On the appearance of seeds in words

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Abstract

A seed of a word x is a cover of a superword of x. In this paper we study the frequency of appearance of seeds in words. We give bounds for the average number of seeds in a word and we investigate the maximum number of distinct seeds that can appear in a word. More precisely, we prove that a word has O(n) seeds on average and that the maximum number of distinct seeds in a word is between $\frac{1}{6}n^2 + o(n^2)$ and $\frac{1}{4}n^2 + o(n^2)$, and we reveal some properties of an extremal word for the last case.

1 Introduction

Words, also called strings, appear in many areas of Mathematics and Computer Science as well as in several interdisciplinary areas such as pattern matching, data compression and bioinformatics (see [19, 20]). Periodicity in words is a fundamental key to the understanding of their structure. Some fundamental periodicities in a word include the runs and powers occurring in it, such as squares and cubes. Apart from algorithmic interest, in the last years a lot of research has been done on bounds on the maximal number of distinct periodicities in a word. These bounds are essential elements of the analysis of some algorithms on words.

The "runs" conjecture, proposed by Kolpakov and Kucherov [16], states that the number of maximal periodicities (runs) in a word of length n, is at most n. The first upper bound given was 5n [24], which was improved to 3.48n [23], to 3.44n [25], to 1.6n [6], to 1.52n for binary words [11] and finally to 1.048n [7]. Regarding the lower bound a first estimate of 0.927n was given in [10] and improved further to 0.944542n [22], to 0.94457567n [21] and eventually to 0.944575712n [26]. The exact bounds are still unknown. The maximal number of cubic runs in a word was found to be between 0.5n and 0.406n [8].

Regarding the maximal number of squares in a word, Fraenkel and Simpson showed that it is at most 2n [9], a result proved later in a simpler way by Ilie [13] and improved to $2n - \Theta(logn)$ [14]. The same number for partial words with one hole was found to be at most $\frac{7n}{2}$ [1]. Kucherov et al. showed that a binary word must contain at least 0.55080n square occurrences [18]. The maximal number of cubes in a word has been shown to be between $\frac{n}{2}$ and $\frac{4n}{5}$ [17]. In a more general scenario, Crochemore et al. [4, 5] proved a $\Theta(n \log n)$ bound on the maximal number of occurrences of primitively rooted kth powers in a word.

The concept of quasiperiodicity is a generalization of the notion of periodicity. In a periodic repetition the occurrences of the single periods do not overlap. In contrast, the quasiperiods of a quasiperiodic word may overlap. We call a border u of a non-empty word x a cover of x, if every letter of x is within some occurrence of u in x. Seeds are regularities of words strongly related to the notion of cover, as a seed is a cover of a superword of the word.

There is not much known about bounds on the number of quasiperiodicities in words. Some scientific work has been done on that direction, mainly related to Fibonacci words, e.g. identification of all covers of a circular Fibonacci word [2, 15], identification of all maximal quasiperiodicities in Fibonacci words [12] and identification of all covers and seeds of a

Fibonacci word [2].

In this paper we study the frequency of appearance of seeds in words. Using combinatorial properties of words we prove that a word has O(n) seeds on average. Furthermore we show that the maximum number of distinct seeds in a word is between $\frac{1}{6}n^2 + o(n^2)$ and $\frac{1}{4}n^2 + o(n^2)$ and we reveal some properties for the structure of an extremal word for the last case. It is important to note that we restrict seeds to be factors of the given word.

2 Definitions

Throughout this paper we consider a word x of length |x| = n, $n \ge 0$, on a fixed alphabet $\Sigma = \{a_1, a_2, \ldots, a_{\sigma}\}$ $(\sigma = |\Sigma|)$. Whenever x is a non-empty word it is represented as $x[1 \ldots n]$. A word w is a factor of x if x = uwv for two words u and v. It is a prefix of x if u is empty and a suffix of x if v is empty. A proper factor of x is a factor which is not equal to x itself; proper prefixes, suffixes and borders are defined similarly.

A word u is a border of x if u is both a proper prefix and a suffix of x. The border of x, denoted by border(x), is the length of the longest border of x. A non-empty word u is a period of x if x is a prefix of u^k for some positive integer k (x^k is the concatenation of k copies of x), or equivalently if x is a prefix of ux. The length of u is also called a period (or an integer period) of x. The period of x, denoted by period(x), is the length of the shortest period of x. The exponent of x is the ratio |x|/period(x).

A word w is a square of x if it is a factor of x and w=yy for some non empty word y. A word w is a cube of x if it is a factor of x and w=yyy for some non empty word y. More generally a word w is an r-power of x if it is a factor of x and $w=y^r$, for some non empty word y, and $r \in \{2,3,4,\ldots\}$. A run is a maximal (non-extendable) occurrence of a repetition of rational exponent at least two. That means, the factor $x[i \ldots j]$ is a run if it has the following three properties:

- x[i..j] has period p and $j-i+1 \ge 2p$
- $x[i-1] \neq x[i+p-1]$ (if x[i-1] is defined), $x[j+1] \neq x[j-p+1]$ (if x[j+1] is defined)
- x[i..i+p-1] is primitive, that is, it is not a proper integer power (2 or larger) of another word.

For two words u = u[1 ... m] and v = v[1 ... n] where a suffix of u equals

a prefix of v, $u[m-\ell+1..m]=v[1..\ell]$ for some $1 \le \ell \le m$, the word $u[1..m]v[\ell+1..n]=u[1..m-\ell]v[1..n]$ is called a *superposition* of u and v with an *overlap* of length ℓ .

A word w is a quasiperiodic square of x if it is a factor of x and w = yv = uy, where y, v and u are non empty words and |y| > |v|. In this case, the factor y is called an overlapping factor of x.

A word y of length m is a cover of x if both m < n and there exists a set of positions $P \subseteq \{1, \ldots, n-m+1\}$ that satisfies both $x[i \ldots i+m-1] = y$ for all $i \in P$ and $\bigcup_{i \in P} \{i, \ldots, i+m-1\} = \{1, \ldots, n\}$. A word v is a seed of x, if it is a cover of a superword of x, where a superword of x is a word of form uxv and u, v are possibly empty words. A left seed of a word x is a prefix of x that is a cover of a superword of x of the form xv, where v is a possibly empty word. Similarly, a right seed of a word x is a suffix of x that is a cover of a superword of x of the form xv, where x is a possibly empty word.

$F_6 = \widetilde{abaabaabaabaab}$

Figure 1: aba is the shortest seed of abaababaabaab

The following example shows all left seeds, right seeds, covers and seeds of the word F_6 = abaababaabaab and Figure 1 illustrates that aba is the shortest seed of F_6 .

Example 1.

Covers of F_6	abaab, abaababaabaab
Left seeds of F_6	aba, abaab, abaaba, abaababaa, abaababaa, abaababaab, abaababaaba, abaababaabaabaabaab
Right seeds of F_6	abaab, abaabaab, babaabaab, ababaabaab, aababaabaab, baababaabaab, abaababaabaab
Seeds of F_6	aba, abaab, abaaba, abaababaa, abaababaa, abaababaab, abaababaaba, abaababaabaabaabaab

3 Average case

In this section we study the behaviour of the average number of seeds in a word of Σ^n , the set of words of length n. This number is given by the expected value of the number of seeds when we consider a word x = x[1 ... n] with all letters of x drawn independently from $\Sigma = \{a_1, a_2, ..., a_{\sigma}\}$ with equal probability $\frac{1}{\sigma}$ for each letter.

We show that a word of length n has O(n) seeds on average by using some combinatorial properties and series relations. However, before that, we need to prove the following basic lemma:

Lemma 1. A seed of length ℓ which appears only once in x implies the appearance of either a periodic prefix or a periodic suffix of x of length at least $\frac{n+\ell}{2}$ and period at most ℓ .

Proof. Let $s = x[i ... i + \ell - 1] \neq x$ be a seed of x occurring only once in x. Therefore, x = usv with $0 \le |u| < \ell$ and $0 \le |v| < \ell$, where not both u and v are empty strings.

Let's assume first that both |v| > 0 and |u| > 0. Then a prefix of s must occur after position i, say at i+j $(1 \le j \le \ell)$, and consequently x can be written as $x = u(s[1..j])^{\frac{n-|u|}{j}}$. Hence the suffix of x of length n-|u| is periodic with period at most ℓ . Similarly, a suffix of s must end before position $i+\ell-1$, yielding a periodic prefix of x of length n-|v| and period at most ℓ . As $|u|+|v|=n-\ell$ either n-|u| or n-|v| is at least $\frac{n+\ell}{2}$.

If only |u|=0 or only |v|=0 then x has period at most ℓ and our requirements are met. \Box

In a similar manner, we can prove the following lemma.

Lemma 2. A seed $y = x[i ... i + \ell - 1]$ of length ℓ , where $1 \le i \le n - \ell + 1$ and $1 \le \ell \le n - 1$, which appears at least twice in x implies the appearance of a square of form $x[i ... i + 2\ell - 1] = yy$ or $x[i - \ell ... i + \ell - 1] = yy$ or the appearance of a quasiperiodic square of form $x[i ... i + \ell + k - 1] = yv$ or $x[i - k ... i + \ell - 1] = vy$, where $1 \le k \le \ell - 1$ and v is a substring of x such that |v| = k, in x.

Proof. As there exist at least two occurrences of y in x and y is a cover of a superstring of x then there should be a y starting in position $j \in \{i+1,\ldots,i+\ell\}$ or ending in position $j \in \{i-1,\ldots,i+\ell-2\}$. Those two occurrences form the required square (if they are consecutive) or quasiperiodic square (if they overlap).

The following lemma regarding the polylogarithm function will also be required to prove further results. Basically the lemma evaluates the polylogarithm function at -1.

Lemma 3. For
$$z \in \mathbb{C}$$
 such that $|z| < 1$, $Li_{-1}(z) = \sum_{k=1}^{+\infty} kz^k = \frac{z}{(1-z)^2}$.

Using the above lemmas, we are now able to prove the main result of this section.

Theorem 4. On average a word of length n has O(n) seeds.

Proof. Let x be a word of length n, with its letters drawn independently from $\Sigma = \{a_1, a_2, \ldots, a_{\sigma}\}$ with a constant probability distribution $(\frac{1}{\sigma}, \frac{1}{\sigma}, \ldots, \frac{1}{\sigma})$.

In what follows we are using "E" for the expectation, i.e. the weighted average of the possible values of a variable, and "P" for the probability of an event.

$$E(\text{number of seeds in } x) = E(\# \text{ of seeds appearing only once in } x) + E(\# \text{ of seeds appearing at least twice in } x)$$

First we find the expected value for the number of seeds appearing only once in length n words (case 1) and then the expected value for the number of seeds appearing more than once (case 2).

Case 1:

As in Lemma 1 we get:

$$E(\text{number of Case 1 seeds})$$

$$= \sum_{\ell=1}^{n} \sum_{i=1}^{n-\ell+1} P(x[i ... i + \ell - 1] \text{ is a Case 1 seed})$$

$$\leq \sum_{\ell=1}^{n} \sum_{i=1}^{n-\ell+1} P(x \text{ has a periodic prefix or a periodic suffix of length})$$

at least
$$\frac{n+\ell}{2}$$
 and period at most ℓ)

$$\leq 2\sum_{\ell=1}^n\sum_{i=1}^n P(x \text{ has a periodic prefix of length at least } \frac{n+\ell}{2}$$
 and

period at most ℓ)

$$\leq 2n \sum_{\ell=1}^{n} \sum_{k=1}^{\ell} \frac{\sigma^{k}}{\sigma^{\frac{n+\ell}{2}}} \leq \frac{2n}{\sigma^{\frac{n}{2}}} \sum_{\ell=1}^{n} \frac{1}{\sigma^{\frac{\ell}{2}}} \frac{\sigma(\sigma^{\ell}-1)}{\sigma-1} \leq \frac{2\sigma n}{(\sigma-1)\sigma^{\frac{n}{2}}} \sum_{\ell=1}^{n} (\sigma^{\frac{\ell}{2}}-\sigma-\frac{\ell}{2})$$

$$\leq \frac{2\sigma n}{(\sigma-1)\sigma^{\frac{n}{2}}} \left(\frac{\sigma^{\frac{1}{2}}(\sigma^{\frac{n}{2}}-1)}{\sigma^{\frac{1}{2}}-1} - \frac{\sigma^{-\frac{1}{2}}(\sigma^{-\frac{n}{2}}-1)}{\sigma^{-\frac{1}{2}}-1} \right)$$

$$= \frac{2\sigma^{\frac{3}{2}}}{(\sigma-1)(\sqrt{\sigma}-1)} n + o(n)$$

Case 2:

As in Lemma 2 we get:

$$E(\text{number of Case 2 seeds}) = \sum_{\ell=1}^{n} \sum_{i=1}^{n-\ell+1} P(x[i \dots j] \text{ is a Case 2 seed})$$

$$\leq \sum_{\ell=1}^{n} \sum_{i=1}^{n-\ell+1} (\sum_{k=1}^{\ell} P(x[i \dots i+\ell-1+k] \text{ is a quasiperiodic square}))$$

$$+ P(x[i \dots i+2\ell-1] \text{ is a square}) + \sum_{k=1}^{\ell} P(x[i-k \dots i+\ell-1] \text{ is a quasiperiodic square})$$

$$\leq 2 \sum_{\ell=1}^{n} \sum_{i=1}^{n-\ell+1} \sum_{k=1}^{\ell-1} \frac{\sigma^k}{\sigma^{\ell+k}} + 2 \sum_{\ell=1}^{n-\ell+1} \sum_{i=1}^{n} \frac{\sigma^\ell}{\sigma^{2\ell}} = 2 \sum_{\ell=1}^{n} \sum_{i=1}^{n-\ell+1} \sum_{k=1}^{\ell} \frac{\sigma^k}{\sigma^{\ell+k}}$$

$$= 2 \sum_{\ell=1}^{n} \sum_{i=1}^{n-\ell+1} \sum_{k=1}^{\ell} \frac{1}{\sigma^{\ell}} = 2 \sum_{\ell=1}^{n} \frac{n-\ell+1}{\sigma^{\ell}} = 2(n+1) \sum_{\ell=1}^{n} \frac{1}{\sigma^{\ell}} - 2 \sum_{\ell=1}^{n} \frac{\ell}{\sigma^{\ell}}$$

$$= 2(n+1) \frac{(1-\sigma^{-n})}{\sigma-1} - 2 \sum_{\ell=1}^{n} \frac{\ell}{\sigma^{\ell}}$$

Lemma 3 suggests that the last series are bounded by constant terms, thus proving the theorem.

4 Maximum number of distinct seeds in a word

It is easy to see that there exist words in which every factor is a seed, e.g. a^n . In this section we are investigating how many distinct seeds can appear in a word. We denote the maximum number of distinct seeds in a word of length n by Seeds(n).

4.1 Lower Bound

Fibonacci words provide us with a first lower bound.

Lemma 5. There exists an infinite family of words for which:

$$Seeds(n) \ge \frac{\phi^2 + 1}{2\phi^6}n^2 + o(n^2),$$

where ϕ is the golden ratio.

Proof. In [2, 3] it was shown that for Fibonacci words it holds that:

$$\lim_{n \to +\infty} \frac{\text{Seeds}(F_n)}{|F_n|^2} = \frac{\phi^2 + 1}{2\phi^6} = 0.100813061875578\dots$$

Next we show that periodic words are quite rich in word regularities. In particular, words that are squares have more seeds than Fibonacci words.

Lemma 6.
$$Seeds(n) \ge \frac{n^2}{8} + o(n^2)$$
.

Proof. When n is even we consider the word $(a_1a_2...a_{\frac{n}{2}})^2$. Obviously every factor of length greater than $\frac{n}{2}$ is a seed of the word. There are $\frac{n}{2}$ such factors starting from the first position in the word, $\frac{n}{2}-1$ such factors starting from the second position of the word and so on. Overall:

$$\sum_{i=1}^{\frac{n}{2}} i = \frac{\frac{n}{2}(\frac{n}{2}+1)}{2} = \frac{n^2}{8} + \frac{n}{4}$$

Similarly, when n is odd we consider the word $(a_1 a_2 \dots a_{\frac{n-1}{2}})^2 a_{\frac{n+1}{2}}$ which yields $\frac{n^2}{8} + \frac{n-1}{2}$ distinct seeds.

In the following lemma, we prove that among all words having similar structure to the squares considered in the proof of the previous lemma (i.e. words of form $(a_1a_2...a_{|p|})^c = p^c$, where c|p| = n and $1 \le |p| < n$), cubes or words close to being cubes (i.e. words in which $|p| = \frac{n}{3} + o(n)$) achieve maximum number of distinct seeds.

Lemma 7.
$$Seeds(n) \ge \frac{1}{6}n^2 + o(n^2)$$
.

Proof. We consider the word $(a_1a_2...a_{|p|})^c = p^c$, where c|p| = n and $1 \le |p| < n$. Obviously every factor of length greater than |p| or equal to |p| is a seed of the word. There are n - |p| + 1 such factors starting from the first

position in the word, n - |p| such factors starting from the second position of the word, etc. Due to factors repeating, we only consider factors starting from the first period of the word. We distinguish two cases, according to |p|.

For $\frac{n}{2} \leq |p| < n$ we get the following number of distinct seeds:

$$\sum_{i=1}^{n-|p|+1} i = \frac{(n-|p|+1)(n-|p|+2)}{2} = \frac{(n-\frac{n}{c}+1)(n-\frac{n}{c}+2)}{2}$$
$$= \frac{(1-\frac{1}{c})^2}{2}n^2 + o(n^2)$$

Having assumed that $\frac{n}{2} \le |p| < n$, it follows that $1 < c \le 2$ and the above expression maximizes for c = 2, giving $\frac{1}{8}n^2 + o(n^2)$ different seeds.

For $1 \le |p| < \frac{n}{2}$ we get the following number of distinct seeds:

$$\sum_{i=n-2|p|+2}^{n-|p|+1} i = \frac{(n-|p|+1)(n-|p|+2)}{2} - \frac{(n-2|p|+1)(n-2|p|+2)}{2}$$

$$= \frac{(n-\frac{n}{c}+1)(n-\frac{n}{c}+2)}{2} - \frac{(n-2\frac{n}{c}+1)(n-2\frac{n}{c}+2)}{2}$$

$$= \frac{(1-\frac{1}{c})^2 - (1-\frac{2}{c})^2}{2} n^2 + o(n^2) = \frac{\frac{2}{c} - \frac{3}{c^2}}{2} n^2 + o(n^2)$$

As $2 < c \le n$ the above expression maximizes for c = 3, giving $\frac{1}{6}n^2 + o(n^2)$ different seeds. Even when c = 3 cannot be achieved, choosing $p = \lfloor \frac{n}{3} \rfloor$ gives the required bound.

4.2 Upper Bound

A first upper bound is given using the restriction that a seed must be a factor of the word.

Lemma 8. The number of distinct seeds of a non-empty word x is at most $\frac{n(n+1)}{2}$, where |x| = n.

Proof. The number of non-empty factors of x is
$$n + \binom{n}{2} = \frac{n(n+1)}{2}$$
.

In the following lemma we prove a better upper bound using more combinatorial properties.

Lemma 9. $Seeds(n) \leq \frac{1}{4}n^2 + o(n^2)$.

Proof. We first consider n to be even. A seed of length ℓ , $n/2+1 \le \ell \le n$, appears at least once in x (as it is a factor of x). Therefore, x has at most $n-\ell+1$ seeds of length ℓ . Overall:

$$\sum_{\ell=n/2+1}^{n} (n-\ell+1) < \sum_{i=1}^{n/2} i = \frac{1}{8}n^2 + o(n^2)$$

A seed of length ℓ , $1 \le \ell \le n/2$, has at least one starting position in $x[1..\ell]$ (as it covers x). Therefore, x has at most ℓ different seeds of length ℓ . Overall:

$$\sum_{\ell=1}^{n/2} \ell = \frac{1}{8}n^2 + o(n^2)$$

By summing the two expressions we get $\frac{1}{4}n^2 + o(n^2)$ as an upper bound. The proof for odd n is similar.

4.3 Structure of the extremal word

The bounds revealed in the previous subsections help us to get some information for a word that maximizes Seeds(n). In particular, we are able to restrict the length of repeating factors and the period and exponent of a run in such a word.

Theorem 10. A word x of length n having the maximum number of seeds Seeds(n) contains no repeating factors of length cn + o(n), where

$$\sqrt{\frac{2}{3}} < c \le 1.$$

Proof. For large n, a repeating factor of length cn would mean more than $\frac{1}{3}n^2$ repeats (the subwords of the factor) and hence less than $\frac{1}{2}n^2 - \frac{1}{3}n^2 = \frac{1}{6}n^2$ candidate seeds, contradicting Lemma 7.

Theorem 11. There are no runs of period p and exponent c in a word achieving the upper bound for which $\frac{1}{2}c^2p^2 - \frac{1}{2}cp + \frac{3}{2}p^2 > \frac{1}{3}n^2$.

Proof. A run of period p and exponent c in x gives at least

$$\frac{1}{2}(cp)(cp+1) - \sum_{i=cp-2p+2}^{cp-p+1} i$$

repeating factors. The dominating terms of this expression are

$$\frac{1}{2}c^2p^2 - \frac{1}{2}cp + \frac{3}{2}p^2.$$

Therefore, as of Theorem 7, for large n we have:

$$\frac{1}{2}n^2 - \left(\frac{1}{2}c^2p^2 - \frac{1}{2}cp + \frac{3}{2}p^2\right) \ge \frac{1}{6}n^2$$

5 Conclusion

In this paper we have studied the frequency of appearance of seeds in words. We have given some bounds for the average number of seeds in a word and we have investigated the maximum number of distinct seeds that can appear in a word. More precisely, we have proved that a word has O(n) seeds on average. We have also shown that the maximum number of distinct seeds in a word is between $\frac{1}{6}n^2 + o(n^2)$ and $\frac{1}{4}n^2 + o(n^2)$ and we have revealed some properties achieved by an extremal word for the last case. It is important to note that we have restricted seeds to be factors of the given word. We conclude by showing the existing best bounds for the maximum number of distinct regularities in a word in the following table:

Regularity	Maximum number in a word
Runs	Between 1.048n [7] and 0.944575712n [26]
Cubic runs	Between $0.5n$ and $0.406n$ [8]
Squares	Between $n - o(n)$ [9] and $2n - \Theta(logn)$ [14]
Cubes	Between $\frac{n}{2}$ and $\frac{4n}{5}$ [17]
Seeds	Between $\frac{n^2}{6} + o(n^2)$ and $\frac{n^2}{4} + o(n^2)$

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