

Alpha-trees over paths and caterpillars

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ABSTRACT

A bipartite labeling of a tree of order n is a bijective function that identifies the vertices of T with the elements of $\{0, 1, \dots, n-1\}$ in such a way that there exists an integer λ such that the set of labels on the stable sets of T are $\{0, 1, \dots, \lambda\}$ and $\{\lambda+1, \lambda+2, \dots, n-1\}$. The most restrictive and versatile bipartite labeling is the variety called α -labeling. In this work we present a new construction of α -labeled trees where any two adjacent vertices of a path-like tree, or a similar caterpillar, can be amalgamated with selected vertices of two equivalent trees.

Keywords: graceful labeling, alpha-labeling, caterpillar

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1. Introduction

A *graceful labeling* of a tree T of order n is a bijection $f : V(T) \rightarrow \{0, 1, \dots, n-1\}$ that induces on each edge uv a *weight* given by $|f(u) - f(v)|$ and the set of weights is $\{1, 2, \dots, n-1\}$. If such a labeling exists, the tree T is said to be *graceful*. The *complementary labeling* of f , denoted by \bar{f} , is the function defined for every $v \in V(T)$ as $\bar{f}(v) = n-1 - f(v)$. A labeling h of T is a *shifting* of f in c units if $h(v) = f(v) + c$ for every $v \in V(T)$. Note that f , \bar{f} , and h have the same set of induced weights. Let A and B be the stable sets of T , a graceful labeling f of T is said to be an α -labeling of T , if for every $(u, v) \in A \times B$, $f(u) < f(v)$, i.e., the smaller labels are assigned to the vertices in A ; the *boundary value* of f is $\lambda = \max\{f(u) : u \in A\}$. If such a labeling exists, then T is called an α -tree. We refer to the elements of A and B as the *dark* and *light* vertices, respectively. If f is an α -labeling of T , then \bar{f} is also an α -labeling of T but its boundary

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value is $n - 1 - \lambda$. There is another α -labeling of T associated with f , denoted by f_r , called its *reverse labeling*, this function is defined for every $v \in V(T)$ as $f_r(v) = \lambda - f(v)$ if $v \in A$ and $f_r(v) = n + \lambda - f(v)$ if $v \in B$. Note that f and f_r have the same boundary value. Graceful and α -labelings were introduced by Rosa [6] with the names of β - and α -valuations, respectively. The concept of reverse labeling was also given by Rosa [7], he called it *inverse labeling*. A labeling f of T is said to be *bipartite* if the labels of the dark vertices are smaller than the labels of the light vertices. Thus, an α -labeling is a bipartite graceful labeling.

Suppose that f is an α -labeling of T and d is a positive integer; let g be the labeling of T defined for every $v \in V(T)$ as $g(v) = f(v)$ when $v \in A$ and $g(v) = d - 1 + f(v)$ when $v \in B$. This transformed α -labeling is called *d-graceful labeling*. The labeling g assigns to the elements of A the labels in $[0, \lambda]$ and to the elements of B the labels in $[d + \lambda, d + n - 2]$; since f is an α -labeling, for each $w \in \{1, 2, \dots, n - 1\}$, there exists an edge uv in T , with $(u, v) \in A \times B$ such that $f(v) - f(u) = w$, then $g(v) - g(u) = d - 1 + f(v) - f(u) = d - 1 + w$, since $1 \leq w \leq n - 1$, we conclude that the set of weights induced by g is $\{d, d + 1, \dots, d + n - 2\}$. This characteristic of the α -labeling, f , has been used extensively in the construction of new α -trees and it is essential in this work.

In the last decades, several methods to construct graceful and α -trees have been investigated. An important number of these methods is associated with the vertex amalgamation, where two trees are merged by identifying two of their vertices. A few years after Rosa introduced these labelings, Stanton and Zarnke [8] presented a method where a graceful tree is obtained, they started with two graceful trees S (called the *host*) and T , the new graceful tree was obtained, by attaching to every vertex of the host a copy of T . Years later, Koh et al. [4] extended the result of Stanton and Zarnke by proving that different α -trees can be attached to the vertices of S ; if the vertices of S are named $1, 2, \dots, n$, they amalgamated the vertices i and $n + 1 - i$ with a pair of distinguished vertices of two α -trees, designated as T_1 and T_2 , such that their stable sets satisfy $|A_1| = |A_2|$ and $|B_1| = |B_2|$. In [5], Mavronicolas and Michael, showed that the vertex labeled i in S can be amalgamated with the vertex labeled 0 in T_i , where each T_i is an α -labeled tree with boundary value λ and order n ; we must take into account, that in the work of these authors, T_i and T_j are not necessarily isomorphic.

In the present study, we do something similar to what all these authors did before, but with some important differences. First, the host is a path-like tree (a tree originated from a path by moving some edges) or a special type of caterpillar (a caterpillar where all the internal vertices have the same degree), we prove that any pair v_i and v_{i+1} of adjacent vertices, where v_i and v_{i+1} are on the longest path, can be amalgamated with the vertices labeled 0 of two α -labeled trees of the same size and boundary value. These amalgamations can be done for any number of pairs of adjacent vertices, with the only restriction that each vertex on the longest path can be used at most once in these amalgamations. In this way, the main differences with the previous related results is that we can choose where to do the amalgamations and the trees attached to the host may have different sizes.

2. Vertex amalgamation and path-like trees

In [6], Rosa proved that all caterpillars are α -graphs. When the caterpillar is a path, the labeling f given by Rosa follows the pattern shown in Figure 1.

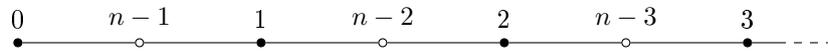


Fig. 1. The structure of the α -labeling f of the path P_n

The regularity exhibited by both, the path and this labeling, motivates us to explore different ways to construct gracefully labeled graphs by preserving the characteristic of the labeling and modifying the structure of the graph. Through this entire work we use $V(P_n) = \{v_1, v_2, \dots, v_n\}$ and $E(P_n) = \{v_i v_{i+1} : 1 \leq i \leq n - 1\}$. Let $a, b \in \{1, 2, \dots, n\}$, with $a < b$. Note that if a and b have the same parity, then $f(v_a) = f(v_b) - \frac{b-a}{2}$ when a is odd and $f(v_a) = f(v_b) + \frac{b-a}{2}$ when a is even. Let w be the weight of the edge $v_i v_{i+1}$ under the labeling f , then one of the following equations must hold:

$$f(v_i) - f(v_{i+1}) = w, \tag{1}$$

$$f(v_{i+1}) - f(v_i) = w. \tag{2}$$

Note that Eq. (1) holds, i.e., if i is even and Eq. (2) holds, i.e., if i is odd.

Suppose that there exists a positive integer x , such that $i - x \geq 1$ and $i + 1 + x \leq n$. If x is even and Eq. (1) holds, then

$$\begin{aligned} f(v_{i-x}) - f(v_{i+1+x}) &= \left(f(v_i) + \frac{i - (i-x)}{2} \right) - \left(f(v_{i+1}) + \frac{i+1+x - (i+1)}{2} \right) \\ &= f(v_i) + \frac{x}{2} - f(v_{i+1}) - \frac{x}{2} \\ &= f(v_i) - f(v_{i+1}) = w. \end{aligned}$$

If Eq. (2) holds, then

$$\begin{aligned} f(v_{i+1+x}) - f(v_{i-x}) &= f(v_{i+1}) - \frac{x}{2} - \left(f(v_i) - \frac{x}{2} \right) \\ &= f(v_{i+1}) - \frac{x}{2} - f(v_i) + \frac{x}{2} \\ &= f(v_{i+1}) - f(v_i) = w. \end{aligned}$$

Suppose now that x is odd. If Eq. (1) holds, then

$$\begin{aligned} f(v_{i-x}) - f(v_{i+1+x}) &= f(v_{i+1}) - \frac{x+1}{2} - \left(f(v_i) - \frac{x+1}{2} \right) \\ &= f(v_{i+1}) - f(v_i) = w. \end{aligned}$$

If Eq. (2) holds, then

$$\begin{aligned} f(v_{i+1+x}) - f(v_{i-x}) &= f(v_i) + \frac{x+1}{2} - \left(f(v_{i+1}) + \frac{x+1}{2} \right) \\ &= f(v_i) - f(v_{i+1}) = w. \end{aligned}$$

Thus, regardless the parity of x , we have that $f(v_{i-x}) - f(v_{i+1+x}) = w$. Therefore, the edge $v_i v_{i+1}$ of P_n can be replaced by a new edge of weight w , obtained by connecting the vertices v_{i-x} and v_{i+1+x} . Since the labels have not been modified in any way, this new tree is α -labeled. Any tree obtained after a sequence of these replacements is called a *path-like tree*. It was proven in [1] that all path-like trees are α -trees. Note that when n is even and $x = \frac{n}{2}$, the graph obtained with the replacement of the central edge of P_n , is P_n itself, but with a different α -labeling. Of course this is not the only way to obtain other α -labelings of P_n , consider the examples, of α -labelings of P_6 obtained with this method, shown in Figure 2.

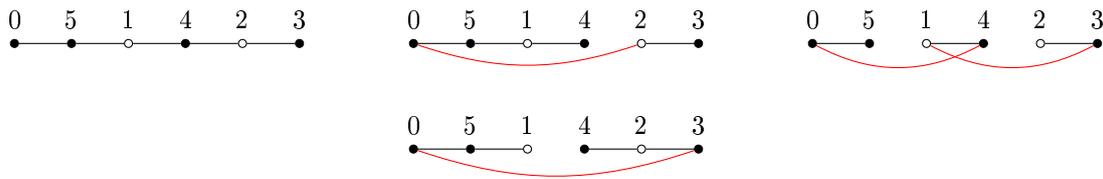


Fig. 2. α -labelings of P_6 created by swapping edges

There is a more intuitive way to see a path-like tree. Let n_1 and n_2 be positive integers larger than 2 such that $n_1 + n_2 = n$. Suppose that v_1, v_2, \dots, v_{n_1} are placed on the points of the integral grid with coordinates $(1, 1), (2, 1), \dots, (n_1, 1)$, respectively, and $v_{n_1+1}, v_{n_1+2}, \dots, v_{n_1+n_2}$ are on the points with coordinates $(n_1, 0), (n_1 - 1, 0), \dots, (n_1 - n_2 + 1, 0)$, respectively. The edge $v_{n_1} v_{n_1+1}$ of P_n is the only one connecting a vertex on the line $y = 1$ with a vertex on the line $y = 0$. This edge can be moved to the left x units and the new edge has the same weight than $v_{n_1} v_{n_1+1}$. We show this fact in Figure 3, where $r \ll s$.

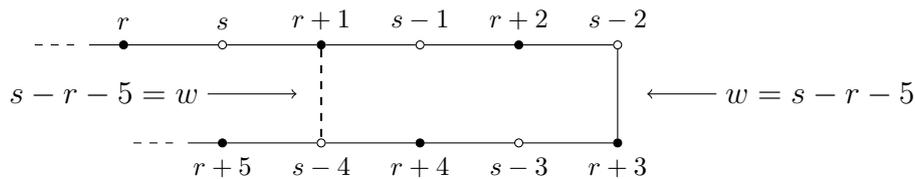


Fig. 3. A section of an α -labeling of P_n indicating that any “vertical edge” can be moved horizontally to produce another α -tree

Certainly, we can choose $n_1 + n_2 + \dots + n_k = n$, where $n_i \geq 2$, and position the vertices of each subpath P_{n_i} on the line $y = k - i$ in such a way that when all the vertices are in place, the path P_n forms a 90° angle zig-zag. Thus, each path-like tree T is associated with a k -part partition of n , that induces the subpaths P_{n_i} . Suppose that T is any path-like tree obtained from P_n ; let v_1, v_2, \dots, v_n be the consecutive vertices of P_n , we say that v_j is a *bending point* of both P_n and T if j is either \tilde{n}_i or $\tilde{n}_i + 1$, where $\tilde{n}_i = \sum_{j=1}^i n_j$. Note that the number of bending points on T is always even, in particular, if $n_1 + n_2 + \dots + n_k = n$, T has exactly $2(k - 1)$ of these points; the bending points v_i and v_{i+1} form a *tandem* if v_i lies on $y = k - i$ and v_{i+1} lies on $y = k - i - 1$. These vertices, together with v_1

and v_n , play an important role in our main theorem. In Figure 4 we show an example of an embedding on the integral grid of the path P_{17} , where $n_1 = 4, n_2 = 6, n_3 = 4$ and $n_4 = 3$, together with a path-like tree, T , obtained from the embedding. The bending points are the vertices labeled 15, 2, 12, 5, 10, and 7. The number of non-isomorphic path-like trees that can be obtained from a given embedding of the path on the integral grid is substantial, for the embedding shown in this figure, there are 48 non-isomorphic path-like trees, including the path itself.

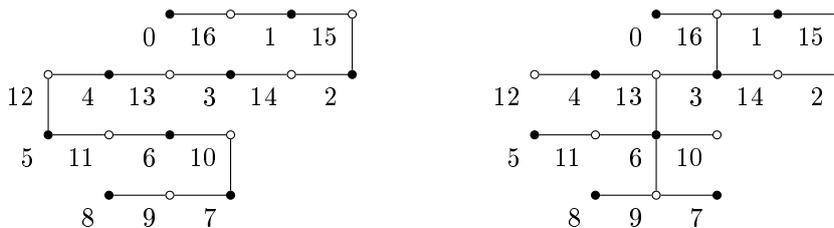


Fig. 4. α -labeling of P_{17} and a path-like tree T obtained from P_{17}

Suppose that for every $i \in \{1, 2, \dots, k\}$, T_i is a tree of positive size. Let u_i and v_i be two distinct vertices of T_i . Consider the tree T obtained by amalgamating, for each $2 \leq i \leq k - 1$, the vertex u_i to the vertex v_{i-1} and v_i to u_{i+1} . This tree T was called a *chain tree* in [2], in that work we proved that when all the T_i 's are α -trees, there is a chain tree T built with these T_i 's that is also an α -tree. For the sake of completeness, we prove here the special case where $k = 3$. This restricted version of the result in [2] is used later in this paper, within the proof of Theorem 2.5. Recall that in an α -labeling of a graph, the smaller labels are always assigned to the dark vertices; in addition, for an α -labeled tree T_i , the positive integer λ_i is the boundary value of the associated α -labeling, and n_i is the order of T_i .

Lemma 2.1. *If T_1, T_2 , and T_3 are α -labeled trees of positive size, then an α -tree is obtained by amalgamating the vertex labeled λ_1 in T_1 to the vertex labeled 0 in T_2 and the vertex labeled λ_2 (resp. $\lambda_2 + 1$) in T_2 to the vertex labeled 0 (resp. n_3) in T_3 .*

Proof. Suppose that for each $i \in \{1, 2, 3\}$, T_i is an α -tree of order $n_i \geq 2$. Let f_i be an α -labeling of T_i . Thus, the dark vertices of T_i have their labels in the interval $[0, \lambda_i]$ and the light vertices in $[\lambda_i + 1, n_i - 1]$. Note that any chain tree obtained with these trees has order $n_1 + n_2 + n_3 - 2$.

Following the procedure mentioned in the Introduction, the labeling of T_1 is transformed into a $(n_2 + n_3 - 1)$ -graceful labeling; thus, the labels on the dark vertices are in $[0, \lambda_1]$, the labels on the light vertices are in $[\lambda_1 + n_2 + n_3 - 1, n_1 + n_2 + n_3 - 3]$, and the induced weights form the interval $[n_2 + n_3 - 1, n_1 + n_2 + n_3 - 3]$.

Similarly, the labeling of T_2 is transformed into a n_3 -graceful labeling shifted λ_1 units. Now, the labels on the dark vertices are in $[\lambda_1, \lambda_1 + \lambda_2]$, the labels on the light vertices are in $[\lambda_1 + \lambda_2 + n_3, \lambda_1 + n_2 + n_3 - 2]$, and the induced weights are in $[n_3, n_2 + n_3 - 2]$. Note that the label λ_1 has been used on both T_1 and T_2 , consequently, we amalgamate

the two vertices labeled λ .

Since there are two options to amalgamate T_2 and T_3 we analyze two cases. Suppose first that the labeling of T_3 is shifted $\lambda_1 + \lambda_2$ units, the new labels of T_3 are in $[\lambda_1 + \lambda_2, \lambda_1 + \lambda_2 + n_3 - 1]$ and the induced weights are in $[1, n_3 - 1]$. In this case, T_2 and T_3 have a vertex labeled $\lambda_1 + \lambda_2$, amalgamating the vertices with this label we create a labeled chain tree, where the labels are $0, 1, \dots, n_1 + n_2 + n_3 - 3$ and the induced weights are $1, 2, \dots, n_1 + n_2 + n_3 - 3$. Since we always amalgamated vertices with the same color, the labeling is in fact an α -labeling.

If the labeling of T_3 is shifted $\lambda_1 + \lambda_2 + 1$ units, then the new labels are in $[\lambda_1 + \lambda_2 + 1, \lambda_1 + \lambda_2 + n_3]$. Now T_2 and T_3 have a vertex labeled $\lambda_1 + \lambda_2 + n_3$. We proceed as before amalgamating these two vertices to produce a chain tree with an α -labeling. \square

In Figure 5 we show an example of this construction, where each tree is drawn using different line colors.

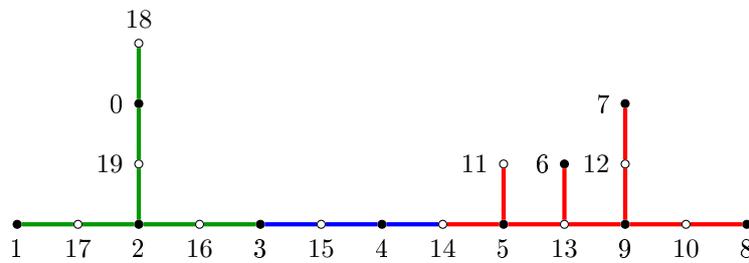


Fig. 5. α -labeling of a chain tree formed by a tree T_1 of order 9, $T_2 \cong P_4$, and a tree T_3 of order 10

Remark 2.2. As we said before, an important characteristic of an α -labeling is that it can be transformed into a d -graceful labeling. This fact was used on the labelings of T_1 and T_2 but not on the labeling of T_3 , this implies that T_3 may be replaced by any gracefully labeled tree. If this is the case, the final labeling of T is graceful.

For $i = 1, 2$, let T_i be an α -tree of order p whose stable sets are A_i and B_i . We say that T_1 and T_2 are *equivalent* if $|A_1| = |A_2|$. In other terms, two trees are said to be equivalent if their corresponding stable sets have the same cardinalities. Two equivalent trees of order 11 are shown in red and blue in Figure 6. The fact that T_i is an α -labeling implies the existence of an α -labeling of T_i that assigns the label 0 to a vertex of A_i .

Lemma 2.3. *Let T_1 and T_2 be equivalent trees of order p . Suppose that f_i be an α -labeling of T_i with boundary value λ_i . If $\lambda_1 = \lambda_2$, then an α -tree T is obtained by connecting with an edge the vertices labeled 0.*

Proof. Suppose that T_i has been labeled using the function f_i . Let v_i denote the vertex of T_i labeled 0 by f_i . Since $\lambda_1 = \lambda_2$, the vertices v_1 and v_2 are in stable sets with the same cardinality. For the sake of simplicity, let $\lambda = \lambda_1$. The tree T obtained by adding

to $T_1 \cup T_2$ the edge v_1v_2 has size $2p - 1$ and its stable sets have cardinality p . In order to obtain an α -labeling of T we proceed as follows:

Transform f_1 into a $(p + 1)$ -graceful labeling; in this way, the dark vertices have their labels in $[0, \lambda]$, the light vertices have their labels in $[\lambda + p + 1, 2p - 1]$, and the induced weights are in $[p, 2p - 2]$.

In the case of T_2 , instead of using f_2 we use the reverse of its complementary labeling shifted $\lambda + 1$ units. Let g be the reverse of \bar{f}_2 shifted $\lambda + 1$ units, then g is defined for every $v \in V(T_2)$ as

$$g(v) = \begin{cases} p + f_2(v) & \text{if } f_2(v) \leq \lambda, \\ f_2(v) & \text{if } f_2(v) > \lambda. \end{cases}$$

Therefore, the weights induced by g are in $[1, p - 1]$ and the labels in $[\lambda + 1, p + \lambda]$. Consequently, there is no repetition of labels between T_1 and T_2 . Since $f_2(v_2) = 0$, we know that $g(v_2) = p + f_2(v_2) = p$. Hence, if we connect v_1 and v_2 we create an edge of weight p . Thus, the weights on the edges of T are $1, 2, \dots, 2p - 1$. Since the labelings used on T_1 and T_2 are bipartite, and the new edge connects vertices of different colors, the final labeling of T is indeed an α -labeling. \square

Recall that the tree T in Lemma 2.3 has order $2p$ and each stable set has cardinality p ; consequently, the α -labeling f of this tree has boundary value $\lambda = p - 1$. Since $f(v_1) = 0$ and $f(v_2) = p$, this labeled tree can be the tree T_2 in Lemma 2.1, if we take T_1 and T_3 to be two paths, not necessarily isomorphic, each of them labeled with the labeling in Figure 1 or the associated complementary labeling, chosen to satisfy the conditions of Lemma 2.1, then we obtain a tree T where two equivalent trees have been attached to any two adjacent vertices of a path. In other terms, we have proven the following theorem.

Theorem 2.4. *If the vertices labeled 0 of two equivalent α -labeled trees are amalgamated with two adjacent vertices of a path, then the result of this amalgamation is an α -tree.*

In Figure 6 we show an example of a tree constructed by amalgamating equivalent α -trees to two interior adjacent vertices of a path of order 9, which is represented with black edges while the trees T_1 and T_3 from Lemma 2.1 are represented with blue and red edges, respectively.

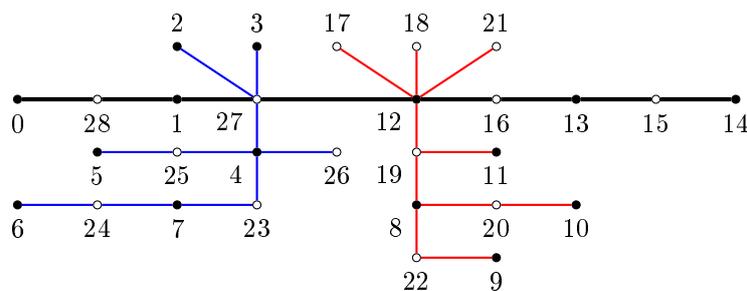


Fig. 6. Attaching equivalent α -trees to adjacent vertices of a path

Since the labelings on T_1 and T_3 are, essentially, α -labelings, it is possible to draw the α -tree T from Lemma 2.3, as in Figure 3, in such a way that v_1 and v_2 , (i.e. the vertices where the equivalent trees were amalgamated), correspond to bending points. Therefore, the edge v_1v_2 , that is the “vertical” edge in this representation, can be moved horizontally to create a new α -graph, where the subgraph induced by the vertices of T_1 and T_3 is a path-like tree. Furthermore, the leaf of T that corresponds to the last vertex of the path T_3 is labeled with the boundary value of the labeling of T or this value plus one. This implies that if T and T' are two trees obtained following the procedure in Lemma 2.3, we can amalgamate them through the first vertex of the first path in T' with the last vertex of the second path in T . This process can be repeated as many times as needed to form a path-like tree where any number of bending point tandems are amalgamated with the vertices labeled 0 of two α -labeled equivalent trees. Hence, as a consequence of all the previous results we get the following theorem.

Theorem 2.5. *Let T be a path-like tree of order n , S be the set of all tandems of bending points in T , and $R \subseteq S$. An α -tree is obtained if for each $(v_i, v_{i+1}) \in R$, the vertices v_i and v_{i+1} are amalgamated with the vertices labeled 0 in two α -labeled equivalent trees.*

Given the fact that the vertices v_1 and v_n of T are not bending points and that v_1 is labeled 0 or n while v_n is labeled with the boundary value or this value plus one, we can use T in the place of T_2 in Lemma 2.1 and attach an α -tree to each of these vertices to produce another α -labeled tree. As we mentioned in Remark 2.2, we may use a graceful tree instead of an α -tree in the place of T_3 ; in that case, the outcome is a new graceful tree. We show this fact in Figure 7, presenting a graceful tree built on the path-like tree in Figure 4.

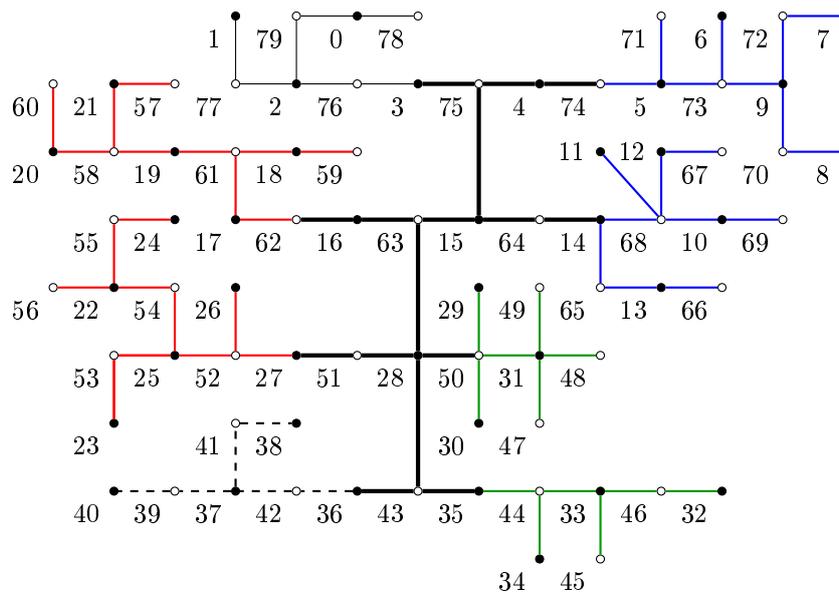


Fig. 7. Graceful labeling of a tree built on a path-like tree

Furthermore, the amalgamation of equivalent trees described in Theorem 2.4 can be

done on any subpath of an α -tree T provided that the α -labeling of T restricted to this subpath follows the pattern described in Figure 3. In [3] we introduced several α -trees obtained by attaching paths, which lengths form an arithmetic progression of difference 1, to the vertices of another path (the host), we refer to the resulting tree as a triangular tree. The labelings of each attached path follows the pattern in Figure 3, therefore triangular trees can be used, in the same way that path-like trees were used in Theorem 2.5, to form a broader class of trees that admit α -labelings.

3. Conclusions

Before closing this work, we must observe that in general, in an α -tree, there are at least four vertices that can be labeled 0. Indeed, if f is an α -labeling with boundary value λ of a tree T of order n , there exist $v_1, v_2, v_3, v_4 \in V(T)$ such that $f(v_1) = 0, f(v_2) = \lambda, f(v_3) = \lambda + 1,$ and $f(v_4) = n - 1$. Therefore, $f_r(v_2) = 0, \bar{f}_r(v_3) = 0,$ and $\bar{f}(v_4) = 0$. This property gives more strength to the results presented in this work. Another interesting property that makes these results even broader, is associated with the fact that a path can be seen as a caterpillar where the vertices on its spine have degree 2, then instead of using a path we can use a caterpillar where every vertex on its spine has degree $r \geq 2$. Suppose that v_i and v_{i+1} are two adjacent vertices on the spine of one of these caterpillars, Rosa's α -labeling of caterpillars allows us to replace the edge $v_i v_{i+1}$ for the edge $v_{i-x} v_{i+1+x}$ as we did to create a path-like tree.

In Figure 8 we show Rosa's α -labeling of a caterpillar of order 23, where every internal vertex has degree 4. The weight on the "horizontal" edges form an arithmetic progression of difference 3, while on the α -labeling of the path shown in Figure 1, the difference is 1.

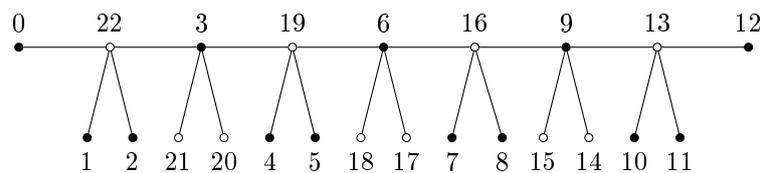


Fig. 8. α -labeling of caterpillar of order 23 with interior vertices of degree 4

A weak aspect of this type of construction is that, sometimes, is not easy to determine whether a given tree can be built, following the steps of the construction. Among the trees that can be constructed, with the technique presented in Theorem 2.4, we have a class of trees of maximum degree 4. We say that a tree T , with maximum degree 4, is a *fishbone tree* if there is a path in T containing all vertices of degree larger than 2. In other terms, a fishbone tree is obtained by amalgamating vertices of a path (the spine) with vertices of other paths. In Figure 9 we show an α -labeling of a fishbone tree of size 56, where the spine is the horizontal path. In [7], Rosa proved that there exists an α -labeling of P_n that assigns the label 0 on any vertex v , except when v is the central vertex of P_5 . Thus, if $2k$ vertices of P_n are selected, say v_1, v_2, \dots, v_{2k} , such that for each odd $i \in \{1, 2, \dots, 2k\}$, $v_i v_{i+1} \in E(P_n)$, then any fishbone tree obtained amalgamating v_i with any vertex u of a

path P_{r_i} and v_{i+1} with the vertex u of a second copy of P_{r_i} , except when $P_{r_i} \cong P_5$ and u is its central vertex.

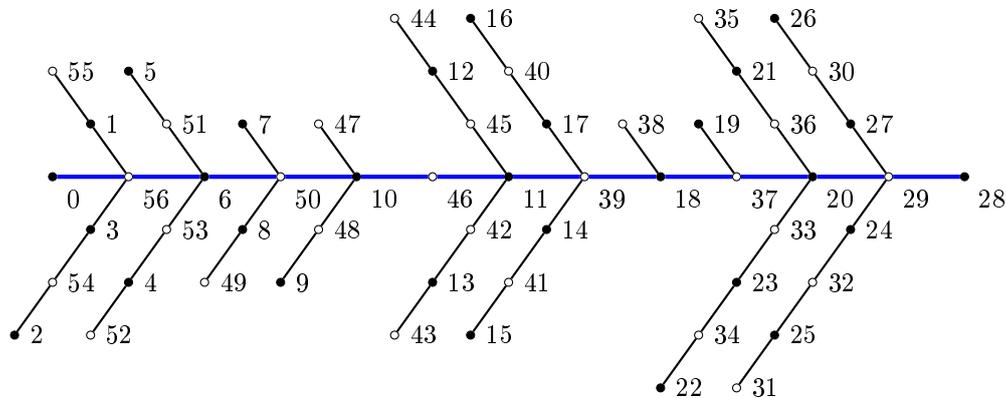


Fig. 9. α -labeling of a fishbone tree

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