\} (x_{i-1}, x_i) \in E$. We refer to P as an $x_0 x_j$ -*path of length j* . A *cycle* is an $x_0 x_j$ -path together with the edge (x_j, x_0) . A digraph which contains no cycles is said to be *acyclic*.

2. Exclusive (M, N) -transitivity is NP -hard.

Let $M > N \geq 2$ be positive integers. We consider the following decision problem:

Exclusive (M, N) -transitive closure.

Instance: A digraph (V, A) and a positive integer $k \leq |V|^2 - |V|$.

Question: Does there exist a set of edges A' with $|A'| \leq k$ such that $(V, A \cup A')$ is exclusive (M, N) -transitive?

Theorem 1. *The exclusive $(3, 2)$ -transitive closure problem is NP -complete.*

Proof: Clearly the problem is in NP since exclusive (M, N) -transitivity can be checked in polynomial time. To establish the theorem we show a transformation from SATISFIABILITY (SAT) (see [4] and [6]) to EXCLUSIVE $(3, 2)$ -TRANSITIVE CLOSURE.

Let $U = \{u_1, u_2, \dots, u_p\}$ be the set of variables and $C = \{c_1, \dots, c_q\}$ be the set of clauses making up an instance of SAT. Define an instance of EXCLUSIVE $(3, 2)$ -TRANSITIVE CLOSURE as follows: The vertices, V , consist of the union of the following sets:

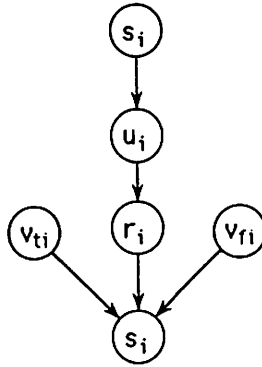


Figure 1

$$\{s_0\} \cup \{u_i, r_i, s_i, v_{ti}, v_{fi} \mid i = 1, \dots, p\} \cup \{c_j \mid j = 1, \dots, q\}.$$

For each variable i , A includes the edges:

$$\{(s_0, u_i), (u_i, r_i), (r_i, s_i), (v_{bi}, s_i) \mid i = 1, \dots, p, b = t, f\}.$$

Thus, each variable provides a subdigraph as in Figure 1.

The complete digraph is formed so that the vertex s_0 is connected to each vertex u_i and if variable u_i is contained in the clause c_j then either v_{ti} or v_{fi} , depending

on the sign of u_i in clause c_j , is connected to vertex c_j . r_i is also connected to vertex c_j . This means that A also includes the edges:

- $\{(v_{ti}, c_j) \mid u_i \text{ is a literal in the clause } c_j\}$
- $\{(v_{fi}, c_j) \mid \neg u_i \text{ is a literal in the clause } c_j\}$
- $\{(r_i, c_j) \mid u_i \text{ or } \neg u_i \text{ is a literal in the clause } c_j\}$.

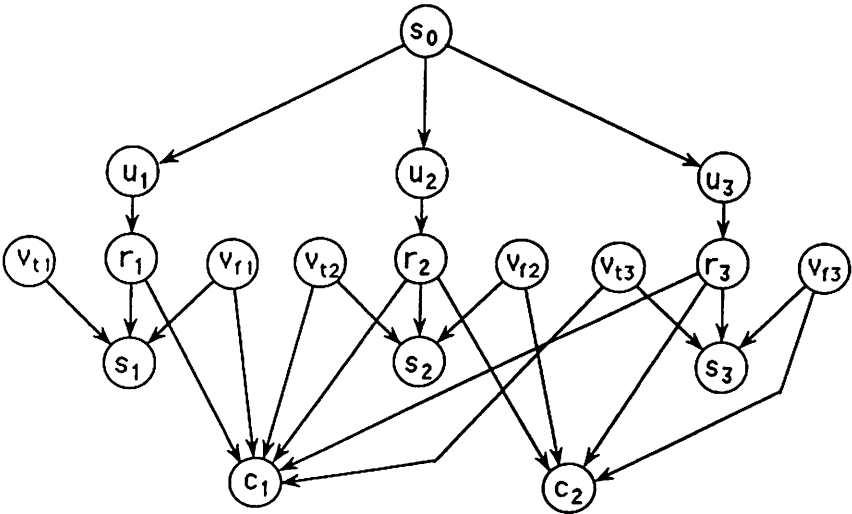


Figure 2

Figure 2 provides an example with three variables and two clauses:

$$(\neg u_1 \vee u_2 \vee u_3) \wedge (\neg u_2 \vee \neg u_3).$$

k is defined to be p , the number of variables. If there is an assignment of the variables $B: \{u_1, u_2, \dots, u_p\} \rightarrow \{t, f\}$ which results in each of the clauses having the value true, then the digraph obtained by adding the p edges $\{(s_0, v_{gi}) \mid i = 1, \dots, p \text{ and } g = B(u_i)\}$ is exclusive $(3, 2)$ -transitive. Thus, the exclusive $(3, 2)$ -transitive closure will not require more than k edges for $k = p$.

Conversely, suppose that $(V, A \cup A')$ is the exclusive $(3, 2)$ -transitive closure of (V, A) with $|A'| \leq p$.

Since there exist at least p distinct paths of length 3 such that any two paths have only s_0 in common, at least p edges must be added to have the needed paths of length 2. Therefore $|A'| = p$.

The exclusive $(3, 2)$ -transitive closure of a digraph as in Figure 1 must contain one of two extra edges for each i : (s_0, v_{ti}) or (s_0, v_{fi}) , so A' must contain one such edge for each i . Define an assignment of the variables u_1, u_2, \dots, u_p , that is, $B: \{u_1, u_2, \dots, u_p\} \rightarrow \{t, f\}$ by

$$B(u_i) = \begin{cases} t; & \text{if } (s_0, v_{ti}) \in A' \\ f; & \text{if } (s_0, v_{fi}) \in A'. \end{cases}$$

Since $(V, A \cup A')$ is exclusive $(3, 2)$ -transitive, each path of length 3 from s_0 to c_j , for $j = 1, \dots, q$ requires a path of length 2 from s_0 to c_j . Thus, each clause in the instance of SAT will have the value true with the assignment B .

Since the above transformation can be done in polynomial time the exclusive $(3, 2)$ -transitive closure problem is NP -complete. ■

The above proof can be modified by inserting additional vertices on paths to obtain the following:

Corollary 1. *The EXCLUSIVE (M, N) -TRANSITIVE CLOSURE problem is NP -complete for all $M > N \geq 2$.*

Corollary 2. *For $M > N \geq 2$, computation of the exclusive (M, N) -transitive closure of a general digraph is NP -hard.*

Note that Theorem 1 and Corollaries 1 and 2 are true even for acyclic digraphs, since the digraph constructed in the proof of Theorem 1 is acyclic.

3. Computing exclusive $(M, 1)$ -transitive closures for arbitrary digraphs.

When computing exclusive $(M, 1)$ -transitive closures on arbitrary (possibly cyclic) digraphs it becomes necessary to grow trees describing paths. For a given node, say x_0 , of digraph $D = (V, E)$ with $|V| = n$, we may grow a tree, T , of depth M with x_0 as the root so that vertex k is a child of vertex j iff $(j, k) \in E$ and, furthermore, k is not an ancestor of j . This tree, which provides all paths of length M from x_0 , will be grown in a depth first, recursive manner. Each root-to-frontier path of length M in T , then represents a path $P = (x_0, x_1, \dots, x_M)$ in the digraph which must be checked to see if there is a corresponding edge (x_0, x_M) in D . If not, edge (x_0, x_M) must be added to fulfill the exclusive $(M, 1)$ -requirements for P . This process of growing a tree with root x_0 and adding edges if necessary will be called GROW-TREE-AND-AUGMENT(x_0).

When growing the above tree on a digraph with n vertices there may be $n - 1$ branches from the root, and $n - 2$ branches from each vertex at depth 1, etc. In

total, there may be $P = (n - 1) \cdot (n - 2) \cdot \dots \cdot (n - M)$ vertices to place on the tree. P must be less than n^M so for a given root vertex, x_0 , the time complexity of GROW-TREE-AND-AUGMENT(x_0) is bounded by n^M . Of course, it is possible that the tree from each vertex will need to be reconstructed several times to reflect changes made by adding subsequent edges.

Algorithm 1: Computes G_T , the exclusive $(M, 1)$ -transitive closure for arbitrary digraph G with n vertices, for $M \geq 2$.

begin

$G_T \leftarrow G$

repeat

Apply GROW-TREE-AND-AUGMENT(i) to each vertex i of G_T

until no edges are added in loop

end of algorithm.

Theorem 2. *Algorithm 1 computes the exclusive $(M, 1)$ -transitive closure for any digraph.*

Proof: Upon completion of Algorithm 1 G_T must be exclusive $(M, 1)$ -transitive or the algorithm would not have halted.

Suppose G_T is not the exclusive $(M, 1)$ -transitive closure of G . Thus, \exists edge set $E' = \{e_i = (a_i, b_i) \mid \text{for } i = 1, \dots, k\}$ in $E(G_T) - E(G)$ such that the digraph G_T^* obtained by removing E' from G_T is exclusive $(M, 1)$ -transitive. Let e_j be the first such edge added to G_T by the algorithm. Let G_T' be the version of G_T just prior to adding e_j . Note that G_T' is a subdigraph of G_T^* . Thus, G_T^* contains a path of length M from a_j to b_j but does not contain $e_j = (a_j, b_j)$, which contradicts the exclusive $(M, 1)$ -transitivity of G_T^* . ■

4. Summary.

This paper has defined the exclusive (M, N) -transitive property for directed graphs. It has established that, for $M > N \geq 2$, computation of an exclusive (M, N) -transitive closure of a digraph is NP -hard and the related decision problem is NP -complete. An algorithm has been presented which computes the exclusive $(M, 1)$ -transitive closure of an arbitrary digraph $D = (V, E)$ in $O(n^{M+3})$ time, where $|V| = n$.

References

1. A. J. Boals, K. L. Williams, *Optimizing subcase solutions for (M, N) -transitivity*, *Mathematical Computer Modeling* **11** (1988), 914-919.
2. A.J. Boals, K.L. Williams, Z. Mo, *Notes on (M, N, R_1, R_2) -transitive directed graphs*, *Congressus Numerantium* (1989) (to appear).
3. R.G. Busacker, T.L. Saaty, "Finite Graphs and Networks", McGraw-Hill, 1965.
4. S.A. Cook, *The complexity of theorem-proving procedures*, Proc. 3rd Annual ACM Symposium on Theory of Computing, ACM (1971), New York, NY.
5. G. Chartrand, L. Lesniak, "Graphs and Digraphs", Wadsworth & Brooks/Cole, Monterey CA, 1986.
6. M.R. Garey, D.S. Johnson, *Computers and intractability*, in "A Guide to the Theory of NP -Completeness", W.H. Freeman and Company, San Francisco, 1979.
7. A. Gyarfás, M.S. Jacobson, L.F. Kinch, *On a generalization of transitivity for digraphs*, *Discrete Mathematics* **70** (1988).