

# Interlace Polynomials of $n$ -Claw Graphs

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## Abstract

In this paper, we present the study of the interlace polynomials for  $n$ -claw graphs. For a positive integer  $n > 1$ , an  $n$ -claw graph  $W_n$  is a tree that has one center vertex and  $n$  claws. The center vertex is connected to one vertex of each of the  $n$  claws using one edge of the claw. We present iterative formulas and explicit formulas for the interlace polynomial of  $W_n$ . Furthermore, some interesting properties of the polynomial are discussed.

## 1 Introduction

In this paper, the set of vertices of a graph  $G$  is denoted by  $V(G)$  and the set of edges by  $E(G)$ . For  $a \in V(G)$ ,  $G \setminus \{a\}$  is the resulting graph after removing the vertex  $a$  and all edges of  $G$  connected to  $a$ .

Consider an undirected graph  $G$  and  $a, b \in V(G)$  with  $ab \in E(G)$ . The edge  $ab$  divides the set of vertices,  $V(G) \setminus \{a, b\}$ , into four sets:

$$\begin{aligned} V_a(G) &= \{c \in V(G) \mid ac \in E(G), bc \notin E(G)\}, \\ V_b(G) &= \{c \in V(G) \mid bc \in E(G), ac \notin E(G)\}, \\ V_{ab}(G) &= \{c \in V(G) \mid ac, bc \in E(G)\}, \text{ and} \\ V'_{ab}(G) &= \{c \in V(G) \mid ac, bc \notin E(G)\}. \end{aligned}$$

Note that  $V(G) \setminus \{a, b\} = V_a(G) \cup V_b(G) \cup V_{ab}(G) \cup V'_{ab}(G)$ , where the unions are disjoint. Now, let us recall the toggling process and then pivoting a graph [3].

**Definition 1.1** (*Toggle process*)

Toggleing the pair  $u, v$  in  $V(G)$  means obtaining a new graph  $G'$  such that  $V(G') = V(G)$  and  $uv \in E(G')$  if and only if  $uv \notin E(G)$ , keeping the rest of the graph unchanged.

**Definition 1.2** (*Pivot process*)

Pivoting  $G$  on an edge  $ab$  simply means obtaining a new graph  $G^{ab}$  from  $G$  by toggling every pair  $u, v$  such that the vertices  $u$  and  $v$  are from two different sets  $V_a(G), V_b(G)$  and  $V_{ab}(G)$ , keeping the rest of the graph unchanged.

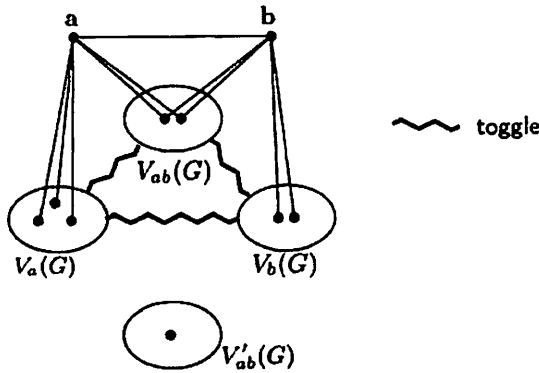


Figure 1: Pivot Process

The interlace polynomial of a graph  $G$  is defined iteratively:

**Definition 1.3** (*Interlace Polynomial*)

For any undirected graph  $G$  with  $n$  vertices, the interlace polynomial  $q(G, x)$  of  $G$  is defined by

$$q(G, x) = \begin{cases} x^n & \text{if } E(G) = \emptyset; \\ q(G \setminus \{a\}, x) + q(G^{ab} \setminus \{b\}, x) & \text{if } ab \in E(G). \end{cases}$$

Some basic known results are given below. Proof can be found in [3].

**Proposition 1.4** [3]

1. The map defined above gives a well defined polynomial on all simple graphs.
2. The interlace polynomial of any simple graph has zero constant term.
3. For any two disjoint graphs  $G_1$  and  $G_2$ ,

$$q(G_1 \cup G_2, x) = q(G_1, x) q(G_2, x).$$

4. For any path  $P_n$  on  $n$  edges, the interlace polynomial is given by

$$q(P_1, x) = 2x, \quad q(P_2, x) = x^2 + 2x, \quad \text{and}$$

$$q(P_n, x) = q(P_{n-1}, x) + xq(P_{n-2}, x) \text{ for } n \geq 3.$$

In this paper we will be developing the interlace polynomial of a special graph called  $n$ -claw graph, which is defined as follows:

**Definition 1.5** ( *$n$ -Claw Graph*)

An  $n$ -claw graph is a graph, denoted by  $W_n$ , with the set of vertices and set of edges as follows:

$$V(W_n) = \{c, a_i, b_{i,j} | 1 \leq i \leq n, j = 1, 2\} \quad \text{and}$$

$$E(W_n) = \{ca_i, a_i b_{i,j} | 1 \leq i \leq n, j = 1, 2\}.$$

Clearly,  $W_n$  is a tree with  $|V(W_n)| = 3n + 1$  and  $|E(W_n)| = 3n$ . Figure 2 shows the 4-claw graph.

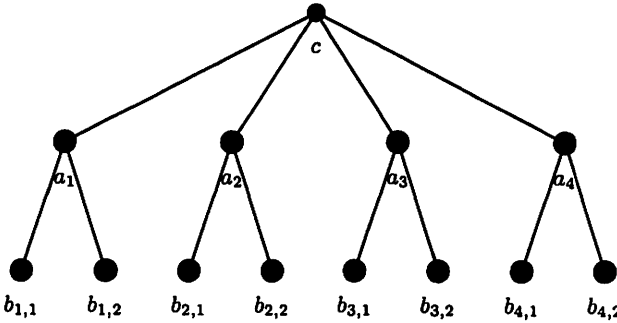


Figure 2: 4-Claw Graph

## 2 Iterative Formulas for the Interlace Polynomial of $n$ -claw graphs

First, let us develop the interlace polynomial of  $W_n$  for small values of  $n$ .

**Proposition 2.1** Let  $q_n(x)$  be the interlace polynomial of the  $n$ -claw graph  $W_n$ . (That is,  $q(W_n, x) = q_n(x)$ .)

1.  $q_0(x) = x$ .
2.  $q_1(x) = x^3 + x^2 + 2x$ .
3.  $q_2(x) = x^5 + 2x^4 + 5x^3 + 5x^2 + 2x$ .

*Proof.*

1. For  $n = 0$ , we get  $V(W_0) = \{c\}$  and  $E(W_0) = \emptyset$ . Hence we get the result.

2. For  $n = 1$ ,

$$V(W_1) = \{c, a_1, b_{1,1}, b_{1,2}\} \text{ and } E(W_1) = \{ca_1, a_1b_{1,1}, a_1b_{1,2}\}.$$

When we pivot the graph  $W_1$  on the edge  $ca_1$  we get  $W_1^{ca_1} = W_1$ , since  $V_c(W_1) = V_{a_1}(W_1) = \emptyset$ . Thus  $W_1^{ca_1} \setminus \{a_1\}$  is the graph with 3 vertices without edges. This means  $q(W_1^{ca_1}, x) = x^3$ . Next,  $W_1 \setminus \{c\}$  is the path  $P_2$ , therefore,  $q(W_1 \setminus \{c\}, x)$  is  $x^2 + 2x$ . The result follows from Proposition (1.4) and Definition (1.5).

Next, we find the iterative formula for interlace polynomial of  $W_n$  in general.

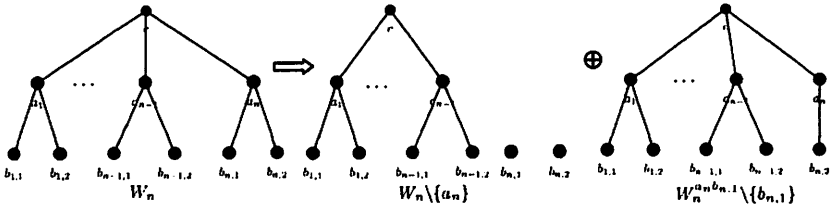


Figure 3: Decomposition of  $W_n$  with respect to  $a_n b_{n,1}$

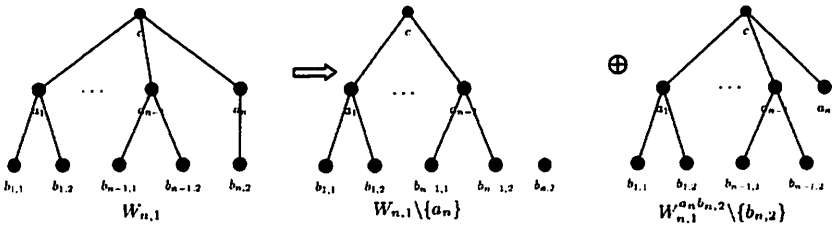


Figure 4: Decomposition of  $W_{n,1}$  with respect to  $a_n b_{n,2}$

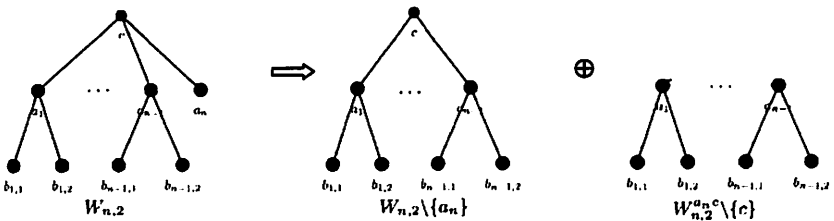


Figure 5: Decomposition of  $W_{n,2}$  with respect to  $a_n c$

**Theorem 2.2** For  $n \geq 1$ ,

$$q_n(x) = (x^2 + x + 1)q_{n-1}(x) + x^n(x + 2)^{n-1}.$$

*Proof.*

To find the interlace polynomial of  $W_n$ , we pivot the graph  $W_n$  on the edge  $a_n b_{n,1}$ . See Figure 3. Then by Definition (1),

$$q_n(x) = q(W_n \setminus \{a_n\}, x) + q(W_{n,1}, x),$$

where  $W_{n,1} = W_n^{a_n b_{n,1}} \setminus \{b_{n,1}\}$ .

Here  $W_n \setminus \{a_n\}$  is the disjoint union of  $W_{n-1}$  and  $\{b_{n,1}, b_{n,2}\}$  (no edge). Therefore,

$$q(W_n \setminus \{a_n\}, x) = x^2 q_{n-1}(x).$$

To find  $q(W_{n,1}, x)$ , we pivot the graph  $W_{n,1}$  on the edge  $a_n b_{n,2}$ . See Figure 4. Then again by Definition (1.5), we get

$$q(W_{n,1}, x) = q(W_{n,1} \setminus \{a_n\}, x) + q(W_{n,2}, x)$$

where  $W_{n,2} = W_{n,1}^{a_n b_{n,2}} \setminus \{b_{n,2}\}$ .

Since  $W_{n,1} \setminus \{a_n\}$  is the disjoint union of  $W_{n-1}$  and  $\{b_{n,2}\}$ ,

$$q(W_{n,1} \setminus \{a_n\}, x) = x q_{n-1}(x).$$

To find  $q(W_{n,2}, x)$ , we pivot the graph  $W_{n,2}$  on the edge  $a_n c$ . See Figure 5. Then

$$q(W_{n,2}, x) = q(W_{n,2} \setminus \{a_n\}, x) + q(W_{n,2}^{a_n c} \setminus \{c\}, x).$$

Now  $W_{n,2} \setminus \{a_n\}$  is nothing but  $W_{n-1}$ . Therefore,

$$q(W_{n,2} \setminus \{a_n\}, x) = q_{n-1}(x).$$

Note that  $W_{n,2}^{a_n c} \setminus \{c\}$  consists of  $n$  disjoint components obtained by

$$\{c\}, \{a_1 b_{1,1}, a_1 b_{1,2}\}, \dots, \text{ and } \{a_{n-1} b_{n-1,1}, a_{n-1} b_{n-1,2}\},$$

which are isomorphic to  $P_2$ . Using Proposition (1.4),

$$q(W_{n,2}^{a_n c} \setminus \{c\}, x) = x q(P_2, x)^{n-1} = x(x^2 + 2x)^{n-1}.$$

**Corollary 2.3** *Let  $q_n(x)$  be as defined earlier.*

1.  $q_3(x) = x^7 + 3x^6 + 9x^5 + 16x^4 + 16x^3 + 7x^2 + 2x$ .
2. The degree of  $q_n(x)$  is  $2n + 1$ .
3. The constant term of  $q_n(x)$  is always 0.

*Proof.*

1. Using the iterative formula obtained in Theorem (2.2) for  $n = 3$ ,

$$\begin{aligned} q_3(x) &= (x^2 + x + 1)q_2(x) + x^3(x + 2)^2 \\ &= (x^2 + x + 1)(x^5 + 2x^4 + 5x^3 + 5x^2 + 2x) + x^3(x + 2)^2 \\ &= x^7 + 3x^6 + 9x^5 + 16x^4 + 16x^3 + 7x^2 + 2x. \end{aligned}$$

2. We use induction on  $n$ . From Proposition (2.1) the result is true for  $n = 0, 1, 2$ . Assume the result for  $n - 1$ , that is, the degree of  $q_{n-1}(x)$  is  $2n - 1$ . Then Theorem (2.2) shows that the leading coefficient of  $q_n(x)$  is the leading coefficient of  $x^2 q_{n-1}(x)$ . Therefore, the degree of  $q_n(x)$  is  $2n + 1$ .
3. Once again using induction on  $n$  and with the help of Proposition (2.1) and Theorem (2.2),  $q_n(0) = 0$  for all  $n \geq 0$ . Thus the constant term of the polynomial is always 0. Note that this confirms the known result for all simple graphs. (See statement (2) of Proposition (1.4)).

### 3 An Explicit Formula for $q_n(x)$

Since the degree of  $q_n(x)$  is  $2n + 1$ , let us rewrite the interlace polynomial of the  $n$ -claw graph  $W_n$  as

$$q_n(x) = a_{n,(2n+1)}x^{2n+1} + \cdots + a_{n,1}x \text{ for } n \geq 1.$$

Obviously, by Proposition (2.1),  $q_0(x) = x$  means  $a_{0,1} = 1$ . Also we have  $a_{1,1} = 2$ ,  $a_{1,2} = a_{1,3} = 1$ ,  $a_{2,1} = 2$ ,  $a_{2,2} = a_{2,3} = 5$ ,  $a_{2,4} = 2$ , and  $a_{2,5} = 1$ . Using the iterative formula obtained in Theorem (2.2) and comparing the coefficients of the corresponding terms, we obtain the following result.

**Theorem 3.1** *Let  $n > 1$ . The sequence  $\{a_{n,k} \mid n > 1, 1 \leq k \leq 2n + 1\}$ , as denoted above, satisfies the following recursive relations:*

- (1)  $a_{n,1} = a_{(n-1),1}$
- (2) For  $n > 2$ ,  $a_{n,2} = a_{(n-1),1} + a_{(n-1),2}$ .
- (3) For  $3 \leq k \leq n - 1$ ,  $a_{n,k} = a_{(n-1),(k-2)} + a_{(n-1),(k-1)} + a_{(n-1),k}$ .
- (4)  $a_{n,(2n)} = a_{(n-1),(2n-2)} + a_{(n-1),2(n-1)}$  and  $a_{n,(2n+1)} = a_{(n-1),2(n-1)}$ .

*Proof.*

From the iterative formula  $q_n(x) = (x^2 + x + 1)q_{n-1}(x) + x^n(x + 2)^{n-1}$ , the  $x^{2n+1}$ -term and  $x^{2n}$ -term of  $q_n(x)$  are those of  $(x^2 + x + 1)q_{n-1}$ , thus (1) and (2) are true. Similarly, for  $3 \leq k \leq n - 1$ ,  $k = 2n$ , or  $k = 2n + 1$ ,  $(x^2 + x + 1)q_{n-1}$  is the only part in  $q_n(x)$  contributing to the  $x^k$ -term. Thus (3) and (4) are satisfied.

Let us use these recursive relations to describe some coefficients of the interlace polynomial.

**Theorem 3.2** *Let  $n > 1$ . The following coefficients of the polynomial  $q_n(x)$  are determined as follows:*

1. The leading coefficient is  $a_{n,(2n+1)} = 1$ .
2. The coefficient of  $x$  is always 2, that is,  $a_{n,1} = 2$ .
3. The coefficient of  $x^{2n}$  is  $a_{n,(2n)} = n$ .

4. The coefficient of  $x^2$  is  $a_{n,2} = 2n + 1$ .
5. The coefficient of  $x^3$  is  $a_{n,3} = (n + 1)^2$  for  $n \geq 3$ .

*Proof.*

1. Using the recursive relation given in Theorem 3.1(4), we get

$$a_{n,(2n+1)} = a_{(n-1),(2n-1)} = a_{(n-2),(2n-3)} = \cdots = a_{1,3} = 1.$$

2. By the recursive relation  $a_{n,1} = a_{(n-1),1}$  and the fact from Proposition (2.1) that  $a_{2,1} = 2$ , we get the result.
3. To find the coefficient of  $x^{2n}$ , we use the recursive relation for  $a_{n,(2n)}$  and the facts that the leading coefficient of  $q_{n-1}(x)$  is  $a_{(n-1),(2n-1)}$ , and  $q_{1,2} = 1$ . By Mathematics Induction, Thus  $a_{n,(2n)} = a_{(n-1),(2n-2)} + 1 = n$ .
4. By Theorem 3.1(2),  $a_{n,2} = a_{(n-1),2} + 2$  since  $a_{(n-1),1} = 2$ . Also, we know from  $q_2(x)$  that  $a_{2,2} = 5$ . Thus By induction,  $a_{n,2} = 2(n - 2) + a_{2,2} = 2n - 4 + 5 = 2n + 1$ .
5. By Theorem 3.1(3) and the known formulas  $a_{(n-1),2} = 2(n - 1) + 1 = 2n - 1$  and  $a_{(n-1),1} = 2$ , we obtain  $a_{n,3} = 2n + 1 + a_{(n-1),3}$ . Now we apply induction on  $n$  to prove the result. The result is true for  $n = 3$  since  $a_3 = 16$  by Proposition (2.1). Let us assume that the result is true for  $n - 1$  ( $n \geq 4$ ), that is,  $a_{n-1,3} = n^2$ . Then  $a_{n,3} = 2n + 1 + n^2 = (n + 1)^2$ .

Now let us find the interlace polynomial explicitly.

**Theorem 3.3** *Let  $q_n(x)$  be as before,  $n \geq 1$ . Then*

$$q_n(x) = \begin{cases} 3^{n-1}(n+3) & \text{for } x = 1; \\ \frac{(x^2 + x + 1)^{n-1}(x^3 + x^2 + 2x) + x^2(x+2)[(x^2+2x)^{n-1} - (x^2+x+1)^{n-1}]}{x-1} & \text{for } x \neq 1. \end{cases}$$

*Proof.*

1. Substituting  $x = 1$  in the iterative formula obtained in Theorem (2.2), we get

$$\begin{aligned} q_n(1) &= 3q_{n-1}(1) + 3^{n-1} \\ &= 3[3q_{n-2}(1) + 3^{n-2}] + 3^{n-1} \\ &= 3^2q_{n-2}(1) + 2 \times 3^{n-1} \\ &\vdots \\ &= 3^{n-1}q_1(1) + (n-1) \times 3^{n-1} \\ &= 3^{n-1} \times 4 + (n-1) \times 3^{n-1} \text{ (by Proposition (2.1))} \\ &= 3^{n-1}(n+3). \end{aligned}$$

2. To show this result, we use mathematical induction on  $n$ . Let  $u = x^2 + x + 1$  (for simplicity, ignore the variable  $x$ ) and  $v_n(x) = u^{n-1}(x^3 + x^2 + 2x) + x^2(x+2)[(x^2+2x)^{n-1} - u^{n-1}]/(x-1)$ , the right hand side expression of the formula we are proving. We want to show that  $q_n(x) = v_n(x)$  for all positive integers. Obviously,  $v_1(x) = x^3 + x^2 + 2x = q_1(x)$ . Assume the formula is true for  $n$ , that is,  $q_n(x) = v_n(x)$ . By the iterative formula in Theorem (2.2)

$$\begin{aligned}
 q_{n+1}(x) &= uq_n(x) + x^{n+1}(x+2)^n \\
 &= u \left[ u^{n-1}(x^3 + x^2 + 2x) + \frac{(x^3 + 2x^2)[(x^2 + 2x)^{n-1} - u^{n-1}]}{x-1} \right] \\
 &\quad + x(x^2 + 2x)^n \\
 &= u^n(x^3 + x^2 + 2x) - \frac{u^n(x^3 + 2x^2)}{x-1} \\
 &\quad + \frac{u(x^3 + 2x^2)(x^2 + 2x)^{n-1}}{x-1} + x(x^2 + 2x)^n.
 \end{aligned}$$

Note that  $u = x^2 + x + 1 = x^2 + 2x - (x - 1)$ , we have

$$\begin{aligned}
 &\frac{u(x^3 + 2x^2)(x^2 + 2x)^{n-1}}{x-1} + x(x^2 + 2x)^n \\
 &= \frac{(x^2 + 2x - (x - 1))(x^3 + 2x^2)(x^2 + 2x)^{n-1}}{x-1} + x(x^2 + 2x)^n \\
 &= \frac{(x^3 + 2x^2)(x^2 + 2x)^n - (x - 1)(x^3 + 2x^2)(x^2 + 2x)^{n-1}}{x-1} + x(x^2 + 2x)^n \\
 &= \frac{(x^3 + 2x^2)(x^2 + 2x)^n}{x-1} - x(x^2 + 2x)(x^2 + 2x)^{n-1} + x(x^2 + 2x)^n \\
 &= \frac{(x^3 + 2x^2)(x^2 + 2x)^n}{x-1}.
 \end{aligned}$$

It gives

$$q_{n+1}(x) = u^n(x^3 + x^2 + 2x) + \frac{x^2(x+2)[(x^2+2x)^n - u^n]}{x-1} = v_{n+1}(x).$$

Thus,  $q_{n+1}(x) = v_{n+1}(x)$  is true for all positive integers  $n$  and all real numbers  $x \neq 1$ .

From the formula above, which is in the rational form, we can develop a formula for  $q_n(x)$  in the polynomial form.

**Theorem 3.4** For  $n \geq 1$  and  $x \in \mathbb{R}$ ,

$$q_n(x) = x(x^2 + x + 1)^n + x \sum_{k=0}^{n-1} \binom{n}{k} (x^2 + x + 1)^k (x-1)^{n-k-1}.$$

*Proof.*

Refer to formula obtained in Theorem (3.3). Set  $u = x^2 + x + 1$ . Then,



$$\begin{aligned}
q_n(x) &= \frac{u^{n-1}(x^3 + x^2 + 2x)(x-1) + x^2(x+1)[(x^2 + 2x)^{n-1} - u^{n-1}]}{x-1} \\
&= \frac{x}{x-1} [u^{n-1}(x^3 + x - 2) + (x^2 + 2x)((x^2 + 2x)^{n-1} - u^{n-1})] \\
&= \frac{x}{x-1} [u^{n-1}((x-1)(x^2 - 1) - 3) + (x^2 + 2x)^n] \\
&= u^{n-1}(x^3 - x) + \frac{x}{x-1} [(x^2 + 2x)^n - 3u^{n-1}] \\
&= u^{n-1}(x^3 - x) + \frac{x}{x-1} \left[ \left( \sum_{k=0}^n \binom{n}{k} u^k (x-1)^{n-k} \right) - 3u^{n-1} \right] \\
&= u^{n-1}(x^3 - x) + x \sum_{k=0}^{n-1} \binom{n}{k} u^k (x-1)^{n-k-1} + \frac{xu^{n-1}}{x-1}(u-3) \\
&= u^{n-1}(x^3 - x) + u^{n-1}(x^2 + 2x) + x \sum_{k=0}^{n-1} \binom{n}{k} u^k (x-1)^{n-k-1} \\
&= xu^n + x \sum_{k=0}^{n-1} \binom{n}{k} u^k (x-1)^{n-k-1} \\
&= x(x^2 + x + 1)^n + x \sum_{k=0}^{n-1} \binom{n}{k} (x^2 + x + 1)^k (x-1)^{n-k-1}.
\end{aligned}$$

We now give explicit formulas for the coefficients of the important component  $x(x^2 + x + 1)^n$  in  $q_n(x)$ . It can be shown by applying the binomial formula. We skip the proof.

**Lemma 3.5** For  $n + 1 \leq m \leq 2n + 1$ , the coefficient of the  $x^m$ -term of  $x(x^2 + x + 1)^n$  is given by

$$\sum_{k=0}^n \binom{n}{k} \binom{k}{m - 2n + 2k - 1}.$$

By Theorem (3.4) and Lemma (3.5), we can confirm that  $a_{n,2n+1} = 1$  and  $a_{n,2n} = n$  shown by other methods before. Also, it is easy to obtain  $a_{n,2n-1}$ :

**Corollary 3.6** For  $n > 1$ ,  $a_{n,(2n-1)} = n(n+3)/2$ .

*Proof.*

In the formula given in Lemma (3.5), for  $m = 2n - 1$ , the only nonzero terms are from  $k = 1$  or  $k = 2$ . It gives the term:

$$\left[ \binom{n}{1} \binom{1}{0} + \binom{n}{2} \binom{2}{2} \right] x^{2n-1} = \frac{n(n+1)}{2} x^{2n-1}.$$

By Theorem (3.4), the summation part has one term of  $x^{2n-1}$ , which is the leading term, when  $k = n - 1$ :

$$\binom{n}{n-1} x^{2n-1}.$$

Thus, the  $x^{2n-1}$ -term of  $q_n(x)$  is given by:

$$a_{n,(2n-1)} = \frac{n(n+1)}{2} + n = \frac{n(n+3)}{2}.$$

Using the formula given by Theorem (3.4) one can find  $q_n(x)$  for any values of  $x$ . Some of them are listed in the following corollary:

**Corollary 3.7** *Let  $q_n(x)$  be as before,  $n \geq 1$ . Then*

1.  $q_n(-1) = \frac{1}{2}((-1)^n - 3)$ .
2.  $q_n(-2) = -8 \cdot 3^{n-1}$ .
3.  $q_n(2) = 2^{3n+1}$ .

## 4 A Matrix Application

In [4], it is shown that the interlace polynomial value of a graph at  $-1$  is the rank of a matrix derived from the adjacent matrix of the graph.

**Theorem 4.1** [4] *Let  $A_n$  be the  $n \times n$  adjacent matrix of a graph  $G$  with  $n$  vertices and  $r = \text{rank}(I_n + A_n)$  over  $\mathbb{F}_2$  of the field of characteristic 2, where  $I_n$  is the  $n \times n$  identity matrix. Suppose  $q(G, x)$  is the interlace polynomial of  $G$ . Then*

$$q(G, -1) = (-1)^n (-2)^{n-r}.$$

**Theorem 4.2** *Let  $A_{3n+1}$  be the  $(3n+1) \times (3n+1)$  adjacent matrix of  $W_n$  and  $r_n = \text{rank}(A_{3n+1} + I_{3n+1})$  over  $\mathbb{F}_2$ . Then  $r_n$  is odd. In fact,*

$$r_n = \begin{cases} 3n & \text{if } n \text{ is odd} \\ 3n+1 & \text{if } n \text{ is even.} \end{cases}$$

*Proof.*

Note that  $|V(W_n)| = 3n+1$ . By Corollary (3.7) and Theorem (4.1),

$$\begin{aligned} q_n(-1) &= [(-1)^n - 3]/2 \\ &= (-1)^{3n+1} (-2)^{3n+1-r_n} \end{aligned}$$

Thus,

$$(-1)^n - 3 = (-1)^{r_n} 2^{3n-r_n+2}.$$

Furthermore,  $(-1)^n - 3$  is always negative, whose value is  $-2$  when  $n$  is even and  $-4$  when  $n$  is odd. Thus  $r_n$  has to be odd. Comparing both sides, we obtain that  $r_n = 3n+1$  when  $n$  is even and  $r_n = 3n$  when  $n$  is odd.

**Corollary 4.3** Let  $M_{3n+1}$  be the symmetric matrix below:

$$M_{3n+1} = \begin{bmatrix} B & 0 & 0 & \cdots & \cdots & 0 & C \\ 0 & B & 0 & \cdots & \cdots & 0 & C \\ 0 & 0 & B & 0 & \cdots & 0 & C \\ & & \ddots & \ddots & & & \vdots \\ 0 & \cdots & \cdots & \cdots & 0 & B & C \\ C^T & C^T & C^T & \cdots & \cdots & C^T & D \end{bmatrix}_{(3n+1) \times (3n+1)},$$

where

$$B = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 0 \\ 1 & 0 & 1 \end{bmatrix}, \quad C = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}, \quad \text{and} \quad D = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{bmatrix}.$$

Then over the field  $\mathbb{F}_2$ ,

$$\text{rank}(M_{3n+1}) = \begin{cases} 3n & \text{if } n \text{ is odd} \\ 3n + 1 & \text{if } n \text{ is even.} \end{cases}$$

*Proof.*

It is straightforward to see that the matrix  $A_{3n+1} + I_{3n+1}$  in Theorem (4.2) is equal to  $M_{3n+1}$ . Then the result follows.

It is interesting to compare this simple proof, which uses a graph theory result, with a proof by linear algebra techniques.

*Proof.* (linear algebra proof)

Let

$$U_{3n+1} = \begin{bmatrix} I & 0 & \cdots & \cdots & 0 & 0 \\ 0 & I & \cdots & \cdots & 0 & 0 \\ 0 & 0 & I & \cdots & 0 & 0 \\ & & \ddots & \ddots & & \vdots \\ 0 & \cdots & \cdots & 0 & I & 0 \\ -C^T B^{-1} & -C^T B^{-1} & \cdots & \cdots & -C^T B^{-1} & I \end{bmatrix}_{(3n+1) \times (3n+1)}$$

We have

$$U_{3n+1} M_{3n+1} = \begin{bmatrix} B & 0 & 0 & \cdots & \cdots & 0 & C \\ 0 & B & 0 & \cdots & \cdots & 0 & C \\ 0 & 0 & B & 0 & \cdots & 0 & C \\ & & \ddots & \ddots & & & \vdots \\ 0 & \cdots & \cdots & 0 & B & & C \\ 0 & 0 & 0 & \cdots & \cdots & 0 & D - (n-1)C^T B^{-1}C \end{bmatrix}.$$

Then

$$\text{rank}(M_{3n+1}) = 3n + \text{rank}(D - (n-1)C^T B^{-1}C).$$

Note that ,  $D - (n - 1)C^T B^{-1}C \equiv D - C^T B^{-1}C$  over  $\mathbb{F}_2$  and is a  $4 \times 4$  invertible matrix (of full rank). Thus when  $n$  is odd, it implies that over  $\mathbb{F}_2$ ,

$$\text{rank}(M_{3n+1}) = 3(n - 1) + \text{rank}(D) = 3(n - 1) + 3 = 3n.$$

On the other hand, when  $n$  is even, we get,

$$\text{rank}(M_{3n+1}) = 3(n - 1) + \text{rank} \left( D - C^T B^{-1}C \right) = 3(n - 1) + 4 = 3n + 1.$$

One advantage of the linear algebra method is that the determinant of the matrix  $M_{3n+1}$  can be calculated, in addition to the computation of the rank.

**Corollary 4.4** *Let  $M_{3n+1}$  be as above. Then*

$$|M_{3n+1}| = \begin{cases} 0 & \text{if } n \text{ is odd} \\ (-1)^{n-1} & \text{if } n \text{ is even.} \end{cases}$$

*Proof.*

Note that in the proof of the last corollary the matrix  $U$  is introduced and  $|U| = 1$ . By calculation,  $|B| = -1$  and  $|D - C^T B^{-1}C| = 1$ . Therefore, when  $n$  is even, over  $\mathbb{F}_2$ ,

$$|U| \cdot |M_{3n+1}| = |B|^{n-1} \cdot |D - C^T B^{-1}C| = (-1)^{n-1}.$$

When  $n$  is odd,

$$|U| \cdot |M_{3n+1}| = |B|^{n-1} |D| = 0.$$

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