Heuristic Algorithms for Finding Irregularity Strengths of Graphs

David K. Garnick ¹
Department of Computer Science
Bowdoin College, Brunswick, ME
USA 04011
and
Jeffrey H. Dinitz
Department of Mathematics
University of Vermont, Burlington, VT
USA 05405

Abstract. Given a graph G with weighting $w: E(G) \to Z^+$, the strength of G(w) is the maximum weight on any edge. The sum of a vertex in G(w) is the sum of the weights of all its incident edges. G(w) is irregular if the vertex sums are distinct. The irregularity strength of a graph is the minimum strength of the graph under all irregular weightings. We present fast heuristic algorithms, based on hill-climbing and simulated annealing, for finding irregular weightings of a given strength. The heuristics are compared empirically, and the algorithms are then used to formulate a conjecture.

1 Introduction

Because of the immense size of the search space, most combinatorial problems do not lend themselves to exhaustive search algorithms. In many cases, however, the use of local search or hill-climbing algorithms provide a useful alternative to exhaustive search. In a hill-climbing algorithm (as in all optimization problems) a cost function is defined on the points in a search space. Heuristics are then employed which move the instance around the search space without (in general) increasing the cost. The hope is that, with many applications of the heuristics, a point in the search space with an acceptably low cost can be found in a very short time.

Hill-climbing algorithms have proven successful in a number of combinatorial optimization problems. Local search techniques have been applied to the traveling salesman problem [Li], minimum cost survivable networks [SWK], to the construction of offshore natural gas pipeline systems [RFRSK] and to the problem of uniform graph partitioning [KL1]. In each of these cases, a solution is found in reasonable time which gives a local optimum for the cost function. A desirable local optimum will be sufficiently close in value to the global optimum for this cost function. [PS] discusses local search techniques in the context of optimization problems.

In combinatorial design theory, one wishes to construct subsets (called *blocks*) of a set. The blocks must satisfy certain prescribed constraints such as constant

¹This author's research was supported by the National Science Foundation under Grant CCR-8909357

size and pairwise balance of elements. A block design is constructed by adding new blocks to an existing partial design. If no new blocks can be added, then a hill-climbing algorithm will typically delete a block in order to add a new block. The cost of a partial design is defined to be the number of blocks missing from the partial design. Thus, the algorithm succeeds only when a design is found with a cost of zero; in this sense, a combinatorial design problem is much more restrictive than many optimization problems. Even with such a restrictive definition of success, hill-climbing algorithms have proven to be extremely useful. In design theory, hill-climbing algorithms have been successfully used to construct Steiner triple systems [S], Strong starters [DS1], one-factorizations of K_n [DS3] and Room squares [DS3]. In each of these instances an exhaustive search is impractical when the size of the design gets even moderately large due to the enormous size of the search space. Yet local search not only succeeds, but succeeds quickly. It is our hope that showing the usefulness of local search techniques in the context of the irregularity strength of a graph will help to popularize these techniques for other problems as well.

Based on discussion in [PS], we now review the general idea behind hill-climbing. Given an instance (F,c) of an optimization problem, where F is a feasible set and c is a cost function, choose a neighborhood, $N:F\to 2^F$, which is searched at a point t in F for improvements by heuristics selected from a set H. The general form of $h \in H$ is

$$h \in H$$
 is
$$h(t) = \begin{cases} \text{an } s \in N(t) \text{ with } c(s) \le c(t) & \text{if such an } s \text{ exists} \\ t & \text{otherwise} \end{cases}$$

Typically, when starting a hill-climbing search for a design, the initial partial design is the empty design. In general, when partial design s can be constructed from a partial design t with s having no fewer blocks than t, then the partial design s is adopted and the search proceeds from s. The general hill-climbing algorithm is given below.

algorithm hill-climbing $t \leftarrow$ the empty design (or other starting partial design) while $cost(t) \neq 0$ do choose $h \in H$ $t \leftarrow h(t)$ ([PS])

The art in designing the algorithm is in finding appropriate heuristics which in general do not return the original point t. When more than one heuristic is defined, the heuristic chosen at each iteration can be selected randomly (with some probability), or on the basis of properties of the point t. Note also that the hill-climbing algorithm may fail to produce a solution to the problem. Thus, it is essential that the algorithm have a reasonable probability of finding a solution in an acceptable amount of time.

1.1 The Problem

We now describe the graph problem on which we will use our local search heuristics. Let G = (V, E) be a simple graph with no K_2 component and at most one isolated vertex. A network G(w) consists of the graph G together with an assignment $w : E(G) \to Z^+$. The strength s of G(w) is defined by $s(G(w)) = \max\{w(e) : e \in E(G)\}$. For each vertex $v \in V(G)$, define the sum $\sigma(v)$ of v in G(w) by $\sum_{e \text{ incident to } v} w(e)$ and call G(w) irregular if for all distinct $u, v \in V(G)$, $\sigma(u) \neq \sigma(v)$. The irregularity strength I(G) is defined to be $\min\{s(G(w)) : G(w) \text{ is irregular}\}$. Thus the irregularity strength of a graph G is the smallest strength of all irregular weightings of G. The problem can also be described as that of choosing positive weights for the non-zero entries in an adjacency matrix such that the row sums are distinct. [FJLS]

Figure 1 shows an irregular weighting of strength 5 on the Petersen graph, which [CJLORS] showed to be the irregularity strength of that graph. (The vertices are labeled with their respective sums.)

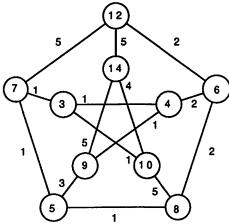


Figure 1: Irregular weighting on the Petersen graph

[CJLORS] proposed studying I(G). They showed that $(3p-2q)/3 \le I(G) \le 2p-3$ for a graph G with p vertices and q edges. In [JL] a stronger lower bound was obtained:

$$I(G) \ge \lambda(G) = \max \left\{ \left(\left(\sum_{k=i}^{j} d_k \right) + i - 1 \right) / j : i \le j \right\}$$

where d_k is the number of vertices of degree k in V(G).

The problem of studying irregularity strengths of graphs has proven to be fairly difficult. There are not a great many graphs for which the irregularity strength is

known. [CJLORS] showed that $I(K_n) = 3$ and $I(K_{2n,2n}) = 3$; $I(P_n)$ was also determined. [G] showed that $I(K_{2n+1,2n+1}) = 4$. Work has also been done on binary trees, dense graphs, and the $I(K_{2n+1,2n+1}) = 4$. Work has also been done on binary trees, dense graphs, and the irregular unions of paths, cycles and complete graphs ([CSS], [FJKL], [KL2]). Recently, [EHLW1] determined the irregularity strengths of wheels, k-cubes and $2 \times n$ grids. In each of these cases they found that $I(G) = \lambda(G)$ or $\lambda(G) + 1$ and they conjecture that if T is a tree, then $I(T) = \lambda(T)$ or $\lambda(T) + 1$. [L] surveys results on irregularity strengths of graphs.

1.2 Overview of Paper

In addition to hill-climbing, we will discuss the effectiveness of a related simulated annealing heuristic. In brief, simulated annealing is a form of local search in which the heuristics are allowed, with some probability, to increase the value of the cost function. Typically, the probability decreases as the cost decreases. This method is discussed in [KGV] and has proven to be useful in some combinatorial optimization problems (see [W] for instance).

Section 2 describes our set of heuristics, and Section 3 presents the algorithms for irregularly weighting graphs. Section 4 gives some empirical results on the effectiveness of the algorithms and Section 5, the conclusion, shows their use in formulating a conjecture on a specific class of graphs.

2 The Heuristics

This section presents the set of heuristics on which the algorithms are based. The heuristics provide methods for assigning weights to unweighted edges, and changing the weights on previously weighted edges. We first provide some definitions. Let G, be a graph.

- (1) For all $v \in V(G)$, v is completed if all $(u, v) \in E(G)$ are weighted. By extension, G is completed, or completely weighted, if all $e \in E(G)$ are weighted.
- (2) For all completed distinct $u, v \in V(G)$, u and v conflict if $\sigma(u) = \sigma(v)$.
- (3) The *cost* of a partial weighting without conflicts is the number of unweighted edges.

Each heuristic is designed to preserve the following properties:

- (1) For all weighted $e \in E(G)$, $1 \le w(e) \le s$ where s is the strength of the attempted weighting;
- (2) For all completed $u, v \in V(G)$, if $\sigma(u) = \sigma(v)$, then u = v.

We use four heuristics which preserve these properties: Climb, Scan, Anneal, and Rank.

2.1 Climb Heuristic

The Climb heuristic randomly selects an unweighted edge e = (t, u). The set $\{1, 2 \dots s\}$ is then partitioned into three mutually disjoint sets W_0, W_1 , and W_2 ,

where W_i contains the weights that will cause i of the vertices in $\{t, u\}$ to be in conflict with some vertex in V(G). If $w \in \{1, 2 ... s\}$ causes t and u to conflict only with each other, w is put in W_1 .

```
procedure Climb

e \leftarrow \text{ randomly selected unweighted edge } (t, u) \in E(G)

partition \{1, 2 \dots s\} into W_0, W_1, and W_2 based on e

if W_0 \neq \phi then weight(e) \leftarrow a \text{ random } w \in W_0

else if W_1 \neq \phi then

weight(e) \leftarrow a \text{ random } w \in W_1

v \leftarrow \text{ the vertex that conflicts with a vertex in } \{t, u\}

unweight a random edge incident on v
```

As is typical with climbing heuristics, a sideways step is permissible if the local search does not yield a point with a smaller value for the cost function. However, downhill steps are not permitted; choosing a weight from W_2 is likely to require unweighting two edges. Thus, Climb takes no action when $W_0 = W_1 = \phi$.

By maintaining an array which maps sums onto completed vertices, we are able to determine in constant time the number of conflicts that would occur by assigning a particular weight to a given edge. The time complexity of the heuristic is governed by the number of weights partitioned into the W sets. Therefore, the time complexity of Climb is O(s).

2.2 Scan Heuristic

Climb is an example of a strict hill-climbing heuristic; it does not permit the cost function to grow, and is restricted in the ways it can move the partial solution about the search space. Thus, the Climb heuristic can become trapped in a local optimum. Since the only acceptable solutions are those with a zero cost, the algorithm must have a heuristic to escape local optima.

To extend the metaphor of hill-climbing, we use another heuristic to scan the horizon of the solution space for a point that has an altitude equal to that of the current partial solution. If such a point can be found, the algorithm hops directly to that point in the hope that it is on the slope of a hill with a higher peak. (Since we are using the metaphor of hill-climbing, an uphill step reduces the cost function and approaches a solution. A downhill step increases the cost function and is a step away from a complete solution.) The following is the Scan heuristic.

procedure Scan $e \leftarrow \text{ randomly selected weighted edge in } E(G)$ from $\{1, 2 \dots s\}$, form W_0 based on eif $W_0 - weight(e) \neq \phi$ then

 $weight(e) \leftarrow a \text{ random element from } W_0 - weight(e)$

Scan attempts to reweight a weighted edge with a new weight that will not cause either of the edge's endpoints to conflict with other vertices in the graph. Again, the time complexity of this heuristic is governed by the partitioning of the set of weights. Thus, Scan is O(s).

2.3 Anneal Heuristic

Simulated annealing is similar to hill-climbing; where hill-climbing uses a scanning heuristic to escape local optima, simulated annealing allows an occasional downhill step. Simulated annealing uses a cooling schedule to regulate the probability with which the algorithm will take a downhill step (or, using the metaphor of annealing, jump to a higher energy level). According to the fixed schedule, the state is eventually frozen and the current local optimum is accepted. Since we are searching for global optima, we do not consider the state frozen until the algorithm finds a state with a zero cost. In the Anneal heuristic, the probability p can be calculated as a function of the cost of the current partial weighting, or can be fixed for the duration of the algorithm. The pseudo-code "with probability p" can be interpreted as a function which returns true with a probability of p, and otherwise returns false.

procedure Anneal

e ← randomly selected unweighted edge (t, u) ∈ E(G) partition {1,2...s} into W₀, W₁, and W₂ based on e if W₀ ≠ φ then weight(e) ← a random w ∈ W₀ else if (W₂ ≠ φ) and (with probability p) then weight(e) ← a random w ∈ W₂
v₁ ← the vertex that conflicts with t unweight a random edge incident on v₁ if ∃v₂ ∈ V(G) that conflicts with u then unweight a random edge incident on v₂

```
else if W_1 \neq \phi then 

weight(e) \leftarrow \text{ a random } w \in W_1

v \leftarrow \text{ the vertex that conflicts with a vertex in } \{t, u\}

unweight a random edge incident on v
```

This heuristic is an adaptation of the Metropolis algorithm [MRRTT]. The Metropolis algorithm permits a downhill step only when no uphill or sideways steps are available. In our algorithms, such a heuristic sometimes leads to an infinite loop of sideways steps between two neighboring points in the solution space. Thus, with some probability, we take a downhill step even when a sideways step is possible. An alternative would be to use the Metropolis algorithm in conjunction with some other form of sidestepping (such as Scan).

2.4 Rank Heuristic

We define the rank of an edge $e = (u, v) \in E(G)$ to be r(e) = degree(u) + degree(v). Rank is a greedy heuristic in that it reserves large weights for edges with high rank, since the endpoints of such edges will tend to have large sums in a completed irregular weighting, and small weights for edges with low rank. RankCount is an array where

$$RankCount[i] = |\{e : e \in E(G), r(e) < i\}|$$

```
procedure Rank

for i \leftarrow 1 to |E(G)| do

weight(e_i = (t, u)) \leftarrow [RankCount[r(e_i)] * s/(|E(G)| + 1)]1 + 1

if \exists v_1 \in V(G) that conflicts with t then

unweight a random edge incident on v_1

if \exists v_2 \in V(G) that conflicts with u then

unweight a random edge incident on v_2
```

Figure 2 shows a graph after a single application of Rank. The edges of lower rank were assigned lower weights. The bottom edge was initially assigned a weight of 2; however, weighting the upper horizontal edge with 3 created a conflict between 2 vertices (both of which had vertex sums of 4). The conflict was resolved by unweighting the bottom edge.

Rarely can a single application of Rank successfully weight all of the edges in a graph, and experimental evidence indicates that it is not worthwhile to repeatedly use Rank. However, Rank often generates a partial weighting that is close to

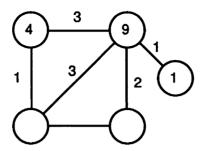


Figure 2: A graph after an application of Rank

some complete irregular weighting. Thus, Rank is useful for generating a partial weighting as input to the other heuristics.

The rank of each edge is determined in constant time, and the RankCount array is computed in time proportional to O(|E(G)|). Using the array described in Climb, conflicts at each step are detected and resolved in constant time. Therefore Rank is O(|E(G)|).

3 The Algorithms

This section presents our algorithms for finding irregular weightings of strength s on a graph G. If such an algorithm has made a large number of applications of heuristics without finding a complete irregular weighting, one may reasonably conclude that the current partial weighting is a long way down a blind alley. (Of course, the very next application of a heuristic might complete the weighting.) We therefore find it useful to define a threshold function, T(G), in order to force an algorithm to unweight some edges in G if T(G) applications of heuristics have been made without yielding a completed weighting. The algorithm then resumes applying heuristics, after resetting the count toward the threshold to zero and unweighting all edges. Since an algorithm can quickly get to within relatively few edges of a complete weighting, we choose to unweight all edges whenever the threshold is reached. If the threshold function is well chosen, we have found that n tries of T(G) steps are more likely to yield a solution than one try of nT(G) steps.

Each of the following algorithms repeatedly selects and applies heuristics to partial weightings. However, in all cases the Rank heuristic is applied only when all edges are unweighted; either at the start of the algorithm or when the threshold has been attained.

The input to all of the algorithms is a strength s and an undirected graph G with all edges unweighted. The algorithms have additional algorithm-specific parameters. The Cost always refers to the current number of unweighted edges. If the algorithm terminates on a given instance, its output is $w: E(G) \to \{1, 2 \dots s\}$ such that G(w) is irregular.

3.1 Irregularity Strength 1 (IS-1)

The general strategy behind this algorithm is to repeatedly apply the Climb heuristic until some number of consecutive applications have not yielded an improvement (that is, a decrease in cost). At that point the Scan heuristic is applied for a number of times before resuming Climbing. All edges are unweighted when the threshold is attained, and Rank may be performed at that time. There are three parameters to this algorithm:

- 1. Threshold The number of heuristic steps required to trigger unweighting all edges.
- 2. ClimbFactor A factor used in determining the number of unsuccessful Climbs required to trigger scanning.
- 3. ScanQuotient A quotient used in determining the number of times Scan is applied.

```
algorithm IS-1
     optionally perform Rank
     Steps \leftarrow 0
     repeat
          Stuck \leftarrow 0
          OldCost \leftarrow Cost
          repeat
                Climb; Steps \leftarrow Steps + 1
                if Cost = OldCost then Stuck \leftarrow Stuck + 1
                else
                      OldCost \leftarrow OldCost - 1
                      Stuck \leftarrow 0
           until (Cost = 0) or (Stuck \ge Cost * ClimbFactor) or
             (Steps > Threshold)
           if (Cost > 0) then
                for i \leftarrow 1 to (|E(G)| - Cost) / ScanQuotient do
                     Scan; Steps \leftarrow Steps + 1
           if (Cost > 0) and (Steps \ge Threshold) then
                unweight all edges
                optionally perform Rank
                Steps \leftarrow 0
     until Cost = 0
```

3.2 Irregularity Strength 2 (IS-2)

The generic description of hill-climbing in Section 1 includes a step where the algorithm chooses the next heuristic to apply. Algorithm IS-1 makes the decision between Climb and Scan on the basis of the state of the computation. In contrast, IS-2 randomly selects (probabilistically) either Climb or Scan. At each step, algorithm IS-2 applies Climb with a probability p, and Scan with a probability of 1-p. As in IS-1, all edges are unweighted when the threshold is attained, and Rank may be performed when all edges are unweighted.

```
algorithm IS-2
optionally perform Rank
Steps \leftarrow 0
repeat
if with probability p then Climb
else Scan
Steps \leftarrow Steps + 1
if (Cost > 0) and (Steps \ge Threshold) then
unweight all edges
optionally perform Rank
Steps \leftarrow 0
until Cost = 0
```

3.3 Irregularity Strength 3 (IS-3)

IS-3 is similar in design to IS-2; however, Anneal is the only heuristic applied by IS-3. The parameter p is used by Anneal to determine when a downhill step is permissible.

```
algorithm IS-3
optionally perform Rank
Steps \leftarrow 0
repeat
Anneal; Steps \leftarrow Steps + 1
if (Cost > 0) and (Steps \ge Threshold) then
unweight all edges
optionally perform Rank
Steps \leftarrow 0
until Cost = 0
```

4 Empirical Results

Two of the major factors that determine the irregularity strength of a graph are its regularity and the density of its edges. These factors guided our choice of classes of graphs for applying the algorithms; we chose K_n , X_n (the class of $n \times n$ grids), and random graphs in which each possible edge appears with a probability of .5 ($|E(G)| \approx |V| * (|V| - 1)/4$).

On each graph we gave each algorithm (under various parameters) 100 tries, where a try consists of running the algorithm until either a complete irregular weighting was found, or the threshold was reached for the first time. For each algorithm and set of parameters we recorded the frequency with which tries were successful, and the average number of O(s) operations used during successful tries. (These are labeled succ rate and ops/succ in Tables 1-3.) The figures in the tables do not include the single O(|E(G)|) step included when Rank was performed. In all tries we used $\lceil \lambda(G) \rceil$ as the strength of the attempted irregular weighting.

Tables 1–3 show the results of applying these algorithms to X_{10} using strength of $I(X_{10})=26$. (Since there are 4 vertices of degree 2, 4(n-2) vertices of degree 3, and $(n-2)^2$ vertices of degree 4 in X_n then $\lambda(X_n)=(n^2+1)/4$.) A threshold of 50,000 was used for all of these experiments. Similar results were obtained for several graphs in X_n , K_n , and random graphs as described above. These results are reported in [GD].

ClimbFactor ScanQuotient			1			4			16		
		1	4	16	1	4	16	1	4	16	
no Rank	succ rate	.10	.33	.62	.24	.53	.49	.44	.38	.42	
	ops/succ	36001	27510	22070	27442	22161	19107	21379	19600	19433	
with Rank			.38	.61	.32	.56	.71	.50	.64	.53	
	ops/succ	29295	30818	21169	31165	19838	14860	22907	20403	19260	

Table 1: Algorithm IS-1 applied to X_{10}

Climb	probabilty	.6	.7	.8	.9	.95	.99
no Rank	succ rate		.47	–	.21		.02
	ops/succ	19039	20339	21027	24297	23950	28505
with Rank	succ rate				.38		
	ops/succ	12299	18747	18811	24367	26558	31595

Table 2: Algorithm IS-2 applied to X_{10}

As a basis for comparison, we applied a simple backtracking algorithm to small grids in X_n using $\lambda(X_n)$ as the strength. The number of O(s) operations required

Anneal probabilty			.05	.01	.005	Cost/ E(G)
no ranking succ rate		0	.05	.25	.34	.19
	ops/succ	Г	23930	19854	25706	16520
with ranking	succ rate	O	.07	.62	.53	.49
	ops/succ	Г	21872	19954	21418	20921

Table 3: Algorithm IS-3 applied to X_{10}

to find the first solution is 4 operations for X_2 , 372 operations for X_3 , and 256,670 operations for X_4 ; the backtrack algorithm applied to X_5 was halted, prior to finding a solution, after 213,000,000 operations. Since $|E(X_n)| = 2(n^2 - n)$, the complexity of backtracking applied to X_n is $O(s^{(n^2)})$. We note that using our algorithms we find complete irregular weightings for X_5 typically in under 7 seconds, while backtracking did not succeed in 122 hours.

All experiments reported in Tables 1-3 were carried out on an Apple Macintosh SE with a 68000 processor. As noted above, all operations are O(s). Some approximate rates of execution (rounded to the nearest 5 operations per second) are given in Table 4.

\$		7						
	O(s) ops/sec IS-1							
	IS-2							
	IS-3	150	125	100	60	50	45	40

Table 4: Approximate rates of execution based on strength of weighting

These three algorithms behave similarly on other graphs when appropriate thresholds are chosen. For example, IS-1 applied to X_6 required a threshold of 5,000 for similar results, and applied to X_{12} required a threshold of 250,000. On K_n , the use of Rank made a dramatic difference. For example, on K_{30} , algorithm IS-1 (with ClimbFactor of 16, ScanQuotient of 16, and threshold of 100,000) without Rank yielded a success rate of .1; while with Rank, its success rate was .61 and almost all successful weightings were found in fewer than 5,000 operations. As n grows, increasing ClimbFactors and ScanQuotients appeared to improve the performance of IS-1 on K_n , Rank also yielded significant speed-up on the random graphs containing each edge with probability 0.5.

5 Conclusions

We have described several algorithms for irregularly weighting graphs. The algorithms are based on hill-climbing heuristics and are probabilistic in nature. As such, the algorithms are not guaranteed to terminate. However, we have shown the algorithms to be of great practical use. For example, our algorithms have

generated irregular weightings on random graphs of about 1,000 edges, whereas backtracking becomes impractical for similar graphs of fewer than 100 edges.

In general, hill-climbing (and related techniques) excels over exhaustive search techniques when the search space is large and the density of solutions in the space is sufficiently great. The problem of irregularly weighting graphs is well suited to hill-climbing, as is shown experimentally by our results, and suggested analytically by the result in [EHLW2]. We believe these experimental techniques are broadly applicable. For example, [DS2] successfully applied these techniques to the problem of finding Room squares of side n. In that application, the search space is large, $O(n^{(n^2)})$, but the solution space is also large, $O(e^{(n^2)})$. [DS2]

The class of graphs on which we concentrated our efforts were the $n \times n$ grids X_n . We have used our algorithms to irregularly weight all of the graphs in X_n , for $2 \le n \le 23$, with a strength of the known lower bound $\lceil \lambda(X_n) \rceil$ ([GD]). Thus, we offer the following conjecture.

Conjecture:
$$I(X_n) = [\lambda(X_n)], n > 1$$
.

In finding irregular weightings, lower thresholds can be used than those used here. In most of our experiments, the median number of operations for successful tries was less than half of the threshold. For example, one experiment using IS-1 on X_{10} had a success rate of .59 for 25,000 operations, and a success rate of .71 for 50,000 operations. Thus, in practice, a lower threshold can produce the first complete weighting in overall fewer operations.

We are continuing this research by expanding our repertoire of local search techniques, and attempting to better understand the factors that govern the performance of a given heuristic.

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