# Bounds on the Upper k-Domination Number and the Upper k-Star-Forming Number of a Graph

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#### Abstract

A subset A of vertices of a graph G is a k-dominating set if every vertex not in A has at least k neighbors in A and a k-star-forming set if every vertex not in A forms with k vertices of A a not necessarily induced star  $K_{1,k}$ . The maximum cardinalities of a minimal k-dominating set and of a minimal k-star-forming set of G are respectively denoted by  $\Gamma_k(G)$  and  $\mathrm{SF}_k(G)$ . We determine upper bounds on  $\Gamma_k(G)$  and  $\mathrm{SF}_k(G)$  and describe the structure of the extremal graphs attaining them.

Keywords: k-dominating number, k-star-forming number

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## 1 Introduction

We consider simple undirected graphs G = (V(G), E(G)) of order n = |V(G)|, minimum degree  $\delta(G)$  and maximum degree  $\Delta(G)$ . We often use the abbreviations  $V, \delta, \Delta$  for  $V(G), \delta(G), \Delta(G)$ . The subgraph induced by a subset A of V is denoted G[A]. Its number of edges, minimum degree and maximum degree are denoted  $e(A), \delta(A), \Delta(A)$ . The number of edges between two subsets A and B is e(A, B). The degree d(x) of a vertex x of V is equal to its number of neighbors in V and  $d_A(x)$  is its number of neighbors in the subset A.

For a positive integer k, a subset A is a k-dominating set, briefly k-DS, if  $d_A(x) \geq k$  for every vertex  $x \in V \setminus A$  and a k-independent set if  $\Delta(A) \leq k-1$ . The minimum and maximum cardinalities of a minimal k-dominating set of G are respectively denoted  $\gamma_k(G)$  and  $\Gamma_k(G)$ . The minimum and maximum

cardinalities of a maximal k-independent set of G are respectively denoted  $i_k(G)$  and  $\beta_k(G)$ . By the definitions,  $\gamma_k(G) \leq \Gamma_k(G)$  and  $i_k(G) \leq \beta_k(G)$  for every k and G. These notions, introduced by Fink and Jacobson in [4], generalize those of domination and independence which correspond to the case k = 1. Hence  $\gamma_1(G) = \gamma(G)$ ,  $\Gamma_1(G) = \Gamma(G)$ ,  $i_1(G) = i(G)$  and  $\beta_1(G) = \beta(G)$ .

An independent set is dominating if and only if it is maximal and in this case, it is a minimal dominating set. This implies that every graph satisfies

$$\gamma(G) \le i(G) \le \beta(G) \le \Gamma(G).$$
 (1)

This inequality chain can be only partially generalized by using the k-domination and the k-independence because for  $k \geq 2$ , a maximal k-independent set is not necessarily k-dominating. Hence we cannot compare its cardinality to  $\gamma_k(G)$  and  $\Gamma_k(G)$  and there exist graphs such that  $\gamma_k(G) > i_k(G)$  or  $\Gamma_k(G) < \beta_k(G)$  as observed in [3]. To overcome this inconvenience, a new definition was proposed by Chellali and Favaron in [1]. A subset A of vertices of G is a k-star-forming-set, briefly k-SFS, if every vertex of  $V \setminus A$  forms with k vertices of A a not necessarily induced star  $K_{1,k}$ . In other words, for every vertex x in  $V \setminus A$ , either  $d_A(x) \geq k$  or x has a neighbor y in A such that  $d_A(y) \geq k - 1$  (or both). The whole set V itself is a k-SFS. The minimum and maximum cardinalities of a minimal (under inclusion) k-SFS are respectively denoted  $s_k(G)$  and  $s_k(G)$ . A k-independent set is maximal if and only if it is a k-SFS and in this case, A is a minimal k-SFS. Therefore the chain (1) is completely generalized and for every graph G and every positive integer k we have

$$\operatorname{sf}_k(G) \le i_k(G) \le \beta_k(G) \le \operatorname{SF}_k(G).$$
 (2)

In this paper, we are interested in the upper parameters  $SF_k$  and  $\Gamma_k$  and we call  $\Gamma_k$ -set  $(SF_k$ -set resp.) a minimal k-DS (k-SFS) of maximum cardinality. For k=1, the 1-SFS are the dominating sets, and thus  $SF_1(G) = \Gamma_1(G) = \Gamma(G)$ . It is well-known that  $\Gamma(G) \leq n - \delta$  for every graph and the graphs satisfying  $\Gamma(G) = n - \delta$  have been descibed by Cockayne and Mynhardt in [2]. For  $k \geq \Delta + 1$ , V is a minimal k-DS and a minimal k-SFS, and thus  $SF_{\Delta+1}(G) = \Gamma_{\Delta+1}(G) = n$ . For the other values of k, a k-DS is a k-SFS which implies  $SF_k(G) \leq \gamma_k(G)$  for every graph. But a minimal k-DS is not necessarily a minimal k-SFS and  $SF_k(G)$  can be larger or smaller than  $\Gamma_k(G)$  as shown by examples in [1]. Our purpose is to determine for  $k \leq \Delta$  upper bounds on  $SF_k(G)$  and  $\Gamma_k(G)$  generalizing

 $\Gamma(G) \leq n - \delta$  and to describe the structures of the extremal graphs attaining the bounds. The result depends on the position of k with respect to the minimum degree  $\delta$  of G.

# 2 Upper bounds on $\Gamma_k$ and $SF_k$ for $\delta \leq k \leq \Delta$

**Theorem 1** Let G be a graph of order n, minimum degree  $\delta$  and maximum degree  $\Delta$  and let k be a positive integer such that  $\delta \leq k \leq \Delta$ . Then

$$SF_k(G) \le n-1$$
 and  $\Gamma_k(G) \le n-1$ .

**Proof.** The whole set V of vertices of G, which is a k-dominating set and a k-star-forming set, is not a minimal k-dominating set nor a minimal k-star-forming set since for every vertex v of degree  $\Delta$ , the set  $V \setminus \{v\}$  is k-dominating and thus k-star-forming. Therefore  $\Gamma_k(G) \leq n-1$  and  $\mathrm{SF}_k(G) \leq n-1$ .

### Definition 2 Family $\mathcal{F}_k$

Let k be a positive integer. A graph G = (V, E) belongs to the family  $\mathcal{F}_k$  if it contains a vertex v such that  $\Delta(V \setminus \{v\}) \leq k-1$  and at least one vertex of N[v] has degree at least k in G.

**Theorem 3** If  $G \in \mathcal{F}_k$ , then  $\delta(G) \leq k \leq \Delta(G)$  and  $SF_k(G) = n - 1$ .

**Proof.** The inequalities  $\delta(G) \leq k$  and  $k \leq \Delta(G)$  are respectively implied by the first and the second condition in the definition of  $\mathcal{F}_k$ . We note that if  $k < \Delta$ , then v is the unique vertex of G of degree  $\Delta$ . Since  $d(v) \geq k$  or  $d_{V\setminus\{v\}}(x) = k-1$  for some neighbor x of v, the set  $V\setminus\{v\}$  is k-star-forming. Moreover for all  $x \in V\setminus\{v\}$  and all  $y \in N_{V\setminus\{v\}}(x)$ ,  $d_{V\setminus\{v,x\}}(x) \leq k-1$  and  $d_{V\setminus\{v,x\}}(y) \leq k-2$ . Therefore  $V\setminus\{v,x\}$  is not k-star-forming and  $V\setminus\{v\}$  is a minimal k-star-forming set of order n-1. Hence  $\mathrm{SF}_k(G) \geq n-1$ . By Theorem 1,  $\mathrm{SF}_k(G) = n-1$ .

**Theorem 4** A graph G of order n, minimum degree  $\delta$  and maximum degree  $\Delta$  satisfies  $SF_k(G) = n - 1$  for some positive integer k with  $\delta \leq k \leq \Delta$  if and only if  $G \in \mathcal{F}_k$ .

**Proof.** The part "if" is proved in Theorem 3. To prove Part "only if", we consider a graph G with  $\delta \leq k \leq \Delta$  and  $SF_k(G) = n - 1$ . Let  $S = V \setminus \{v\}$  be a  $SF_k(G)$ -set.

If  $\Delta(S) \geq k$ , let  $x \in S$  with  $d_S(x) \geq k$ . When possible, we choose  $x \in N(v)$ . If v is adjacent to x, let w be any vertex in  $N_S(x)$ . Since  $d_{S\setminus\{w\}}(x) \geq k-1$ , the set  $S\setminus\{w\}$  is k-star-forming, a contradiction with the minimality of the k-SFS S. Hence all the neighbors of v have degree at most k-1 and v is not adjacent to x. If  $d(v) \geq k$ , then  $d_{S\setminus\{x\}}(v) = d_S(v) \geq k$  and  $S\setminus\{x\}$  is a k-DS and thus a k-SFS, a contradiction. Therefore v is not adjacent to x and  $d(v) \leq k-1$ . Since S is k-star-forming and  $S\setminus\{x\}$  is not, v has a neighbor v in v such that v and v in v has a neighbor v in v such that v in v has a neighbor v in v and v in v has a neighbor v and v in v have a neighbor of degree at least v in v has v in v have a neighbor of degree at least v in v have v in v in v have a neighbor of degree at least v in v in v have v in v in

Hence  $\Delta(S) \leq k-1$  and since  $\Delta \geq k$ , v or one of its neighbors has degree at least k. Thus G belongs to  $\mathcal{F}_k$ .

### Definition 5 Family $G_k$

Let k be a positive integer. A graph G = (V, E) belongs to the family  $\mathcal{G}_k$  if it contains a vertex v such that either d(v) > k and  $\Delta(V \setminus \{v\}) \le k - 1$ , or d(v) = k and  $d(x) \le k - 1$  for all  $x \in V \setminus N[v]$ .

**Theorem 6** If  $G \in \mathcal{G}_k$ , then  $\delta \leq k \leq \Delta$  and  $\Gamma_k(G) = n - 1$ .

**Proof.** The inequalities  $\delta(G) \leq k \leq \Delta(G)$  are obvious from the definition of  $\mathcal{G}_k$ . Since  $d(v) \geq k$ , the set  $S = V \setminus \{v\}$  is k-dominating. Let  $x \in S$  and  $S' = S \setminus \{x\}$ . If  $d_S(x) \leq k - 1$ , then x is not k-dominated by S'. If  $d_S(x) \geq k$ , then d(v) = k and  $x \in N(v)$ . In this case, v is not k-dominated by S'. Therefore S is a minimal k-dominating set of G of order n-1 and  $\Gamma_k(G) \geq n-1$ . By Theorem 1,  $\Gamma_k(G) = n-1$ .

**Theorem 7** A graph G of order n, minimum degree  $\delta$  and maximum degree  $\Delta$  satisfies  $\Gamma_k(G) = n - 1$  for some integer k with  $\delta \leq k \leq \Delta$  if and only if  $G \in \mathcal{G}_k$ .

**Proof.** The part "if" is proved in Theorem 6. To prove Part "only if", we consider a graph G = (V, E) with  $\delta \leq k \leq \Delta$  and  $\Gamma_k(G) = n - 1$ . Let  $S = V \setminus \{v\}$  be a  $\Gamma_k(G)$ -set. Then  $d(v) \geq k$ . Let  $x \in S \setminus N(v)$ . Since  $S \setminus \{x\}$  is not k-dominating,  $d(x) = d_S(x) \leq k - 1$ . Let  $y \in N(v)$ . Since  $S \setminus \{y\}$  is not k-dominating, either d(v) = k or  $d_S(y) \leq k - 1$  (or both). Therefore  $G \in \mathcal{G}_k$ .

When k=1, we know that  $\Gamma_1(G)=\mathrm{SF}_1(G)=\Gamma(G)$ . The graphs of  $\mathcal{F}_1=\mathcal{G}_1$  consist of one star when  $\delta=1$  or of the disjoint union of one star

and isolated vertices when  $\delta = 0$ . We show that for  $k \geq 2$ , the two families  $\mathcal{F}_k$  and  $\mathcal{G}_k$  not disjoint and from  $k \geq 3$ , not included in each other.

### Definition 8 Family $\mathcal{I}_k$

Let k be a positive integer. A graph G = (V, E) belongs to the family  $\mathcal{I}_k$  if it contains a vertex v such that  $d(v) \geq k$  and  $\Delta(V \setminus \{v\}) \leq k - 1$ .

Theorem 9 1.  $\mathcal{F}_k \cap \mathcal{G}_k = \mathcal{I}_k$ .

- 2. For  $k \geq 2$ ,  $\mathcal{G}_k \setminus \mathcal{F}_k \neq \emptyset$  and if  $G \in \mathcal{G}_k \setminus \mathcal{F}_k$ , then  $\Delta(G) > k$ .
- 3. For  $k \geq 3$ ,  $\mathcal{F}_k \setminus \mathcal{G}_k \neq \emptyset$  and if  $G \in \mathcal{F}_k \setminus \mathcal{G}_k$ , then  $\Delta(G) = k$ .

**Proof.** 1. Let  $G \in \mathcal{I}_k$  and let v be as in the definition of  $\mathcal{I}_k$ . The couple G, v satisfies the conditions of the definitions 2 and 5. Hence  $SF_k(G) = \Gamma_k(G) = n - 1$  and  $G \in \mathcal{F}_k \cap \mathcal{G}_k$ .

Conversely let  $G = (V, E) \in \mathcal{F}_k \cap \mathcal{G}_k$  and following the definition of  $\mathcal{F}_k$ , let  $x \in V$  such that  $\Delta(V \setminus \{x\}) \leq k-1$  and at least one vertex of N[x] at degree at least k in G. If  $d(x) \geq k$ , then  $G \in \mathcal{I}_k$  by taking v = x in the definition of  $\mathcal{I}_k$ . If d(x) < k, let  $S = V \setminus \{y\}$  be a minimal k-DS of G of order n-1 (S exists since  $G \in \mathcal{G}_k$ ). Then  $d(y) \geq k$ , y is necessarily a neighbor of x of degree exactly k and since  $\Delta(V \setminus \{x\}) \leq k-1$ ,  $\Delta(V \setminus \{y\}) \leq k$ . Assume  $\Delta(V \setminus \{y\}) = k$  and let  $z \in V \setminus \{y\}$  such that  $d_{V \setminus \{y\}}(z) = k$ . Then  $z \in N(x)$ , d(z) = k and the vertices y and z are not adjacent. Hence  $V \setminus \{y, z\}$  is a k-DS, a contradiction with he minimality of the k-DS  $V \setminus \{y\}$ . Therefore  $\Delta(V \setminus \{y\}) \leq k-1$  and thus  $G \in \mathcal{I}_k$  by taking v = y in the definition of  $\mathcal{I}_k$ .

2. For  $k \geq 2$ , an example of an arbitrarily large graph in  $\mathcal{G}_k \setminus \mathcal{F}_k$  is obtained by joining a vertex v to the centers  $c_1, \ldots, c_k$  of k stars  $K_{1,p}$  with  $p \geq k$  leaves. For these graphs of minimum degree  $\delta = 1$  and maximum degree  $\Delta = p+1 > k$ ,  $V \setminus \{v\}$  is a minimal k-DS but not a minimal k-SFS of G. One can check that  $V \setminus \{c_1, c_2, \cdots, c_k\}$  is a largest minimal k-SFS of G. Hence  $\mathrm{SF}_k(G) = n-k < \Gamma_k(G)$  and  $G \notin \mathcal{F}_k$ .

More generally, if  $G \in \mathcal{G}_k$  and  $\Delta(G) = k$ , then the vertex v in the definition of  $\mathcal{G}_k$  has degree d(v) = k and  $\Delta(V \setminus \{v\}) \leq k - 1$ , implying  $G \in \mathcal{F}_k$ . Hence if  $G \in \mathcal{G}_k \setminus \mathcal{F}_k$ , then  $\Delta(G) > k$ .

3. For k = 2,  $\mathcal{F}_2 = \mathcal{I}_2$  is the family of graphs obtained by joining a vertex v to at least two vertices of the disjoint union of  $K_1$ 's and  $K_2$ 's. Hence  $\mathcal{F}_2 \subseteq \mathcal{G}_2$ .

For  $k \geq 3$ , an example of an arbitrarily large graph in  $\mathcal{F}_k \setminus \mathcal{G}_k$  is obtained by joining two vertices v and w to the centers  $c_1, c_2, \cdots, c_{k-1}$  of k-1 subdivided stars (each ray is subdivided by an arbitrary number of vertices) with k-2 leaves. For these graphs of minimum degree  $\delta=1$  and maximum degree  $\Delta=k$ , the set  $V\setminus\{w\}$  is a minimal k-SFS of G but is not k-dominating. One can check that  $V\setminus\{c_1,c_2,\cdots,c_{k-1}\}$  is a largest minimal k-DS of G. Hence  $\mathrm{SF}_k(G)=n-1$ ,  $\Gamma_k(G)=n-k+1$  and  $G\in\mathcal{F}_k\setminus\mathcal{G}_k$ .

More generally, if  $G \in \mathcal{F}_k$  and  $\Delta(G) > k$ , then G has a unique vertex v of degree  $\Delta(G)$  and  $V \setminus \{v\}$  is the unique  $SF_k(G)$ -set. Then  $\Delta(V \setminus \{v\}) \le k-1$ , implying  $G \in \mathcal{G}_k$ . Hence if  $G \in \mathcal{F}_k \setminus \mathcal{G}_k$ , then  $\Delta(G) = k$ .

# 3 Upper bounds on $\Gamma_k$ and $SF_k$ for $1 \le k \le \delta$

**Theorem 10** Let G be a graph of order n and minimum degree  $\delta$  and let k be an integer such that  $1 \leq k \leq \delta$ . Then  $SF_k(G) \leq n - \delta + k - 1$  and  $\Gamma_k(G) \leq n - \delta + k - 1$ .

**Proof.** Let S be a set of vertices of G of order  $|S| \ge n - \delta + k$  and let  $x \in S$ . Then  $|V \setminus S| \le \delta - k$ ,  $d_S(x) \ge \delta - |V \setminus S| \ge k$  and  $d_S(v) \ge \delta - |V \setminus (S \cup \{v\})| \ge k + 1$  for all  $v \in V \setminus S$ . Hence  $S \setminus \{x\}$  is a k-dominating set and thus also a k-star-forming set. Therefore no k-dominating set nor k-star-forming set of order more than  $n - \delta + k - 1$  can be minimal, which implies the result.

### Definition 11 Family $\mathcal{H}_k$

Let k be a positive integer. A graph G=(V,E) belongs to the family  $\mathcal{H}_k$  if V is the disjoint union of two non-empty sets S and T,  $|S| \geq k$ , G[S] is (k-1)-regular,  $\delta(T) \geq |T| - |S| + k - 1$  and all the edges between S and T exist.

Theorem 12 Let G be a graph of order n in  $\mathcal{H}_k$ .

- 1. G has minimum degree  $\delta \geq k$  and  $SF_k(G) = \Gamma_k(G) = n \delta + k 1$ .
- 2. If k is even, then  $n \delta$  is odd.

**Proof.** 1. For any vertex x, d(x) = k - 1 + |T| if  $x \in S$  and  $d(x) \ge |S| + \delta(T) \ge k - 1 + |T|$  if  $x \in T$ . Hence

$$\delta(G) = k - 1 + |T| \ge k. \tag{3}$$

The set S is k-dominating, and thus k-star-forming, since every vertex of T is k-dominated by S. If x is any vertex of S, then  $d_{S\setminus\{x\}}(x) = k-1$  and each neighbor of x in S has degree k-2 in  $S\setminus\{x\}$ . Therefore S is a minimal k-dominating set and a minimal k-star-forming set of order  $n-|T|=n-\delta+k-1$  by (3). The result follows from Theorem 10.

2. If k is even, then the order  $n-\delta+k-1$  of the (k-1)-regular set S must be even. Therefore  $n-\delta$  is odd.

**Theorem 13** Let G = (V, E) be a graph of order n and minimum degree  $\delta$ . If k is an integer such that  $2 \le k \le \delta$ , then  $SF_k(G) = n - \delta + k - 1$  if and only if  $G \in \mathcal{H}_k$ .

**Proof.** The part "if" is proved in Theorem 12. To prove Part "only if", we consider a graph G=(V,E) with  $\delta \geq k \geq 2$  and  $\mathrm{SF}_k(G)=n-\delta+k-1$ . Let S be a  $SF_k(G)$ -set. Since  $|V\setminus S|=\delta-k+1$ , every vertex of S (respectively  $V\setminus S$ ) has at least k-1 (respectively k) neighbors in S. Let  $A=\{x\in S\mid d_S(x)=k-1\},\ B=\{x\in S\mid d_S(x)\geq k\}$  and  $P=\{x\in V\setminus S\mid d_S(x)=k\}$ . Note that for all  $v\in A\cup P$ ,  $d(v)\geq \delta$  implies that v is adjacent to all the vertices of  $V\setminus (S\cup \{v\})$ . In particular, all the edges between A and  $V\setminus S$  exist.

Suppose first that  $\Delta(S) \geq k$ , i.e.  $B \neq \emptyset$ , and let  $x \in B$ . Since  $S \setminus \{x\}$  is not a k-star-forming set and x is k-dominated by  $S \setminus \{x\}$ , x has at least one neighbor y in P such that every vertex of  $N_S(y) \setminus \{x\}$  has less than k-1 neighbors in  $S \setminus \{x\}$ . Therefore the k-1 vertices of  $N_S(y) \setminus \{x\}$  are in A and are adjacent to x. Since all the edges between A and P exist,  $|A| = k-1 \geq 1$  since  $k \geq 2$ . This implies that every vertex of  $A \cup (V \setminus S)$  has at least one neighbor in B. Hence for all  $z \in A$ ,  $S \setminus \{z\}$  is a k-star-forming set, in contradiction to the minimality of S.

Therefore  $\Delta(S) = k-1$  and G[S] is (k-1)-regular. Each vertex of S = A is adjacent to all the vertices of  $V \setminus S$ . Moreover, since each vertex of  $V \setminus S$  has degree at least  $\delta = |V \setminus S| + k - 1$  in G,  $\delta(V \setminus S) \ge |V \setminus S| + k - 1 - |S|$ . Thus  $G \in \mathcal{H}_k$ .

The following corollary is a consequence of  $\Gamma_1(G) = \mathrm{SF}_1(G)$  when k = 1 and of Theorems 12 and 13 when  $k \geq 2$ .

Corollary 14 Let G be a graph of order n and minimum degree  $\delta$ . If  $SF_k(G) = n - \delta + k - 1$  for some positive integer  $k \leq \delta$ , then  $\Gamma_k(G) = SF_k(G)$ .

Now we study the family of graphs such that  $\Gamma_k(G) = n - \delta + k - 1$ .

### Definition 15 Family $\mathcal{L}_k$

Let k be a positive integer. The graph G = (V, E) belongs to the family  $\mathcal{L}_k$  if V is partitioned into  $A \cup B \cup P \cup Q$  with  $B, P \neq \emptyset$  and the following properties are satisfied:

(Pa) 
$$d_{A \cup B}(x) = k - 1$$
 for all  $x \in A$ 

(Pb) 
$$d_{A \cup B}(x) \ge k \text{ for all } x \in B$$

(Pc) All the edges between A and 
$$P \cup Q$$
 exist

(Pd) 
$$d_B(x) = k - |A|$$
 for all  $x \in P$ 

(Pe) 
$$d_B(x) \ge k - |A| + 1$$
 for all  $x \in Q$ 

- (Pf) G[P] is complete
- (Pg) All the edges between P and Q exist
- (Ph)  $d_P(x) \ge 1$  for all  $x \in B$

(Pi) 
$$d(x) \ge |P| + |Q| + k - 1$$
 for all  $x \in B \cup Q$ .

For a graph  $G \in \mathcal{L}_k$ , we denote |A|, |B|, |P| and |Q| respectively by a, b, p and q. The construction of a graph satisfying Properties (Pa) to (Pi) is not possible for every quadruplet a, b, p, q. For instance, (Pb) implies

$$a+b \ge k+1 \tag{4}$$

since  $b \neq 0$ , and since  $p \neq 0$ , (Pd) and (Ph) imply

$$k-a \ge 1$$
 and  $p(k-a) \ge b$ . (5)

Therefore  $p \geq 2$  and  $b \geq 2$ . Similarly, considering the number e(A, B) of edges between A and B gives

$$\sum_{x \in B} d_{A \cup B}(x) - 2e(B) = \sum_{x \in B} d_{A}(x) = e(A, B) = \sum_{x \in A} d_{B}(x) = \sum_{x \in A} d_{A \cup B}(x) - 2e(A) = \sum_{x \in B} d_$$

By (Pa) and (Pb),  $\sum_{x\in B} d_{A\cup B}(x) \ge kb$  and  $\sum_{x\in A} d_{A\cup B}(x) = a(k-1)$ . Since  $2e(B) \le b(b-1)$  and  $2e(A) \ge 0$ , we get

$$b(k - (b - 1)) \le a(k - 1). \tag{6}$$

Inequalities (4), (5), (6) are not yet sufficient for a quadruplet to correspond to a graph in  $\mathcal{L}_k$ . The determination of a complete system of necessary

and sufficient conditions on a, b, p, q to correspond to a graph is rather complicated. We merely give examples of graphs in  $\mathcal{L}_k$ , which shows that the conditions (Pa) to (Pi) are not incompatible.

**Proposition 16** Let n and  $\ell$  be two integers with  $2 \le \ell \le n/2$  and let  $G_{n,\ell}$  be obtained from a clique  $K_n$  by deleting the  $\ell$  edges of a matching  $\{x_1y_1, x_2y_2, \cdots, x_\ell y_\ell\}$ . Then  $G \in \mathcal{L}_k$  for every k such that  $\ell - 1 \le k \le 2\ell - 3$ .

**Proof.** For  $\ell-1 \leq k \leq 2\ell-3$ , the integer  $p=2\ell-k-1$  is such that  $2 \leq p \leq \ell$ . The partition  $B=\{x_1,\cdots,x_p\},\ P=\{y_1,\cdots,y_p\},\ A=\{x_{p+1},x_{p+2},\cdots,x_\ell,y_{p+1},y_{p+2},\cdots,y_\ell\},\ Q=V\setminus (A\cup B\cup P)$  of V satisfies Conditions (Pa) to (Pi) of Definition 15. Hence  $G\in \mathcal{L}_k$ .

**Theorem 17** Let G be a graph of order n and minimum degree  $\delta$ . If  $G \in \mathcal{L}_k$  for some positive integer k, then  $k < \delta \le n-2$  and  $\Gamma_k(G) = n-\delta+k-1$ .

**Proof.** Every vertex x in  $A \cup P$  has degree p+q+k-1 by (Pa) and (Pc) if  $x \in A$  and by (Pc), (Pd), (Pf) and (Pg) if  $x \in P$ . Every vertex x in  $B \cup Q$  has degree at least p+q+k-1 by (Pi). Hence

$$\delta = p + q + k - 1 = n - (a + b) + k - 1. \tag{7}$$

By (4) and since  $p \geq 2$ ,  $k < \delta \leq n - 2$ .

By (Pc), (Pd) and (Pe),  $d_{A\cup B}(x)=k$  if  $x\in P$  and  $d_{A\cup B}(x)\geq k+1$  if  $x\in Q$ . Hence  $A\cup B$  is a k-dominating set of G. Moreover for each  $x\in A\cup B$ ,  $(A\cup B)\setminus \{x\}$  is no more a k-DS of G, by (Pa) if  $x\in A$  and by (Ph), (Pc) and (Pd) if  $x\in B$ . Therefore  $A\cup B$  is a minimal k-dominating set of order  $a+b=n-(p+q)=n-\delta+k-1$  by (7). The result follows from Theorem 10.

**Theorem 18** Let G = (V, E) be a graph of order n and minimum degree  $\delta$ . If k is an integer such that  $1 \le k \le \delta$  then  $\Gamma_k(G) = n - \delta + k - 1$  if and only if  $G \in \mathcal{H}_k \cup \mathcal{L}_k$ .

**Proof.** The part "if" is proved in Theorems 12 and 17. To prove Part "only if", we consider a graph G=(V,E) with  $\delta \geq k \geq 1$  and  $\Gamma_k(G)=n-\delta+k-1$ . Let S be a  $\Gamma_k(G)$ -set. Then  $\delta=n-|S|+k-1$ . For every  $x\in S$ ,  $\delta \leq d(x) \leq d_S(x)+|V\setminus S|=d_S(x)+\delta-k+1$ . Therefore  $\delta(S)\geq k-1$ .

If  $\Delta(S) = k - 1$ , then G[S] is (k - 1)-regular. Let  $V \setminus S = T$ . Since  $\delta = n - |S| + k - 1$ , all the edges between S and T exist. Moreover  $\delta(T) \geq \delta - |S| \geq |T| - |S| + k - 1$ . Therefore  $G \in \mathcal{H}_k$ .

If  $\Delta(S) \geq k$ , let  $A = \{x \in S \mid d_S(x) = k-1\}$ ,  $B = \{x \in S \mid d_S(x) \geq k\} \neq \emptyset$ ,  $P = \{x \in V \setminus S \mid d_S(x) = k\}$  and  $Q = \{x \in V \setminus S \mid d_S(x) > k\}$ . Since the set S is k-dominating, the four sets A, B, P, Q partition V. Properties (Pa) and (Pb) come from the definition of A and B. By the minimality of S,  $S \setminus \{x\}$  is not k-dominating for any  $x \in B$ , implying that every vertex of B has a neighbor in P (Property (Ph)). Hence  $P \neq \emptyset$ . From (Pa) and  $\delta = n - |S| + k - 1$ , we get  $d_{P \cup Q}(x) \geq n - |S| = |P| + |Q|$  for all  $x \in A$  (Property (Pc)). Properties (Pd) and (Pe) are consequences of the definition of P together with (Pc). Properties (Pf) and (Pg) are consequences of the definition of P together with  $d(x) \geq \delta = |P| + |Q| + k - 1$  for all  $x \in P$ . Finally (Pi) comes from  $\delta = |P| + |Q| + k - 1$ . Therefore the partition  $A \cup B \cup P \cup Q$  of V satisfies Properties (Pa) to (Pi) and  $G \in \mathcal{L}_k$ .

The extremal cases k=1 and  $k=\delta$  are of particular interest. As they are considered in both Sections 2 and 3, they can be used to verify the compatibility of the results of the two sections.

### Particular case k=1

For every graph G of minimum degree  $\delta \geq 1$ ,  $SF_1(G) = \Gamma_1(G) = \Gamma(G) \leq n - \delta$  and the extremal graphs are those of  $\mathcal{H}_1 \cup \mathcal{L}_1$  by Theorem 18.

From Definition 11, the graphs G of  $\mathcal{H}_1$  are as follows: V is partitioned into two sets S and T, G[S] is independent and all the edges between S and T exist. The edges of G[T] are optional subject to  $\delta(T) + |S| \ge \delta$ .

Let (A, B, P, Q) be a partition of a graph G of  $\mathcal{L}_1$  as in Definition 15. Necessarily, a=0 and  $p \geq b$  since  $a \leq k-1$  and  $p(k-a) \geq b$ . By (7), the minimum degree of G is  $\delta = p+q$ . Hence, for all  $x \in B$  we have

$$p + q \le d(x) = d_B(x) + d_P(x) + d_Q(x) \le d_B(x) + d_P(x) + q.$$
 (8)

Therefore  $\sum_{x\in B}(d_P(x)+d_B(x))\geq pb$ , i.e.,  $e(B,P)+2e(B)\geq pb$ . By Property (Pd), e(B,P)=p. Hence  $2e(B)\geq p(b-1)$  and since  $2e(B)\leq b(b-1)$ , we get  $b\geq p$ . Therefore b=p and 2e(B)=b(b-1), i. e. G[B] is complete. By (Pd) and (Ph), the equality b=p implies that  $d_P(x)=1$ , and thus the edges between B and P form a perfect matching. Then  $d_B(x)+d_P(x)=p$  for all  $x\in B$  and the first inequality in (8) implies that  $d_Q(x)=q$  for all  $x\in B$ . The graphs G of  $\mathcal{L}_1$  are thus as follows: V is partitioned into three sets B,P,Q with  $|B|\geq 2$  and  $|P|\geq 2$ , G[B] and

G[P] are complete, the edges between B and P form a perfect matching, and all the edges between  $B \cup P$  and Q exist. The graph is completed by edges in G[Q] subject to (Pi).

Note that the two families  $\mathcal{H}_1$  and  $\mathcal{L}_1$  were already determined by Cockayne and Mynhardt in [2] as those of graphs satisfying  $\Gamma(G) = n - \delta(G)$ .

When  $\delta=1$ , the case k=1 is also considered in Section 2. The reader can verify that the graphs of minimum degree 1 of  $\mathcal{F}_1=\mathcal{G}_1$  obtained in Section 2 and the graphs of minimum degree 1 of  $\mathcal{H}_1$  (since those of of  $\mathcal{L}_1$  have minimum degree  $\delta \geq 2$ ) obtained in Section 3 are the same, namely the stars.

### Particular case $k = \delta \ge 2$

The case  $k=\delta$  is considered in both sections 2 and 3. The family of graphs of minimum degree  $\delta \geq 2$  satisfying  $\Gamma_{\delta}(G)=n-1$  is equal to  $\mathcal{G}_{\delta}$  by Theorem 7 and to  $\mathcal{H}_{\delta}$  by Theorem 18 (since by Theorem 17, the graphs in  $\mathcal{L}_k$  are such that  $\delta > k$ ). Similarly the family of graphs of minimum degree  $\delta \geq 2$  satisfying  $SF_{\delta}(G)=n-1$  is equal to  $\mathcal{F}_{\delta}$  by Theorem 4 and to  $\mathcal{H}_{\delta}$  by Theorem 13. It can be checked that the graphs of minimum degree  $\delta = k$  of  $\mathcal{F}_k$ ,  $\mathcal{G}_k$  and  $\mathcal{H}_k$  form the same family of graphs obtained by joining a vertex v to every vertex of a  $(\delta-1)$ -regular graph.

We finish with some examples of graphs in  $\mathcal{L}_k \cap \mathcal{H}_k$ ,  $\mathcal{L}_k \setminus \mathcal{H}_k$  or  $\mathcal{H}_k \setminus \mathcal{L}_k$ .

- By Proposition 16, the graph  $G_{n,\ell}$  of minimum degree  $\delta=n-2$  is in  $\mathcal{L}_{\ell-1}$ . When  $\ell$  is even, the set  $S=\{x_1,x_2,\cdots,x_{\frac{\ell}{2}},y_1,y_2,\cdots,y_{\frac{\ell}{2}}\}$  has order  $\ell=n-\delta+(\ell-1)-1$  and G[S] is  $(\ell-2)$ -regular. The partition  $S,V\setminus S$  satisfies the conditions of Definition 11 for  $k=\ell-1$ . Therefore  $G_{n,\ell}\in\mathcal{H}_{\ell-1}\cap\mathcal{L}_{\ell-1}$ .
- By the second part of Theorem 12, the graph  $G_{n,\ell}$ , for which  $n-\delta=2$  is even, cannot belong to  $\mathcal{H}_k$  for k even. Hence by Proposition 16,  $G_{n,\ell} \in \mathcal{L}_k \setminus \mathcal{H}_k$  for every even k between  $\ell-1$  and  $2\ell-3$ .
- For  $k \geq 3$  of any parity and  $n \geq k+4$ , consider a graph L with vertex set  $\{a_1,b_1,b_2,\cdots,b_{k+1},p_1,p_2,q_1,q_2,\cdots,q_{n-k-4}\}$ . All the edges exist in L except the six edges  $a_1b_1,\ a_1b_3,\ p_1b_1,\ p_1b_2,\ p_2b_3$  and  $p_2b_4$ . Then  $\delta=n-3$ . The sets  $A=\{a_1\},\ B=\{b_1,b_2,\cdots,b_{k+1}\},\ P=\{p_1,p_2\},\ Q=\{q_1,q_2,\cdots,q_{n-k-4}\}$  satisfy Conditions (Pa) to (Pi) of the definition of  $\mathcal{L}_k$ . Hence  $\Gamma_k(L)=n-\delta+k-1=k+2$  and the set  $A\cup B$  is a  $\Gamma_k(L)$ -set of order k+2. If  $L\in\mathcal{H}_k$  then it would contain a (k-1)-regular subgraph S of order k+2. In such a subgraph, k+2 edges are missing. This is clearly

impossible if k+2>6. This is also impossible when k=3 or 4 because of the disposition of the six missing edges of L. Therefore  $L \in \mathcal{L}_k \setminus \mathcal{H}_k$ .

• For every  $k \geq 1$  and  $n \geq k+1$ , the complete graph  $K_n$  belongs to  $\mathcal{H}_k$  (consider a partition S, T where S is any set of  $n-\delta+k-1=k$  vertices). But  $K_n \notin \mathcal{L}_k$  since every graph of  $\mathcal{L}_k$  has minimum degree at most n-2 by Theorem 17. Therefore  $K_n \in \mathcal{H}_k \setminus \mathcal{L}_k$ .

# 4 Open question

The examples of graphs in  $\mathcal{G}_k \setminus \mathcal{F}_k$  or in  $\mathcal{F}_k \setminus \mathcal{G}_k$  given in Theorem 9, show that when  $k \geq \delta$ ,  $\Gamma_k(G)$  can be larger or smaller than  $SF_k(G)$ .

When  $k < \delta$ , the graphs in  $\mathcal{L}_k \setminus \mathcal{H}_k$  satisfy  $SF_k(G) < \Gamma_k(G) = n - \delta + k - 1$ , but if  $SF_k(G) = n - \delta + k - 1$  then  $\Gamma_k(G)$  is also equal to  $n - \delta + k - 1$  by Corollary 14. Hence the following question can be considered:

Does every graph G of minimum degree  $\delta$  satisfy  $SF_k(G) \leq \Gamma_k(G)$  for every positive integer  $k < \delta$ ?

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