

Dominance Method for Plane Partitions. V: Enumeration of Ladder Tableaux

W. C. Chu

Institute of Systems Science
Academia Sinica, Peking 100080
People's Republic of CHINA

Abstract. The concept of ladder tableaux is introduced which may be considered as a natural extension of the shifted tableaux. By means of the dominance technique, a pair of determinantal expressions in terms of symmetric functions, for the generating function of ladder tableaux with a fixed shape, is established. As applications, the particular cases yield the generating functions for column-strict reverse plane partitions, symmetrical reverse plane partitions and column-strict shifted reverse plane partitions with a given shape and with no part-restrictions.

1. Preliminaries

Let $\lambda = (\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_r)$ and $\nu = (\nu_1 \geq \nu_2 \geq \dots \geq \nu_r)$ be two ordinary partitions satisfying $\nu_1 \leq \lambda_r$. Denote their conjugations by $\lambda' = (\lambda'_1 \geq \lambda'_2 \geq \dots \geq \lambda'_c)$ and $\nu' = (\nu'_1 \geq \nu'_2 \geq \dots \geq \nu'_c)$ respectively. The converse of ν is defined to be $\tilde{\nu} = (\tilde{\nu}_1 \geq \tilde{\nu}_2 \geq \dots \geq \tilde{\nu}_r)$ whose conjugation is just ν' where $\tilde{\nu}_i = \nu_{r-i+1}$ for $1 \leq i \leq r$. A ladder tableau of shape $\lambda/\tilde{\nu}$ is an array T of positive integers $\{T_{ij}; \tilde{\nu}_i < j < \lambda_i, 1 \leq i \leq r\}$ such that the entries of T are arranged in ascending order in each row and in strictly ascending order in each column, i.e., $t_{ij} \leq t_{i,j+1}$ for all $\tilde{\nu}_i < j < \lambda_i, 1 \leq i \leq r$ and $t_{ij} < t_{i+1,j}$ for all $1 \leq i < \lambda'_j - \nu'_j, \tilde{\nu}_1 < j \leq c$. In this definition, the word "ladder" comes from the fact that if we turn over tableau T , then the shape of T is just like a ladder. For the tableau T with n_k parts equal k , its weight is defined to be a monomial $w(T) = \prod x_k^{n_k}$ which clearly makes sense for the tableaux with only one row (column). The enumerative function for any set P of tableaux is denoted by the weight-sum $\sum_{T \in P} w(T)$, which is polynomial or formal power series in variables $\{x_k\}_{k \geq 1}$.

In order to demonstrate the dominance technique, we need to introduce the following functions [15]: Let $e_k(u, v)$ denote the elementary symmetric function of degree k in variables $\{x_i\}_{u < i < v}$ if $v - u > k > 0$. If $k = 0$, then $e_k(u, v) = 1$ for $u < v$ and $e_k(u, v) = 0$ for $u \geq v$. If $k < 0$ or $v - u \leq k$ then $e_k(u, v) = 0$. Similarly, denote by $h_k(u, v)$ the complete homogenous symmetric function of degree k in variables $\{x_j\}_{u \leq j \leq v}$ if $u \leq v$ and $k > 0$. If $k = 0$ then $h_k(u, v) = 1$ for $u \leq v$ and $h_k(u, v) = 0$ for $u > v$. If $u > v$ or $k < 0$, then $h_k(u, v) = 0$. Analogous to those for ordinary and Gaussian binomial coefficients we have the following summation formulas.

Lemma 1.1.

$$i. \sum_{m \leq u < n} x_u e_k(u, v) = e_{k+1}(u, n) - e_{k+1}(u, m) \quad (1.1)$$

$$ii. \sum_{m \leq u < n} x_u h_k(u, v) = h_{k+1}(m, v) - h_{k+1}(n, v) \quad (1.2)$$

Proof: The generating functions for $\{e_k(u, v)\}$ and $\{h_k(u, v)\}$ with respect to the subscript k are respectively given by

$$E(u, v) = \sum_{k \geq 0} e_k(u, v) z^k = \prod_{u < i < v} (1 + zx_i)$$

and

$$H(u, v) = \sum_{k \geq 0} h_k(u, v) z^k = \prod_{u \leq j \leq v} (1 - zx_j)^{-1}.$$

Then (1.1) and (1.2) follow from the expansions of

$$\begin{aligned} \sum_{m \leq u < n} x_u E(u, v) &= \sum_{m \leq u < n} z^{-1} \{E(u, v+1) - E(u, v)\} \\ &= z^{-1} \{E(u, n) - E(u, m)\} \end{aligned}$$

and

$$\begin{aligned} \sum_{m \leq u < n} x_u H(u, v) &= \sum_{m \leq u < n} z^{-1} \{H(u, v) - H(u+1, v)\} \\ &= z^{-1} \{H(m, v) - H(n, v)\} \end{aligned}$$

respectively. ■

Based on identities (1.1–1.2), the next two sections will establish the generating functions of flagged ladder tableaux as determinants in terms of elementary symmetric functions $e_k(u, v)$ and complete symmetric functions $h_k(u, v)$. Naturally, these determinantal expressions have resemblance as those for ordinary and skew tableaux with flags.

2. Row-Flagged Ladder Tableaux

Now we consider the row-flagged tableaux [15]. Denote by $S_{\lambda/\tilde{\nu}}(t; b)$ the generating function for the ladder tableaux of shape $\lambda/\tilde{\nu}$ with row flags t and b (i.e., the entries of i th row are bounded below by t_i and above by b_i) in which t_i becomes the first entry on the i th row of the augmented tableaux if attaching the flag t to

Notice that the matrix $[h_{i-j+\lambda_j-\lambda_i}(t_i, \lambda_i, b_j)]_{1 \leq i, j \leq r}$ being strictly lower uni-triangular coincides with the fact that b is an upper row flag of T . Since recurrence (2.3) is restricted by table (2.1). Multiplying U_{c+1} by determinant

$$\det_{r \times r} [h_{i-j+\lambda_j-\lambda_i}(t_i, \lambda_i, b_j)] = 1$$

will gives that

$$U_c(T_{c-1}) = \sum_{\substack{t_{1c} < t_{2c} < \dots < t_{\lambda'_c c} \leq b_{\lambda'_c} \\ \vee | \quad \vee | \quad \dots \quad \vee | \\ t_{1,c-1} \quad t_{2,c-1} \quad \dots \quad t_{\lambda'_c, c-1}}} \det_{r \times r} \begin{bmatrix} x_{t_{1c}} h_{i-j+\lambda_j-\lambda_i}(t_{i,c}, b_j) \\ h_{i-j+\lambda_j-\lambda_i}(t_i, \lambda_i, b_j) \end{bmatrix} \begin{matrix} 1 \leq i \leq \lambda'_c \\ \lambda'_c < i \leq r \end{matrix} \quad (2.5)$$

If performing the summation with respect to $t_{i,c}$, the i th row of the determinant in (2.5) becomes, by (1.2),

$$h_{i-j+\lambda_j-\lambda_i+1}(t_{i,c-1}, b_j) - h_{i-j+\lambda_j-\lambda_i+1}(t_{i+1,c}, b_j), \quad j = 1, 2, \dots, r.$$

Thus multiplying the $(i+1)$ th row by $x_{t_{i+1,c}}^{-1}$ and adding to the i th row, the latter reduces to

$$h_{i-j+\lambda_j-\lambda_i+1}(t_{i,c-1}, b_j), \quad j = 1, 2, \dots, r.$$

Performing the operations in this way with subscript i from 1 to λ'_c we finally arrive at

$$U_c(T_{c-1}) = \det_{r \times r} \begin{bmatrix} h_{i-j+\lambda_j-\lambda_i+1}(t_{i,c-1}, b_j) \\ h_{i-j+\lambda_j-\lambda_i}(t_i, \lambda_i, b_j) \end{bmatrix} \begin{matrix} 1 \leq i \leq \lambda'_c \\ \lambda'_c < i \leq r \end{matrix} \quad (2.6)$$

For the recurrence (2.3) if $x_{t_{i,c-1}}$ being absorbed in the i th row of determinant (2.6), then the similar manner yields

$$U_c(T_{c-1}) = \det_{r \times r} \begin{bmatrix} h_{i-j+\lambda_j-\lambda_i+2}(t_{i,c-2}, b_j) \\ h_{i-j+\lambda_j-\lambda_i+1}(t_{i,c-2}, b_j) \\ h_{i-j+\lambda_j-\lambda_i}(t_i, \lambda_i, b_j) \end{bmatrix} \begin{matrix} 1 \leq i \leq \lambda'_c \\ \lambda'_c < i \leq r \\ \lambda'_{c-1} < i \leq r \end{matrix}$$

Repeating this process $(c-e)$ times on recurrence (2.3), the intermediate results are demonstrated as follows:

$$U_{\lambda_{k+1}}(T_{\lambda_k}) = \det_{r \times r} \begin{bmatrix} h_{i-j+\lambda_j-\lambda_k}(t_i, \lambda_k, b_j) \\ h_{i-j+\lambda_j-\lambda_i}(t_i, \lambda_i, b_j) \end{bmatrix} \begin{matrix} 1 \leq i \leq k \\ k < i \leq r \end{matrix} \quad (k = 1, 2, \dots, r)$$

$$U_{\nu_k+1}(\tilde{T}_{\nu_k}) = \det_{r \times r} \begin{bmatrix} h_{i-j+\lambda_j-\tilde{\nu}_k}(t_i, \tilde{\nu}_k, b_j) \\ h_{i-j+\lambda_j-\tilde{\nu}_i}(t_i, b_j) \end{bmatrix} \begin{matrix} 1 \leq i < k \\ k \leq i < r \end{matrix} \quad (k = r, r-1, \dots, 1)$$

Thus we ultimately obtain the following

Lemma3.1. $S_{\lambda/\bar{\nu}}(a; tVb)$ can be derived recursively from the iterative process:

$$\left. \begin{array}{l}
 \text{Initial condition (where } t_{1,1} = t_1) \\
 V_0(T_1) = \det_{c \times c} [e_{j-i}(a_j, t_{1,i})] = 1 \\
 \text{Recurrence relation} \\
 V_k(T_{k+1}) = \sum_{T_k \text{ subject to (3.1)}} w(T_k) V_{k-1}(T_k) \\
 (k = 1, 2, \dots, r) \\
 \text{Final step} \\
 S_{\lambda/\bar{\nu}}(a; tVb) = V_r
 \end{array} \right\} \quad (3.3)$$

By means of (1.1), manipulate on the determinant with respect to each row T_k from right to left in recurrence (3.3). The exactly same procedure as that for (2.7) demonstrated in the last section will produce the dual proposition of theorem 2.2 as long as column-flags satisfy the following conditions

$$\begin{array}{ccccccc}
 a_1 \leq a_2 \leq \dots \leq a_{\bar{\nu}_r} \leq a_{\bar{\nu}_r+1} \leq \dots \leq a_c & & & & & & \\
 \wedge & \wedge & & \wedge & \wedge & & \wedge \\
 t_1 \leq t_2 \leq \dots \leq t_{\bar{\nu}_r} \leq t_{\bar{\nu}_r+1} \leq \dots \leq b_c & & & & & &
 \end{array} \quad (3.4)$$

In fact the restriction on tVb can be replaced by more natural ones

$$\begin{array}{l}
 t_k + \bar{\nu}'_k \leq t_{k+1} + \bar{\nu}'_{k+1} \quad (1 \leq k \leq \bar{\nu}_r) \\
 b_k - \lambda'_k \leq b_{k+1} - \lambda'_{k+1} \quad (\bar{\nu}_r < k \leq c)
 \end{array} \quad (3.5)$$

which come from the considerations for the extreme cases of corner-hooks.

Theorem 3.2. With column-flags defined by (3.4) (resp. tVb by (3.5)), the generating function for the ladder tableaux of shape $\lambda/\bar{\nu}$ with column-flags a and tVb is given by

$$S_{\lambda/\bar{\nu}}(a; tVb) = \det_{c \times c} [e_{j-i+\lambda'_i-\bar{\nu}'_i}(a_j, (tVb)_i)]. \quad (3.6)$$

When $\nu = 0$, this determinant reduces to the flagged Schur function [15].

4. Applications to Column-Strict Reverse Plane Partitions

To discuss the enumeration problems of reverse plane partitions, we first recall some identities about Schur functions which may be referred to [8], [9], and [12]. For $\delta = (\tau - 1, \tau - 2, \dots, 1, 0)$ and partition $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_\tau)$ defined in Section 1, Schur function has a quotient expression in determinants:

$$S_\lambda(x_1, x_2, \dots, x_\tau) = \frac{\det_{\tau \times \tau} [h_{j-i+\lambda_i}(1, \tau)]}{a_\delta(x_1, x_2, \dots, x_\tau)} \quad (4.1)$$

where the skew-symmetric function is defined by

$$a_{(n_1, n_2, \dots, n_r)}(x_1, x_2, \dots, x_r) = \det_{r \times r} [x_j^{n_i}] \quad (4.2)$$

Further, there is a beautiful identity on the summation of Schur functions.

$$\sum_{\lambda} S_{\lambda}(x_1, x_2, \dots, x_r) = \prod_{1 \leq k \leq r} (1 - x_k)^{-1} \prod_{1 \leq i < j \leq r} (1 - x_i x_j)^{-1} \quad (4.3)$$

where the summation runs over all partitions with at most r parts.

First we let $\nu = 0$, $t_i = 1$ and $b_j = n$ in (2.7). Then $S_{\lambda/\nu}(t; b)$ reduces to the Schur function $S_{\lambda}(x) = \det_{r \times r} [h_{j-i+\lambda_j}(1, n)]$ which enumerates the column-strict reverse plane partitions of shape λ with all parts $\leq n$. From (4.1) it is easy to deduce the following

Proposition 4.1.

$$S_{\lambda}(q, q^2, \dots, q^n) = q^{|\lambda|+n(\lambda)} \prod_{x \leftarrow \lambda} \frac{\langle n + c(x) \rangle}{\langle h(x) \rangle} \quad (4.4)$$

where $\langle x \rangle = 1 - q^x$ and other notations are as in [9, p. 27].

There are extensive literatures concerned with this topic. The interested readers may refer to [4–5], [7–10], [11–12] in which Remmel & Whitney have provided a lattice path proof in [11] recently.

Next, we put $\tilde{v}_i = i$, $b_j = \infty$ and $\alpha_k = \lambda_k - k + 1$ in (2.7). Then the generating function for shifted reverse plane partitions of shape α is equal to

$$\begin{aligned} P_{\alpha}(q) &= \sum_{1 \leq t_1 < t_2 < \dots < t_r} \left\{ \det_{r \times r} [h_{\alpha_j - 1}(t_i, a_{\infty})] \prod_{k=1}^r x_{t_k} \right\}_{x_i = q^i} \\ &= \sum_{1 \leq t_1 < t_2 < \dots < t_r} \det_{r \times r} \left[\frac{q^{t_i \alpha_j}}{(q; q)_{\alpha_j - 1}} \right] \end{aligned}$$

where $(x : q)_n = \prod_{k=1}^n (1 - xq^{k-1})$. Define $|m| = \sum_{i=1}^r m_i$ for vector $m = (m_1, m_2, \dots, m_r)$ and $n(m) = \sum_{k=1}^r (k-1)m_k$. Thus a simple replacement gives that

$$P_{\alpha}(q) = \frac{q^{|\alpha|}}{\prod_{1 \leq i \leq r} (q : q)_{\alpha_i - 1}} \sum_{\mu_1 \geq \mu_2 \geq \dots \geq \mu_r \geq 0} a_{\mu + \delta}(q^{\alpha_r}, q^{\alpha_{r-1}}, \dots, q^{\alpha_1})$$

By means of (4.3), this summation can be simplified as

Proposition 4.2.

$$P_\alpha(q) = \frac{q^{|\alpha|+n(\alpha)}}{\prod_{k=1}^r (q; q)_{\alpha_k}} \prod_{1 \leq i < j \leq r} \frac{\langle \alpha_i - \alpha_j \rangle}{\langle \alpha_i + \alpha_j \rangle} \quad (4.5)$$

This result is consistent with Gansner's ([4, Corollary 7.2]) where he derived the result using the Hillman-Grassl correspondence.

A shifted reverse plane partition T of shape α is called a standard shifted tableau (SST) if the entries of T consist of just $1, 2, \dots, |\alpha|$. According to the statements of Stanley ([13, Corollary 5.1–5.4]) the number of SST of shifted shape α is equal to (cf. Macdonald [9, p. 135])

$$\lim_{r \rightarrow 1} P_\alpha(q)(q; q)_{|\alpha|} = \binom{|\alpha|}{\alpha_1, \alpha_2, \dots, \alpha_r} \prod_{1 \leq i < j \leq r} \frac{\alpha_i - \alpha_j}{\alpha_i + \alpha_j} \quad (4.6)$$

where $\binom{n_1 + n_2 + \dots + n_k}{n_1, n_2, \dots, n_k}$ denotes the multinomial coefficient.

Finally, we set $\tilde{v}_i = i, b_j = \infty$ and a symmetric partition $(\alpha_1 > \alpha_2 > \dots > \alpha_r | \alpha_1 > \alpha_2 > \dots > \alpha_r)$ in Frobenius' notation [1]. It follows from (2.7) that the generating function for symmetrical reverse plane partitions of shape $(\alpha | \alpha)$ is given by

$$Q_{(\alpha|\alpha)}(q) = \sum_{1 \leq t_1 < t_2 < \dots < t_r} q^{-1n(\alpha) - \binom{r}{2}} \left\{ \det_{r \times r} [h_{\alpha_j}(t_i, \infty)] \right\} x_k = q^{2k} q \sum_{k=1}^r t_k$$

where a simple transform has been made from the strict partitions to the ordinary ones. Then it is obvious that

$$Q_{(\alpha|\alpha)}(q) = q^{-2n(\alpha) - \binom{r}{2}} \sum_{0 < t_1 < t_2 < \dots < t_r} \det_{r \times r} \left[\frac{q^{(2\alpha_j+1)t_i}}{(q^2; q^2)_{\alpha_j}} \right]$$

By means of (4.1–4.3) this can be simplified as follows

$$\begin{aligned} Q_{(\alpha|\alpha)}(q) &= \frac{q^{2|\alpha| - 2n(\alpha) + r - \binom{r}{2}}}{\prod_{k=1}^r (q^2; q^2)_{\alpha_k}} \sum_{\mu_1 \geq \mu_2 \geq \dots \geq \mu_r \geq 0} a_{\mu+\delta}(q^{2\alpha_r+1}, q^{2\alpha_{r-1}+1}, \dots, q^{2\alpha_1+1}) \\ &= q^{r+2|\alpha|} \prod_{k=1}^r \frac{(q; q^2)_{\alpha_k}}{(q; q)_{2\alpha_k+1}} \prod_{1 \leq i < j \leq r} \frac{\langle 2(\alpha_i - \alpha_j) \rangle}{\langle 2(\alpha_i + \alpha_j + 1) \rangle} \end{aligned}$$

This gives the following

Proposition 4.3. *Let $\lambda = (\alpha | \alpha)$ be a symmetric partition. Then we have*

$$Q_\lambda(q) = q^{|\lambda|} \prod_{k=1}^r \frac{(q; q^2)_{\alpha_k}}{(q; q)_{2\alpha_k+1}} \prod_{1 \leq i < j \leq r} \frac{\langle 2(\alpha_i - \alpha_j) \rangle}{\langle 2(\alpha_i + \alpha_j + 1) \rangle} \quad (4.7)$$

An alternative version of this statement in terms of hooks is obtained by Gansner ([4, Corollary 6.2]).

5. Concluded Remarks

On account of the fact that the concept of ladder tableaux is a natural extension to that of shifted tableaux, one can develop the related notions similar to the cases for ordinary tableaux.

From the ordinary and Gaussian binomial coefficients to elementary and complete symmetric functions, the “dominance method” described in section 2 and 3 may be viewed as a kind of formalization of the technique successfully used by Andrews [1] and Carlitz [3] to treat the counting problems of plane partitions. To make the presented technique carry into execution, two algebraic identities (1.1)–(1.2) play the vital role. From section 4 one can notice that the arguments demonstrated by dominance technique possess a nice flexibility in applications. A pair of intriguing open questions is whether there exist simple closed product expressions for the generating functions of shifted tableaux and symmetrical reverse plane partitions with the fixed shapes and the part-bounding.

References

1. G. E. Andrews, *The Theory of Partitions*, in “Encyclopedia of Mathematics and Applications: Vol. 2”, Addison-Wesley, USA, 1976.
2. G. E. Andrews, *Plane Partitions (III): The weak Macdonald conjecture*, *Inv. Math.* **53** (1979), 193–225.
3. L. Carlitz, *Rectangular arrays and plane partitions*, *Acta Arithmetica* **13** (1967), 29–47.
4. E. R. Gansner, *The Hillman-grassl correspondence and the enumeration of reverse plane partitions*, *J. Combin. Theory (A)* **30** (1981), 71–89.
5. I. P. Goulden & D. M. Jackson, “Combinatorial Enumeration”, Wiley, New York, 1983.
6. A. P. Hillman & R. M. Grassl, *Reverse plane partitions and tableau hook numbers*, *J. Combin. Theory (A)* **21:2** (1976), 216–221.
7. D. E. Knuth, *Permutations, matrices and generalized Young tableaux*, *Pacific J. of Math* **34** (1970), 709–727.
8. D. E. Littlewood, “The Theory of Group Characters”, 2nd ed., Oxford University Press, Oxford, 1950.
9. I. G. Macdonald, “Symmetric Functions and Hall Polynomials”, Clarendon Press, Oxford, 1979.
10. P. A. MacMahon, “Combinatory Analysis”, Vol 1 & 2, Cambridge, 1915/1916.
11. J. B. Remmel & R. Whitney, *A bijective proof of the generating function for the number of reverse plane partitions via lattice paths*, *Linear & Multilinear Algebra* **16** (1984), 75–91.
12. R. P. Stanley, *Theory and application of plane partitions I & II*, *Stud. in Appl. Math.* **50** (1971), 167–188 & 259–279.

13. R. P. Stanley, *Ordered Structures and Partitions*, Amer. Math. Mem. no. 119 (1972).
14. B. Sagan, *An analog of Schensted's algorithm for shifted Young tableaux*, J. Combin. Theory (A) 27 (1979), 10–18.
15. M. L. Wachs, *Flagged schur functions, Schubert polynomials and symmetrizing operators*, J. Combin. Theory (A) 40 (1985), 276–289.